

**Project Plan for NEI 06-02, Revision 1  
License Amendment Request (LAR) Guidelines**

1. Objectives

- (1) Guidance to licensees
- (2) Companion to NRR Office Instructions LIC-101 and LIC-xxx (acceptance review)
- (3) Cross-Reference to other NRC and NEI Guidelines
- (4) Identify NRC and NEI Management Sponsors (TBD/Darin Benyak)
- (5) Identify NRC and NEI Points of Contact (Marlayna Vaaler/Mike Schoppman)

2. Scope

- (1) License Amendment Requests (10 CFR 50.90-50.92)
- (2) Including exigent/emergency amendments

3. Deliverable

- (1) NEI-06-02 Rev 1

4. Resources

- (1) LAR Team telecons (as needed)
- (2) LAR Team meetings (as needed)
- (3) LATF Steering Group meetings (quarterly)
- (4) NRC/NEI LATF meetings (quarterly)
- (5) Industry peer review
- (6) Industry workshop
- (7) NEI Licensing Forum (one 1½ hour session)
- (8) NRC review and comment on Topic Modules\*
- (9) NRC review and endorsement of NEI 06-02, Revision 1

5. Schedule

- |   |             |
|---|-------------|
| (1) NRC/LATF Steering Group Meeting (status report) | 05/01/08    |
| (2) LAR Team Meeting at NEI                         | 05/20-21/08 |
| (3) Distribute schedule for Topic Modules           | 06/01/08    |
| (4) LAR Team Meeting at NEI                         | TBD         |
| (5) LAR Team Meeting with NRC                       | TBD         |
| (6) NEI LATF Steering Group Meeting                 | 07/30/08    |
| (7) NRC/NEI Steering Group Meeting                  | 07/31/08    |
| (8) NEI Licensing Forum                             | 10/06-07/08 |
| (9) NEI LATF Steering Group Meeting                 | 10/29/08    |
| (10) NRC/NEI LATF Steering Group Meeting            | 10/30/08    |

\*

- |  |   |
|--|---|
| 1. Exigent/Emergency Tech Specs          | 6. Request for Additional Information (RAI) process |
| 2. First-of-a-Kind (FOAK)                | 7. <b>Use of precedent</b>                          |
| 3. Tech Spec Task Force interface        | 8. <b>NRC acceptance review process</b>             |
| 4. <b>Terms &amp; Definitions</b>        | 9. Consistency with NRR Office Instructions         |
| 5. Consistency with other NEI Guidelines | 10. Treatment of Tech Spec BASES                    |

### **Project Plan for Regulatory Issue Screening Process**

1. Objectives

- (1) Propose a process for screening plant-specific issues (inspection findings and licensing action issues) to identify potential generic licensing issues
- (2) Propose alternatives for resolution of RISP issues
- (3) Improve licensing efficiency

2. Scope

- (1) Plant-specific Inspection findings and licensing actions

3. Deliverables

- (1) Regulatory Issue Screening Process (RISP) White Paper
- (2) Including examples

4. Resources

- (1) RISP Team telecons (as needed)
- (2) RISP Team meetings (as needed)
- (3) LATF Steering Group meetings (quarterly)
- (4) Industry peer review & comment
- (5) NRC review & comment
- (6) Licensing Forum (one 1½-hour session)

5. Schedule

- |      |  |             |
|------|--|-------------|
| (1)  | RISP Team Meeting                                  | 06/10/08    |
| (2)  | DRAFT 8 outline for LATF SG                        | 07/16/08    |
| (3)  | NEI LATF Steering Group Meeting                    | 07/30/08    |
| (4)  | NRC/NEI Steering Group Meeting                     | 07/31/08    |
| (5)  | Distribute Draft 8 for peer review (including NRC) | Aug 08      |
| (6)  | Peer review comments on draft Rev 8 due to NEI     | Sep 08      |
| (7)  | Prepare DRAFT 9 for use at Licensing Forum         | 09/25/08    |
| (8)  | NEI Licensing Forum                                | 10/06-07/08 |
| (9)  | NEI LATF Steering Group Meeting                    | 10/29/08    |
| (10) | NRC/NEI LATF Steering Group Meeting                | 10/30/08    |
| (11) | Publish White Paper                                | TBD         |

### **RISP TORMIS Pilot**

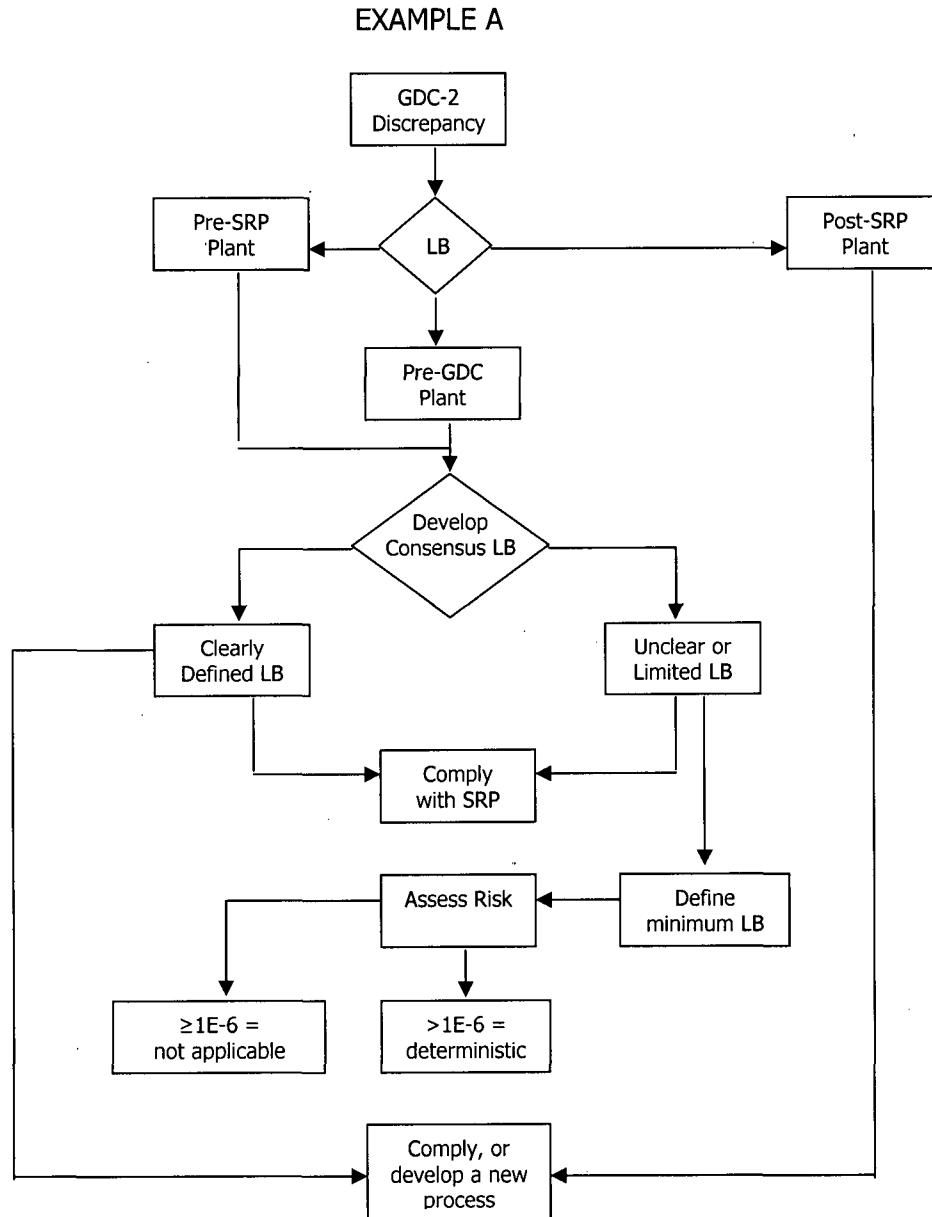
1. Outline of generic process for resolving licensing-basis discrepancies
  - A. Identify the plant design vintage
    - (1) pre-GDC
    - (2) pre-SRP
    - (3) post-SRP
  - B. Initiate a joint NRC/Licensee effort to:
    - (1) Develop a consensus on what constitute the licensing basis (Tech Specs, internal NRC memos, licensee files, UFSAR, docketed precedent, NRC safety evaluations, licensee safety analyses, etc.)
    - (2) Develop a consensus on a success path to resolve the discrepancy, considering the following attributes:
      - (a) cost-benefit
      - (b) relationship to plant safety
      - (c) risk (PRA) implications
      - (d) on-the-record documentation from NRC and licensee
    - (3) Identify the various response options
      - (a) plant modification(s)
      - (b) reanalysis
      - (c) reconstitute DB/LB
  
2. Follow-up Actions
  - A. White Paper on pilot effort
  - B. Prepare a letter response to the RIS
    - (1) Describe the near-term need for detailed acceptance criteria for use of TORMIS to resolve tornado-missile licensing-basis discrepancies
    - (2) Outline long-term plans for a White Paper to outline a standardized "discrepancy resolution process"
    - (3) Develop a standard process that could be used for training
      - (a) repeatable review results
      - (b) differentiate the process based on plant vintage (pre-GDC, pre-SRP, post-SRP)
      - (c) facilitate a joint NRC/licensee process for dispositioning non-safety issues going forward (i.e., how to establish a new licensing basis)
      - (d) documented process acceptable to NRC and industry
  - C. Prepare a Process Map (see examples below)
    - (1) Risk aspects
    - (2) Deterministic aspects

3. Schedule

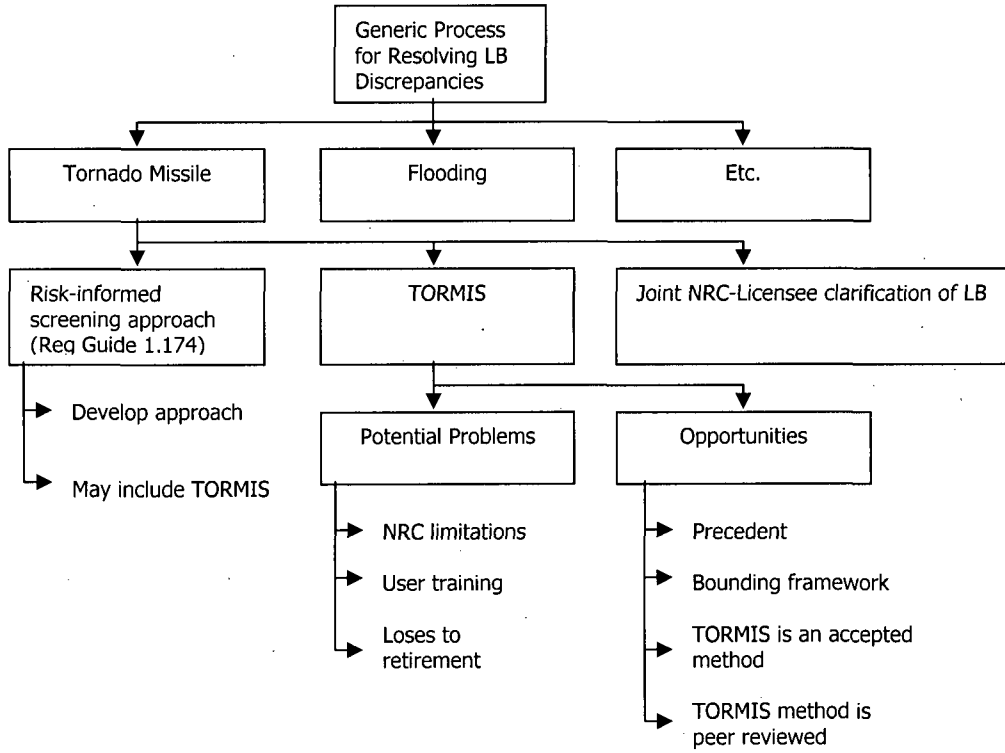
- (1) NRC publish TORMIS RIS
- (2) NEI comments on final RIS
- (3) Outline of process map
- (4) Draft White Paper rev 3

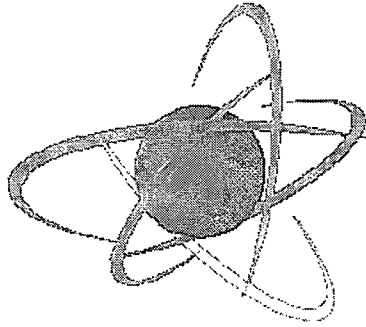
Pending  
NRC publication + 3 weeks  
05/16/08  
06/16/08

4. Example Process Maps for Resolving LB Discrepancies



EXAMPLE B





U.S. NRC

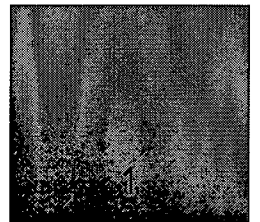
UNITED STATES NUCLEAR REGULATORY COMMISSION

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# Noticing of SUNSI/SGI Information

Lois M. James

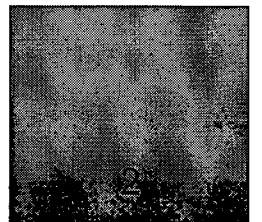
May 1, 2008





# Overview

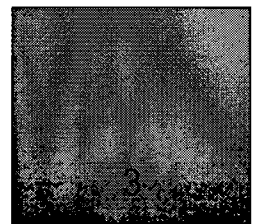
- Why new noticing policy
- What new noticing policy
- Impact on Licensees





## Why New Noticing Policy

- SRM-SECY-07-0215 – Commission approved final procedures for addressing how potential parties may request access to documents containing SUNSI/SGI
- To comply with SRM, NRR updated one of its office instructions



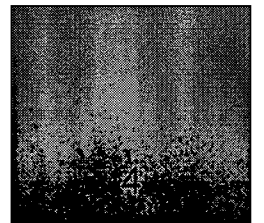




# Pre-Application for Major License Application

## Pre-Application Notice

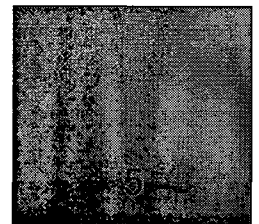
- If the application is likely to contain SGI
  - If likely SGI, early notification is needed
  - If not likely SGI, no early notification is needed





# Post-Application for All License Application

- Post-Application
  - If the application is contains SGI or SUNSI
    - Access Procedure Order applies
    - Monthly FRN notice – includes Access Procedure Orders
  - If application does not contain SGI or SUNSI
    - Bi-Weekly FRN notice



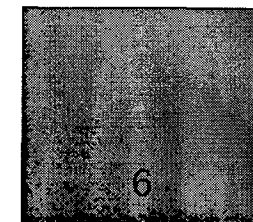


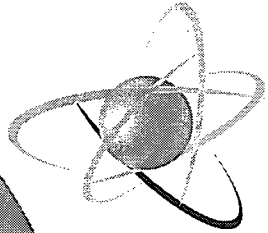
## Impact on Licensees

- 1) If access is request,
- 2) If NRC determines standing, AND
- 3) If NRC determines need to know

Then

- NRC sends letter to those who could be harmed by release of SUNSI/SGI
- Challenges to the determination of need to know can be submitted





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## Licensing Action Task Force Meeting

# NRR Licensing Action Trends

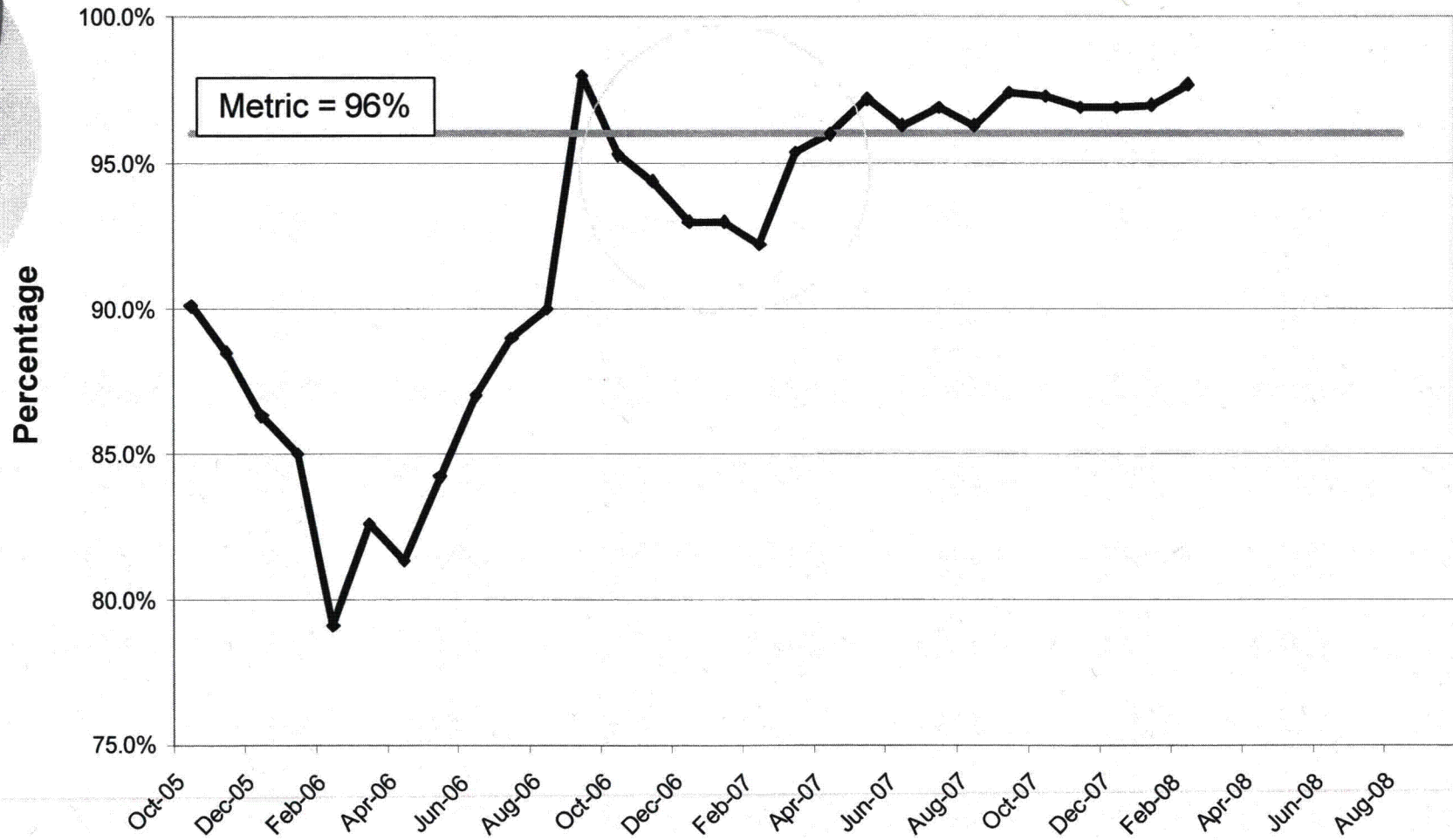
Catherine Haney

Division of Operating Reactor Licensing

May 1, 2008

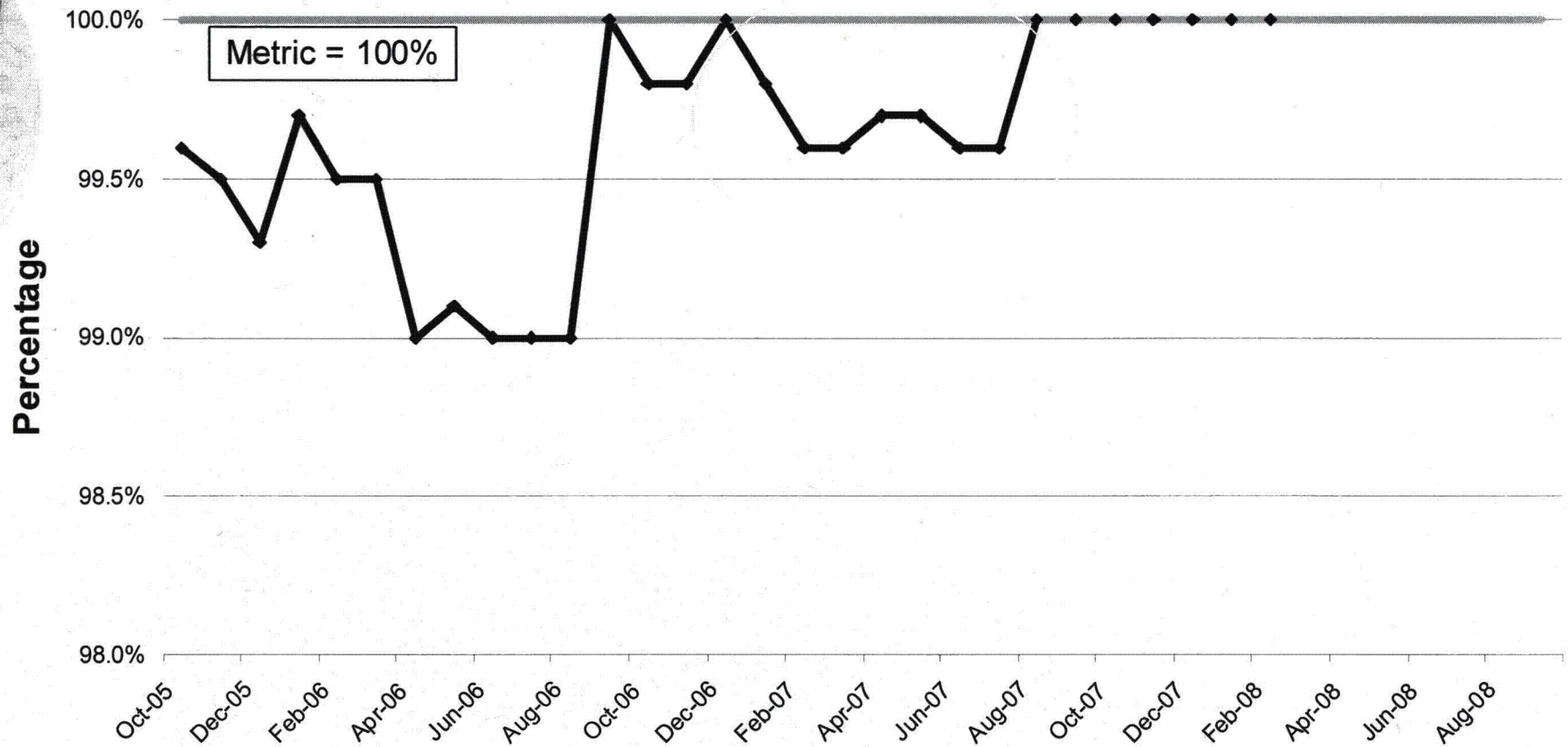
# Licensing Action Timeliness

Percentage of Open Items Less than 1 year

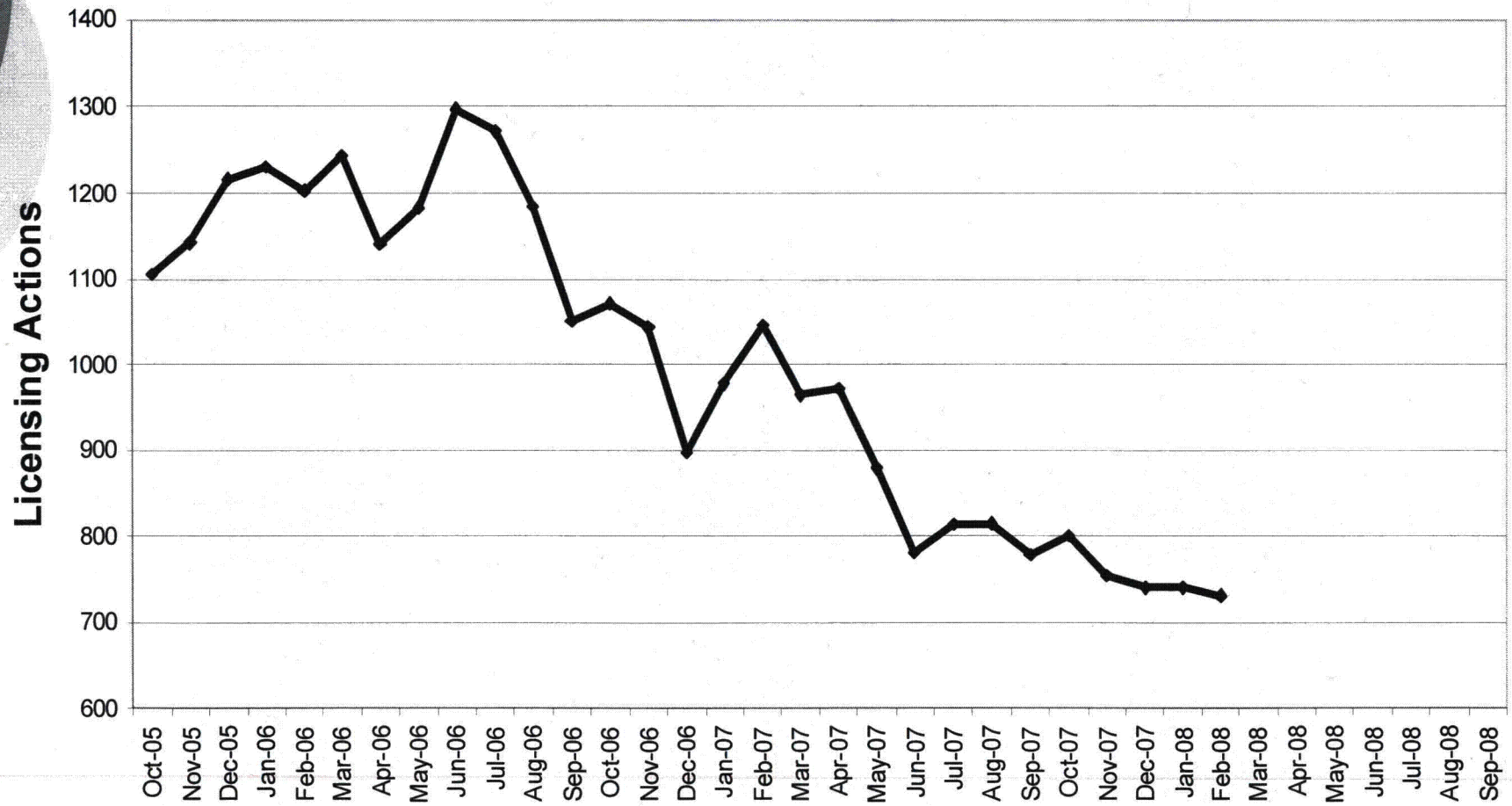


# Licensing Action Timeliness

Percentage of Open Items Less than 2 years



# Licensing Action Inventory





# Incoming Actions

