· · · ·			ATORY COMMI			
1. GRANT/AGREEMENT NO.	NOTICE 12. MODIFICATION N		SSISTANCE AW		<u>_</u>	
NRC-02-06-014						
5. TYPE OF AWARD	6. ORGANIZATION TYPE			7. RECIPIENT NAME AND ADDRESS		
			University Attn: Chi	University of New Mexico Attn: Christine Pacheco OVPRED – Division of Sponsored Projects Services 1700 Lomas Blvd, NE, Suite 2200		
	HIGHER ED. DUNS	\$ 868853094	OVPRED - 1 1700 Lomas			
				7, 1 University of New Mex ue NM 871310001	:ico	
8. PROJECT TITLE: "SENSITIVITY STUDY OF THE OR	RNL SENSITIVITY D	ATABASE"	Albuqueiq			
9. PROJECT WILL BE CONDUCTED	10. TECHNICAL REF	PORTS ARE REQU	IRED 11. PRINC	PAL INVESTIGATOR(S) NAME A	ND ADDRESS	
PER GOVERNMENT'S/RECIPIENT'S	X PROGRESS AN	ID FINAL		Dr. Robert Busch University of New Mexico		
PROPOSAL(S) DATED 09/06/2006				y of New Mexico & Nuclear Engineering	lear Engineering	
AND APPENDIX A-PROJECT GRÁNT PROVISIONS	209 Fa			arris Engineering Bldg., MSC01-1120 Berque, NM 87131		
12: NRC PROGRAM OFFICE (NAME & ADD	'	JNTING & APPRO		14. METHOD OF PAYMENT		
Nuclear Material Safety & Safegua Attn: Brenda DuBose/C. Hrabel				ADVANCE BY TREASURY	CHECK	
11545 Rockville Pike'	1	B&R NO: 650153 JOB CODE: J5577 BOC NO: 252A		REIMBURSEMENT BY TREASURY CHECK		
Mail Stop T-8-A23 Rockville, MD 20852	1					
	OFFICE ID		113	X OTHER (SPECIFY)		
15. NBC OBLIGATION FUNDS				(See Attach. 30 in Appendix A - '	'Electronic Payment")	
15. NRC OBLIGATION FUNDS	\$0.00	TOTAL FUN	DING AGREEMENT			
		NRC <u>\$11</u>	.1,177.00	 This action provides funds for in the amount of N/A 	Fiscal Year N/A	
PREVIOUS OBLIGATION\$111,177.00 RECIPIENT			00	_		
TOTAL			1,177.00	_		
17. NRC ISSUING OFFICE (NAME AND ADI	DRESS)				· · · · · · · · · · · · · · · · · · ·	
U.S. Nuclear Regulatory Comm Division of Contracts Attn: Jaye Seay, 301-415-669 Mail Stop T-7-I-2 Washington, DC 20555 18. RECIPIENT	16	2-21-08	19. NRC CONTR	ACTING OFFICER	FEB 2 8 2000	
Gr (Signature) (1172both Motz		(Date)	(Signatur		(Date)	
NAME (TYPED) LIIZADE LII MELZYEI NAME (TYPEI				Contracting Officer	······································	
TITLE <u>Chief Finance and Trea</u> sury Officer TI			TITLE			
TELEPHONE NO. <u>505-277-3389</u> TELEPHONE NO. <u>(301) 415-6201</u>						
20. PAYMENT INFORMATION Payment will be made within thirty (30) days objectives, award conditions, Federal reportir		-			program	
Payment will be made by:		Voucher	s should be submitte	d in an original and three (3) copie	s on form 270 to:	
U.S. Nuclear Regulatory Comm Division of Contracts Mail Stop T-7-I-2 Attn: NRC-02-06-014 Washington, DC 20555	nission	·	U.S. Nuclear Re Division of Con Mail Stop T-7-I Washington, DC	-2 .		
21. Attached is a copy of the "NRC General F Nonprofit Organizations". Acceptance of the				-	ind Other	
22. ORDER OF PRECEDENCE						
In the event of a conflict between the recipier						
23. By this award, the Recipient certifies that	payment of any audit-	related debt will not	reduce the level of p	performance of any Federal Progra	ເ <u>ຫຼ</u>	
TEMPLATE - ADMOOI	SUA	ISI REVIE	W COMPL	ETE	anunn?	

Sunsi Meview Completes Mar 10 2008



NRC-02-06-014 Modification No. 1 Page 2

The purpose of this modification is to submit to the University of New Mexico a certification statement for signature and return. This certification statement stipulates that the University will not release the TSUNAMI database that will be provided to its organization in connection with the current work scope. The certification statement is attached to this modification.

CERTIFICATION STATEMENT BETWEEN THE U.S. NUCLEAR REGULATORY COMMISSION, THE U.S. DEPARTMENT OF ENERGY/OAK RIDGE NATIONAL LABORATORY AND UNIVERSITY OF NEW MEXICO

- 1. In the course of the University of New Mexico (UNM) performing work for the US Nuclear Regulatory Commission (NRC) under Cooperative Agreement No. NRC-02-06-014, entitled, "Sensitivity Study of the Oak Ridge National Laboratory (ORNL) Database" which expires on February 29, 2008 or anytime thereafter, the UNM hereby agrees not to use, discuss, disclose, release, reproduce or otherwise provide or make available the TSUNAMI sensitivity profiles and the Oak Ridge National Laboratory Sensitivity Database DVD (hereinafter referred to as the "DATA"), for other than NRC purposes, without obtaining prior written approval the NRC Technical Project Manager under Cooperative Agreement No. NRC-02-06-014 and the cognizant Department of Energy (DOE) Contracting Officer from ORNL, as a condition to receiving access to the data. Specifically, UNM agrees not to use, discuss, disclose, release, reproduce or otherwise provide or make available the DATA to any other government or non government employee, person, or organization. Further, UNM agrees to adhere to all safeguards established for the DATA as used in the performance of work under Cooperative Agreement No. NRC-02-06-014.
- 2. UNM hereby agrees that the DATA shall not be released regardless of the medium, (e.g. electronic or paper), in the format the data currently exists. Exemptions to confidentiality provisions:
 - i. information which is or becomes publicly known through no fault of UNM; ii. information learned from a third party entitled to disclose it;
 - iii. information already known to or developed by UNM, as shown by UNM's prior written records;
 - iv. information reasonably necessary to protect UNM's interests in a lawsuit, alternative dispute resolution process, or government investigation;
 - v. information reasonably necessary to process insurance claims;
 - vi. to the extent required by law.
- 3. In order to effectuate research and to avoid any obstacles to continuation of project performed under Cooperative Agreement No. NRC-02-06-014, nothing contained in this Certification Statement shall or is intended to apply to any communications, including use, discussions, disclosures, releases, reproduction or otherwise provision or availability, of DATA between UNM and Oak Ridge National Laboratory.
- 4. UNM agrees that these obligations are binding upon its organization.
- 5. UNM understands that any use, disclosure, release or reproduction of the DATA in violation of this Certification Statement is unauthorized and may result in criminal, civil and/or administrative penalties and disciplinary actions. Further, UNM understands that nothing in this agreement changes, alters or, otherwise, is intended to replace the requirements of Cooperative Agreement No. NRC-02-06-014 with the NRC, any applicable laws, regulations and/or other NRC or DOE directives. UNM freely and willingly signs this document, fully understanding its content.

5. Should UNM have any questions concerning the guidance contained herein, those questions shall be presented, in writing, to the NRC Contracting Officer, Eleni Jernell et (301) 415-6201 or EXJ1@NRC.GOV.

AUTHORIZED REPRESENTATIVE FOR THE UNIVERSITY OF NEW MEXICO:

PRINTED NAME: Elizabeth Metzger

POSITION/TITLE: Chief Finance and Treasury Officer

SIGNATURE:

PRINTED NAME:

DATE: 2-2-07

AUTHORIZED REPRESENTATIVE FOR THE U.S. NUCLEAR REGULATORY COMMISSION:

POSITION/TITLE: 140 28/09 SIGNATURE: DATE:

AUTHORIZED REPRESENTATIVE FOR THE DEPARTMENT OF ENERGY: PRINTED NAME: <u>Stephen M. Bowman</u> POSITION/TITLE: <u>SCALE Project Manager</u> SIGNATURE: <u>Stephen M. Bowman</u> DATE: 2/28/08