

Information in this record was deleted in accordance with the Freedom of Information Act. Exemptions FOIA/PA 2008-018

From: James Trapp
To: Richard Barkley
Date: 10/2/2007 7:21:14 AM
Subject: Fwd: TMIA v. NRC Peach Bottom Sleeping Problems

ditto

>>> Eric Epstein <ericepstein [] 09/26/2007 10:59 AM >>>

E x G

Begin forwarded message:

> From: Eric Epstein <ericepste []>
> Date: September 24, 2007 6:05:30 PM EDT
> To: Eric Epstein <ericepstein []>
> Subject: TMIA v. NRC Peach Bottom Sleeping Problems

E x G

> September 24, 2007

> Mr. Richard Barkley
> U.S. Nuclear Regulatory Commission, Region I
> 475 Allendale Road
> King of Prussia, Pa 19406
> 610-337-5065 rsb1@nrc.gov

> Mr. Richard Barkley:

> This is in response to our phone conversation on Friday September 21, 2007. Frankly, the arrival of the AIT at Peach Bottom means very little given the past history of "inattentiveness to duty" (1) issues that persist at Peach Bottom and Three Mile Island, the incongruence between this present AIT (2) and the NRC's "secret" inspection at TMI after four "inattentiveness" to duty incidents in 2005-2006, and the NRC's sudden interest in conducting inspections months after applauding Exelon for exonerating itself at Three Mile Island. (3)

> Peach Bottom has a history of security woes and "inattentiveness to duty" dating back to the NRC's forced shut down of Peach from 1987-1989, (4) an FBI sting relating to a methamphetamine ring, (5) criminal falsification of siren testing (6), and recent falsifications of fire watches (7).

> Perhaps most intriguing to our community is that it took four sleeping incidents at Three Mile Island (which is owned by the same company and contacts to the same security vendor) before the NRC woke up and announced a bizarre strategy to probe sleeping on the job:

> The agency said it will not announce the findings of the probe. "Due to the nature of the security-related issues ... we are not providing you with further information on this matter," wrote David J. Vito, senior allegation coordinator for the NRC. (9)

> The same company that owns Peach Bottom was placed on probation last year at TMI, has had three security chiefs in five years (10) at Three Mile Island, and a memo advising TMI security personnel

E/39

- > where they could find the best sleeping spot was made public. (11)
- >
- > As if Franz Kafka conspired with Lewis Carrol to write Exelon's
- > security manual.
- >
- > Please take a moment to answer the following questions:
- >
- > 1) Why are the findings from this AIT inspection publicly
- > available but the NRC's inspection of TMI's "lapses" have
- > yet to be released?
- >
- > 2) Do you have a copy of the video in question at Peach Bottom,
- > and can you supply me with a copy? When did the NRC receive a copy
- > of the video?
- >
- > 3) Is there a culture at the Peach Bottom Atomic Power Station
- > that leads employees not to follow procedures, falsify records, and
- > sleep on the job?
- >
- > 4) Are there any connections or "lessons learned" related to
- > the subcontractor falsification of fire watches, the siren
- > falsifications that were at Peach Bottom in 2001, and the most
- > recent "inattentiveness to duty" issue?
- >
- > 5) Are there any connections or "lessons learned" related to the
- > subcontractor falsification of fire watches, the siren
- > falsifications that at Peach Bottom in 2001, and the most recent
- > "inattentiveness to duty" issue and Exelon's job cuts? (12)
- >
- > 6) How many people work at Peach Bottom? How many people work at
- > Three Mile Island?
- >
- > 7) Is there any connection to "inattentiveness to duty"
- > problems at Peach Bottom and Three Mile Island and the NRC
- > "relaxed security requirements?"
- > (13)
- >
- > 8) Does Exelon have a chronic problem hiring qualified and
- > competent contractors to perform security functions? Does the NRC
- > place contractors or subcontractors on probation or a do not hire
- > list?
- >
- > 9) How many hours of overtime can an NRC employee work in week?
- >
- > 10) How does the public know that the NRC has been "attentive"
- > in its duty to prevent the rash of sleeping incidents that have
- > occurred at Peach Bottom and Three Mile Island?
- >
- >
- > Thanks for your assistance in this most urgent matter.
- >
- > Sincerely,
- >
- >
- > Eric Epstein,
- > Chairman, Three Mile Island Alert

> (717)-541-1101
> ericepsteir EK 6
>
> Three Mile Island Alert, Inc., tmia.com, a safe-energy
> organization based in Harrisburg, Pennsylvania and founded in 1977.
> TMIA monitors Peach Bottom, Susquehanna, and Three Mile Island
> nuclear generating stations.
>
> cc:
> U. S. NRC Office of the Secretary
> U.S. NRC Office of General Counsel
> U.S. NRC Region I, c/o Samuel Collins
> Office of the Governor of the Commonwealth Of Pennsylvania, c/o Art
> Stephens
> Pennsylvania Department of Environmental Resources, c/o Dave Allard
>
>
> Associated Press
> Lancaster Intelligencer Journal
> Lancaster New Era
> Patriot News
> York Daily Record
> York Dispatch
>
>
> Date: September 23, 2007 11:55:46 AM EDT
>
>
> Eric,
>
> As a followup to our call on Friday, I am e-mailing you as promised
> to ask for the questions you have regarding the Augmented
> Inspection Team dispatched to Peach Bottom, as well as any other
> information you say you have regarding this specific subject.
>
> I know you have been concerned with security officer performance,
> principally at Peach Bottom and TMI, in the years after 9/11, and
> are interested in whether any of the instances of security officer
> inattentiveness in recent years have been linked to working hours
> and staffing levels.
>
> Please send in your questions and I will work with members of the
> AIT to see how they can best be addressed with you (given our
> limitations in conveying certain security information) The
> intention of the AIT is to review the matter thoroughly, and to
> convey the results of the inspection (to the extent possible) at a
> public meeting in the area near Peach Bottom. I am sure I will see
> you at that meeting as I will likely be tasked with facilitating
> the public Q&A session afterward.
>
> As for the difference in the level of NRC attention being focused
> to this matter, versus the separate and discreet incidents that
> occurred at TMI in 2006, I am limited in what I can say at this
> time to what is in the press release I faxed to you. However, the
> basis for conducting an AIT can be found in Management Directive

- > 8.3, NRC Incident Investigation Program, which is publically
- > available on our website.
- >
- > Hope to hear from you soon - I will get back to you as we get more
- > answers. I trust you enjoyed the Sabbath yesterday as discussed
- > as well as the Renewable Energy & Sustainable Living conference
- > this weekend that was advertised on your website.

Information in this record was deleted in accordance with the Freedom of Information Act.
Exemptions: 6
FOIAPA

- >
- >
- > Richard S. Barkley, P.E.
- > Technical Communications Assistant, NRC Region I
- > (610) 337-5065

(b)(6)
[Redacted]

Exo

September 24, 2007

Mr. Richard Barkley
U.S. Nuclear Regulatory Commission, Region I
475 Allendale Road
King of Prussia, Pa 19406
610-337-5065 rsb1@nrc.gov

Mr. Richard Barkley:

This is in response to our phone conversation on Friday September 21, 2007. Frankly, the arrival of the AIT at Peach Bottom means very little given the past history of "inattentiveness to duty" (1) issues that persist at Peach Bottom and Three Mile Island, the incongruence between this present AIT (2) and the NRC's "secret" inspection at TMI after four "inattentiveness" to duty incidents in 2005-2006, and the NRC's sudden interest in conducting inspections months after applauding Exelon for exonerating itself at Three Mile Island. (3)

Peach Bottom has a history of security woes and "inattentiveness to duty" dating back to the NRC's forced shut down of Peach from 1987-1989, (4) an FBI sting relating to a methamphetamine ring, (5) criminal falsification of siren testing (6), and recent falsifications of fire watches (7).

Perhaps most intriguing to our community is that it took four sleeping incidents at Three Mile Island (which is owned by the same company and contacts to the same security vendor) before the NRC woke up and announced a bizarre strategy to probe sleeping on the job:

The agency said it will not announce the findings of the probe. "Due to the nature of the security-related issues ... we are not providing you with further information on this matter," wrote David J. Vito, senior allegation coordinator for the NRC. (9)

The same company that owns Peach Bottom was placed on probation last year at TMI, has had three security chiefs in five years (10) at Three Mile Island, and a memo advising TMI security personnel where they could find the best sleeping spot was made public. (11)

As if Franz Kafka conspired with Lewis Carrol to write Exelon's security manual.

Please take a moment to answer the following questions:

1) Why are the findings from this AIT inspection publicly available but the NRC's inspection of TMI's "lapses" have yet to be released?

2) Do you have a copy of the video in question at Peach Bottom, and can you supply me with a copy? When did the NRC receive a copy of the video?

3) Is there a culture at the Peach Bottom Atomic Power Station that leads employees not to follow procedures, falsify records, and sleep on the job?

4) Are there any connections or "lessons learned" related to the subcontractor falsification of fire watches, the siren falsifications that were at Peach Bottom in 2001, and the most recent "inattentiveness to duty" issue?

5) Are there any connections or "lessons learned" related to the subcontractor falsification of fire watches, the siren falsifications that at Peach Bottom in 2001, and the most recent "inattentiveness to duty" issue and Exelon's job cuts? (12)

6) How many people work at Peach Bottom? How many people work at Three Mile Island?

7) Is there any connection to "inattentiveness to duty" problems at Peach Bottom and Three Mile Island and the NRC "relaxed security requirements?" (13)

8) Does Exelon have a chronic problem hiring qualified and competent contractors to perform security functions? Does the NRC place contractors or subcontractors on probation or a do not hire list?

9) How many hours of overtime can an NRC employee work in week?

10) How does the public know that the NRC has been "attentive" in its duty to prevent the rash of sleeping incidents that have occurred at Peach Bottom and Three Mile Island?

Thanks for your assistance in this most urgent matter.

Sincerely,

Eric Epstein,
Chairman, Three Mile Island Alert
(717)-541-1101
eric Epstein

156

*email
address*

Three Mile Island Alert, Inc., tmia.com, a safe-energy organization based in Harrisburg, Pennsylvania and founded in 1977. TMIA monitors Peach Bottom, Susquehanna, and Three Mile Island nuclear generating stations.

cc:
U. S. NRC Office of the Secretary
U.S. NRC Office of General Counsel
U.S. NRC Region I, c/o Samuel Collins
Office of the Governor of the Commonwealth Of Pennsylvania, c/o Art Stephens
Pennsylvania Department of Environmental Resources, c/o Dave Allard

*Associated Press
Lancaster Intelligencer Journal
Lancaster New Era
Patriot News
York Daily Record
York Dispatch*

1

What Was Security Like 20 Years Ago at Peach Bottom?

- November 17, 1988 - The NRC fined PECO \$50,000 because **security guards were found sleeping on the job, inattentive duty and improperly posted.** The NRC also noted that "a key that could have unlocked doors to a security area was issued to a unauthorized employee, couldn't be found and officials didn't do anything about it once they discovered it was missing." William T. Russell, NRC regional administrator, noted, "The improvements made to date were not effective in precluding the occurrence of the violations." (*The Patriot News*, November 17, 1988, B 2)

- August, 1988: Peach Bottom's **security contractor was replaced due to incompetence.**

- Spring 1988: **A cot for sleeping on the job** was removed from an area located near the control room, and the NRC acknowledged knowing of its presence prior to its removal.

- May 1988: A report issued by the NRC indicated "that **security personnel were forced to work excessively long hours, sometimes up to 12 hour shifts; were not given meal breaks, and were required to remain at posts for extended periods of time without being rotated to other posts, a violation of NRC regulations.**" (*York Daily Record*)

- February 1, 1986 to May 31, 1987: The SALP for this period indicated PECO's performance was "**unacceptable**" because of the operators' inattentiveness and management's "inability to identify and correct operator conduct in other areas."

Among the incidents cited by the NRC: **security guards were overworked, and one guard was found asleep on the job...**

2 **NRC plans inquiry into TMI security**
Agency will focus on reports of sleeping, fatigue, excessive hours

February 28, 2006

Garry Lenton

Patriot-News

The U.S. Nuclear Regulatory Commission plans to investigate the management of the security force at Three Mile Island, focusing on fitness-for-duty issues such as fatigue and sleeping on the job.

The probe, announced in a certified letter delivered to a Patriot-News reporter, was prompted by a story published Jan. 29.

The story reported on a memo in which John Young, head of the Wackenhut security, scolded security supervisors for failing to note that veteran officers were telling new hires safe places to sleep undetected while on duty. Wackenhut is a private security firm hired by plant owner Exelon Nuclear to guard the nuclear station.

The memo also said officers were telling new hires ways to short-cut patrol duties.

Of additional concern to the NRC were reports that security officers were being allowed to work excessive hours. The newspaper documented one person who worked more than 150 hours during a 14-day period, and averaged more than 54 hours a week for more than 10 months.

Since March 2004, AmerGen Energy, the operator of TMI, investigated and disciplined five workers for "inattentiveness to duty." The phrase is used by the industry and regulators to cover an array of conditions, including sleeping. Three of those workers were security officers.

Guards, speaking on the condition of anonymity, said fatigue from long hours and boredom were to blame for the inattentiveness.

Guards work 12-hour shifts at TMI. Federal regulations limit those hours to 16 out of 24; 26 hours out of 48; and 72 out of seven days.

The agency said it will not announce the findings of the probe. "Due to the nature of the security-related issues ... we are not providing you with further information on this matter," wrote David J. Vito, senior allegation coordinator for the NRC.

The secrecy reflects a change in policy since the Sept. 11 terrorist attacks, said Rick Urban, an allegations coordinator with the NRC.

3 Probe finds TMI guards' overtime within rules

GARRY LENTON

The Patriot-News

Thursday, July 19, 2007

Overtime hours worked by security officers at Three Mile Island for much of last year were mostly within limits imposed by federal regulators, an investigation by the U.S. Nuclear Regulatory Commission concluded.

The NRC initiated the probe in response to a story published in *The Patriot-News* in February, in which security workers expressed concerns about the effect mandatory overtime was having on their effectiveness and their families. The officers, who are employed by Wackenhut Nuclear Services, also said that a manpower shortage was disrupting training schedules and that fatigued staff were reluctant to report themselves unfit for duty for fear of losing their jobs.

Pay records obtained by the newspaper showed that one employee worked 13 hours a day, five to six days a week for six weeks.

But the NRC, relying on an internal investigation carried out by Exelon Nuclear, the parent company of plant operator AmerGen Energy, concluded that the hours worked were allowable under agency rules. Those rules allow individuals to work up to 72 hours a week. The rule also permits plant operators to average the hours worked by several employees, allowing some, but not all, to spend longer hours on the job.

The probe found two exceptions where a security officer worked more than the allowed hours. The finding was characterized as a minor violation that didn't require an enforcement action, according to the NRC's report. Investigators found two instances where training was delayed, but both sessions were rescheduled, the report said.

Investigators also found that some security officers believed they could be disciplined for reporting themselves unfit for duty. But a review of Wackenhut records for 2006 "found no instances in which an officer was disciplined for self declaring," the report said.

4 ***Peach Bottom was indefinitely shutdown;
Operators were found sleeping on the job, playing video
games, engaging in rubber band and paper ball fights, and
reading unauthorized material***

March 31, 1987

Zack Pate, President of the Institute for Nuclear Power Operations, declared that Peach Bottom "was an embarrassment to the industry and to the nation...The grossly unprofessional behavior by a wide range of shift personnel...reflects a major breakdown in the management of a nuclear facility."

The NRC proposed fining 33 reactor operators for sleeping on the job, playing video games, engaging in spit ball battles, and other unprofessional activities.

5 ***FBI Busts Speed Ring at Peach Bottom***

• May 2, 1988: Four Peach Bottom employees were charged with conspiracy to distribute methamphetamine at the plant and elsewhere. Thirteen people, most of whom work at Peach Bottom, have been charged with drug-trafficking as a result of an FBI investigation.

• February, 1988: Four PECO employees were indicted for allegedly distributing drugs at Peach Bottom. PECO maintained that the workers were not working in areas affecting safety.

• January 8, 1988: A maintenance sub-foreman pleaded guilty to involvement in a conspiracy to distribute methamphetamine. He is one of six who were indicted last year in a conspiracy to distribute methamphetamine.

• November, 1987: The FBI discovered a drug distribution ring at Peach Bottom.

6

Siren Tests Rigged

On August, 15, 2001, the NRC's Office of Investigation documented **criminal behavior** by two of Exelon's Emergency Preparedness personnel. The NRC found that the technicians fabricated siren testing maintenance records, performed deficient siren tests on the off site EP response sirens and intentionally installed jumper wires in the siren boxes disabling important system functions.

(Wayne D. Lanning, NRC, Director of Reactor Safety)

7

**Worker falsified safety records
Fire watch technician has pleaded guilty**

By SEAN ADKINS Daily Record/Sunday News January 23, 2006

• Jan 22, 2006 A contracted employee at the Peach Bottom Atomic Power Station pleaded guilty Jan. 9 to the falsification of records used to safely operate the dual-reactor nuclear power plant.

Between Jan. 17, 2005, and March 20, 2005, Tracy David, formerly of Bartlett Service Inc., failed to conduct hourly fire watch inspections in multiple sections of the plant including the emergency diesel generator room and the cable spreading room...

On 199 occasions, David claimed that she had completed her rounds of fire watch inspections while on duty at the plant, said Neil Sheehan, spokesman for the U.S. Nuclear Regulatory Commission.

• December 11, 1998: "A fire watch was found asleep in the cable spreading room by inspectors." (IR 50-277/98-10; 50-278/98-10; NOV.) (See December 18, 1993 and August 4, 1994, for related developments.)

• August 4, 1994: PECO personnel missed a fire watch. (See December 18, 1993 and January 11, 1998 for related incidents, and August 10 and September 29, 1994 for more data.)

• December 18, 1993: "Missed continuous fire watch" (50-277/94-04 and 50-278/94-04.) (See similar incidents on August 4, 1994 and December 11, 1998 and related data on Thermo-Lag, September 29, 1994 and October 1, 1996.)

**Worker falsified safety records
Fire watch technician has pleaded guilty...**

• March 4, 1987: At the turbine building at Unit 3 a major fire occurred at the maintenance cage.

• The NRC identified several precursor problems with fire protection on the following dates: April 10, May 30 and November 1, 1985. Another related problem was documented on January 19, 1990.

• April 1986 : An explosion and fire occurred at the plant's substation for emergency power.

9 **NRC plans inquiry into TMI security
Agency will focus on reports of sleeping, fatigue,
excessive hours**

February 28, 2006

Garry Lenton

Patriot-News

The U.S. Nuclear Regulatory Commission plans to investigate the management of the security force at Three Mile Island, focusing on fitness-for-duty issues such as fatigue and sleeping on the job.

The probe, announced in a certified letter delivered to a Patriot-News reporter, was prompted by a story published Jan. 29.

The story reported on a memo in which John Young, head of the Wackenhut security, scolded security supervisors for failing to note that veteran officers were telling new hires safe places to sleep undetected while on duty. Wackenhut is a private security firm hired by plant owner Exelon Nuclear to guard the nuclear station.

The memo also said officers were telling new hires ways to short-cut patrol duties.

Of additional concern to the NRC were reports that security officers were being allowed to work excessive hours. The newspaper documented one person who worked more than 150 hours during a 14-day period, and averaged more than 54 hours a week for more than 10 months.

10

Security manager replaced

December 13, 2006

Garry Lenton

Patriot-News

There have been **three security chiefs at TMI since 2004**, including one that sued Exelon. there have been also three number of site vice presidents at TMI since 2001.

The chief of security at Three Mile Island has been replaced, but plant officials are not saying why they made the change.

AmerGen Energy, the operator of the nuclear plant, confirmed yesterday that **Derwin Westbrook**, manager of security for two years, no longer holds the post. **Westbrook** left the job Dec. 6.

Company spokesman Ralph DeSantis said **Westbrook** remained an employee of AmerGen, but he would not say if the former chief was still working at TMI. DeSantis also declined to identify **Westbrook**'s new responsibilities, or say if he left the job voluntarily or was removed.

"I can't tell you anything other than that he is still employed by the company," DeSantis said.

AmerGen is a subsidiary of Chicago-based Exelon Nuclear, which owns 10 nuclear plants in the U.S., including TMI, Peach Bottom and Limerick.

Dan Deboer, TMI's operations support manager, was named as an interim replacement, DeSantis said.

Deboer was responsible for working with the security force at TMI to ensure that it worked in concert with the plant's other department, DeSantis said.

"Dan has a tremendous amount of managerial experience and is more than capable of doing that job," he said.

Deboer becomes the third person to hold the post since 2004. He will remain in the job until the company can hire a replacement, DeSantis said.

11 **NRC plans inquiry into TMI security**

Agency will focus on reports of sleeping, fatigue, excessive hours

February 28, 2006

Garry Lenton

Patriot-News

The U.S. Nuclear Regulatory Commission plans to investigate the management of the security force at Three Mile Island, focusing on fitness-for-duty issues such as fatigue and sleeping on the job.

The probe, announced in a certified letter delivered to a Patriot-News reporter, was prompted by a story published Jan. 29.

The story reported on a memo in which John Young, head of the Wackenhut security, scolded security supervisors for failing to note that **veteran officers were telling new hires safe places to sleep undetected while on duty**. Wackenhut is a private security firm hired by plant owner Exelon Nuclear to guard the nuclear station.

The memo also said officers were telling new hires ways to **short-cut patrol duties**.

12 ***Job Reductions Save Exelon Money***

- Exelon announced it would eliminate about **1,900 positions**—10% of its workforce—by 2006 as part of its restructuring. Exelon plans to cut 1,200 positions by 2004 and another 700 by 2006 (Source: Platts, *Nuclear News*, August 6, 2003).

- In a Speech to the Deutsche Bank in New York on June 22, 2004, Exelon Vice President and CFO Robert Shappard boasted that the Exelon Way “can cut **2,000 heads from our head count by the year 2006.**”

- August 31, 2002: New security budget increased to \$2.2 million annually or \$550,300 less than John W. Rowe's base salary.

- On January 29, 2002 Exelon announced it would cut **3,400 or 15%** of its work force by the end of 2002.

NRC: Relax and Comply

13 • October 27, 2003 -NRC AGREED TO RELAX TWO REQUIREMENTS IN AN APRIL ORDER ON SECURITY FORCE personnel working hours. NRC Office of Nuclear Reactor Regulation Director James Dyer Oct. 23 issued notices to all reactor licensees that the agency would allow shift turnover time to be excluded from total group work hours that must be tracked. The NRC staff had wanted accounting of all hours worked for tracking overtime, which it says could lead to worker fatigue, but now agrees with the industry that tracking the extra time does impose some additional burden. Industry officials argued the shift change time is usually not more than 15 minutes. The second relaxation allows licensees to increase the work hours during force-on-force exercises from a 48- to 60-hour per week average. Dyer said the staff understands that the simulated exercises put additional demands on the security guards but the mock attacks extend only for a short period of time (Platts, *Nuclear News*)

- October 29, 2003 -OPERATING POWER REACTOR LICENSEES MUST BE IN FULL COMPLIANCE TODAY with NRC's April 29 order imposing measures to control the work hours for security force personnel. The industry had asked for relief in two areas of the order, and the NRC staff recently approved those requests. The industry will not have to track the time it takes for guards to change shifts in the overall group work hours and will be allowed a 60-hour limit-up from the usual 48 hours per week-in scheduling guards during the week of a force-on- force exercise. Two other April orders, one on security officer training and the other on changes to the design basis threat, require full implementation by Oct. 29, 2004. A Nuclear Energy Institute official said at a conference in Arlington, Va. today that the industry plans to ask the NRC to rescind the three orders after licensees adopt the requirements in their security plans (Platts, *Nuclear News*).