



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555-0001

OFFICE OF THE  
INSPECTOR GENERAL

May 28, 2008

MEMORANDUM TO: R. William Borchardt  
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: SYSTEM  
EVALUATION OF SECURITY CONTROLS FOR  
STANDALONE PERSONAL COMPUTERS AND LAPTOPS  
(OIG-05-A-18)

REFERENCE: DIRECTOR, COMPUTER SECURITY OFFICE,  
MEMORANDUM DATED APRIL 28, 2008

Attached is the Office of the Inspector General's analysis and status of recommendations 1, 2, 3, 4, 5, and 6 as discussed in the agency's response dated April 28, 2008. From this response, the recommendations remain resolved. Recommendations 7 and 8 were previously closed. Please provide an updated status of the resolved recommendations by July 28, 2008.

If you have any questions or concerns, please call me at 415-5915 or Beth Serepca at 415-5911.

Attachment: As stated

cc: V. Ordaz, OEDO  
J. Arildsen, OEDO  
P. Shea, OEDO

**Audit Report**  
**SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE**  
**PERSONAL COMPUTERS AND LAPTOPS**  
**OIG-05-A-18**

**Status of Recommendations**

Recommendation 1: Provide users guidance for implementing security controls on standalone PCs and laptops.

Response Dated  
April 28, 2008: CSO has drafted guidance for implementing security controls on standalone PCs and laptops and expects to issue the new guidance by June 30, 2008.

OIG Response: The proposed actions address the intent of the recommendation. This recommendation will be closed when OIG reviews a copy of the guidance.

**Status:** Resolved.

**Audit Report**  
**SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE**  
**PERSONAL COMPUTERS AND LAPTOPS**  
**OIG-05-A-18**

**Status of Recommendations**

Recommendation 2:            Develop and require users to sign a rules of behavior agreement accepting responsibility for implementing security controls on standalone PC's and laptops.

Response Dated  
April 28, 2008:                Standard rules of behavior have been developed and are currently in review. Upon completion of the review, the rules will be implemented across NRC and all NRC system users will be required to sign the rules annually. We expect to make the rules available by June 30, 2008.

OIG Response:                The proposed actions address the intent of the recommendation. This recommendation will be closed when OIG receives notice that the rules of behavior have been distributed.

**Status:**                      Resolved.

**Audit Report**  
**SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE**  
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**Status of Recommendations**

Recommendation 3:            Develop and implement procedures for verifying all required security controls are implemented on standalone PCs and laptops.

Response Dated  
April 28, 2008:                CSO will develop procedures for verifying security controls for standalone PCs and laptops by June 30, 2008.

OIG Response:                The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG reviews the procedures and confirms that they have been implemented.

**Status:**                      Resolved.

**Audit Report**  
**SYSTEM EVALUATION OF SECURITY CONTROLS FOR STANDALONE**  
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**OIG-05-A-18**

**Status of Recommendations**

Recommendation 4: Provide users guidance on compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops.

Response Dated  
April 28, 2008: The standard rules of behavior include statements regarding compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops. Once the rules of behavior are distributed and signed, this recommendation should be closed. The agency expects to complete this action by June 30, 2008.

OIG Response: The proposed actions address the intent of the recommendation. This recommendation will be closed when OIG receives notification that the rules have been distributed and signed.

**Status:** Resolved.

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**Status of Recommendations**

Recommendation 5:            Develop and require users to sign a rules of behavior agreement acknowledging their compliance with Executive Order 13103, Computer Security Piracy, for standalone PCs and laptops.

Response Dated  
April 28, 2008:            The standard rules of behavior include statements regarding compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops. Once the rules of behavior are distributed and signed, this recommendation should be closed.

OIG Response:            The proposed corrective actions address the intent of this recommendation. Once the rules of behavior are distributed and signed, this recommendation should be closed.

**Status:**                    Resolved

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**Status of Recommendations**

Recommendation 6: Develop and implement procedures for monitoring compliance with Executive Order 13103, Computer Security Piracy, for standalone PCs and laptops.

Response Dated  
April 28, 2008: Each office and region is responsible for ensuring all office/region staff and contractors that use NRC systems read and sign the standard rules of behavior, including only using licensed software. Procedures for monitoring compliance with Executive Order 13103, Computer Software Privacy, will be developed by June 30, 2008.

OIG Response: The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG receives and evaluates the procedures for monitoring compliance with Executive Order 13103, Computer Software Piracy, for standalone PCs and laptops.

**Status:** Resolved.