

UNITED STATES NUCLEAR REGULATORY COMMISSION ADVISORY COMMITTEE ON REACTOR SAFEGUARDS WASHINGTON, D.C. 20555-0001

February 21, 2001

MEMORANDUM TO:	ACRS Members
FROM:	Paul Boehnert, Senior Staff Engineer
SUBJECT:	CERTIFICATION OF THE MINUTES OF THE ACRS JOINT METALLURGY & MATERIALS/THERMAL-HYDRAULIC PHENOMENA SUBCOMMITTEE MEETING, JANUARY 18, 2001, ROCKVILLE, MARYLAND

The minutes of the subject meeting, issued January 30, 2001, have been certified as the official record of the proceedings of that meeting. A copy of the certified minutes is attached.

Attachment: As stated

CC:

via E-mail J. Larkins J. Lyons S. Duraiswamy R. Savio ACRS Staff Engineers ACRS Fellows



UNITED STATES NUCLEAR REGULATORY COMMISSION ADVISORY COMMITTEE ON REACTOR SAFEGUARDS WASHINGTON, D. C. 20555

February 21, 2001

MEMORANDUM TO: P. Boehnert, Senior Staff Engineer Technical Support Branch

FROM: W. Shack, Chairman Materials & Metallurgy Subcommittee

SUBJECT:

CERTIFICATION OF THE MINUTES OF THE ACRS JOINT METALLURGY & MATERIALS/THERMAL-HYDRAULIC PHENOMENA SUBCOMMITTEE MEETING, JANUARY 18, 2001 - ROCKVILLE, MARYLAND

I hereby certify that, to the best of my knowledge and belief, the Minutes of the subject meeting issued on January 30, 2001 are an accurate record of the proceedings for that meeting.

W. Shack, Chairman

21/2001 2/

Date



UNITED STATES NUCLEAR REGULATORY COMMISSION ADVISORY COMMITTEE ON REACTOR SAFEGUARDS WASHINGTON, D. C. 20555

January 30, 2001

MEMORANDUM FOR:

W, Shack, Chairman, Joint Metallurgy & Materials/Thermal-Hydraulic Phenomena Subcommittee

FROM:

P. Boehnert, Senior Staff Engineer

SUBJECT:

MINUTES OF THE ACRS JÓINT METALLURGY & MATERIALS/THERMAL-HYDRAULIC PHENOMENA SUBCOMMITTEE MEETING, JANUARY 18, 2001 -ROCKVILLE, MARYLAND

A Working Copy of the subject meeting minutes is attached. I would appreciate your review and corrections as soon as possible. Copies are being sent to all ACRS members, and the T/H Phenomena Subcommittee Consultants for their information.

Attachment: As Stated

cc: ACRS Members

- V. Schrock
- N. Zuber
- R. Savio

cc via E-Mail:

J. Larkins

R. Savio

S. Duraiswamy

ACRS Staff Engineers

ACRS Fellows

DRAFT COPY - PREPARED FOR INTERNAL COMMITTEE USE



JANUARY 30, 2001

ADVISORY COMMITTEE ON REACTOR SAFEGUARDS JOINT SUBCOMMITTEE ON MATERIALS & METALLURGY AND THERMAL-HYDRAULIC PHENOMENA - MEETING MINUTES: **PTS RULE SCREENING CRITERION REEVALUATION: DETERMINATION OF UNCERTAINTIES** JANUARY 18, 2001 ROCKVILLE, MARYLAND

INTRODUCTION:

The ACRS Joint Subcommittee on Materials & Metallurgy and Thermal-Hydraulic Phenomena held a meeting on January 18, 2001 with representatives of the NRC staff. The purpose of this meeting was for the Subcommittee to hold discussions with representatives of the NRC staff concerning the treatment of uncertainties in the FAVOR probabilistic fracture mechanics code, including the treatment of uncertainties in the thermal-hydraulic codes. The FAVOR code is used in the Pressurized Thermal Shock (PTS) Technical Basis Reevaluation Project. The entire meeting was open to the public. Mr. P. Boehnert was the cognizant ACRS staff engineer and Designated Federal Official (DFO) for this meeting. The meeting was convened by the Subcommittee Chairman at 8:30 a.m., January 18, 2001, and adjourned at 4:35 p.m. that day.

ATTENDEES

ACRS Members/Staff:

- W. Shack, Chairman
- G. Wallis, Chairman
- G. Apostolakis, Member
- T. Kress, Member

- M. Bonaca, Member
- T. Kress, Member
- V. Schrock, Consultant (part-time)
- P. Boehnert, DFO

NRC Staff:

- M. Mayfield, RES N. Siu, RES S. Malik, RES W. Galyean, INEEL (Consultant) K. Almenas, U. Md. (Consultant) A. Mosleh U. Md. (Consultant)
- M. Kirk, RES
- M. Natishan, PEAI (Consultant)
- M. Modarres U. Md. (Consultant)
- P. Williams, ORNL (Consultant)
- L. Abramson, RES

A list of public attendees is attached to the Office Copy of these Minutes.

The presentation slides and handouts used during this meeting are attached to the Office Copy of these Minutes. The presentations to the Subcommittee are summarized below.

CHAIRMAN'S COMMENTS

Dr. W. Shack, Subcommittee Chairman, convened the meeting. He had no specific comments, and turned the floor over to the Office of Nuclear Regulatory Research (RES) for their presentations.

RES PRESENTATIONS ON TREATMENT OF UNCERTAINTIES FOR THE PRESSURIZED THERMAL SHOCK (PTS) SCREENING CRITERION RE-EVALUATION PROJECT

Opening Comments

Mr. M. Mayfield, RES, provided an overview of the status of the PTS screening criterion re-evaluation project. He characterized this discussion as a status briefing to provide the Subcommittee with an in-progress summary of the work associated with the treatment of uncertainties. Mr. Mayfield said that RES is applying an integrated uncertainty analysis, pursuant to the (N. Siu, RES) approach specified in the RES White Paper on this matter. Specific discussion topics for today will include the uncertainty evaluation work for event sequence frequencies, thermal-hydraulic parameters, and fracture toughness parameters (K_{1c} , K_{1a} , RT_{NDT}). Mr. Mayfield characterized the uncertainty effort as a "work in progress" and, as such, said that the staff is not requesting a letter from the ACRS at this time.

RES Presentations

RES representatives and consultants made presentations on the following topics:

- Overview of Uncertainty Treatment for PTS (N. Siu)
- PRA Event Sequence Analysis (W. Galyean)
- Treatment of Thermal-Hydraulic Uncertainties (K. Almenas, A. Mosleh)
- Uncertainties in probabilistic fracture mechanics (PFM) analysis (M, Kirk, M. Modarres, P. Williams, M. Natishan)
- Uncertainties in Other PFM Variables (S. Malik, L. Abramson)
- Closing Remarks (N. Siu)

Subcommittee Comments

During the above discussions, Members of the Subcommittee noted the following points:

- In response to Dr. Shack, Dr. Siu said that T/H uncertainties are not formally treated in PRAs. The staff does not, at this time, know the magnitude of the T/H uncertainties. This is still under evaluation.
- Dr. Siu said that the end product of the PTS screening criterion re-evaluation project is the determination of a through-wall crack frequency (TWCF),
- Regarding the PRA event sequence analysis work, Dr. Apostolakis asked to see the results of this work to date, as it was applied to the Oconee plant analysis. RES indicated that they did not want to make this work public at this time, without reviewing it with the Oconee plant licensee to verify its accuracy.
- In response to questions from Dr. Apostolakis regarding the treatment of uncertainties in the PRA calculations, RES noted that they have structured the binning process to allow for insertion of aleatory uncertainty in the thermalhydraulic analysis.
- In response to questions from Professor Schrock, RES noted that the testing at the Oregon State University "APEX" facility is to confirm the staff's assumption that the vessel azimuthal temperature can be modeled on a one-dimensional basis. If the test data shows otherwise, RES will have to re-think its approach here. As a result of further discussion on this matter, Mr. Mayfield indicated that RES hopes that the OSU data will confirm the staff's expectation that a threedimensional fracture mechanics analysis will not be necessary for this project.
- Regarding the discussion of a proposed methodology for addressing thermalhydraulic code uncertainties, Dr. Apostolakis noted that this approach essentially constitutes a sensitivity study, and is not an uncertainty analysis, per se, Dr, Almenas confirmed that his approach is a sensitivity study designed to identify the important uncertainties relative to T/H parameters. Dr. Apostolakis noted that the RES approach of combining both the aleatory and epistemic uncertainties is an issue that needs to be investigated. Following additional discussion on this point, Dr. Siu said that addressing epistemic uncertainties is still a "work in progress".
- RES has established a four-step process for estimating the epistemic uncertainties in RT_{NDT.} In response to Dr. Shack, Mr. Mayfield noted that the

fracture toughness curves had conservatism built into them, RES is trying to back-out this conservatism to be able to estimate the uncertainty.

 Regarding the characterization of uncertainty for fracture toughness, two model approaches were noted: the Master Curve Model and the Empirical Model (ORNL approach). In response to Dr. Shack, RES said they have elected use of the ORNL approach since the limitations of the Master Curve Model (no procedural agreement on determination of either the effect of flaw size and shape or computation of the indexing temperature, T-sub-zero) render its use impractical. RES is preparing a position paper that will form the basis for the recommended structure of the FAVOR PFM code.

NRC Closing Comments

Mr. Mayfield thanked the Subcommittee for its input on the status of the staff's work on this matter. Regarding the planned discussion of this topic before the full Committee during its February Meeting, he reiterated his position that the staff is not requesting a letter from the ACRS at this time, given the on-going nature of this work.

Subcommittee Caucus

The Subcommittee agreed that this matter should be brought to the full Committee for a briefing on the status of RES's progress. The Subcommittee also agreed with RES that a Committee letter on this matter is not necessary at this time. Dr. Shack and the Members of the subcommittee instructed the NRC staff relative to the issues that should be discussed during presentations to the ACRS.

BACKGROUND MATERIAL PROVIDED TO THE SUBCOMMITTEE PRIOR TO THIS MEETING

Memoranda dated January 5, 2001, from P. Boehnert, ACRS, to Joint M&M / T/H Phenomena Subcommittee Members:

- University of Maryland Paper: "TH Issues and System Code Uncertainties Relevant to PTS", K. Almenas, et al., University of Maryland, January 2001.
- University of Maryland Paper: "K_{ic}/K_{1a} Uncertainty Characterization", F. Li, et al., Center for Technology Risk Studies, University of Maryland, undated
- NRC White Paper: "Uncertainty Analysis and Pressurized Thermal Shock: An Opinion", N. Siu, dated September 3, 1999

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NOTE: Additional details of the open portions of this meeting can be obtained from a transcript of this meeting available for downloading or viewing on the Internet at "http://www.nrc.gov/ACRSACNW", or can be purchased from Neal R. Gross & Co., Inc., 1323 Rhode Island Ave., NW, Washington, D.C., 20005, (202) 234-4433 (Voice), 387-7330 (Fax), E-Mail: "nrgross@nealrgross.com".

ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

SUBCOMMITTEE MEETINGS ON THERMAL-HYDRAULIC PHENOMENA AND METALLURGY AND MATERIALS

JANUARY 18, 2001 Today's Date

ATTENDEES - PLEASE SIGN BELOW

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ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

SUBCOMMITTEE MEETINGS ON THERMAL-HYDRAULIC PHENOMENA AND METALLURGY AND MATERIALS

JANUARY 18, 2001 Today's Date

ATTENDEES - PLEASE SIGN BELOW

PLEASE PRINT

NAME

AFFILIATION

Edward p Thron	NRC/NRA
M. di Mauto	NRe/REJ
Attentised	
W.J. GALYEAN	INTEL
Y.H. Chang	UMD .
Kazys Almenas	U.M.D
David Bessette	NR E/RES
ANDRE DROZD	NRC/NRR

NUCLEAR REGULATORY COMMISSION ADVISORY COMMITTEE ON REACTOR SAFEGUARDS MEETING OF THE ACRS JOINT SUBCOMMITTEE ON MATERIALS AND METALLURGY AND ON THERMAL-HYDRAULIC PHENOMENA

PTS RULE SCREENING CRITERION RE-EVALUATION JANUARY 18, 2001

ROCKVILLE, MARYLAND

	TOPIC	ENDA - <u>PRESENTER</u>	TIME
I.	Opening Remarks	W. Shack, ACRS	8:30-8:35 a.m.
II.	Overview of PTS Re-evaluation Project	M. Mayfield (RES)	8:35-9:00 a.m.
III.	Overview of Treatment of Uncertainties in Pressurized Thermal Shock (PTS)	N. Siu, (RES)	9:00-9:30 a.m.
IV.	PRA Uncertainty Treatment	W. Galyean (INEEL)	9:30-10:15 a.m.
	- BREAK -		10:15-10:30 a.m.
V.	Thermal-Hydraulic Transients Uncertainties	K. Almenas (UMD) A. Mosleh (UMD)	10:30-12:00
	- LUNCH -		12:00-1:00 p.m.
VI.	Binning of PTS Transients	W. Galyean (INEEL)	1:00-1:30 p.m.
VII	Uncertainty in Fracture Toughness (K1c, K1a) and RT _{NDT}	M. Kirk, (RES) M. Natishan (PEAI) M. Modarres UMD)	1:30-3:30 p.m.
		P. Williams (ORNL)	
	- BREAK -	P. Williams (ORNL)	3:30 -3:45p.m.
VII	- BREAK -	P. Williams (ORNL) L. Abramson (RES) S. Malik (RES)	3:30 -3:45p.m. 3:45-4:15 p.m.
VII IX.	- BREAK - I. Uncertainty in Other PFM Variables Final Comments on Treatment of Uncertainties	P. Williams (ORNL) L. Abramson (RES) S. Malik (RES) N. Siu.(RES)	3:30 -3:45p.m. 3:45-4:15 p.m. 4:15-4:30 p.m.
VII IX. X.	- BREAK - I. Uncertainty in Other PFM Variables Final Comments on Treatment of Uncertainties Discussion	P. Williams (ORNL) L. Abramson (RES) S. Malik (RES) N. Siu.(RES) W. Shack, ACRS	3:30 -3:45p.m. 3:45-4:15 p.m. 4:15-4:30 p.m. 4:30-4:45 p.m.

NOTE:

Presentation time should not exceed 50 percent of the total time allotted for specific item. The remaining 50 percent of the time is reserved for discussion.

Number of copies of the presentation materials to be provided to the ACRS - 25.

INTRODUCTORY STATEMENT BY THE CHAIRMAN OF THE COMBINED SUBCOMMITTEES ON MATERIALS AND METALLURGY AND THERMAL-HYDRAULIC PHENOMENA 11545 ROCKVILLE PIKE, ROOM T-2B1 ROCKVILLE, MARYLAND JANUARY 18, 2000

The meeting will now come to order. This is a meeting of the ACRS combined Subcommittees on Materials and Metallurgy and Thermal-Hydraulic Phenomena. I am Dr. William Shack, Chairman of the Materials and Metallurgy Subcommittee. Dr. Wallis is Chairman of the Thermal-Hydraulic Phenomena Subcommittee.

Other ACRS Members in attendance are: Drs. George Apostolakis, Mario Bonaca, and Thomas Kress.

The ACRS Consultant in attendance is Professor Virgil Schrock.

The purpose of this meeting is for the Subcommittee to hold discussions with representatives of the NRC staff concerning the treatment of uncertainties in the FAVOR probabilistic fracture mechanics code, including the treatment of uncertainties in the thermal-hydraulic codes. The FAVOR code is used in the Pressurized Thermal Shock (PTS) Technical Basis Reevaluation Project. The Subcommittee will gather information, analyze relevant issues and facts, and formulate proposed positions and actions, as appropriate, for deliberation by the full Committee. Mr. Paul Boehnert is the Cognizant ACRS Staff Engineer for this meeting.

The rules for participation in today's meeting have been announced as part of the notice of this meeting previously published in the *Federal Register* on December 28, 2000.

A transcript of this meeting is being kept, and will be made available as stated in the Federal Register Notice. It is requested that speakers first identify themselves and speak with sufficient clarity and volume so that they can be readily heard.

We have received no written comments or requests for time to make oral statements from members of the public.

(Comments from Drs Shack and Wallis -if any)

We will now proceed with the meeting and I call upon Mr. Michael Mayfield of NRC's Office of Nuclear Regulatory Research to begin.



Overview of Pressurized Thermal Shock Screening Criterion Re-evaluation Project

Michael Mayfield, Director Division of Engineering Technology Office of Nuclear Regulatory Research

Presentation to: Advisory Committee on Reactor Safeguards Joint Subcommittees January 18, 2001



PTS Re-evaluation Project Introduction

- One of a continuing series of briefings to:
 - Provide in-progress summaries of key elements
 - Solicit committee feedback on key issues
- Key issue to be discussed today treatment of uncertainties
 - Global picture integrated uncertainty analysis
 Application of uncertainty white paper approach
 Links different technical disciplines
 - Specific snapshots
 - >Event sequence frequencies
 - > Thermal-hydraulics
 - ➢ Fracture toughness (K1c, K1a, RT_{NDT})



PTS Re-evaluation Project Background/Impetus

- Encouraging developments in materials area provided incentives. These are:
 - Improved NDE/DE flaw characterization
 - Revised embrittlement correlation
 - Improvement in fracture toughness models
- Additional developments in TH and PRA
 - Improvements in TH codes
 - Testing at APEX for flow stagnation/mixing
 - PRA RG-1.174 acceptance guidelines
 - HRA quantification tools



NRC/Industry PTS Re-evaluation Project

- Initiated in April 1999
- Fully participatory with input from stakeholders:
 - NRC (RES, NRR, Contractors)
 - Industry (MRP, EPRI, Vendors)
 - Public
 - ACRS Reviews (2/99, 7/99, 3/00, 5/00, 9/00)
- Four PWR plants to be analyzed Oconee-1, Calvert Cliffs-1, Palisades, and Beaver Valley-1 (replacing HB Robinson-2)



PTS Re-evaluation Project Current Status

- Work progressing in major technical areas
- Finalization of materials input and revision of PFM code (FAVOR) – March 2001
- TH code validation through testing in APEX facility -- completion in March 2001
- Progress in PRA aspects includes:
 - Explicit consideration of uncertainty in key input variables
 - Completed a Commission Paper on an approach for developing PTS risk acceptance criterion (SECY-00-0140, June 2000)



PTS Re-evaluation Project Current Status (Contd.)

- Consideration for LERF and containment integrity has begun, and is a departure from the present PTS screening criterion
- Near-term PFM scoping study based on current developments is to be completed in January 2001 for Oconee-1
- Full-scale application of PFM methodology to first PTS re-evaluation plant expected to start in April 2001



PTS Re-evaluation Project Summary/Conclusions

- First major application of risk-informed methodology to revisit the tech. basis for a possible revision of an adequate protection rule
- Good progress thus far
- Schedule revised to accommodate newer developments in:
 - Fracture toughness modeling (K1c, K1a, RT_{NDT})
 - Embrittlement correlations
 - Generalized flaw distributions,
- Explicit consideration of uncertainties in key variables



PTS Re-evaluation Project Summary/Conclusions (Contd.)

- Staff briefing goals for today:
 - Inform committee and obtain comments
 - Prepare for February 2001 full-committee information briefing
- No letter requested at this time



United States . Nuclear Regulatory Commission

Overview: Treatment of Uncertainties in Pressurized Thermal Shock

N. Siu

Office of Nuclear Regulatory Research U.S. Nuclear Regulatory Commission

Presentation to ACRS Materials and Metallurgy Subcommittee ACRS Thermal-Hydraulic Phenomena Subcommittee January 18, 2001

- A PTS analysis objective: consistent treatment of uncertainties
- White paper on aleatory and epistemic uncertainties in PTS developed to facilitate analysis
- ACRS Materials and Metallurgy Subcommittee briefings
 - March 2000: described overall approach
 - September 2000: Committee questions on details of application and consistency of approach
- Work is in progress
 - Consistent implementation of white paper philosophy
 - Development of results

Approach for Treating Uncertainties

- Identify sources of uncertainty and categorize based on problem needs
 - Aleatory uncertainties: variables assumed to be the result of random processes (irreducible, given the model)
 - Epistemic uncertainties: variables treated as being deterministic (reducible, given the model)
- Aleatory uncertainties treated through T/H scenario frequencies and conditional probability of vessel failure, given the scenario
- Epistemic uncertainties treated through standard estimation and uncertainty propagation techniques
- Assemble results using FAVOR

Framework



4

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Uncertainties in PTS Sub-Analyses

- Event sequence analysis
 - Sequence frequencies quantify aleatory contribution
 - Uncertainties in hardware parameters treated conventionally
 - Treatment of HRA uncertainties being developed
- Thermal hydraulic analysis
 - Approach: address uncertainties using "probability of frequency" format
 - Boundary condition uncertainties dominate for 1- ϕ scenarios
 - Remaining issues: binning, 2-\$\$ model uncertainties
- Probabilistic fracture mechanics analysis
 - Addresses aleatory uncertainties in crack initiation
 - Epistemic distributions for key parameters being developed

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- FAVOR code being modified

Following Presentations

- PRA event sequence analysis (W. Galyean)
- Thermal hydraulic uncertainties (K. Almenas, A. Mosleh)
- Event sequence binning (W. Galyean)
- Uncertainties in PFM analysis (S. Malik, L. Abramson, M. Kirk)
- Uncertainties in fracture toughness (M. Kirk, M. Modarres, P. Williams, M. Natishan)
- Closing remarks (N. Siu)

Closing Remarks

- Ongoing technical development areas include:
 - HRA quantification
 - Treatment of 2- ϕ model uncertainties
 - Treatment of uncertainties in fracture toughness and RT_{NDT}
- White paper will be updated, published as a NUREG report



Outline of Presentation

- Brief review of PTS PRA approach
- Types of PRA parameters
- Approach to treatment of uncertainties
- Quantification process
- ✤ Status



Overall Approach

- **Use original Oconee PTS analysis as starting point**
- Event trees used to model potential PTS sequence of events
- ✤ Update:
 - ***** New event frequencies and probabilities
 - * Reflect current plant designs
 - ***** *Incorporate current understanding of phenomena*



PTS Sequence Event Trees

Structure follows "original" Oconee ETs

 Primary Integrity
 LOCA, stuck open PORV/SRV, etc.
 Secondary Integrity
 steam line break, stuck open SRV/TBV, etc.
 Secondary Feed
 MFW, EFW, CBP, etc.
 Primary Flow
 HPI, RCPs, etc.

Typical PRA Parameters

- Initiating Event Frequencies
- Basic Event Probabilities
 - * Hardware
 - Component failures
 - \$ component unavailability (TorM)
 - * Human Errors
 - * Common Cause Failures



Common PRA Models

- Event Probabilities
 - * Binomial

***** $P\{r \text{ failures in } N \text{ trials } |\phi|\} = \frac{N!}{r!(N-r)!} \phi'(1-\phi)^{N-r}$ ***** Poisson

 $P\{r \text{ failures in } (0,T) \mid \lambda \} = \frac{(\lambda T)^r}{r!} e^{-\lambda T}$

* Exponential

 $\mathbf{P} \{ \mathbf{T}_{f} < t \mid \lambda \} = \mathbf{1} - \mathbf{e}^{-\lambda t} \approx \lambda t$ (for small λt)

Event occurrence rates (frequencies)

* Probability density functions on estimates of ϕ and λ

6 - 18-Jan-01

* Lognormal, Gamma, Beta

Treatment of PRA Uncertainties

Failure probabilities and sequence frequencies

 * Occurrence modeled as random process
 * Binomial or Poisson
 * Uncertainties treated as aleatory

 Event occurrence rates

 * Assumed to be time independent
 * Determined by specific boundary conditions on operation of component
 * Uncertainties treated as epistemic



The Idaho National Engineering and Environmental Laboratory **Probability Density Functions Reflect** <u>Confidence in Parameter Estimate</u>

- Estimate in "true" value of failure rate characterized using lognormal or gamma distribution
- Generated using
 - * Engineering judgement
 - * Bayesian methods
- Interpreted as representing degree-of-belief



The Idaho National Engineering and Environmental Laboratory Individual Input Parameters Quantified Using a Variety of Sources

- Oconee experience data
- Industry-wide experience data
- Oconee-PRA estimates
- Engineering judgement


The Idaho National Engineering and Environmental Laboratory

Uncertainty Propagated Step-wise

- Event Tree top events quantified (with uncertainty)
- Event tree endstates (14,500) then quantified (with uncertainty)
- + PTS-SIDs (2500) then quantified (with uncertainty)
- TH bins (31) than quantified (with uncertainty) using the results of the PTS-SID uncertainty analysis
- Used Monte Carlo sampling (2000 samples)
- Output is probability density represented by a histogram (19 bins)



The Idaho National Engineering and Environmental Laboratory

<u>Status</u>

+ Oconee

* Initial results completed - Dec. 4, 2000

* Duke Power meeting - Jan. 23, 2001

* External events analysis - Mar. 9, 2001

- * Model revised and requantified Mar. 30, 2001
- Beaver Valley
 - * Initial results (PTS-SIDs) Jan. 26, 2001
 - T/H runs and mapping still pending
 - * External events analysis May 11, 2001
 - * Model revised and requantified May 31, 2001

11 - 18-Jan-01

TH issues and system code uncertainties relevant to PTS

Presentation to the ACRS (Jan, 18 2001)

K. Almenas and Y.H. Chang M. Modarres, A. Mosleh University of Maryland

Presentation Goals:

Provide a framework that encompasses all possible scenarios (Move from an 'event space' to an 'energy/mass balance, relative time constants' space)

Categorize TH uncertainties

(Quantify RPV and system TH time constants. Quantify the importance of the SG heat sink. Separate that which is certain, from that which is not.)

Describe the propagation of uncertainties

(Show how initial + boundary conditions uncertainties are transformed into the uncertainties of the PTS relevant parameters. Classification: <u>Damped</u>, <u>Proportional</u> and <u>Augmented</u> propagation)

Outline methodology for evaluating the propagation of uncertainties (Example of propagation for single variable PDF. Example for multi-variable PDF's)

1

Uncertainties for transients in which primary system becomes two-phase (Classification of two-phase transients. Two-phase chocked flow uncertainties.)

Impact of heat transfer coefficient on PTS relevant parameters

Effect of numerical flow anomalies

Inventory based two-phase flow states in OTSG NPP's





End use of PTS relevant TH parameters by PFM analysis

- 1. Average RPV wall temperature
- 2. Temperature gradient in RPV wall
- 3. ΔP accorss RPV wall

('Crack propagation' or 'Driving crack through RPV wall')

Characteristics of P(t), h(t) and $T_{dc}(t)$

P(t) categories

- 1) P(t) controled or at PORV setting
- $2) \quad P(t) = P(T_{hot})$

With RCP's: $T_{dc}(t) = T_{av}(t) = T_{hot}(t)$

No RCP's : $T_{dc}(t) = T_{hot}(t) - \Delta T(t)$

TH uncertainty applies only to second category

h(t) categories:

Low range - Flow stagnation. Internal circ. Ra number dependence

h = 500 to 1000 W/m² K (90 to 200 BTU/hr ft² s)

 $Bi_{RPV} = 2 \text{ to } 4$

High range: RCP operating or system wide Nat-C.

h = 30,000 to 2000 (5300 to 400 BTU/hr ft² s)

 $Bi_{RPV} = 120$ to 8



State of Primary	Liquid		Vapor			Combined Heat Cap (MJ/K)	
	Mass (kg)	Heat Cap. (MJ/K)	Mass (kg)	Heat Cap. (MJ/K)	Evap Energy (MJ)	Vapor + Liquid	Vapor + Liquid + Metal
Liquid Solid	2.57E5*	1360**	1	1	1	1360	1690
25% Steam	1.93E5	1080	3170	16	4760	1030	1360
50% Steam	1.29E5	680	6350	32	9520	710	1040

Table 4.1 Inventory and Heat Capacities of Oconee-1 Primary System

*Without pressurizer

**Evaluated at p = 71 bar (1043 psi), $T_{SAT} = 560$ °K, $T_{CL} = 530$ °K

Table 4.2 Energy Source/Sink Magnitudes for Oconee

Time after System P*		HPI flow	Energy source	e/sink (MW)	Downcomer	SG en. rem.	
trip (sec.)	Bar (psi)	rate (kg/s) 3 pumps	Q _{decary}	Ė HPI	+ Cold Leg fill time (sec.)	rate for δT=10 F, 5.5 K (MW)	
1000	60 (870)	67.	48	-70.	400.	150	
2000	46 (670)	71.	40	-74.	380.	125.	
4000	20 (290)	77.	33	-81.	350.	115.	
2000	170(2460)**	30.	40 + 22***	-31.	900.	325.	

 $*E_{HPI} = w_{HPI} \times [h_f(T_{SAT}) - h_f(T_{HPI})]$

**PZR PORV setting

***decay heat + pump power

Table 4.3 Fluid Circulation Time Constants for Oconee

	Flow Rate (kg/s)	System Exchange Time (without PZR)	V _{ci} (m/s)	V _{dc} (m/s)	
RCPs Operating	17900	14 s	15.5	7.0	
Nat. C. Single ph. Q _{dec} @ 1000 s 4000 s	420. 290.	610. 860.	0.33 0.22	0.15 0.11	
Two-ph $\alpha = 0.25$	83	40 min	0.06	0.3	
Two-ph. a = 1 *BCM	24	95 min	0.02	0.008	



Types and location of boundary conditions for OTSG



Effect Chain of SG TH conditions on PTS relevant output parameters

SG Impact summarized

The very large available heat-transfer area and the small thickness of the tube walls leads to a rapid equilibration of Q_{SG} to match the available heat source:

$$Q_{SG} = h_{eff} A (T_{prim,ex} - T_{sec})$$

This also leads to a relatively small δT across the SG tube walls:

$$T_{\text{prim},\text{ex}} - T_{\text{sec}} = \delta T = ----- h_{\text{eff}} A$$

Which implies that as long as the SG is available Tprim, exclosely follows the temperature of the fluid in the bottom region of the SG

 $T_{prim,ex} = T_{sec} + \delta T$

Where δT ranges typically from ~0.5 to ~3.0 °C

Table 4.1

Classification of Uncertainties According to their Origin

1) Energy source/sink boundary condition uncertainties

Uncertainties arising from the variation of the decay heat source, HPI and Accumulator flow rate and fluid conditions, RCP's, PORV flow area and timing, break size.

2) Energy transfer rate to the secondary side uncertainties.

Uncertainties generated by P_{sat} variation in the SG's (TBV operation, MSL break, P_{sat} control), MFW and AFW flow rate, timing and T_{f} , SG tube break size.

3) TH model and computational process imposed uncertainties.

Uncertainties due to inherent limitations of the 1-D, volume averaged system codes, empirical correlations, and nodding choices. Main computation types that are subject to code uncertainties:

Evaluation of out-flow rates, especially for two-phase chocked flows. Evaluation of internal circulation.

Numerical mixing due to oscillations in parallel flow channels.

Time Constant & Approach to Equilibrium





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Classification of Uncertainties According to Their Impact



Table 4.3 Classification of PTS Relevant Transients Based on Propagation of Uncertainties

TH Propagation of Uncertainty	Dominant Energy Sinks	Circl. Mode	Dominant ParametersContributing to UncertaintyBC Unc.Model Unc.		Transients	
T _{dc} - damped P - controlled	Q _{SG} – controlled (HPI-PORV)	RCP	Psec - Tsec			
T _{sg, ex} . damped P - controled ΔT _{SG-dc} proportional	Q _{SG} – controlled HPI-PORV	Nat-C	Psec – Tsec W _{HPI} , T _{HPI}	Wcirc		
T_{dc} - proportional P - proportional ΔT_{SG-dc} proportional T_{dc} - augmented P - proportional	$\begin{array}{l} Q_{SG} - \text{uncontrolled} \\ 1.\text{-depressurized} \\ 2. \text{- overcooled} \\ (\text{HPI-PORV}) \end{array}$ $\begin{array}{l} Q_{SG} - \text{not avail.} \\ Q_{brk} > Q_{dec} \\ \text{HPI} \end{array}$	RCP (Nat-C) Nat-C Potential Flow stag	1) A _{SG-flow} , Q _{dec} 2) W _{fd} , T _{wfd} , Q _{dec} (W _{HPI} , T _{HPI}) A _{BRK} , Q _{dec} A _{eff-VV} W _{HPI} , T _{HPI} ,	W _{BRK} , Q _{BRK} Wcirc W _{BRK} , Q _{BRK} W _{VV}	PNACCCR PN011NCR	

t	2	3	4	5	6	7	8
Initial Power	Primary Integrity	Secondary Integrity	Main Feedwater Status	Emergency Feedwater Status	Condensate Booster Pumps	High Pressure Injection Status	Reactor Coolant Pump Status
Z – Hot Zero Power P – High Power	N – No leak Z – small LOCA (< 1.4 inches) L – LOCA (PTS size, >1.4 but <2.8 inches) P – PORV stuck open (1-inch) S – SRV stuck open (1.5-inch) I – PORV initially open, subsequently isolated R – RCP seal LOCA G – SGTR Y – Large LOCA (> 2.8 inches)	(# of TBV or SSRV stuck open) 0 1 A - 1/1 B - 1/2 C - 2/2 S - Small steam line break (<8") L - Large steam line break (>8") I - TBV (or SLB) initially open, subsequently isolated	 C - Controlling level T - Tripped 1 - 1 S/G being overfed 2 - 2 S/Gs being overfed Overfed - exceed high level in S/G, or failing to isolate feed to a faulted S/G (i.e., any feed to an faulted S/G is overfeed) 	C – Controlling level F – Failed N – Not demanded 1 – 1 S/G being overfed 2 – 2 S/Gs being overfed	 C - Controlling level F - Failed N - Not demanded 1 - 1 S/G being overfed 2 - 2 S/Gs being overfed Overfed - implies concurrent excessive steam demand (operator induced). 	N – not demanded C – Controlled running I – Full injection (operator error) F - Failed	R – Running T – Tripped U – Tripped and restarted

Fig. 4.4 PTS Sequence Identifier Definitions (From INEL PRA study)

PRAbin.fig.doc November 17, 2000

Case Study - Damped transformation of BC uncertainties

Reactor trip at a range of times after startup.

Inf. Operation with RCP's Max en. input rate.

After 10 hrs. operation, with RCP's

After 10 hrs. operation RCP's tripped. Min en. input source

5

Other plant conditions nominal







Case Study - Proportional transformation of BC uncertainties

Reactor trip with TBV failures.

Single TBV fails to close

2 TBV's (one in each SG) fail to close

Transients lead to initiation of HPI and loss or pressurizer pressure control

Other plant conditions nominal







P - T saturation line for water

P:=0,25..2500

T(P) := (stm_tsatp(P))

Case Study - Augmented transformation of BC uncertainties

SB-LOCA in surge line.

Break sizes differ by $\sim .000028 \text{ m}^2$ (20%)

Difference within the range of uncertainties for 2-phase chocked flow models

Other plant conditions nominal



Steps in evaluating impact of uncertainties for <u>'proportional' propagation category.</u>

Determine the BC which dominate the influence on P(t) and $T_{dc}(t)$

Obtain or define PDF and CDF relationships for the relevant BC's

Use RELAP5 to evaluate the proportionality factors

Using the CDF index choose BC values at specified probability limits (e.g. 5% and 95%) and evaluate the resulting spread in the values of P(t) and $T_{dc}(t)$

6



Range of Pressure for One TBV Failure to Close Transients

$$P(A) := \begin{bmatrix} (Pl(A)) & \text{if } (A < 1.) \\ (Pr(A)) & \text{if } (A > 1) \\ 0 & \text{otherwise} \end{bmatrix} CDF(A) := \int_{0}^{\bullet} P(x) dx$$

$$CDF(2) = 1$$

A := 0,.001..2



 $CDF(.05) = 9.834 \cdot 10^{-5}$

$$CDF(1.178) = 0.95$$



PDF and CDF distributions of total energy source uncertainty. (Normal dist. Nominal energy source value = 1.)

PDF and CDF distributions of decay energy generation time (total operation time = 1 year. In hours).

уг := 24-365

t := 0, 1.. yr

 $P(t) := \frac{l}{yr} \qquad CDF(t)$

 $CDF(t) := \int_{0}^{t} P(x) dx$









Single TBV Failure to Close Event Tdc as a Function of TH and Boundary Condition Uncertainties (dT/dA = -4500 K/M^2, dT/dQ = 6E-7 K/Watt)



 $\overline{\ }$

Single TBV Failure to Close Event



Comparison of Tdc Calculated as Function of dA & dQ, and RELAP5 Simulation Results (dT/dA = -4500 K/M^2, dT/dQ = 6E-7 K/Watt)

 $\overline{\mathbf{Q}}$

Table 6.2

<u>Uncertainties associated with the evalutation of chocked</u> <u>two-phase flow rates</u>

Physical (aliatory) causes of uncertainty Size of break Location in primary system (elevation, horiz. or vert. segment) Type of break Break shape Circuferential location (for horiz. pipes) Length of up-stream flow path (for sheared small pipes) Modeling causes of uncertainty Uncertainties in the determination of break comp. fluid properties

Uncertainties in the determination of break flow rate Boundig models of break flow rate: Lower – HEM (Homogeneous Eq. Model) Upper – Frozen (Constant up-stream fluid prop.)

Table 6.1

Classification of two-phase transients

Transient category	Break en/mass flow rate		En/mass sources	Flow stagnation probability
A	Q _{BR} - Q _{HPI} W _{BR}	, < <	Q _{dec} W _{HPI}	No flow stagnation
В	Q _{BR} - Q _{HPI} W _{BR}	< >	Q _{dec} W _{HPI}	Flow stagnation possible, but intermittent
с	Q _{BR} - Q _{HPI} W _{BR}	>	Q _{dec} W _{HPl}	Flow stagnation possible and could be prolonged
D .	Q _{BR} - Q _{HPI} W _{BR}	>> >>	Q _{dec} W _{HPI}	Flow stagnation certain but P _{sys} decreases rapidly




Fig. 7.3 Chocked Mass Flow Rates as a Function of Break Area Upstream cond: 70 bar (1028 psi); Tsat = 559K (546F)

Break Area (m^2)



Fig. 7.4 Chocked Enthalpy Flow Rates as a Function of Break Area Upstream cond.: 70 bar (1028 psi); Tsat = 559K (546F)

Breal Area (m^2)



Fig. 7.5 Chocked Mass Flow Rates as a Function of Break Area Upstream cond: 20 bar (290 psi); Tsat = 486K (414F)

Break Area (m^2)



Fig. 7.6 Chocked Enthalpy Flow Rates as a Function of Break Area Upstream cond: 20 bar (290 psi); Tsat = 486K (414F)

Break Area (m^2)

		Low flow lim. HEM		High flow lim. 'Frozen'	
		Mass flow W _{BR} > W _{HPI}	En. flow W _{BR} > Q _{HPI}	Mass flow W _{BR} > W _{HPI}	En. flow W _{BR} > Q _{HPI}
70 bar	Area (cm ²)	30 – 17	17 – 10	12 - 8	7 - 4
	Eq D (in)	2.4 – 1.4	1.48	1 - 0.65	0.6 - 0.4
20 bar	Area (cm ²)	40 - 36	21 -18	21 - 18	14 - 9
	Eq D (in)	3.2 - 2.9	1.7 - 1.4	1.7 - 1.4	1.2 - 0.7

Table 7.3 Bounding Range of Break Sizes for Two-Phase Chocked Flow



Psys vs. Tdc Plane. PFM Failure Probability for $\tau = 200$ Minutes

Grouping PRA Event Tree Scenarios According Similarity in Key TH Relevant Parameters





Discrete Representation of Parameter Trends





The Discretized Probabilities of Key Parameters Uncertainty of AFW Overfeed Scenarios

• Time at which overfeed is terminated

- 10 minutes (.99*)
- 30 minutes (.009*)
- - Not controlled $(.001^*)$
- Feedwater flow rate
 - Motor driven + Turbine driven AFW pumps (62 kg/s per SG; .9955*)
 - Motor driven AFW pumps only (31 kg/s per SG; .0045*)

• Feedwater temperature

- 21 C (70 F; .5**)
- 38 C (100 F; .5**)

• HPI state

- Not activated (Nominal; .999*)
- Activated (.001*)
- RCP state
 - Not tripped (Nominal; .999*)
 - Tripped (.001*)
- Decay heat (Damped effect; Not considered)

*ATHEANA data **Engineering estimate



Subdividing TH Groups Based on Important of The Key Parameters Within The Group

2 SGs Overfed Example

Feedwater Flow Rate



Quantification Process







The Idaho National Engineering and Environmental Laboratory <u>PTS Event Trees Generate Sequence</u> <u>of Events (PTS Transients)</u>

- System and operator responses listed as event tree top events
- Individual branch points dependent on preceding path through the event tree
 - * Specifics of event can vary
 - * Probability can vary
- Each event tree end state represents a single unique path through the event tree (unique sequence of events)

* Approximately 14,500 unique sequences generated

The Idaho National Engineering and Environmental Laboratory Each Event Tree Endstate Sequence Mapped into SID

- Sequence Identifier (SID) mapping used to group similar sequences
 - * Eight character vector
 - * Captures most relevant information (with respect to T/H response)
 - * Developed in interactively with T/H analysts and T/H-uncertainty analysts
- Approximately 2500 unique PTS-SIDs
 - ***** Each SID maintains link to member sequences



Eight Character SID

- 1 Initial Power
- 2 Primary System Integrity Status
- 3 Secondary System Integrity Status
- 4 Main Feedwater Status
- 5 Emergency Feedwater Status
- 6 Condensate Booster Pump Cooling of S/Gs
- 7 High Pressure Injection Status
- 8 Reactor Coolant Pumps Status



Each PTS-SID Mapped into TH Bin

- Second stage processing maps each PTS-SID into one of the available Thermal-Hydraulic cases
- ✤ 45 Available TH bins
 - * 40 TH case
 - * 4 "other" bins (CD but not PTS, or OK's)
 - * 1 residual bin
- ✤ 31 TH bins actually used



Example Rule for Binning (TH24)

If both primary and secondary systems are intact, overfeeding S/Gs, HPI full (not throttled) then map into TH24

if

"PTS-PN01??!?" + "PTS-PN0?1?!?" + "PTS-PN0??1!?" + ...

then

GlobalPartition = "TH24-PN02NNIR";



PTS-SIDs Contributing to TH24

TH24-PN02NNIR

Total Frequency = 5.4E-5

PTS-SID	Frequency	Contribution
PTS-PN02NNIR	2.7E-5	51%
PTS-PN0T2FIT	1.7E-5	32%
PTS-PN0T1FIT	6.2E-6	12%
PTS-PN0T2NIR	1.0E-6	2%
PTS-PN01NNIR	9.3E-7	2%



The Idaho National Engineering and Environmental Laboratory Final Binning Process Relies on Engineering Judgement

Binning of event tree sequences into PTS-SIDs, relatively straight-forward

* If EFW successful, then "C" in 5th position

Binning of PTS-SIDs into THxx-SIDs somewhat subjective

* E.g., is PTS-ZS0T2NIR closer to

TH17-ZZ1TCNIR or

TH34-PS0TCNIR

Final review of Binning process will be done once conditional (on THxx) PFM results are available

The Idaho National Engineering and Environmental Laboratory <u>Current Analysis More Focused</u> <u>Compared to Original IPTS Study</u>

- ✤ Many details of original IPTS unavailable
- Original work was a series of separate almost independent analyses
- Original IPTS analysis for Oconee resulted in residual (un-binned) group as dominant risk contributor
- Better understanding of most key issues
- Fully integrated analysis



Uncertainty in the Fracture Toughness Characterization Image: Stress Str

VG 1



1





The Question

How is the characterization of fracture toughness uncertainty being treated in a manner that is methodologically consistent with the risk-informed framework being employed in the PTS reevaluation project?

VG 5











Accounting for Fracture Toughness Uncertainty in the PTS Analysis

Background:

- EPRI and NRC involved in development of technical basis for revision to PTS rule and screening criteria to take advantage of technological developments
- PRA methods are used to account for uncertainty in a consistent manner within FAVOR to result in more riskinformed and close to best-estimate computations
- PRA methodologies depend on identification, and development of appropriate methods to account for, of all sources of uncertainty in a process
 - Material variability and model uncertainty
 - Aleatory and epistemic uncertainty





























Estimation of Epistemic Uncertainties in *RT_{NDT}* : Quantifying Adjustment Terms

B. R. Bass P. T. Williams T. L. Dickson Computational Physics and Engineering Division

> J. G. Merkle R. K. Nanstad Metals and Ceramics Division

Oak Ridge National Laboratory Oak Ridge, Tennessee

ACRS Materials and Metallurgy Subcommittee Briefing USNRC Headquarters – Rockville, MD – January 18, 2001

UT-BATTELLE

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Separating epistemic uncertainty in RT_{NDT} involves several steps. Step 1: K_{lc} vs (T-RT_{NDT(0)}) database and $RT^* = RT_{NDT(0)} - \Delta RT$ statistical model were developed without adjustment term (ORNL 99/27). where Step 2: Statistical cumulative distribution functions (CDFs) were developed for RT* = resampled replicate RT_{NDT} adjustment term (ΔRT) from ORNL RT_{NDT(0)} = measured value 99/27 database. $\Delta \dot{RT} = adjustment term$ Step 3: Weibull K_{ic} statistical models (based on re-sampled ORNL 99/27 RT_{NDT} data) were created using a statistical re-K. O. Bowman and P. T. Williams, Technical sampling method. Basis for Statistical Models of Extended K_k and K_k Fracture Toughness Databases for RPV Steels, (ORNL/NRC/LTR-99/27), Oak Ridge National Laboratory, Step 4: Evaluation of methodology with February 2000. implementation into FAVOR (to be done) Oak Ridge National Laboratory U.S. Department of Energy UT-BATTELLE



















































Fracture Toughness Uncertainty \rightarrow Status and Closure \leftarrow

- Details being wrapped up following 19th Dec 2000 public meeting
- Position paper being prepared
- Paper will form the basis for recommended structure of FAVOR



L. Abramson, S. Malik Office of Nuclear Regulatory Research

Presentation to: ACRS Joint Subcommittees January 18, 2001

Uncertainties in Other PFM Variables

- Generalized Flaw Distributions
 - L. Abramson, D. Jackson, PNNL
- Neutron Fluence
 - W. Jones, BNL
- Material Chemistry
 - D. Kalinousky, L. Abramson, C. Santos
- Irradiation Embrittlement Correlations
 - M. Kirk, M&CS, UMD, PEAI

Generalized Flaw Distribution Methodology and Uncertainties

- Flaw densities
- Volumes or areas
- Distributions of crack depths

- $N_s(x) = number of small flaws > x, for x \le b$
- $N_{L}(x) =$ number of large flaws > x, for x > b
- x = crack depth
- **b** = bead thickness

PF = Product Form (Weld Metal, Cladding, Plate, Ring Forgings)
WP = Weld Process (SMAW, SAW, ESW; Strip, Single & Multi Wire)
R = Repair State (Unrepaired, Repaired)

Generalized Flaw Distribution Methodology (contd.)

 $ho_{S}(PF, WP, R) =$ density of small flaws per unit volume or area $ho_{L}(PF, WP, R) =$ density of large flaws per unit volume or area V(PF, WP, R) = volume or area of material.

 $G_s(x) = ccdf$ for small flaws = Prob {crack depth > x}, where $x \le b$ $G_1(x) = ccdf$ for large flaws = Prob {crack depth > x}, where x > b.

$$N_{s}(x) = \Sigma \rho_{S}(PF, WP, R) \bullet V(PF, WP, R) \bullet G_{S}(x; PF, WP, R)$$
$$N_{L}(x) = \Sigma \rho_{L}(PF, WP, R) \bullet V(PF, WP, R)] \bullet G_{L}(x; PF, WP, R)$$

Generalized Flaw Distribution Uncertainties Submerged Arc Welding (SAW) Process



Submerged Arc Welding (SAW) Process



Submerged Metal Arc Welding (SMAW) Process



1

Submerged Metal Arc Welding (SMAW) Process



Repaired Welds (SMAW)



Repaired Welds (SMAW)



- Determined fluence maps for 3 PTS reevaluation plants using
 - cycle-by-cycle fuel loading histories
 - Beltline plant geometry data
- Estimated uncertainty in computed fluence
- Used dosimetry draft Reg. Guide 1053 and draft NUREG/CR-6115 methodology

Sources of Fluence Uncertainties

- Major sources of uncertainties
 - Reactor Vessel diameter
 - Core neutron source
 - Core inlet temperature
 - Nuclear cross-section
 - Method's errors
 - Other un-quantified
- Uncertainty in calculated fluence is about 15% (10) for each of the 3 plants analyzed
- Potential interaction between the uncertainty sources is planned for investigation

Material Chemistry Distributions

- Weld heat-specific distributions
 - Normal (for Copper, Nickel, Phosphorus)
- Weld local variability
 - Logistic for Copper and Nickel
 - Normal for Phosphorus
- Plates
 - Limited data for the heats in PTS plants
 - ✓ Chemistry values taken as Heat Estimate
- Plate local variability limited data from CE
 - Normal distribution

Embrittlement Trend Curve → Uncertainty Status ←

- Data assembled and curve fit developed (MCS / UCSB)
- Nature of uncertainties understood, framework for mathematical model developed (PEAI)
- Mathematical model developed consistent with PRA (UM)
- Need NRC inputs on
 - Treatment of surveillance data
 - Thru wall attenuation