



### RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) / PRIVACY ACT (PA) REQUEST

2008-018

3

RESPONSE TYPE  FINAL  PARTIAL

REQUESTER

Scott H. Amey

DATE

APR 29 2008

#### PART I. -- INFORMATION RELEASED

- No additional agency records subject to the request have been located.
- Requested records are available through another public distribution program. See Comments section.
- APPENDICES Agency records subject to the request that are identified in the listed appendices are already available for public inspection and copying at the NRC Public Document Room.
- APPENDICES **E, G, H** Agency records subject to the request that are identified in the listed appendices are being made available for public inspection and copying at the NRC Public Document Room.
- Enclosed is information on how you may obtain access to and the charges for copying records located at the NRC Public Document Room, 11555 Rockville Pike, Rockville, MD 20852-2738.
- APPENDICES **E, G, H** Agency records subject to the request are enclosed.
- Records subject to the request that contain information originated by or of interest to another Federal agency have been referred to that agency (see comments section) for a disclosure determination and direct response to you.
- We are continuing to process your request.
- See Comments.

#### PART I.A -- FEES

- AMOUNT \*  You will be billed by NRC for the amount listed.  None. Minimum fee threshold not met.
- \$  You will receive a refund for the amount listed.  Fees waived.
- \* See comments for details

#### PART I.B -- INFORMATION NOT LOCATED OR WITHHELD FROM DISCLOSURE

- No agency records subject to the request have been located.
- Certain information in the requested records is being withheld from disclosure pursuant to the exemptions described in and for the reasons stated in Part II.
- This determination may be appealed within 30 days by writing to the FOIA/PA Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Clearly state on the envelope and in the letter that it is a "FOIA/PA Appeal."

#### PART I.C COMMENTS (Use attached Comments continuation page if required)

The incoming FOIA/PA-2008-018 request is in ADAMS at ML072890150.

SIGNATURE - FREEDOM OF INFORMATION ACT AND PRIVACY ACT OFFICER

*Russell A. Nichols*  
Russell A. Nichols

**RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) / PRIVACY ACT (PA) REQUEST**

2008-018

APR 29 2008

**PART II.A -- APPLICABLE EXEMPTIONS**

APPENDICES  
**E, F, G, I**

Records subject to the request that are described in the enclosed Appendices are being withheld in their entirety or in part under the Exemption No.(s) of the PA and/or the FOIA as indicated below (5 U.S.C. 552a and/or 5 U.S.C. 552(b)).

- Exemption 1: The withheld information is properly classified pursuant to Executive Order 12958.
- Exemption 2: The withheld information relates solely to the internal personnel rules and procedures of NRC.
  - Low 2 Internal matters of a relatively trivial nature.
  - High 2 Disclosure would risk circumvention of a legal requirement.
- Exemption 3: The withheld information is specifically exempted from public disclosure by statute indicated.
  - Sections 141-145 of the Atomic Energy Act, which prohibits the disclosure of Restricted Data or Formerly Restricted Data (42 U.S.C. 2161-2165).
  - Section 147 of the Atomic Energy Act, which prohibits the disclosure of Unclassified Safeguards Information (42 U.S.C. 2167).
  - 41 U.S.C., Section 253b, subsection (m)(1), prohibits the disclosure of contractor proposals in the possession and control of an executive agency to any person under section 552 of Title 5, U.S.C. (the FOIA), except when incorporated into the contract between the agency and the submitter of the proposal.
- Exemption 4: The withheld information is a trade secret or commercial or financial information that is being withheld for the reason(s) indicated.
  - The information is considered to be confidential business (proprietary) information.
  - The information is considered to be proprietary because it concerns a licensee's or applicant's physical protection or material control and accounting program for special nuclear material pursuant to 10 CFR 2.390(d)(1).
  - The information was submitted by a foreign source and received in confidence pursuant to 10 CFR 2.390(d)(2).
  - Disclosure will harm an identifiable private or governmental interest.
- Exemption 5: The withheld information consists of interagency or intraagency records that are not available through discovery during litigation. Applicable privileges:
  - Deliberative process: Disclosure of predecisional information would tend to inhibit the open and frank exchange of ideas essential to the deliberative process. Where records are withheld in their entirety, the facts are inextricably intertwined with the predecisional information. There also are no reasonably segregable factual portions because the release of the facts would permit an indirect inquiry into the predecisional process of the agency.
  - Attorney work-product privilege. (Documents prepared by an attorney in contemplation of litigation)
  - Attorney-client privilege. (Confidential communications between an attorney and his/her client)
- Exemption 6: The withheld information is exempted from public disclosure because its disclosure would result in a clearly unwarranted invasion of personal privacy.
- Exemption 7: The withheld information consists of records compiled for law enforcement purposes and is being withheld for the reason(s) indicated.
  - (A) Disclosure could reasonably be expected to interfere with an enforcement proceeding (e.g., it would reveal the scope, direction, and focus of enforcement efforts, and thus could possibly allow recipients to take action to shield potential wrongdoing or a violation of NRC requirements from investigators).
  - (C) Disclosure would constitute an unwarranted invasion of personal privacy.
  - (D) The information consists of names of individuals and other information the disclosure of which could reasonably be expected to reveal identities of confidential sources.
  - (E) Disclosure would reveal techniques and procedures for law enforcement investigations or prosecutions, or guidelines that could reasonably be expected to risk circumvention of the law.
  - (F) Disclosure could reasonably be expected to endanger the life or physical safety of an individual.
- OTHER (Specify)

**PART II.B -- DENYING OFFICIALS**

Pursuant to 10 CFR 9.25(g), 9.25(h), and/or 9.65(b) of the U.S. Nuclear Regulatory Commission regulations, it has been determined that the information withheld is exempt from production or disclosure, and that its production or disclosure is contrary to the public interest. The person responsible for the denial are those officials identified below as denying officials and the FOIA/PA Officer for any denials that may be appealed to the Executive Director for Operations (EDO).

DENYING OFFICIAL	TITLE/OFFICE	RECORDS DENIED	APPELLATE OFFICIAL		
			EDO	SECY	IG
S. Collins	Regional Admin. RI	Appendix E, F, and I	<input checked="" type="checkbox"/>		
R.Zimmerman/E.Brener	Dir., NSIR?Director, OPA	Appendix G	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	
C. Carpenter/J. Dir	Dir. OE, Dir. NRR	Appendix G, I	<input checked="" type="checkbox"/>		

Appeal must be made in writing within 30 days of receipt of this response. Appeals should be mailed to the FOIA/Privacy Act Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, for action by the appropriate appellate official(s). You should clearly state on the envelope and letter that it is a "FOIA/PA Appeal."

**APPENDIX E  
RECORDS BEING WITHHELD IN PART**

<b><u>NO.</u></b>	<b><u>DATE</u></b>	<b><u>DESCRIPTION/(PAGE COUNT)/EXEMPTIONS</u></b>
1.	Undated	Summary of Enhanced Monitoring of Security, regarding Peach Bottom's security officers. (5 pages) / Exemptions 2 and 7A
2.	Undated	Allegation Receipt Report, regarding Allegation (6 pages) / Exemptions 6 and 7c
3.	03/27/2007	Allegation Receipt Report, regarding allegation RI-2007-A-0040 (1 page) / Exemption 7c
4.	03/28/2007	E-mail from R. Fuhrmeister of USNRC to C. Sims and M. Gray of USNRC, regarding Peach Bottom Security Allegation (1 pages) / Exemption 5, with other sections outside of the scope
5.	06/11/2007	E-mail from D. Caron of USNRC to P. Krohn and RIAlegation of USNRC, regarding RI-2007-A-0040, PB-PSB1 follow-up (1 page) / Exemption 6
6.	09/11/2007	E-mails from D. Caron of USNRC to T. Setzer of USNRC, regarding Peach Bottom Historical Allegations.doc (1 page) / Exemption 6
7.	09/11/2007	Email from D. Caron of USNRC to Trapp, Krohn, and Setzer regarding Peach Bottom Historical Allegations.doc (2 pages) Exemptions 6 and 7A.
8.	09/13/2007	E-mail from M. Brown of USNRC to F. Bower and P. Krohn of USNRC, regarding discussion of overtime (1 page) / Exemption 6
9.	09/17/2007	E-mail from F. Bower of USNRC to P. Krohn of USNRC, regarding Enhanced Security Monitoring (2 pages) / Exemptions 6 and 7A
10.	09/19/2007	E-mail from M. Brown of USNRC to P. Krohn of USNRC, regarding KYW News Van (2 pages) / Exemption 6
11.	09/20/2007	E-mail from S. Dolley of Platts.com to N. Sheehan of USNRC, regarding NRC sending AIT to Peach Bottom (1 page) / Exemption 6
12.	09/20/2007	E-mail from J. Trapp of USNRC to B. Bickett of USNRC, regarding AIT (1 page) / Exemption 6

13. 09/21/2007 E-mail from F. Bower of USNRC to P. Krohn of USNRC, regarding Enhanced Monitoring (2 pages) / Exemption 6
14. 09/21/2007 E-mail from F. Bower of USNRC to M. Brown of USNRC, regarding More Enhanced monitoring (6 pages) / Exemptions 2, 6 and 7A
15. 09/21/2007 E-mail from T. Setzer of USNRC to R1Allegations, regarding ARB (3 pages) / Exemption 7A
16. 09/21/2007 E-mail from D. Caron of USNRC to B. Bickett of USNRC, regarding Peach Bottom Badging (1 page) / Exemption 6
17. 09/22/2007 E-mail from D. Caron of USNRC to F. Bower of USNRC, regarding Peach Bottom Badging (2 pages) / Exemption 6
18. 09/22/2007 E-mail from D. Caron of USNRC to S. Craig of Exelon, regarding My badge (1 page) / Exemption 6
19. 09/22/2007 E-mail from D. Caron of USNRC to F. Bower of USNRC, regarding Peach Bottom Badging (1 page) / Exemption 6
20. 09/22/2007 E-mail from D. Caron of USNRC to F. Bower of USNRC, regarding Peach Bottom Badging (3 pages) / Exemption 6
21. 09/22/2007 E-mail from D. Caron of USNRC to B. Bickett of USNRC, regarding PB (1 page) / Exemption 6
22. 09/23/2007 E-mail from J. Trapp of USNRC, regarding PBAITScheduleAssignmentst.doc (2 pages) / Exemptions 5 and 6
23. 09/25/2007 E-mail from S. Johnson of USNRC to P. Krohn of USNRC, regarding RI-2007-A-0040 (2 pages) / Exemption 5
24. 09/26/2007 E-mail from P. Krohn of USNRC to R1Allegation, regarding 2007-0040 repanel (3 pages) / Exemptions 5 and 7C
25. 09/26/2007 Allegation review Board Disposition record, Allegation No. RI-2007-A-0040 (2 pages) / Exemption 7A and 7C
26. 09/26/2007 E-mail from P. Krohn of USNRC to R1Allegation, regarding 2007-A-0040 (1 page) / Exemption 7C
27. 09/27/2007 E-mail from F. Bower of USNRC to R. Barkley of USNRC, regarding Draft Communication Plan for Comment (1 page) / Exemption 6
28. 09/27/2007 E-mail from R. Barkley of USNRC to F. Bower, M. Gamberoni and N. Sheehan of USNRC, regarding Draft Communication Plan for Comment (2 pages) / Exemptions 5 and 6
29. 09/30/2007 E-mail from J. Trapp of USNRC to R. Barkley of USNRC, regarding See How This reads to You (1 page) / Exemption 6
30. 10/01/2007 E-mail from S. Pattison of Mde.state.md.us to m. McLaughlin of USNRC, regarding SLO Role & Peach Bottom (6 pages, with sections outside of the scope) / Exemption 6

31. 10/02/2007 E-mail from J. Trapp of USNRC to R. Barkley of USNRC, regarding TMIA v. NRC Peach Bottom Sleeping Problems (16 pages) / Exemption 6
32. 10/02/2007 E-mail from J. Trapp of USNRC to J. Hughey, J. Willis and R. Correia of USNRC, regarding List of allProposed / Scheduled Briefings for Peach Bottom AIT (2 page) / Exemption 6
33. 10/02/2007 E-mail from J. Trapp of USNRC to R. Barkley of USNRC, regarding Here is my first Crack at Answering Eric's Questions (1 page) / Exemption 6
34. 10/02/2007 E-mail from J. Trapp of USNRC to F. Bower of USNRC, regarding Draft Letter to Peach Bottom re Inattentiveness (2 pages) / Exemption 5
35. 10/03/2007 E-mail from M. McLaughlin of USNRC to D. Allard of USNRC, regarding Peach Bottom - briefing from NRC conf call briefing at 1PM on the 9<sup>th</sup> (7 pages) / Exemption 6
36. 10/03/2007 E-mail from J. Trapp of USNRC to M. McLaughlin of USNRC, regarding List of all proposed / scheduled briefings for Peach Bottom AIT (2 pages) / Exemption 6
37. 10/03/2007 E-mail from M. McLaughlin of USNRC to D. Screnci of USNRC, regarding 10/3 Updated list of all proposed / scheduled briefings for Peach bottom AIT (3 pages) / Exemptions 2 and 6
38. 10/03/2007 E-mail from J. Trapp of USNRC to J. Willis of USNRC, regarding 10/3 Updated list of all proposed / scheduled briefings for Peach bottom AIT (2 pages) / Exemption 2
39. 10/03/2007 E-mail from M. McLaughlin of USNRC to various, regarding NRC Briefing on Peach Bottom Security Inspection (4 pages, with sections outside of the scope) / Exemption 6
40. 10/03/2007 E-mail from M. McLaughlin of USNRC to D. Allard of USNRC, regarding Peach Bottom - briefing from NRC conf call briefing at 1PM on the 9<sup>th</sup> (2 pages with sections outside of the scope) Exemptions 2 and 6
41. 10/04/2007 E-mail from J. Trapp of USNRC to various, regarding Summary of MD Brief on PB AIT and updated briefing schedule (2 pages) / Exemption 6
42. 10/04/2007 E-mail from J. Trapp of USNRC to D. Caron of USNRC, regarding TMIA v. NRC Peach Bottom Sleeping Problem (4 pages) / Exemption 2 and 6
43. 10/04/2007 E-mail from J. Trapp of USNRC to M. McLaughlin of USNRC, regarding Summary of MD Brief on PB AIT and updated briefing schedule (2 pages) / Exemption 6

44. 10/05/2007 E-mail from M. McLaughlin of USNRC to D. Decker of USNRC, regarding request to Invite Sen Cardin (MD) to today's PB Brief (4 pages) / Exemption 6
45. 10/04/2007 E-mail from J. Trapp of USNRC to G. West, regarding 10/3 Updated List of Peach Bottom AIT Briefings (4 pages) / Exemption 2
46. 10/05/2007 E-mail from D. Caron of USNRC to D. Lew, J. Clifford, and M. Gamberoni of USNRC, regarding Communication Plant for PB Inattentiveness Letter Initiation (1 page) / Exemption 6
47. 10/07/2007 E-mail from J. Trapp of USNRC to D. Caron of USNRC, regarding OT, AIT reprot, acting BC (1 page) / Exemption 6
48. 10/08/2007 E-mail fro J. Trapp, regarding latest and greatest on report (1 page) / Exemption 6
49. 10/08/2007 E-mail from N. Sheehan of USNRC to various, regarding Press release: NRC to hold press briefing on 10/09 to discuss Peach Bottom security (6 pages) / Exemption 6
50. 10/08/2007 E-mail from N. Sheehan of USNRC to J. Beattie, regarding NRC press briefing (3 pages) / Exemption 6
51. 10/08/2007 E-mail from N. Sheehan of USNRC to [Ssadkins@YDR.com](mailto:Ssadkins@YDR.com), regarding NRC press briefing (3 pages) / Exemption 6
52. 10/09/2007 E-mail from D. Caron of USNRC to B. Bickett of USNRC, regarding AIT Report (1 page) / Exemption 6
53. 10/09/2007 Peach Bottom AIT Exit Schedule (5 pages) / Exemption 2
54. 10/10/2007 E-mail from D. Caron of USNRC to A. Dimitriadis of USNRC, regarding Green Ticket (1 page)
55. 10/10/2007 E-mail from F. Bower of USNRC to P. Krohn of USNRC, regarding Rick Ayers - Harford - Contact Information (3 pages, with sections outside of the scope) / Exemption 6
56. 10/10/2007 E-mail from RIAI Allegation to various, regarding Public Alleger Status (2 pages) / Exemption 7C
57. 10/11/2007 E-mail from D. Caron of USNRC to various regarding Timeline for Peach Bottom Inattentives.doc (2 pages) / Exemption 6
58. 10/11/2007 E-mail from D. Caron of USNRC to B. Bickett, SG, Cook, of USNRC, Timeline for Peach Bottom Inattentives.doc (2 pages) / Exemption 6
59. 10/11/2007 E-mail from D. Caron of USNRC to B. Bickett of USNRC, regarding AIT report (1 page) / Exemption 6
60. 10/11/2007 E-mail from D. Caron of USNRC to A. Dimitriadis, D. Roberts, M. Gamberoni of USNRC, regarding Chairman response to POGO.doc (1 page) / Exemption 6

61. 10/12/2007 E-mail from M. McLaughlin, regarding Confirmation to NRC attendees for 10/16 PA Senate Committee Hearing (2 pages) / Exemption 6
62. 10/12/2007 E-mail from R. Barkley of USNRC to E. Epstein of USNRC, regarding And blame stops where? (2 pages) / Exemption 6
63. 10/12/2007 E-mail from M. McLaughlin of USNRC to D. Sullivan of USNRC, regarding EDO Daily on PA Senate Hearing (3 pages) / Exemption 6
64. 10/12/2007 E-mail from F. Cleaver to M. McLaughlin of USNRC, regarding Confirmation of NRC attendees for 10/16 PAM Senate Committee Hearing (3 pages, with sections outside of the scope) / Exemption 6
65. 10/14/2007 E-mail from D. Caron of USNRC to R. Summers of USNRC, regarding Peach Bottom CAL meeting, 1100 Monday DRS Conf. Rm. (1 page) / Exemption 6
66. 10/15/2007 E-mail from D. Caron of USNRC to D. Furst, F. Peduzzi and R. Correia of USNRC, regarding PB Call # (1 page) / Exemption 6

**APPENDIX F**  
**RECORDS ARE BEING WITHHELD IN THEIR ENTIRETY**

<b><u>NO.</u></b>	<b><u>DATE</u></b>	<b><u>DESCRIPTION/(PAGE COUNT)/EXEMPTIONS</u></b>
1.	Undated	AIT Deviations Memo Basis (1 page) / Exemption 5
2.	Undated	Q & As Regarding Peach Bottom Inattentive Officer Issues (2 pages) / Exemption 5
3.	Undated	Talking Points for Peach Bottom Claims of Inattentive Security Officer by WCBS News (NY Affiliate) 3 pages) / Exemption 5
4.	Undated	Revised DRAFT - Sunday, September 23, 2007 Talking Points for Exelon when addressing Peach Bottom Wackenhut Security Officers (1 page) / Exemptions 4 and 5
5.	Undated	AR 00676699, Facility Peach Bottom, AP Type: CR (4 pages) / Exemption 4
6.	Undated	Handwritten notes regarding Peach Bottom (5 pages) / Exemption 5
7.	Undated	Statement for Peach Bottom Press Briefing on October 9 with handwritten notes (2 pages) / Exemption 5
8.	Undated	Peach Bottom Sleeping Security Officers recommendation for AIT with handwritten notes (2 pages) / Exemption 5
9.	Undated	Memorandum from S. Collins of USNRC to L. Reyes of USNRC regarding Request for Deviation to the Action Matrix to Provide Heightened NRC Oversight of Specific Issues at Peach Bottom Atomic Power Station (3 pages) Ex. 5
10.	Undated	DRAFT letter from S. Collins of USNRC to C. Crane of Exelon Nuclear, regarding Confirmatory Action Letter (4 pages) / Exemption 5
11.	Undated	DRAFT letter from S. Collins of USNRC to C. Crane of Exelon Nuclear, regarding Confirmatory Action Letter (4 pages) / Exemption 5
12.	Undated	Draft document regarding special Augmented Inspection Team at the Peach Bottom (1 page) / Exemption 5
13.	Undated	Draft letter from S. Collins of USNRC to C. Crane of Exelon Nuclear, regarding Inattentiveness within the Security Organization at Peach Bottom Atomic Power Station (3 pages) / Exemption 5
14.	Undated	Draft letter from S. Collins of USNRC to C. Crane of Exelon Nuclear, regarding Inattentiveness within the Security Organization at Peach Bottom Atomic Power Station (4 pages) / Exemption 5

15. Undated Draft letter from S. Collins of USNRC to C. Crane of Exelon Nuclear, regarding Inattentiveness within the Security Organization at Peach Bottom Atomic Power Station (5 pages) / Exemption 5
16. Undated Exelon Teleconference Call peach Bottom AIT Public Exit Meeting (1 page) / Exemptions 2 and 5
17. Undated USNRC AIT Conclusions with handwritten notes (1 page) / Exemption 5
18. Undated USNRC AIT Results, Probable Causes (1 page) / Exemption 5
19. Undated Augmented Inspection Team (AIT) Charter Peach Bottom Unites 2 and 3 Security Events (1 page) / Exemption 5
20. Undated NOV #1 Peach Bottom inattentive Issues (2 pages) / Exemption 5
21. Undated USNRC AIT Results with handwritten notes (1 page) / Exemption 5
22. Undated Communication plan Peach Bottom Augmented Inspection team with handwritten notes (7 pages) / Exemption 5
23. Undated Handwritten notes regarding Peach Bottom Issues (Potential) (1 page) / Exemption 5
24. Undated USNRC 2007 peach Bottom Augmented Inspection Team (AIT) PA State Brief (16 pages) / Exemption 5
25. Undated Facility Organization - Peach Bottom (4 pages) / Exemption 4
26. Undated Draft letter from D. Klein of USNRC to D. Brian of Project on Government Oversight, regarding letter dated September 28, 2007 with handwritten notes (3 pages) / Exemption 5
27. Undated Draft letter from D. Klein of USNRC to D. Brian of Project on Government Oversight, regarding letter dated September 28, 2007 with handwritten notes (2 pages) / Exemption 5
28. Undated Draft letter from D. Klein of USNRC to D. Brian of Project on Government Oversight, regarding letter dated September 28, 2007 with handwritten notes (3 pages) / Exemption 5
29. Undated Draft Root Cause Charter - Security Inattentiveness Allegation (1 page) / Exemption 5
30. Undated Draft Security Attentiveness - Exelon Preliminary Time line (4 pages) / Exemption 5
31. Undated Wachenhut - Exelon Fleet Monthly Station Performance Report ( 16 pages) / Exemption 4
32. Undated Draft document regarding Peach Bottom event beginning 9/10/07 (1 page) / Exemption 5
33. Undated Brief Summary of discussion with Exelon regarding recording of

- Security Officer(s) potentially being inattentive with on duty (2 pages) / Exemption 5
34. Undated Security Advisory for Power Reactors, Category I Fuel Cycle Facilities, Independent Spent Fuel Storage Installations, Conversion Facilities, and Gaseous Diffusion Plants, Subject: Security Officers Inattentive to Duty (3 pages) / Exemption 5
  35. Undated Draft letter from S. Collins of USNRC to C. Crane of Exelon Nuclear, regarding Work Environment within the Security Organization at the Peach Bottom Facility (4 pages) / Exemption 5
  36. Undated Exelon SY-AA-101-126 Duties and Responsibilities for the Station Security Organization (10 pages) / Exemption 4
  37. Undated Exelon Nuclear Watch - Standing Practices with handwritten notes (14 pages) / Exemption 4
  38. Undated Exelon Nuclear Supervisor Post Checks and Post Orders (19 pages) / Exemption 4
  39. Undated Exelon Nuclear Supervisor Post Checks (7 pages) / Exemption 4
  40. Undated Exelon Nuclear Security Responsibilities for Station Personnel (13 pages) / Exemption 4
  41. Undated Exelon Nuclear Security responsibilities for Station Personnel (22 pages) / Exemption 4
  42. Undated Peach Bottom AIT Draft Schedule Rev 1 (1 page) / Exemption 5
  43. Undated Draft Root Cause Charter - Security Inattentiveness Allegation, IR Number 673505 (7 pages) / Exemption 5
  44. Undated Peach bottom Sleeping Security Officers recommendation for AIT with handwritten notes (1 page) / Exemption 5
  45. Undated Augmented Inspection Team (AIT) Charter Peach Bottom Units 2 and 3 Security Events with handwritten notes (1 page) / Exemption 5
  46. Undated Brief Summary of discussion with Exelon regarding recording of Security Officer(s) potentially being inattentive with on duty (2 pages) / Exemption 5
  47. Undated Augmented Inspection Team (AIT) Charter Peach Bottom Units 2 and 3 Security Events with handwritten notes (2 pages) / Exemption 5
  48. Undated Brief Summary of discussion with Exelon regarding recording of Security Officer(s) potentially being inattentive with on duty (2 pages) / Exemption 5
  49. Undated Peach Bottom Sleeping Security Officers Recommendation for AIT (2 pages) / Exemption 5
  50. Undated Draft Root Cause Charter - Security Inattentiveness Allegation (1

- page) Exemption 5
51. Undated Peach Bottom Plan El. 116'-0" (2 pages) / Exemption 2
  52. Undated Peach Bottom Security Issue Team, September 2007 (2 pages) / Exemptions 4 and 6
  53. Undated Exelon Peach Bottom Atomic Power Station, Organization Charts, September 30, 2007 Draft (21 pages) / Exemption 4
  54. 01/22/2007 E-mail from P. Driehaus to various, regarding NER NC-06-010-R2-Y Revision 2 Yellow (1 page) / Exemption 4
  55. 02/20/2007 Memorandum from S. Collins of USNRC to D. Caron of USNRC, regarding Augmented Inspection Team Charter (5 pages) / Exemption 5
  56. 09/11/2007 E-mail from P. Krohn of USNRC to D. Pelton and R. Laufer of USNRC, regarding Background on Peach Bottom Inattentive Security Officer (5 pages) / Exemption 5
  57. 09/13/2007 E-mail from D. Caron of USNRC to M. Dapas and S. Collins of USNRC, regarding Peach Bottom Inattentiveness Policy (8 pages) / Exemptions 4, 5, and 6
  58. 09/18/2007 Updated with Exelon Corporate regarding Responses to questions asked in the 10:30am teleconference (2 pages) Ex. 5
  59. 09/18/2007 Peach Bottom potential inattentive issue - Discussion on Tuesday, September 18, 2007 (5 pages) / Exemption 5
  60. 09/19/2007 E-mail from P Krohn of USNRC to various, regarding Draft Proposal for AIT at Peach Bottom (3 pages) / Exemption 5
  61. 09/20/2007 Memorandum from M. Gamberoni of USNR to J. Trapp of USNRC, regarding Augmented Inspection Team Charter (3 pages) Exemption 5
  62. 09/20/2007 Memorandum from M. Gamberoni of USNR to J. Trapp of USNRC, regarding Augmented Inspection Team Charter (3 pages) Exemption 5
  63. 09/20/2007 Memorandum from M. Gamberoni of USNR to J. Trapp of USNRC, regarding Augmented Inspection Team Charter (3 pages) Exemptions 5 and 6
  64. 09/20/2007 TA Brief Peach Bottom AIT (2 pages) / Exemption 5
  65. 09/20/2007 TA Brief Peach Bottom AIT (4 pages) / Exemption 5
  66. 09/20/2007 Peach Bottom Inattentive Guard Comm Plan Update (1 page) / Exemption 5
  67. 09/20/2007 Peach Bottom Zone Alarm log (25 pages) / Exemption 4
  68. 09/20/2007 Peach Bottom Zone Alarm log (10 pages) / Exemption 4
  69. 09/20/2007 Peach Bottom Zone Alarm History by Clear Code (21 pages) /

Exemption 4

70. 09/20/2007 E-mail from J. Trapp of USNRC to F. Peduzzi, R. Costello and R. Correia of USNRC, regarding Draft Proposal at AIT at Peach Bottom (1 page) / Exemption 5
71. 09/21/2007 NRC Action Items, regarding PBAPS (1 page) / Exemption 5
72. 09/20/2007 Communication Plan - Peach Bottom Inattentive Security Guards (5 pages) / Exemption 5
73. 09/21/2007 E-mail from D. Sullivan of USNRC to various, regarding Augmented Inspection Team Chapter (8 pages) / Exemption 5
74. 09/21/2007 E-mail from P. Krohn of USNRC to F. Bower, J. Trapp and M. Gamberoni of USNRC, regarding Update on regional Support of PB AIT (1 page) / Exemption 5
75. 09/21/2007 E-mail from M. Brown of USNRC to F. Bower of USNRC, regarding Supervisor's Meeting (1 page) / Exemption 5
76. 09/21/2007 Peach Bottom Atomic Power Station, Nuclear Security Section, Operations Personnel Roster - File #20 with handwritten notes(1 page) / Exemptions 4 and 5
77. 09/21/2007 Attachment 1 Station Security Observation Form (24 pages) / Exemption 4
78. 09/21/2007 Memorandum from J. Trapp of USNRC to M. Gamberoni of USNRC, regarding Augmented Inspection Team Responsibilities (3 pages) / Exemption 5
79. 09/21/2007 Peach Bottom Atomic Power Station, Nuclear Security Section, Operations Personnel Roster - File #20 with handwritten notes (1 page) / Exemptions 4 and 5
80. 09/20/2007 E-mail from S. Iyer of USNRC to J. Trapp of USNRC, regarding AIT - Report Number Assigned (1 page) / Exemption 5
81. 09/21/2007 E-mail from D. Caron of USNRC to E. Wilson, J. Teator and M. Mullen of USNRC, regarding PB 09-2007 AIT Charter rev 2.doc (4 pages) / Exemptions 5 and 6
82. 09/22/2007 E-mail from D. Caron of USNRC to J. Trapp of USNRC, regarding AIT members Responsibilities rev1.wpd (7 pages) / Exemptions 5 and 6
83. 09/23/2007 E-mail from D. Caron of USNRC to J. Teator of USNRC, regarding Draft violations (1 page) / Exemption 5, 6 and 7A
84. 09/24/2007 E-mail from D. Evan to J. Mallon, regarding Fleet Actions - Peach Bottom with handwritten notes (3 pages) / Exemption 5
85. 09/24/2007 E-mail from P. Driehaus to various, regarding NER NC-07-034 Red - Inattentive Security Officer Investigation Fleet Actions (2 pages) Exemption 4

86. 10/17/2007 E-mail forrard in response to FOIA  
09/24/2007 E-mail from M. Brown of USNRC, regarding Exelon Provided Notice to Wackenhut to Terminate Contract at Peach Bottom (2 pages) / Exemption 2, 4 and 6
87. 09/24/2007 Peach Bottom Alpha Days "Working at Heights" (1 page) / Exemption 4
88. 09/24/2007 Peach Bottom Security AIT Open and Closed (5 pages) / Exemption 5
89. 09/25/2007 Attachment 1 Station Security Observation Form with handwritten notes (1 page) / Exemption 4
90. 09/25/2007 Open NRC Items (2 pages) / Exemption 5
91. 09/25/2007 Peach Bottom Security AIT Open and Closed (9 pages) / Exemption 5
92. 09/25/2007 Open NRC Items (2 pages) / Exemption 5
93. 09/25/2007 E-mail from D. Caron of USNRC to D. Furst of USNRC, regarding Security Advisory for Inattentive Officers (Draft) (1 page) / Exemptions 2 and 6
94. 09/26/2007 E-mail from P. Krohn of USNRC to J. Trapp and M. Gamberoni of USNRC, regarding Recommendation for sequence of events for PB AIT Exit (3 pages) / Exemption 5
95. 09/27/2007 E-mail from R. Barkley of USNRC to various, regarding Draft Communication Plan for Comment (5 pages) / Exemptions 5 and 6
96. 09/27/2007 Zone Alarm History (13 pages) / Exemption 4
97. 09/27/2007 Zone Alarm History (13 pages)/ Exemption 4
98. 09/27/2007 Peach Bottom Security AIT All NRC items (10 pages) / Exemption 5
99. 09/27/2007 Peach Bottom Security AIT All NRC items (9 pages) / Exemption 5
100. 09/27/2007 Peach Bottom AIT Team Findings with handwritten notes (3 pages) / Exemption 5
101. 09/27/2007 E-mail from J. Trapp of USNRC to M. Gamberoni of USNRC, regarding Peach Bottom AIT Team Findings (5 pages) / Exemption 5
102. 09/27/2007 Peach Bottom AIT, status of objectives with handwritten notes (2 pages) / Exemption 5
103. 09/27/2007 Peach Bottom Security AIT All NRC Items (15 pages) / Exemption 5

104. 09/28/2007 Peach Bottom AIT Team Findings (3 pages) / Exemption 5
105. 09/28/2007 Peach Bottom AIT Team Findings with handwritten notes (3 pages) / Exemption 5
106. 09/28/2007 Peach Bottom AIT Team Findings with handwritten notes (3 pages) / Exemption 5
107. 09/28/2007 Peach Bottom AIT Tem Update (3 pages) / Exemption 5
108. 09/28/2007 Communications Plan, Peach Bottom Augmented Inspection Team (7 pages) / Exemption 5
109. 09/28/2007 Peach Bottom AIT Enforcement Decision Plan (1 page) / Exemptions 5
110. 09/28/2007 E-mail from R. Barkley of USNRC to D. Screnci, L. Quinones and N. Sheehan of USNRC, regarding Peach Bottom AIT Communications Plan (8 pages) Exemptions 5 and 6
111. 09/29/2007 E-mail from D. Lew of USNRC to J. Clifford and P. Krohn of USNRC, regarding Input for the PB discussion on Monday (4 pages) / Exemption 5
112. 09/29/2007 DRP Director Thoughts on PB enforcement Strategy (3 pages) / Exemption 5
113. 09/30/2007 AR 00677749 Report, Facility Peach Bottom, AR Type: CR (15 pages) / Exemption 4
114. 09/30/2007 E-mail from F. Bower of USNRC to P. Krohn of USNRC, regarding Security Ready Room Attentiveness Aids (5 pages) / Exemptions 4 and 5
115. 09/30/2007 Revised Version of the Communication Plan Peach Bottom Augmented Inspection Team (7 pages) / Exemption 5
116. 10/01/2007 E-mail from J. Trapp of USNRC to various, regarding Summary of Peach Bottom AIT Meeting Summary (1 page) / Exemption 5
117. 10/01/2007 E-mail from F. Bower of USNRC to D. Caron and G. Smith of USNRC, regarding Random Comm Checks (7 pages) / Exemptions 4 and 5
118. 10/01/2007 Peach Bottom AIT Discussion of Steps Forward with handwritten notes (1 page) / Exemption 5
119. 10/01/2007 E-mail from J. Trapp of USNRC to various, regarding Peach Bottom AIT Enforcement Decision Plan (2 pages) Peach Bottom AIT Discussion of Steps Forward (1 page) / Exemption 5
120. 10/01/2007 E-mail from B. Bickett of USNRC to various regarding First shot at Slides & rough draft (34 pages) / Exemption 5
121. 10/02/2007 E-mail from D. Caron of USNRC to B. Bickett of USNRC,

- regarding PB LICENSE (1 page) / Exemptions 5 and 6
122. 10/02/2007 E-mail from D. Holody of USNRC to L. Jarriel of USNRC, regarding Review of Allegation File RI-2007-040 (1 page) / Exemption 5
123. 10/02/2007 E-mail from D. Caron of USNRC to R1Allegation regarding PB Draft Violations (3 pages) / Exemptions 5 and 6
124. 10/02/2007 E-mail from D. Caron of USNRC to G. Smith of USNRC, regarding PBAITinattentivesDV.doc (3 pages) / Exemptions 5 and 6
125. 10/02/2007 E-mail from J. Trapp of USNRC to various, regarding Meeting Schedule Wednesday @ 10 AM (1 page) / Exemption 5
126. 10/02/2007 E-mail from D. Caron of USNRC to B. Bickett, G. Smith and J. Trapp of USNRC, regarding AIT slides Rev 1 (1 page) / Exemptions 5 and 6
127. 10/02/2007 E-mail from J. Trapp of USNRC to various, regarding Draft Letter to Peach Bottom re Inattentiveness (29 pages) / Exemption 5
128. 10/02/2007 E-mail from J. McFadden of USNRC to S. Collins of USNRC, regarding Timeline and Statistics (3 pages) / Exemption 5
129. 10/03/2007 E-mail from V. McCree of Wackenhut to various, regarding Current Environment and Request (2 pages) / Exemption 4
130. 10/03/2007 E-mail from P. Krohn of USNRC to various, regarding Peach Bottom Letter Comments (5 pages) Exemption 5
131. 10/03/2007 E-mail from M. McLaughlin of USNRC to various, regarding Summary of MD Brief on PB AIT and updated briefing schedule (2 pages) / Exemption 5
132. 10/04/2007 E-mail from J. Mallon of Exelon Corp to D. Caron of USNRC, regarding CRs part 1 (24 pages) / Exemption 4
133. 10/04/2007 E-mail from J. Mallon of Exelon Corp to D. Caron of USNRC, regarding CRs part 2 (22 pages) / Exemption 4
134. 10/04/2007 E-mail from R. Barkley of USNRC to D. Caron and J. Trapp of USNRC, regarding Answers to Eric Epstein's Questions (5 pages) / Exemptions 5 and 6
135. 10/04/2007 E-mail from D. Caron of USNRC to B. Bickett of USNRC, regarding Actions for allegation (1 page) / Exemption 5
136. 10/04/2007 E-mail from A. DeFrancisco of USNRC to D. Starkey, regarding PB revised letter (4 pages) / Exemption 5
137. 10/03/2007 Search of Ar Subject and Initial OLE (2 pages) / Exemption 4
138. 10/05/2007 E-mail from B. Bickett of USNRC to D. Caron, J. Trapp and M.

- Gamberoni of USNRC, regarding Sam Meeting (1 page) / Exemption 5
139. 10/05/2007 E-mail from B. Bickett of USNRC to D. Caron and J. Trapp of USNRC, regarding Latest and greatest on report (20 pages) / Exemption 5
140. 10/17/2007 Forward for FOIA  
10/05/2007 E-mail from M. Brown of USNRC, regarding Parking Spots for Meeting on Tuesday (2 pages) / Exemptions 2 and 6
141. 10/10/2007 E-mail from D. Caron of USNRC to P. Krohn of USNRC, regarding AIT follow up Inspection (1 page) / Exemptions 5 and 6
142. 10/10/2007 E-mail from P. Krohn of USNRC to D. Lew and R. Powell of USNRC, regarding Request for Comments on the Proposed Quarterly report to Congress Input (1 page) / Exemption 5
143. 10/11/2007 Timeline for Peach Bottom Inattentive, Rev 10/11/07 (1 page) / Exemption 5
144. 10/10/2007 E-mail from A. Dimitriadis to D. Roberts and M Gamberoni of USNRC, regarding Chairman response to POGO.doc (4 pages) / Exemption 5
145. 11/11/2007 Peach Bottom AIT Discussion of Steps Forward with handwritten notes (1 page) / Exemption 5
146. 10/11/2007 E-mail from D. Lew of USNRC to various, regarding Meeting to Discuss/Update Enforcement Plans for Peach Bottom (3 pages) / Exemption 5
147. 10/11/2007 E-mail from B. Bickett of USNRC to D. Caron, G. Matakas and M. Gamberoni of USNRC, regarding Exact Copy used on PB AIT exit meeting (24 pages) / Exemption 5
148. 10/11/2007 E-mail from B. Bickett of USNRC to G. Matakas of USNRC, regarding AIT exit meeting slides (24 pages) / Exemption 5
149. 10/11/2007 E-mail from R. Summers of USNRC to various, regarding Agenda for 1 pm Discussion of PB (2 pages) / Exemption 5
150. 10/11/2007 E-mail from D. Caron of USNRC to R. Summers of USNRC, regarding Agenda for Peach Bottom AIT Meeting - Today at 1330 (2 pages) / Exemptions 5 and 6
151. 10/11/2007 E-mail from D. Caron of USNRC to M. Gamberoni and R. Barkley of USNRC, regarding Chairman response to POGO\_2.doc (4 pages) / Exemptions 5 and 6
152. 10/12/2007 E-mail from D. Caron of USNRC to B. Bickett and W. Cook of USNRC, regarding PB AIT CALRev1.doc (6 pages) / Exemptions 5 and 6
153. 10/12/2007 E-mail from D. Caron of USNRC to various, regarding PB AIT

- CALRev2.doc (5 pages) / Exemptions 5 and 6
154. 10/12/2007 E-mail from D. Caron of USNRC to D. Roberts of USNRC, regarding PB AIT CALReb2.doc (1 page) / Exemptions 5 and 6
155. 10/12/2007 E-mail from R. Summers of USNRC to D. Caron of USNRC, regarding suggested changed on CAL (5 pages) / Exemption 5
156. 10/12/2007 E-mail from R. Summers of USNRC to M. Dapas and S. Collins of USNRC, regarding Discussion with Ccarpenter re Peach Bottom (3 pages) / Exemption 5
157. 10/12/2007 E-mail from B. Summers of USNRC to D. Caron of USNRC, regarding suggested changed on CAL (5 pages) / Exemption 5
158. 10/13/2007 E-mail from D. Lew of USNRC to various, regarding Discussions with C re Peach Bottom (1 page) / Exemption 5
159. 10/14/2007 E-mail from D. Caron of USNRC to various, regarding PB CAL, Rev. 4 (5 pages) / Exemptions 5 and 6
160. 10/14/2007 E-mail from D. Caron of USNRC to E. Wilson of USNRC, regarding Status of PB security officers - Action Item from Friday's meeting (PB) (1 page) / Exemptions 5 and 6
161. 10/14/2007 E-mail from D. Caron of USNRC to J. Teator of USNRC, regarding PB Interviews (1 page) / Exemption 5
162. 10/15/2007 E-mail from C. Carpenter of USNRC to various, regarding Discussion with C Carpenter re Peach Bottom (5 pages) / Exemption 5
163. 10/15/2007 E-mail from D. Caron of USNRC to D. Roberts and M. Gamberoni of USNRC, regarding CALRev7, latest revision with comments from meeting (5 pages) / Exemptions 5 and 6
164. 10/15/2007 E-mail from D. Caron of USNRC to D. Roberts and M. Gamberoni of USNRC, regarding Peach Bottom CAL. Final draft (5 pages) / Exemptions 5 and 6
165. 10/15/2007 E-mail from K. Farrar of USNRC to D. Caron of USNRC, regarding Peach Bottom CAL, final draft (1 page) / Exemption 5
166. 10/15/2007 E-mail from D. Caron of USNRC to M. Gotch of USNRC, regarding Peach Bottom AIT Enforcement Decision Plan (2 pages) / Exemptions 5 and 6
167. 10/15/2007 E-mail from MD. Caron of USNRC to W. Cook of USNRC, regarding AIT Report, Rev 6 (28 pages) / Exemptions 5 and 6
168. 10/15/2007 E-mail from D. Caron of USNRC to D. Holody and R. Summers of USNRC, regarding PB AIT CALRev6.doc, first draft with changes from meeting (14 pages) / Exemptions 5 and 6

**Re: FOIA/PA-2008-018**

- 169. 10/15/2007 E-mail from D. Caron of USNRC to K. Farrar of USNRC, regarding PB 09-2007 AIT Charter rev 2.doc (4 pages) / Exemptions 5 and 6
- 170. 10/15/2007 E-mail from R. Summers of USNRC to S. Sparks of USNRC, regarding Peach Bottom CAL, final draft (7 pages) / Exemptions 5 and 6
- 171. 10/15/2007 Peach Bottom AIT Discussion of Steps Forward (1 page) / Exemption 5

**APPENDIX G  
RECORDS RELEASED IN PART**

No.	Date	<u>DESCRIPTION (PAGE COUNT)/EXEMPTIONS</u>
1.	09/20/2007	E-mail E. Hayden of USNRC, regarding news releases (1 page) (portions out of scope)
2.	09/25/2007	E-mail from J. Trapp of USNRC to R. Correia of USNRC, regarding proposed generic communication (2 pages) Exemption 7A
3.	10/03/2007	E-mail from J. Trapp of USNRC to B. Bickett, regarding TA Briefing on Peach Bottom AIT (2 pages) Exemption 6
4.	10/04/2007	E-mail from J. Trapp of USNRC to R. Correia of USNRC, regarding Summary of MD Brief on PB AIT and updated briefing schedule (2 pages) Exemption 6
5.	10/4/2007	E-mail from J. Trapp of USNRC to B. Bickett of USNRC, regarding TA Briefing on Peach Bottom AIT (2 pages) Exemption 6
6.	10/10/2007	E-mail from D. Caron of USNRC to L. Jarriel of USNRC, regarding Allegation (1 page) Exemptions 5 and 6
7.	10/10/2007	E-mail from D. Caron of USNRC to W. Cook of USNRC, regarding Allegation (1 page) Exemption 5
8.	10/10/2007	E-mail from R. Correia of USNRC to M. Gamberoni of USNRC, regarding latest version of our rebuttal to USA Today (2 pages) Exemption 5

**APPENDIX H  
RECORDS BEING RELEASED IN THEIR ENTIRETY**

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/(PAGE COUNT)/EXEMPTIONS</u>
1.	09/20/2007	E-mail from J. Trapp of USNRC to F. Peduzzi of USNRC, regarding Peach Bottom - Sleeping Security Officer (1 page)
2.	09/20/2007	E-mail from J. Trapp of USNRC to R. Albert of USNRC, regarding Peach Bottom - Sleeping Security Officer (1 page)
3.	09/20/2007	E-mail from J. Trapp of USNRC to D. Caron and G. Smith of USNRC, regarding Peach Bottom - Sleeping Security Officer (1 page)
4.	09/20/2007	E-mail from D. Dorman of USNRC to va4rious, regarding Security attentiveness (1 page)
5.	10/2/2007	Email from James Trapp to R1 Allegation regarding POGO contact on Peach Bottom
6.	10/4/2007	E-mail from A. DeFrancisco of USNRC to E. Hackley of USNRC, regarding Peach Bottom (2 pages)
7.	10/10/2007	E-mail from R. Taylor of USNRC to R. Barkley of USNRC, regarding Peach Bottom One-Pager Update (3 pages)

**APPENDIX I  
RECORDS WITHHELD IN THEIR ENTIRETY**

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION (PAGE COUNT)</u>
1.	06/04/2007	Email from Paul Krohn to D. Caron regarding PSBI tech review (1 page) Exemptions 5 and 6
2.	09/20/2007	Email from James Trapp to <a href="mailto:JML2@nrc.gov">JML2@nrc.gov</a> regarding Security Question, Exemption 5 (3 pages)
3.	09/24/2007	E-mail from H. Chernoff of USNRC to various, regarding Peach Bottom Q&As (3 pages) Exemption 5