

U.S. NUCLEAR REGULATORY COMMISSION

DIRECTIVE TRANSMITTAL

TN: DT-99-29

To: NRC Management Directives Custodians and
ALL EMPLOYEES

Subject: Transmittal of Management Directive 3.4, "Release of
Information to the Public"

Purpose: Directive and Handbook 3.4 have been revised to reflect the
distinction between information routinely distributed to the
Agreement States and other more sensitive information
distributed to the Agreement States under OSP responsibilities
and Section M of Exhibit 1. Exhibit 2 has been added to provide a
list of NRC guidance and policy documents related to sensitive
information.

**Office and
Division of Origin:** Office of the Chief Information Officer

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Directive: 3.4 "Release of Information to the Public"

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OFFICE OF ADMINISTRATION

Release of Information to the Public

Directive 3.4

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U. S. Nuclear Regulatory Commission

Volume: 3 Information Management
Part: 1 Publications, Mail, and Information
Disclosure

CIO

Release of Information to the Public Directive 3.4

Policy

(3.4-01)

The U.S. Nuclear Regulatory Commission makes as much information as possible available to the public relating to its health and safety mission, in accordance with its legal responsibilities to protect some types of information. It is the intent of the NRC to automatically make information publicly available that is anticipated to be of interest to the public without anyone needing to file a request under the Freedom of Information Act (FOIA). (011)

This directive does not govern public disclosure of information required by or requested under FOIA; the Privacy, Government in the Sunshine, and Federal Advisory Committee Acts; or NRC management directives that govern the release of other types of documents and information. However, to determine whether or not to release certain documents or information, the FOIA exemptions and case law should be used as a guide. (012)

Objectives

(3.4-02)

- To provide the NRC staff with general policy guidance on the public release of agency information, including draft and predecisional documents and information. (021)
- To ensure that documents will not be provided to one licensee or a member of the public unless they can be made available, generally through the NRC Public Document Room (PDR), to all persons, except under the conditions described in Part II(A) and (B) of Handbook 3.4. (022)

Objectives

(3.4-02) (continued)

- To ensure that staff documents are developed and issued without improper influence, real or perceived, by special interest groups, or by applicants, licensees, permittees, or their subcontractors or agents (hereafter referred to as “licensees”). (023)
- To ensure that sufficient flexibility is provided to office directors and regional administrators so that they and their staffs will not hesitate to disseminate appropriate safety and safeguards information to licensees before distribution of final documents. (024)
- To provide guidance to the NRC staff about the types of documents that should be placed into the nuclear documents system (NUDOCS) and to specify the file classifications of these documents so that designated document types and other documents, as appropriate, may be forwarded to the PDR and to the local public document rooms (LPDRs). (025)

Organizational Responsibilities and Delegations of Authority

(3.4-03)

Chairman

(031)

May request the Inspector General to conduct certain reviews, the results of which generally are released to the public at the Chairman’s discretion.

Commission

(032)

Approves the release of any documents created by, communicated to, or received from the Commissioners and their staffs.

Executive Director for Operations (EDO)

(033)

- Approves the release of any draft inspection report or enforcement document to individuals, licensees, or their agents, or to any organization or person outside the NRC. (a)
- Approves the release of senior management meeting information. (b)

Chief Information Officer (CIO)
(034)

- Provides policy guidance to other offices on the public release of information. (a)
- Develops NRC policy relating to public release of information. (b)
- Ensures that public records are available at agency LPDRs. (c)
- Develops policies and procedures for placing documents into NUDOCS and provides oversight of its operations. (d)
- Develops policies and procedures for providing access by members of the public to NRC's publicly available records in the NRC headquarters PDR. (e)
- Ensures that public records are available in the PDR. (f)

General Counsel (GC)
(035)

Provides assistance for determining whether a document should be released from the agency and how to handle such releases.

Inspector General (IG)
(036)

- Approves the release of any IG investigative records to individuals, licensees, or their agents, or to any organization or person outside the NRC. (a)
- Approves the release of redacted copies of IG investigative records to be placed in the PDR. (b)
- Ensures all draft audit reports are stamped "restricted access" to be placed in the vault area of Central Files. (c)

Director, Office of Enforcement (OE)
(037)

- Approves release of enforcement information for purposes of settlement and reaching agreements as well as other predecisional enforcement information. (a)

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Director, Office of Enforcement (OE)
(037) (continued)

- Approves release of the Office of Investigation (OI) reports to licensees or the general public in advance of enforcement action after consultation with the Director, OI, and the Department of Justice, as warranted. (b)

Director, Office of Investigations (OI)
(038)

- Approves the release of any OI investigative records to individuals, licensees, or their agents, or to any organization or person outside the NRC. (a)
- Approves the release of redacted copies of OI investigative records to be placed in the PDR. (b)

Director, Office of State Programs (OSP)
(039)

Approves, in coordination with the Office of the General Counsel, and as appropriate, the EDO, IG, Directors OE or OI, or the Agency Allegation Advisor, the release to Agreement States of any predecisional documents that are not publicly available.

**Office Directors and
Regional Administrators**
(0310)

- Implement the policy and procedures in this directive and its handbook for the public release of information. (a)
- Provide additional guidance or procedures, specific to the requirements of their offices or regions as necessary. (b)
- Protect draft and predecisional information from inadvertent release. (c)
- Grant permission for the release of draft or predecisional information, as appropriate, and maintain a written record of the release except information generated during the development of an inspection or enforcement document. (d)

**Office Directors and
Regional Administrators**
(0310) (continued)

- Ensure the prompt and appropriate dissemination of draft safety and safeguards information to licensees when required to resolve a significant safety or safeguards issue, or an emergency. These responsibilities may be redelegated to the deputy office director or the deputy regional administrator. (e)
- Ensure that employees who assign codes used for the regulatory information distribution system (RIDS) are adequately trained in the use of these codes, as specified in Management Directive 3.50, "Document Management." (f)
- Take corrective action in the event any information for which they are responsible is released contrary to NRC policy and inform the EDO in writing of this action. (g)
- Refer matters involving the unauthorized release of documents to the Office of the Inspector General. (h)

Inspectors
(0311)

- Where approved by regional management, may, in preparing for exit interviews or enforcement meetings, provide a listing of significant issues developed in the course of an inspection to the licensee in order to facilitate the communication of inspection findings that require corrective actions. (a)
- **Note:** Inspection notes, draft reports, draft evaluations, draft notices of violations or noncompliance, and other material containing preliminary inspection conclusions, findings, and recommendations are not to be provided to the licensee, except as required by safety or security concerns. (b)
- Attach a copy of the information provided to the licensee to the inspection report for the record. (c)

**Agency Allegation Advisor, Assistant
Agency Allegation Advisor, and Allegation
Coordinators**
(0312)

Approve the release of allegation information to individuals, licensees or their agents, or to an organization or person outside the NRC.

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NRC Employees
(0313)

All NRC employees and consultants must, as applicable—

- Protect all draft and predecisional documents, including draft inspection and enforcement documents, from inadvertent release. (a)
- Ensure that RIDS codes are properly applied in accordance with the guidance in this directive and handbook and that documents intended for release to the PDR are properly marked and submitted to the Document Control Desk for entry into NUDOCS and forwarding to the PDR. (b)
- Obtain permission from the appropriate office director or regional administrator when questions arise concerning releasability of information before the information is released. (c)
- Contact the regional or program office allegation coordinator or the Agency Allegation Advisor, before release of documents or submittal to NUDOCS, if it appears the documents contain allegations. (d)
- Report unauthorized disclosure of agency information. This report may be transmitted through the supervisor to the office director or regional administrator, who shall refer the allegation to the Office of the Inspector General (OIG), or it may be sent directly to the OIG. (e)
- Contact the Office of the General Counsel for guidance before authorizing submittal of copyrighted material to the PDR. (f)
- Contact the Director, OI, for guidance before authorizing release of any OI investigative materials or reports. (g)

Applicability
(3.4-04)

This directive and its handbook apply to all NRC employees, including contractors and consultants.

Handbook
(3.4-05)

Handbook 3.4 explains NRC policy governing the public availability of information in its possession.

References

(3.4-06)

Atomic Energy Act of 1954, as amended, Sections 141 to 148
(42 U.S.C. 2161–2168).

Code of Federal Regulations—

10 CFR 2.790, “Public Inspections, Exemptions, Requests for
Withholding.”

10 CFR Part 9, “Public Records.”

Executive Order 12958, “Classified National Security
Information,” April 17, 1995 (effective October 14, 1995).

Federal Advisory Committee Act (5 U.S.C. App. I).

Freedom of Information Act (5 U.S.C. 552).

Government in the Sunshine Act (5 U.S.C. 552b).

Inspector General Act (5 U.S.C. App. 3).

NRC Management Directive—

3.1, “Freedom of Information Act.”

3.2, “Privacy Act.”

3.50, “Document Management.”

7.4, “Reporting Suspected Wrongdoing and Processing OIG
Referrals.”

8.8, “Management of Allegations.”

8.14, “Senior Management Meeting.”

12.2, “NRC Classified Information Security Program.”

12.6, “NRC Sensitive Unclassified Information Security
Program.”

NUREG/BR-0052, Rev. 1, “Public Document Room File
Classification System” (August 1986).

Privacy Act of 1974, as amended (5 U.S.C. 552a).

Release of Information to the Public

Handbook 3.4

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Part I Introduction

This handbook elaborates on policy in the directive governing the public availability of information in NRC's possession. The NRC staff routinely will make available to the public the types of information listed in Exhibit 1 to this handbook. (A)

During the course of their duties, NRC employees deal with many forms of sensitive information that either should not be released to members of the public or should not be released prematurely. This information includes more than just information that is categorized as "Restricted Data" or "National Security Information" and that carries formal classifications such as "Top Secret," "Secret," or "Confidential," as defined in the Glossary to Volume 12, "Security," of the Management Directive System. It also includes information such as, but not limited to, draft and final investigative reports, draft audit reports, draft inspection reports, communications with other government agencies, as well as adjudicatory information, enforcement information, procurement-sensitive information, allegation information, proprietary information (including information received under agreements for the exchange of nuclear reactor safety information with foreign countries via international organizations or commercial organizations), and predecisional information involving the agency's internal deliberative processes. While documents containing such information may eventually be approved for release by the appropriate authority, some of this information may never be approved for release outside the NRC. (B)

Premature or unauthorized release of this information can cause numerous problems. Such a release could jeopardize NRC agency actions and actions of other Federal agencies such as the Department of Justice. It could also lead to diminished respect for this agency and a loss of agency credibility with the public and with other Federal agencies. In some cases release could invade an individual's privacy or compromise a confidential agreement. All of these diminish the NRC's ability to perform the regulatory function assigned it and to protect public health and safety and the environment. (C)

Exhibit 2 to this handbook contains a list of NRC guidance and policy documents related to sensitive information. (D)

Part II

Release of Draft and Predecisional Documents and Information

For-Comment Drafts to the Public (A)

This directive does not apply to draft documents specifically disseminated to the public for comment, such as draft environmental impact statements, draft regulatory guides, and proposed rules.

Information Not Requiring Approval Before Release (B)

Necessary Communications (1)

The policies contained in this directive and handbook are not intended to impede necessary communications between the NRC staff and licensees, vendors, or other industry representatives, organizations, or persons outside the NRC in the normal course of agency business. These business activities include the development of initial NRC staff regulatory or technical findings, preparation of bulletins and information notices, evaluation of events at facilities, inspection findings, or the collection, analysis, and verification of information. Accordingly, this type of information need not receive prior approval before release.

Draft Research Documents (2)

Draft research reports, studies, data, or other documentation based on information obtained from a licensee or vendor, that may be discussed or exchanged with those parties and other participants in a study or research program, are not subject to restraint under this directive and handbook. However, trade secrets and commercial or financial information obtained from a person and privileged or confidential information may not be released to the public without the express permission of the owner of the information.

Information Not Requiring Approval Before Release (B) (continued)

Draft NRC Documents to Other Federal Agencies (3)

Draft NRC documents, except for draft inspection and enforcement documents, sent to other Federal agencies seeking their advice, recommendations, and opinions, or providing advance notice to such agencies, may be discussed with and disclosed to those agencies before being made available to the general public. The Commission may require that information sent by NRC to other Federal agencies that is claimed to be a trade secret or privileged or confidential commercial or financial information be subject to inspection by that agency under a protective agreement. This exemption does not apply when the other Federal agency is or may become an NRC licensee, or when the other agency is seeking certification, a permit, or concurrence from NRC.

Information Requiring Approval Before Release (C)

Predecisional Documents (1)

In the normal course of conducting regulatory activities, communications with licensees, vendors, industry representatives, and other Government agencies are at times necessary regarding initial NRC staff positions, license conditions, confirmation of action letters, inspection findings, enforcement actions, preparation of bulletins and information notices, events at other facilities, and so forth. These communications can occur in advance of the issuance of final NRC documents regarding these activities for the purpose of—(a)

- Gaining factual information (i)
- Assessing the cost, feasibility and benefit of, or alternative to, proposed actions or achieving settlements of actions (ii)
- Alerting licensees to initial staff positions or safety findings in order that corrective actions can be initiated promptly (iii)

However, these draft documents, or information contained in these documents, must not be discussed with, given to, or shown to any licensee or the public by the NRC without prior approval as specified in Section (3.4-03) of Directive 3.4. (b)

Information Requiring Approval Before Release (C) (continued)

Emergency Release of Information (2)

In the event there is an emergency, or if a significant safety or safeguards issue appears to require immediate action, NRC personnel, at their discretion, may discuss with, show to, or provide the licensee involved any pertinent material they believe circumstances warrant. (a)

The NRC employee approving release of any written communication to licensees or the public shall have it placed in the Public Document Room (PDR) by sending it to the nuclear documents system (NUDOCS) with a clear indication that it is to be forwarded to the PDR. The employee shall also maintain a written record of the release, such as the memorandum transmitting the material to NUDOCS. These communications must not be sent to the PDR if they contain information described as exempt from public disclosure in Part IV of this handbook. (b)

Under no circumstances should any information contained in draft investigation or inspection reports be released to licensees, their agents, or to any source external to the NRC without prior approval as specified in Section (3.4-03) of this directive. (c)

Inadvertent Release of Information (3)

In the event any document is inadvertently or otherwise released by the NRC, its contractors, or other Government agencies contrary to this policy, the EDO and the Inspector General should be advised promptly of the occurrence in writing. Refer to Management Directive (MD) 7.4, "Reporting Suspected Wrongdoing and Processing OIG Referrals" for additional guidance. (a)

In the case of an inadvertent release by NRC, the corrective action to be taken by the responsible office to avoid recurrence of such a release should also be communicated to the EDO. Normally, under these circumstances, the released document should be placed in the PDR through the Office of the Chief Information Officer (OCIO) Document Control Desk/NUDOCS operation. (b)

Information Requiring Approval Before Release (C) (continued)

Inadvertent Release of Information (3) (continued)

In the case of an inadvertent release of allegation information, the Agency Allegation Advisor should be contacted promptly, in addition to the EDO. Additionally, the released information should **not** be placed in the PDR. (c)

Final Release of Information (4)

Final documents, other than reports of investigation from the Office of Investigations, will be distributed to ensure that the public, licensees, NRC contractors, and other Government agencies have access to information they need to fulfill their responsibilities. Final documents provided to licensees will be placed in the PDR through the Document Control Desk/NUDOCS operation.

Destruction of Pre-Release Information (5)

In the interest of sound records management policy, all draft documents, background material, notes, and extra copies of office-file documents should be destroyed after the final version has been issued, except under the following conditions: (a)

- If retention is necessary to adequately and properly document agency action, then working files, such as preliminary drafts and rough notes, and other similar materials shall be maintained if both the following conditions are met: (i)
 - They were circulated or made available to employees, other than the creator, for such official purposes as approval, comment, action, recommendation, followup, or to communicate with agency staff about agency business. (a)
 - They contain unique information, such as substantive annotations or comments included therein, that adds to a proper understanding of the agency's formulation and execution of basic policies, decisions, actions, or responsibilities. (b)
- A FOIA request for these documents has been received and is pending. (ii)
- The documents are centrally relevant to the regulatory history of a proposed or final rulemaking submitted to the Office of the Federal Register for publication by the offices reporting to the EDO. (iii)

Information Requiring Approval Before Release (C) (continued)

Destruction of Pre-Release Information (5) (continued)

- The originator's management has instructed that the documents be retained for future agency use. (iv)

Individuals may retain personal file copies of documents that would be useful in the review of the employee's work by superiors for some time after the work has been completed and issued to the public. (b)

Draft Agreement State Documents (6)

Programmatic predecisional documents, including draft rulemaking plans, drafts of proposed rules, final rules, policies, draft internal procedures, generic letters, and information notices, may be routinely distributed to all Agreement States for their information, review and comment, with prior approvals as specified in Directive 3.4. (a)

Other more sensitive NRC predecisional documents, such as proposed enforcement actions, draft orders or demands for information, and draft confirmatory action letters or information dealing with allegations and investigations, will be shared with Agreement States on a need-to-know basis only if those States can protect this information from public disclosure and have prior approvals as specified in Directive 3.4. (b)

Release of Commission Information (7)

Documents created by, communicated to, or received from the Commissioners and their staffs must receive prior approval from the Commissioners before their release. If a Commissioner is no longer with the agency, approval from the Office of the Secretary must be obtained.

Release of Reports From the Office of the Inspector General (OIG) (8)

Draft audit reports are considered predecisional and should not be released to the public and will not be placed in NUDOCS or the PDR. Drafts can be placed in the vault area of Central Files because this area has restricted access. (a)

Final audit reports, event inquiries, and special evaluation reports will be placed in NUDOCS and the PDR only by OIG. These reports will not be placed in Central Files. (b)

Regulatory commentary is provided to program offices without restrictions. (c)

Information Requiring Approval Before Release (C) (continued)

Release of Reports From the Office of Investigations (OI) (9)

Draft investigative reports are considered predecisional and should not be released to the public and will not be placed in NUDOCS or the PDR. (a)

Final investigative reports will not be placed in NUDOCS and the PDR without approval by the Director, OI. These reports will not be placed in Central Files. (b)

Release of Enforcement Documents (10)

Draft enforcement documents are considered predecisional and should not be released to the public and will not be placed in NUDOCS or the PDR. (a)

Draft confirmatory orders exchanged with licensees in negotiating issuance of confirmatory orders will not be placed in NUDOCS or the PDR. (b)

Release of Senior Management Meeting Information (11)

The Office of the Executive Director for Operations (OEDO) approves the release of records pertaining to the NRC senior management meeting (SMM) process, which is described in MD 8.14, "Senior Management Meeting." These records include SMM-related plant performance information, the applicable sections of the SMM Executive Summary, and the minutes of the SMM. When a request, except a FOIA request, is received for SMM information, the OEDO shall be notified upon collection of the applicable records by the responsible offices, and records shall be forwarded to the OEDO for release authorization. When SMM information is collected under the FOIA process, see MD 3.1, "Freedom of Information Act," for guidance. (a)

For SMMs conducted before April 1999, the following guidelines apply: (b)

- Records pertaining to a plant that was placed on the Watch List or that received a letter identifying a declining trend in operational safety performance (trending letter) may be released upon screening and redaction of proprietary material, personal privacy material, and material that might compromise investigative efforts or reveal the identity of an allegor. (i)

Information Requiring Approval Before Release (C) (continued)

Release of Senior Management Meeting Information (11) (continued)

- Records pertaining to a “discussion plant”—a plant that was discussed at the SMM but was not placed on the Watch List or issued a trending letter—shall normally be withheld, except as discussed below, as predecisional information under Exemption 5 of FOIA. (ii)
- Records pertaining to a discussion plant may be released, provided that the plant was placed on the Watch List in subsequent SMMs or received a trending letter. The records eligible for release generally should be only those records supporting or associated with the SMM process that provide a sequential record of information and deliberations regarding the NRC’s decision to place the plant on the Watch List or to issue the plant a trending letter. The screening process described in paragraph (i) shall apply. (iii)
- Should a plant that has been removed from the Watch List or for which the NRC has issued a followup letter indicating that the declining performance trend at the plant has been arrested subsequently be identified as a discussion plant, the SMM records normally shall be withheld under Exemption 5 of FOIA, unless the plant is again placed on the Watch List or issued a trending letter. (iv)

For SMMs conducted after April 1999, the following guidelines apply: (c)

- Records pertaining to plants whose performance warrants the direct attention and/or involvement of the EDO and/or Commission, “agency-focus plants,” or plants for which the NRC’s intended actions following the SMM are different from those conveyed previously, may be released after screening and redaction of proprietary material, personal privacy material, and material that might compromise investigative efforts or reveal the identity of an allegor. (i)
- Records pertaining to a discussion plant that was not designated “an agency-focus plant” and that did not have intended actions following the SMM different from those conveyed previously normally shall be withheld, except as discussed under (iii) as predecisional information under Exemption 5 of FOIA. (ii)

Information Requiring Approval Before Release (C) (continued)

Release of Senior Management Meeting Information (11) (continued)

- Records pertaining to a discussion plant may be released, provided the plant was designated as an agency-focus plant in subsequent SMMs. The records eligible for release should be only those records supporting or associated with the SMM process and that provide sequential information and records of deliberations regarding the NRC's decision. These records should be screened as described in paragraph (i) above. (iii)
- If a plant that once was designated as an "agency-focus plant" is subsequently identified as a discussion plant, the SMM records normally shall be withheld under Exemption 5 of FOIA, unless the plant is again designated as an agency-focus plant. (iv)

Release of Allegation Information (12)

Allegation information should not be released to the public and will not be placed in NUDOCs or the PDR without the approval of the regional or program office allegation coordinator or the Agency Allegation Advisor or the Assistant Agency Allegation Advisor. When allegation information is collected under the FOIA process, see MD 3.1 for guidance. MD 8.8, "Management of Allegations," also should be consulted for guidance on handling allegation information.

Part III

Information Received From Industry Organizations and Individuals Through Nonroutine Channels

Receipt of Records Not Related to the Official Docket (A)

Management will be involved in reviewing and deciding appropriate action to take when NRC employees receive documents through nonroutine channels. (1)

This part does not pertain to records obtained through the investigative process of the Office of Investigations or the allegation management program. (2)

Periodically NRC staff members receive records from representatives of industry or from industry organizations with the expressed or apparent intent of receiving preliminary NRC staff views or preliminary staff evaluations of the acceptability of the documents before their official submission to NRC or before industry taking an official action regarding an NRC-regulated activity. These records are not submitted as part of an established regulatory process, such as a licensing proceeding, rulemaking, or development of generic procedures or regulatory guides. These records may be sent to the agency as correspondence or handed to the staff at meetings or conferences. (3)

Any staff member receiving such a record must inform the individual or industry organization providing the record that it will be placed in the NRC PDR. If the information contains proprietary or other sensitive information, the industry organization or individual should be asked to submit the record by letter to agency management under the provisions

Receipt of Records Not Related to the Official Docket (A) (continued)

of 10 CFR 2.790. The record should include appropriate markings and be accompanied by an affidavit providing justification as to why it should be protected from public disclosure. The submitter of the proprietary information also must provide a nonproprietary version with brackets showing where the proprietary information has been deleted. The document must be processed under the provisions of 10 CFR 2.790. (4)

Notification of Supervisor (B)

The staff member receiving such a record shall promptly notify his or her supervisor that the record has been received and shall coordinate with the supervisor the agency action appropriate to the record.

Placement of the Record in the Public Document Room (C)

Records received by the staff from industry through non-routine channels under this part will be placed in the PDR before any staff action and are subject to the same standards set forth in 10 CFR 2.790. Any record to be sent to the PDR must be transmitted to the Document Control Desk, as set forth in Part II of Handbook 3.50, "Document Management." The records also would constitute agency records covered by 10 CFR Part 9, and are available to the public in response to a request unless exempted by the FOIA.

Placement of the Response in the Public Document Room (D)

Any written or oral agency response to the record must be coordinated with the responder's immediate supervisor before being communicated to the industry organization or individual. A written response must be placed in the NRC PDR by transmitting it to the Document Control Desk, as set forth in Part II of Handbook 3.50, "Document Management."

Part IV

Information Not Routinely Released to the Public

Information Prohibited From Disclosure (A)

The NRC staff, routinely, will make available to the public the types of information listed in Exhibit 1 to this handbook to the extent that the information does not contain information prohibited from disclosure by statute, executive order, or regulation. Information prohibited from disclosure includes—

- Records containing classified information withheld in the interest of national security or foreign relations, pursuant to Executive Order 12958, “Classified National Security Information.” (1)
- Records prohibited from public disclosure under Sections 141 through 148 of The Atomic Energy Act of 1954, as amended. (2)
- Records containing unclassified safeguards information that are protected from public disclosure by Section 147 of the Atomic Energy Act, as amended. (3)
- Trade secrets or other confidential financial or commercial information (i.e., proprietary information) (10 CFR 2.790(a)(4)). (4)
- Records pertaining to individuals that are protected from public disclosure by the Privacy Act of 1974. (5)

Information Prohibited From Disclosure (A) (continued)

- Correspondence and reports to or from the NRC that contain information or records concerning a licensee's or an applicant's physical protection or material control and accounting program for special nuclear material not otherwise designated as safeguards information (10 CFR 73.21) or classified as national security information or restricted data pursuant to 10 CFR 2.790, "Public Inspections, Exemptions, Requests for Withholding." (6)

Predecisional Information (B)

Drafts and other predecisional interagency or intragency memoranda or letters where the information has not been released in final form that would be exempt from public disclosure under 10 CFR Part 9, "Public Records," or is subject to the provisions of Part II of this handbook. (1)

Note: Predecisional information refers to advice, opinions, and recommendations considered as part of the agency's deliberative process before a final decision is made. (2)

Records Not Routinely Released for Policy Reasons (C)

Records not routinely publicly released for substantive policy reasons include—

- Records containing information that would interfere with an investigation or inquiry if disclosed, or would reveal confidential sources or confidential information provided by a confidential source (Freedom of Information Act, 5 U.S.C. 552(b)(7)) (1)
- Records concerning in-camera licensing proceedings (2)
- Legal work products and other records covered by the lawyer-client privilege (5 U.S.C. 552(b)(5)) (3)
- Records sent to or received from foreign governments or other foreign sources, including those whose public disclosure is prohibited in agreements, except those records that deal with export-import licensing (see 10 CFR 2.790(d)(2)) (4)
- Records considered during a closed meeting under the Government in the Sunshine Act (5)

Records Not Routinely Released for Policy Reasons (C) (continued)

- Records permitted to be withheld from disclosure under provisions of Federal Acquisition Regulation (6)
- Financial information relating to the administration of NRC contractors (7)
- Records relating to the formulation of the NRC budget, including the Five-Year Plan, and those relating to proposed budgetary levels for specific projects (8)
- Records relating to investigations or inquiries by the Office of Investigations (9)
- Records related to allegations (10)
- Memoranda to or from the Commissioners, their staffs, and offices reporting directly to the Commission, except as indicated in Section M of the table in Exhibit 1 of this handbook (11)
- Correspondence with other Federal agencies, except for that required to be disclosed in licensing or rulemaking proceedings, including—(12)
 - Correspondence received from other Federal agencies (a)
 - Correspondence originated by NRC that deals with the deliberative process of another agency (b)
- Personnel, financial, medical, and other personal privacy information that would be exempt from disclosure if requested under the Freedom of Information Act because disclosure would constitute a clearly unwarranted invasion of personal privacy (13)
- Drafts or other documents prepared in adjudicatory proceedings involving the deliberative process privilege of Atomic Safety and Licensing Board members that protects the judicial decisionmaking process from scrutiny outside the appellate process (14)
- Records relating to investigations or inquiries of the Office of the Inspector General, or records originated by the Inspector General (15)
- Routine administrative records because of insufficient public interest and because their release would constitute an unwarranted administrative burden (16)

Exhibit 1

Table of NRC Documents Routinely Released to the Public

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
|----------------|--------------------------------------|------------------------------------------------|--------------------------------------|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | <p><i>A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50)</i></p> <p>1. Documents relating to the review of tendered or accepted application for and issuance of a construction permit</p> <p>a. Review of the applicant's preliminary safety analysis report (PSAR)</p> <p>(1) PSAR</p> <p>(2) Amendments to the PSAR</p> <p>(3) Correspondence sent to the applicant regarding the PSAR, including questions sent to the applicant for response</p> <p>(4) Correspondence from the applicant regarding the PSAR</p> <p>(5) Safety evaluation report (SER)</p> <p>(6) Supplements to the SER</p> <p>b. Other documents relating to the review of radiological safety</p> <p>(1) Quality assurance program plan and related correspondence with the applicant</p> <p>(2) Fire protection plan and related correspondence with the applicant</p> <p>(3) Inservice inspection and testing program plan and related correspondence with the applicant</p> |
| Y | Y | B | | NRR/NMSS | |
| Y | Y | B | | NRR/NMSS | |
| Y | Y | A | | NRR/NMSS | |
| Y | Y | A | | NRR/NMSS | |
| Y | Y | E | | NRR/NMSS | |
| Y | Y | E | | NRR/NMSS | |
| Y | Y | A | | NRR/NMSS | |
| Y | Y | F | | NRR/NMSS | |
| Y | Y | A/Q | | NRR/NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| N U D O C S | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|----------------------------|--------------------------------------|------------------------------------------------|--------------------------------------|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | <p><i>A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued)</i></p> <p>(4) Environmental qualifications program plan and related correspondence with the applicant</p> <p>(5) Other documents and correspondence relating to implementation of multiplant requirements in NUREG-0748, "Operating Reactors Licensing Actions Summary"</p> <p>c. Review of applicant's environmental report</p> <p>(1) Environmental report</p> <p>(2) Amendments and supplements to the environmental report</p> <p>(3) Site suitability information and early site review information</p> <p>(4) Correspondence from NRC to the applicant regarding the environmental report, its supplements, and site suitability information, including questions sent to the applicant for response</p> <p>(5) Correspondence from the applicant to the NRC regarding the environmental report and site suitability information, including answers to questions submitted by the NRC</p> <p>(6) Report of site visits</p> <p>(7) Draft environmental impact statement (DEIS) and supplements</p> <p>(8) Meeting notices and meeting summaries of public meetings with applicants, and other notices and meeting summaries</p> |
| Y | Y | A | | NRR/NMSS | |
| Y | Y | A | | NRR/NMSS | |
| Y | Y | C | | NRR/NMSS | |
| Y | Y | C | | NRR/NMSS | |
| Y | Y | A | | NRR/NMSS | |
| Y | Y | A/C | | NRR/NMSS | |
| Y | Y | A/C | | NRR/NMSS | |
| Y | Y | A | | NRR/NMSS | |
| Y | Y | D | | NRR/NMSS | |
| Y | Y | D | | NRR/NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|----------------|--------------------------------------|------------------------------------------------|--------------------------------------|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | <p><i>A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued)</i></p> |
| Y | Y | D | | NRR/NMSS | (9) Comments on the DEIS from individuals, States, local government agencies, Federal agencies, and other groups and organizations |
| Y | Y | D | | NRR/NMSS | (10) Final environmental impact statement (FEIS) and supplements |
| Y | Y | C | | NRR/NMSS | (11) <i>Federal Register</i> notices for items (1) and (2) above |
| | | | | | d. Documents relating to a limited work authorization (LWA) |
| Y | Y | L | | NRR/NMSS | (1) LWA |
| Y | Y | L | | NRR/NMSS | (2) Amendments to the LWA and related correspondence with the applicant |
| Y | Y | L | | NRR/NMSS | (3) Correspondence with applicant pertaining to work performed under the LWA |
| Y | Y | A | | NRR/NMSS | e. General information provided in the tendered or accepted application for a construction permit and related correspondence with the applicant |
| | | | | | f. Documents relating to the issuance of an amendment to a construction permit |
| Y | Y | A | | NRR/NMSS | (1) Proposed amendment to a construction permit |
| Y | Y | A | | NRR/NMSS | (2) Correspondence from the NRC to the applicant regarding the proposed amendment to a construction permit, including questions submitted for the applicant's response |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR /LPDR | C A T E G O R I E S | G P O /NTIS | OFFICE | NUDOCS | Document is entered into the NRC Nuclear Documents System (NUDOCS). |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------------------------------------------------|----------------------|----------|-----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR | Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| <i>A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued)</i> | | | | | | |
| Y | Y | A | | NRR/NMSS | (3) | Correspondence from the applicant to the NRC regarding proposed amendment to a construction permit, including answers to questions submitted by the NRC |
| Y | Y | A | | NRR/NMSS | (4) | Safety evaluation report on the proposed amendment |
| Y | Y | A | | NRR/NMSS | (5) | Amendment to the construction permit |
| 2. Documents relating to the review of a tendered or accepted application for and issuance of an operating license | | | | | | |
| a. Review of the applicant's final safety analysis report (FSAR) | | | | | | |
| Y | Y | K | | NRR/NMSS | (1) | FSAR and accompanying certificate of service |
| Y | Y | K | | NRR/NMSS | (2) | Amendments to the FSAR and related correspondence with the applicant |
| Y | Y | A/P | | NRR/NMSS | (3) | Correspondence to the applicant regarding the FSAR, including questions sent to the applicant for response |
| Y | Y | A/P | | NRR/NMSS | (4) | Correspondence from the applicant regarding the FSAR, including answers to questions submitted by the NRC for response |
| Y | Y | E | | NRR/NMSS | (5) | Draft safety evaluation report (DSER), supplements, and related correspondence with the applicant and other parties |
| Y | Y | E | | NRR/NMSS | (6) | SER and supplements |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | P DR / LP DR | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
|------------|--------------------------|------------------------------------------------|--------------------------------------|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR |
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued) |
| | | | | | b. Documents relating to emergency plans and amendments to emergency plans |
| Y | Y | F | | NRR/NMSS | (1) Emergency plans |
| Y | Y | F | | NRR/NMSS | (2) Amendments to emergency plans |
| Y | Y | F | | NRR/NMSS | (3) Correspondence from the NRC to the applicant/licensee regarding the plan or amendment, including questions submitted for response |
| Y | Y | F | | NRR/NMSS | (4) Health Physics Program |
| Y | Y | F | | NRR/NMSS | (5) Emergency implementation procedures |
| Y | Y | F | | NRR/NMSS | (6) Correspondence related to safeguards plans |
| Y | Y | F | | NRR/NMSS | (7) Correspondence from the applicant/licensee to the NRC regarding the plan or amendment, including answers to questions submitted by the NRC for response |
| Y | Y | F | | NRR/NMSS | (8) Correspondence to and from State and local governments relating to the plan or amendment |
| Y | Y | F | | NRR/NMSS | (9) Documents received from the Federal Emergency Management Agency (FEMA) that relate to a specific nuclear power plant or nuclear power plant site |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
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| | | | | | PDR/LPDR |
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | <i>A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued)</i> |
| | | | | | <i>c. Other documents relating to the radiological safety review</i> |
| Y | Y | A/P | | NRR/NMSS | (1) Quality assurance program plan, related correspondence with the applicant, and related meeting notices and minutes of meetings with the applicant |
| Y | Y | F | | NRR/NMSS | (2) Fire protection plan, related correspondence with the applicant, and related meeting notices and minutes of meetings with the applicant |
| Y | Y | Q | | NRR/NMSS | (3) Inservice inspection and testing program plan, related correspondence with the applicant, and related meeting notices and minutes of meetings with the applicant |
| Y | Y | P | | NRR/NMSS | (4) Environmental qualification program plan, related correspondence with the applicant, related meeting notices, and minutes of meetings with the applicant |
| Y | Y | P | | NRR/NMSS | (5) Other documents and correspondence relating to implementation of multiplant requirements described in NUREG-0748, “Operating Reactors Licensing Actions Summary” |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
|----------------|--------------------------------------|------------------------------------------------|--------------------------------------|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR |
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued) |
| | | | | | d. Documents relating to the review of the applicant's environmental report |
| Y | Y | C | | NRR/NMSS | (1) Environmental report and supporting documents |
| Y | Y | C | | NRR/NMSS | (2) Amendments to the environmental report |
| Y | Y | C | | NRR/NMSS | (3) Correspondence from the NRC to the applicant regarding the environmental report, its supplements, and other supporting information, including questions sent to the applicant for response |
| Y | Y | C | | NRR/NMSS | (4) Correspondence from the applicant to the NRC regarding the environmental report, its supplements, and other supporting information, including answers to questions submitted by the NRC |
| Y | Y | A/P | | NRR/NMSS | (5) Report of site visits |
| Y | Y | A/P | | NRR/NMSS | (6) Meeting notices and summaries of public meetings and other meeting notices and summaries with applicants and licensees (if applicable) |
| Y | Y | D | | NRR/NMSS | (7) DEIS |
| Y | Y | D | | NRR/NMSS | (8) Comments on the DEIS from individuals, State, local, and Federal agencies, industry, and other organizations |
| Y | Y | D | | NRR/NMSS | (9) FEIS |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | P DR / LPDR | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|------------|----------------------|------------------------------------------------|--------------------------------------|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Y | Y | A/P | | NRR/NMSS | <p>A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued)</p> <p>e. General information provided on the tendered or accepted application</p> <p>3. Other documents associated with the review and issuance of a construction permit and operating license</p> <p>a. Documents relating to the review of the applicant's antitrust information</p> <p>(1) Antitrust information submitted as part of the application for a construction permit and an operating license</p> <p>(2) Staff analyses of the applicant antitrust information</p> <p>(3) Correspondence with the applicant regarding antitrust matters</p> <p>(4) Findings and conclusions of attorney advice letters and correspondence between the NRC and the Attorney General regarding applicant antitrust information</p> <p>(5) Hearing transcripts, testimony, submittals, and briefings on antitrust matters</p> <p>b. Insurance and indemnity information</p> <p>(1) Licensee indemnity agreement and amendments</p> <p>(2) Endorsement of licensee's insurance policies</p> <p>(3) Other correspondence regarding indemnity and insurance matters</p> |
| Y | Y | M | | NRR/NMSS | |
| Y | Y | M | | NRR/NMSS | |
| Y | Y | M | | NRR/NMSS | |
| Y | Y | M | | NRR/NMSS | |
| Y | Y | M | | NRR/NMSS | |
| Y | Y | N | | NRR/NMSS | |
| Y | Y | J | | NRR | |
| Y | Y | J | | NRR | |
| Y | Y | J | | NRR | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NUDOCS | PDR / LPDR | CATEGORIES | GPO / NTIS | OFFICE | NUDOCS PDR/LPDR |
|--------|------------|------------|------------|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued) |
| | | | | | 4. Documents involved in proceedings, including hearings, before the Atomic Safety Licensing Board Panel (ASLBP) and the Commission review of board decisions. |
| Y | Y | G | | SECY | a. Request for a hearing |
| Y | Y | G | | SECY | b. Board notifications |
| Y | Y | G | | SECY | c. Motions, petitions, interrogatories, answers, discovery requests, requests for admission, and requests to make a limited appearance |
| Y | Y | T | | SECY | d. Briefs, testimony, and statements of the applicant, NRC staff, and other parties |
| Y | Y | T | | ASLBP | e. Transcripts of hearings |
| Y | Y | G | | SECY | f. Orders, opinions, and decisions of the boards and Commission, including those directing the issuance of a construction permit, an operating license, and amendments to the construction permit and the operating license |
| Y | Y | G | | SECY | g. Other filings and documentation submitted by parties to the proceedings to the boards |
| Y | Y | P | | NRR/NMSS | 5. Documents relating to the issuance of an amendment to a license |
| | | | | | a. Proposed amendment to a license |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
|----------------|--------------------------------------|------------------------------------------------|--------------------------------------|------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR | |
| | | | | | FILE CATEGORIES | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued) | |
| Y | Y | A/P | | NRR/NMSS | b. | Correspondence from the NRC to the licensee regarding the proposed amendments to a license, including questions submitted for the licensee's response |
| Y | Y | A/P | | NRR/NMSS | c. | Correspondence from the licensee to the NRC regarding proposed amendments to a license, including answers to questions submitted by the NRC |
| Y | Y | A/P | | NRR/NMSS | d. | SER on the proposed amendment |
| Y | Y | A/P | | NRR/NMSS | e. | Amendment to the license |
| Y | Y | Q | | NRR/NMSS/ RGN | 6. | Documents relating to the NRC Inspection and Enforcement Program |
| Y | Y | S | | RGN | a. | Inspection reports |
| Y | Y | Q | | OE/RGN | b. | Preliminary notification (PN) of event |
| Y | Y | Q | | OE/RGN | c. | Notice of violation/nonconformance (may include proposed imposition of civil penalty) |
| Y | Y | Q | | OE/RGN | d. | Licensee or vendor response to the notice of violation |
| Y | Y | Q | | OE/RGN | e. | NRC acknowledgement of receipt of the licensee response to the notice of violation/nonconformance |
| Y | Y | Q | | NRR/NMSS/OE | f. | Orders |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS PDR/LPDR FILE CATEGORIES GPO/NTIS | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
|----------------|--------------------------------------|------------------------------------------------|--------------------------------------|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued) | |
| Y | Y | Q | | RGN | g. | Licensee response to an order |
| Y | Y | Q | | NRR/NMSS | h. | Bulletins |
| Y | Y | Q | | RGN | i. | Licensee response to a bulletin |
| Y | Y | Q | | NRR/NMSS | j. | Information notices |
| Y | Y | Q | | OE | k. | Notification of significant enforcement action (to be submitted to the PDR and the LPDR after the enforcement action has been taken) |
| Y | Y | Q | | NRR/NMSS | l. | Confirmatory action prepared |
| Y | Y | Q | | NRR | m. | Systematic assessment of licensee performance (SALP) reports |
| Y | Y | Q | | NRR/NMSS | n. | International Atomic Energy Agency (IAEA) inspection reports |
| Y | Y | Q | | NRR/NMSS RGN | o. | Meeting notices and summaries |
| | | | | | 7. | Reports submitted by applicants and licensees pursuant to a construction permit and a nuclear facility operating license |
| Y | Y | R | | RGN | a. | Effluent releases report required by 10 CFR 50.36a(a)(2) |
| Y | Y | F | | OE/RGN | b. | Changes in emergency plan made without prior approval required by 10 CFR 50.54(p) |
| Y | Y | S | | RGN | c. | Construction deficiency notice required by 10 CFR 50.55(e)(2) |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
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| | | | | | PDR/LPDR |
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | <i>A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued)</i> |
| Y | Y | S | | NRR | d. Construction deficiency report required by 10 CFR 50.55(e)(3) |
| Y | Y | P | | NRR | e. Facility changes, tests, and experiments conducted without prior approval required by 10 CFR 50.59(b) |
| Y | Y | I | | RGN | f. Annual financial report required by 10 CFR 50.71(b) |
| Y | Y | K | | NRR | g. Final safety analysis report (FSAR) updates required by 10 CFR 50.71(e)(1) |
| Y | Y | S | | RGN | h. Licensee event reports required by 10 CFR 50.73 |
| Y | Y | P | | NRR | i. Report on fracture toughness required by 10 CFR Part 50, Appendix G, Sec. V.E |
| Y | Y | P | | NRR | j. Report of test results of specimens withdrawn from capsules (fracture toughness tests) required by 10 CFR Part 50, Appendix H, Sec. III.A |
| Y | Y | P | | RGN | k. Report of effluents released in excess of design objectives required by 10 CFR Part 50, Appendix I, Sec. III.A |
| Y | Y | P | | NRR | l. Report on reactor containment building integrated leak rate test required by 10 CFR Part 50, Appendix J, Sec. V.B |
| Y | Y | P | | RGN | m. Reports on startup of reactor required by technical specifications |
| Y | Y | R | | NRR | n. Monthly operating report required by technical specifications |
| Y | Y | S | | RGN | o. Reportable occurrence required by technical specifications |
| Y | Y | P | | RGN | p. Source leakage reports required by technical specifications |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR / LPDR | CAT E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|------------|------------------|----------------------------------------|--------------------------------------|--------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | <p><i>A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued)</i></p> <p>q. Annual environmental operating reports required by technical specifications</p> <p>r. Nonroutine environmental operating reports required by technical specifications</p> <p>s. Radiation exposure by functions report required by technical specifications</p> <p>8. Documents relating to decommissioning</p> <p>a. Review of the application to dismantle or decommission a nuclear facility</p> <p>(1) Application and supporting documents, including the decommissioning/dismantling plan</p> <p>(2) Supplements to the application</p> <p>(3) Correspondence from the NRC to the applicant regarding the application and its supplements, including questions submitted for the applicant's response</p> <p>(4) Correspondence from the applicant to the NRC regarding the application and its supplements, including answers submitted by the NRC for response</p> <p>(5) Correspondence to and from State and local governments relating to the application (if applicable)</p> |
| Y | Y | R | | RGN | |
| Y | Y | R | | RGN | |
| Y | Y | R | | RGN | |
| Y | Y | P | | NRR | |
| Y | Y | P | | NRR | |
| Y | Y | P | | NRR | |
| Y | Y | P | | NRR | |
| Y | Y | P | | NRR | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

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| | | | | | PDR/LPDR |
| | | | | | FILE CATEGORIES |
| | | | | | GPO/NTIS |
| | | | | | <p>Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
| | | | | | <p><i>A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued)</i></p> <p>(6) Decommissioning approval</p> <p>b. Review of the applicant's environmental report</p> <p>(1) Environmental report and supporting documents</p> <p>(2) Supplements to the environmental report</p> <p>(3) Correspondence from the NRC to the applicant regarding the environmental report, its supplements, and other supporting information, including questions sent to the applicant for response</p> <p>(4) Correspondence from the applicant to the NRC regarding the environmental report, its supplements, and other supporting information, including answers to questions submitted by the NRC</p> <p>(5) Report of site visits</p> <p>(6) Summaries of public meetings (if applicable)</p> <p>(7) DEIS</p> <p>(8) Comments on the DEIS from individuals; State, local, and Federal agencies; industry; and other organizations</p> <p>(9) FEIS</p> |
| Y | Y | W | | NRR/NMSS | |
| Y | Y | C | | NRR | |
| Y | Y | C | | NRR | |
| Y | Y | C | | NRR | |
| Y | Y | C | | NRR | |
| Y | Y | W | | NRR | |
| Y | Y | W | | NRR | |
| Y | Y | D | | NRR | |
| Y | Y | D | | NRR | |
| Y | Y | D | | NRR | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

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| | | | | | PDR/LPDR |
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | A. Documents relating to the issuance and use of a construction permit and operating license for nuclear facilities — power reactors, research and test reactors, and fuel fabrication facilities (Part 50) (continued) |
| Y | Y | W | | NRR | (10) Environmental impact appraisal and negative declaration (when applicable) |
| Y | Y | W | | NRR/NMSS | c. Licensee reports during decommissioning and dismantling |
| | | | | | 9. Other documents relating to the licensing process for nuclear facilities |
| Y | Y | A/P | | NRR | a. Generic letters |
| | | | | | B. Documents relating to licensed reactor operators |
| Y | Y | V | | NRR | 1. List of reactor operator licensees (quarterly computer printout) |
| Y | Y | V | | NRR | 2. Operator and senior operator licensing examinations |
| Y | Y | V | | NRR | 3. Requalification examination reports |
| Y | Y | G/V | | NRR | 4. Notification and cancellation of operator licenses |
| Y | Y | V | | NRR | 5. Order and modifications to revoke or suspend operator licenses |
| Y | Y | V | | NRR | 6. Operator evaluation reports |
| Y | Y | V | | NRR | 7. Meeting summaries and related correspondence |
| Y | Y | R | | NRR | 8. Fitness-for-duty reports |
| Y | Y | V | | NRR | 9. Facility-submitted operator licensing written examinations |
| Y | Y | V | | NRR | 10. Operator licensing operating examinations, as given |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
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| | | | | | PDR/LPDR |
| | | | | | <p>Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES</p> <p>Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS</p> <p>Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
| | | | | | <p>C. Documents relating to the issuance of licenses to use, possess, and store byproduct material (Parts 30 through 39). The documents are placed in the PDR in microfiche after the license is issued. (The documents are placed under the docket number for all licensees.)</p> <ol style="list-style-type: none"> 1. Applications for licenses and for renewal or amendment of licenses 2. Licenses and amendments to licenses 3. Correspondence with licensees or prospective licensees regarding additional information 4. Internal memoranda regarding license applications 5. Enforcement letters and related correspondence 6. Licensee reports 7. Documents relating to the NRC Inspection and Enforcement Program <ol style="list-style-type: none"> a. Inspection reports b. PN of event c. Notice of violation/nonconformance (may include proposed imposition of civil penalty) d. Licensee or vendor response to the notice of violation e. NRC acknowledgement of receipt of the licensee response to the notice of violation/nonconformance f. Orders g. Licensee response to an order |
| Y | Y | | | NMSS/RGN | |
| Y | Y | | | NMSS/RGN | |
| Y | Y | | | NMSS/RGN | |
| Y | Y | | | NMSS/RGN | |
| Y | Y | | | NMSS/RGN | |
| Y | Y | | | NMSS/RGN | |
| Y | Y | | | NRR/NMSS/ RGN | |
| Y | Y | | | RGN | |
| Y | Y | | | OE/RGN | |
| Y | Y | | | NRR/NMSS | |
| Y | Y | | | OE/RGN | |
| Y | Y | | | NRR/NMSS/OE | |
| Y | Y | | | RGN | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR / LPDR | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|------------|---------------|------------------------------------------------|--------------------------------------|------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | <p>C. Documents relating to the issuance of licenses to use, possess, and store byproduct material (Parts 30 through 39). The documents are placed in the PDR in microfiche after the license is issued. (The documents are placed under the docket number for all licensees.) (continued)</p> <p>h. Bulletins</p> <p>i. Licensee response to a bulletin</p> <p>j. Information notices</p> <p>k. Notification of significant enforcement action (to be submitted to the PDR and the LPDR after the enforcement action has been taken)</p> <p>l. Confirmatory action prepared</p> <p>m. Meeting notices and summaries</p> <p>D. Documents relating to the issuance of a license to possess and process uranium source material in uranium milling or production of uranium hexafluoride (Part 40)</p> <p>1. Review of the application</p> <p>a. Application and supporting documents</p> <p>b. Supplements to the application</p> <p>c. Correspondence from the NRC to the applicant regarding the application and its supplements, including questions submitted for the applicant's response</p> |
| Y | Y | | | NRR/NMSS | |
| Y | Y | | | RGN | |
| Y | Y | | | NRR/NMSS | |
| Y | Y | | | OE | |
| Y | Y | | | NRR/NMSS | |
| Y | Y | | | NRR/NMSS/ RGN | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| N U D O C S | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|----------------------------|--------------------------------------|------------------------------------------------|--------------------------------------|--------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | <p><i>D. Documents relating to the issuance of a license to possess and process uranium source material in uranium milling or production of uranium hexafluoride (Part 40) (continued)</i></p> <p>d. Correspondence from the applicant to the NRC regarding the application and its supplements, including answers submitted by the NRC for response</p> <p>e. Correspondence to and from State and local governments relating to the application (if applicable)</p> <p>f. Documents related to hearings to obtain a construction permit, including the construction permit. Documents involved in proceedings, including hearings, before ASLBP and the Commission review of board decisions.</p> <p>(1) Request for a hearing</p> <p>(2) Board notifications</p> <p>(3) Motions, petitions, interrogatories, answers, discovery requests, requests for admission, and requests to make a limited appearance</p> <p>(4) Briefs, testimony, and statements of the applicant, NRC staff, and other parties</p> <p>(5) Transcripts of hearings</p> <p>(6) Orders, opinions, and decisions of the boards and Commission, including those directing the issuance of a construction permit, an operating license, and amendments to the construction permit and the operating license</p> |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | SECY | |
| Y | Y | | | SECY | |
| Y | Y | | | SECY | |
| Y | Y | | | ASLBP | |
| Y | Y | | | SECY | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| N U D O C S | P D R / L P D R | F I L E | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS PDR/LPDR | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
|----------------------------|--------------------------------------|------------------|------------------------------------------------|--------------------------------------|--------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | | FILE CATEGORIES | |
| | | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | | <i>D. Documents relating to the issuance of a license to possess and process uranium source material in uranium milling or production of uranium hexafluoride (Part 40) (continued)</i> | |
| Y | Y | | | | SECY | (7) | Other filings and documentation submitted by parties to the proceedings to the boards |
| Y | Y | | | | SECY | (8) | License |
| | | | | | | 2. | Review of the applicant's environmental report |
| Y | Y | | | | NMSS | a. | Environmental report and supporting documents |
| Y | Y | | | | NMSS | b. | Supplements to the environmental report |
| Y | Y | | | | NMSS | c. | Correspondence from the NRC to the applicant regarding the environmental report, its supplements, and other supporting information, including questions sent to the applicant for response |
| Y | Y | | | | NMSS | d. | Correspondence from the applicant to the NRC regarding the environmental report, its supplements, and other supporting information, including answers to questions submitted by the NRC |
| Y | Y | | | | NMSS | e. | Report of site visits |
| Y | Y | | | | NMSS | f. | Summaries of public meetings (if applicable) |
| Y | Y | | | | NMSS | g. | DEIS |
| Y | Y | | | | NMSS | h. | Comments on the DEIS from individuals, State, local, and Federal agencies, industry, and other organizations |
| Y | Y | | | | NMSS | i. | FEIS |
| Y | Y | | | | NMSS | j. | Environmental assessment and negative declaration (when applicable) |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR /LPDR | C A T E G O R I E S | G P O /NTIS | OFFICE | NUDOCS | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
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| | | | | | PDR/LPDR | |
| | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| <i>D. Documents relating to the issuance of a license to possess and process uranium source material in uranium milling or production of uranium hexafluoride (Part 40) (continued)</i> | | | | | | |
| Y | Y | | | NMSS | 3. | Review of proposed amendments to a license |
| Y | Y | | | NMSS | a. | Proposed amendment |
| Y | Y | | | NMSS | b. | Correspondence from the NRC to the licensee regarding the proposed amendment, including questions submitted for the applicant's response |
| Y | Y | | | NMSS | c. | Correspondence from the licensee to the NRC regarding the proposed amendment, including answers to questions submitted by NRC |
| Y | Y | | | NMSS | d. | SER |
| Y | Y | | | NMSS | e. | Amendment to a license |
| Y | Y | | | NMSS | 4. | Reports submitted by the licensee |
| Y | Y | | | NMSS | a. | Environmental monitoring report for air quality and water quality required by 10 CFR 40.65 |
| Y | Y | | | NMSS | b. | Semiannual land use survey |
| Y | Y | | | NMSS | c. | Financial security documents |
| Y | Y | | | NMSS/RGN | 5. | Documents relating to the NRC Inspection and Enforcement Program |
| Y | Y | | | RGN | a. | Inspection reports |
| Y | Y | | | OE/NMSS/RGN | b. | PN of event |
| Y | Y | | | OE/NMSS/RGN | c. | Notice of violation/nonconformance (may include proposed imposition of civil penalty) |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR /LPDR | FILE | CATEGORIES | GPO/NTIS | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
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| | | | | | | <p>D. Documents relating to the issuance of a license to possess and process uranium source material in uranium milling or production of uranium hexafluoride (Part 40) (continued)</p> <p>d. Licensee or vendor response to the notice of violation</p> <p>e. NRC acknowledgement of receipt of the licensee response to the notice of violation/nonconformance</p> <p>f. Orders</p> <p>g. Licensee response to an order</p> <p>h. Bulletins</p> <p>i. Licensee response to a bulletin</p> <p>j. Information notices</p> <p>k. Notification of significant enforcement action (to be submitted to the PDR and the LPDR after the enforcement action has been taken)</p> <p>l. Confirmatory action prepared</p> <p>m. Meeting notices and summaries</p> <p>E. Documents relating to the issuance of a license to possess source material involving natural and depleted uranium or thorium (Part 40), and documents to possess and use or possess only special nuclear material: enriched uranium U-235, enriched uranium U-233, and plutonium (Part 70). (The documents are placed under the docket number for all licensees.)</p> <p>1. Review of the application</p> <p>a. Application and supporting documents</p> |
| Y | Y | | | | OE/NMSS/RGN | |
| Y | Y | | | | OE/NMSS/RGN | |
| Y | Y | | | | NMSS/OE | |
| Y | Y | | | | NMSS/RGN | |
| Y | Y | | | | NMSS | |
| Y | Y | | | | NMSS/RGN | |
| Y | Y | | | | NMSS | |
| Y | Y | | | | OE | |
| Y | Y | | | | NMSS | |
| Y | Y | | | | NMSS/RGN | |
| Y | Y | | | | NMSS | |

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| N U D O C S | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|----------------------------|--------------------------------------|------------------------------------------------|--------------------------------------|--------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | <p><i>E. Documents relating to the issuance of a license to possess source material involving natural and depleted uranium or thorium (Part 40), and documents to possess and use or possess only special nuclear material: enriched uranium U-235, enriched uranium U-233, and plutonium (Part 70). (The documents are placed under the docket number for all licensees.) (continued)</i></p> <p>b. Supplements to the application</p> <p>c. Correspondence from the NRC to the applicant regarding the application and its supplements, including questions submitted for the applicant's response</p> <p>d. Correspondence from the applicant to the NRC regarding the application and its supplements, including answers submitted by the NRC for response</p> <p>e. Correspondence to and from State and local governments relating to the application (if applicable)</p> <p>f. Documents relating to safety evaluations</p> <p>2. Review of the applicant's environmental report</p> <p>a. Environmental report and supporting documents</p> <p>b. Supplements to the environmental report</p> <p>c. Correspondence from the NRC to the applicant regarding the environmental reports, amendments, and other supporting information, including questions sent to the applicant for response</p> |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P DR / LP DR | C A P T I O N S | G P O / N T I S | OFFICE | NUDOCS |
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| | | | | | PDR/LPDR |
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | <i>E. Documents relating to the issuance of a license to possess source material involving natural and depleted uranium or thorium (Part 40), and documents to possess and use or possess only special nuclear material: enriched uranium U-235, enriched uranium U-233, and plutonium (Part 70). (The documents are placed under the docket number for all licensees.) (continued)</i> |
| Y | Y | | | NMSS | d. Correspondence from the applicant to the NRC regarding the environmental report, its supplements, and other supporting information, including answers to questions submitted by the NRC |
| Y | Y | | | NMSS | e. Report of site visits |
| Y | Y | | | NMSS | f. Meeting announcements and summaries of public meetings (if applicable) |
| Y | Y | | | NMSS | g. DEIS and supplements |
| Y | Y | | | NMSS/ADM | h. Comments on the DEIS from individuals; State, local, and Federal agencies; industry; and other organizations |
| Y | Y | | | NMSS | i. FEIS and supplements |
| Y | Y | | | NMSS | j. Environmental assessment and finding of no significant impact (when applicable) |
| | | | | | 3. Documents related to hearings to obtain a construction permit, including the construction permit. Documents involved in proceedings, including hearings, before ASLBP and the Commission review of board decisions. |
| Y | Y | | | SECY | a. Request for a hearing |
| Y | Y | | | SECY | b. Board notifications |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| N U D O C S | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|----------------------------|--------------------------------------|------------------------------------------------|--------------------------------------|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | <p><i>E. Documents relating to the issuance of a license to possess source material involving natural and depleted uranium or thorium (Part 40), and documents to possess and use or possess only special nuclear material: enriched uranium U-235, enriched uranium U-233, and plutonium (Part 70). (The documents are placed under the docket number for all licensees.) (continued)</i></p> <p>c. Motions, petitions, interrogatories, answers, discovery requests, requests for admission, and requests to make a limited appearance</p> <p>d. Briefs, testimony, and statements of the applicant, NRC staff, and other parties</p> <p>e. Transcripts of hearings</p> <p>f. Orders, opinions, and decisions of the boards and the Commission, including those directing the issuance of a construction permit, an operating license, and amendments to the construction permit and the operating license</p> <p>g. Other filings and documentation submitted by parties to the proceedings to the boards</p> <p>h. License</p> <p>4. Review of proposed amendments to a license</p> <p>a. Proposed amendment</p> <p>b. Correspondence from the NRC to the licensee regarding the proposed amendment, including questions submitted for the applicant's response</p> |
| Y | Y | | | SECY | |
| Y | Y | | | SECY | |
| Y | Y | | | ASLBP | |
| Y | Y | | | SECY | |
| Y | Y | | | SECY | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NUDOCS | PDR/LPDR | CATEGORY | GPO/NTIS | OFFICE | NUDOCS | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
|--------|----------|----------|----------|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR | |
| | | | | | FILE CATEGORIES | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | E. Documents relating to the issuance of a license to possess source material involving natural and depleted uranium or thorium (Part 40), and documents to possess and use or possess only special nuclear material: enriched uranium U-235, enriched uranium U-233, and plutonium (Part 70). (The documents are placed under the docket number for all licensees.) (continued) | |
| Y | Y | | | NMSS | c. | Correspondence from the licensee to the NRC regarding the proposed amendment, including answers to questions submitted by the NRC |
| Y | Y | | | NMSS | d. | SER |
| Y | Y | | | NMSS | e. | Amendment to a license |
| Y | Y | | | NMSS | 5. | Reports submitted by the licensee |
| | | | | | 6. | Documents relating to the NRC Inspection and Enforcement Program |
| Y | Y | | | NMSS/RGN | a. | Inspection reports |
| Y | Y | | | RGN | b. | PN of event |
| Y | Y | | | OE/NMSS/RGN | c. | Notice of violation/nonconformance (may include proposed imposition of civil penalty) |
| Y | Y | | | OE/NMSS/RGN | d. | Licensee or vendor response to the notice of violation |
| Y | Y | | | OE/NMSS/RGN | e. | NRC acknowledgement of receipt of the licensee response to the notice of violation/nonconformance |
| Y | Y | | | NMSS/OE | f. | Orders |
| Y | Y | | | RGN | g. | Licensee response to an order |
| Y | Y | | | NMSS | h. | Bulletins |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NUDOCS | PDR / LPDR | CATEGORIES | GPO / NTIS | OFFICE | NUDOCS PDR/LPDR |
|--------|------------|------------|------------|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | <p>Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
| | | | | | <p>E. Documents relating to the issuance of a license to possess source material involving natural and depleted uranium or thorium (Part 40), and documents to possess and use or possess only special nuclear material: enriched uranium U-235, enriched uranium U-233, and plutonium (Part 70). (The documents are placed under the docket number for all licensees.) <i>(continued)</i></p> <ul style="list-style-type: none"> i. Licensee response to a bulletin j. Information notices k. Notification of significant enforcement action (to be submitted to the PDR and the LPDR after the enforcement action has been taken) l. Confirmatory action prepared m. Meeting notices and summaries <p>F. Documents relating to the issuance of a license to receive and dispose of low-level radioactive waste (Part 61); includes existing documents placed in the PDR under Docket 27. (Documents are placed under the docket number for all licensees.)</p> <ul style="list-style-type: none"> 1. Review of the application <ul style="list-style-type: none"> a. Application and supporting documents b. Supplements to the application c. Correspondence from the NRC to the applicant regarding the application and its supplements, including questions submitted for the applicant's response d. Correspondence from the applicant to the NRC regarding the application and its supplements, including answers submitted by the NRC for response |
| Y | Y | | | RGN | |
| Y | Y | | | NMSS | |
| Y | Y | | | OE | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS/RGN | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
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| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | <i>F. Documents relating to the issuance of a license to receive and dispose of low-level radioactive waste (Part 61); includes existing documents placed in the PDR under Docket 27. (Documents are placed under the docket number for all licensees.) (continued)</i> |
| Y | Y | | | NMSS | e. Correspondence to and from State and local governments relating to the application (if applicable) |
| Y | Y | | | NMSS | f. Documents relating to safety evaluations |
| | | | | | 2. Review of the applicant's environmental report |
| Y | Y | | | NMSS | a. Environmental report and supporting documents |
| Y | Y | | | NMSS | b. Supplements to the environmental report |
| Y | Y | | | NMSS | c. Correspondence from the NRC to the applicant regarding the environmental report, its supplements, and other supporting information, including questions sent to the applicant for response |
| Y | Y | | | NMSS | d. Correspondence from the applicant to the NRC regarding the environmental report, its supplements, and other supporting information, including answers to questions submitted by the NRC |
| Y | Y | | | NMSS | e. Report of site visits |
| Y | Y | | | NMSS | f. Meeting notices and summaries of public meetings (if applicable) |
| Y | Y | | | NMSS | g. DEIS and supplements |
| Y | Y | | | NMSS | h. Comments on the DEIS from individuals; State, local, and Federal agencies; industry; and other organizations |
| Y | Y | | | NMSS | i. FEIS and supplements |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR /LPDR | FILE | CAT EG OR IES | G P O /NTIS | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|------------|--------------|------|------------------------|----------------------|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | | <p><i>F. Documents relating to the issuance of a license to receive and dispose of low-level radioactive waste (Part 61); includes existing documents placed in the PDR under Docket 27. (Documents are placed under the docket number for all licensees.) (continued)</i></p> <p>j. Environmental assessment and finding of no significant impact (when applicable)</p> <p>3. Documents related to hearings to obtain a construction permit, including the construction permit. Documents involved in proceedings, including hearings, before ASLBP and the Commission review of board decisions.</p> <p>a. Request for a hearing</p> <p>b. Board notifications</p> <p>c. Motions, petitions, interrogatories, answers, discovery requests, requests for admission, and requests to make a limited appearance</p> <p>d. Briefs, testimony, and statements of the applicant, NRC staff, and other parties</p> <p>e. Transcripts of hearings</p> <p>f. Orders, opinions, and decisions of the boards and the Commission, including those directing the issuance of a construction permit, an operating license, and amendments to the construction permit and the operating license</p> <p>g. Other filings and documentation submitted by parties to the proceedings to the boards</p> <p>h. License</p> |
| Y | Y | | | | NMSS | |
| Y | Y | | | | SECY | |
| Y | Y | | | | SECY | |
| Y | Y | | | | SECY | |
| Y | Y | | | | ASLBP | |
| Y | Y | | | | SECY | |
| Y | Y | | | | SECY | |
| Y | Y | | | | NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | P DR / LP DR | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|------------|--------------------------|------------------------------------------------|--------------------------------------|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | <p><i>F. Documents relating to the issuance of a license to receive and dispose of low-level radioactive waste (Part 61); includes existing documents placed in the PDR under Docket 27. (Documents are placed under the docket number for all licensees.) (continued)</i></p> <p>4. Review of proposed amendments to a license</p> <p>a. Proposed amendment</p> <p>b. Correspondence from the NRC to the licensee regarding the proposed amendment, including questions submitted for the applicant's response</p> <p>c. Correspondence from the licensee to the NRC regarding the proposed amendment, including answers to questions submitted by the NRC</p> <p>d. Safety evaluations and related records</p> <p>e. Amendment to a license</p> <p>5. Reports submitted by the licensee</p> <p>6. Documents relating to the NRC Inspection and Enforcement Program</p> <p>a. Inspection reports</p> <p>b. PN of event</p> <p>c. Notice of violation/nonconformance (may include proposed imposition of civil penalty)</p> <p>d. Licensee or vendor response to the notice of violation</p> <p>e. NRC acknowledgement of receipt of the licensee response to the notice of violation/nonconformance</p> |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NMSS | |
| Y | Y | | | NRR/NMSS RGN | |
| Y | Y | | | RGN | |
| Y | Y | | | OE/RGN | |
| Y | Y | | | OE/RGN | |
| Y | Y | | | OE/RGN | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NUDOCS | PDR / LPDR | CATEGORY | GPO / NTIS | OFFICE | NUDOCS PDR/LPDR | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
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| | | | | | FILE CATEGORIES | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | F. Documents relating to the issuance of a license to receive and dispose of low-level radioactive waste (Part 61); includes existing documents placed in the PDR under Docket 27. (Documents are placed under the docket number for all licensees.) (continued) | |
| Y | Y | | | NRR/NMSS/OE | f. | Orders |
| Y | Y | | | RGN | g. | Licensee response to an order |
| Y | Y | | | NRR/NMSS | h. | Bulletins |
| Y | Y | | | RGN | i. | Licensee response to a bulletin |
| Y | Y | | | NRR/NMSS | j. | Information notices |
| Y | Y | | | OE | k. | Notification of significant enforcement action (to be submitted to the PDR and the LPDR after the enforcement action has been taken) |
| Y | Y | | | NMSS | l. | Confirmatory action prepared |
| Y | Y | | | NMSS | m. | Meeting notices and summaries |
| | | | | | 7. | Documents relating to other general waste management activities, and so forth (low-level waste [LLW] and uranium recovery) |
| Y | Y | | | NMSS | a. | LLW land disposal licenses (Dockets 27 and 61), amendments, and related correspondence |
| Y | Y | | | NMSS | b. | Meeting notices and summaries |
| Y | Y | | | NMSS | c. | Topical reports and related correspondence |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NUDOCS | PDR/LPDR | FILE CATEGORIES | GPO/NTIS | OFFICE | NUDOCS PDR/LPDR | FILE CATEGORIES | GPO/NTIS |
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| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | <p><i>F. Documents relating to the issuance of a license to receive and dispose of low-level radioactive waste (Part 61); includes existing documents placed in the PDR under Docket 27. (Documents are placed under the docket number for all licensees.) (continued)</i></p> <p>8. All correspondence related to the DOE Uranium Mill Tailings Remedial Action Program (UMTRAP) that is not of a proprietary nature, as designated in writing by DOE</p> <p>a. Correspondence between NRC and DOE related to UMTRAP</p> <p>b. DOE cooperative agreements</p> | | |
| Y | Y | | | NMSS | | | |
| Y | Y | | | NMSS | | | |
| | | | | | <p><i>G. Documents relating to the issuance of an approval of the design of a package for use in delivering licensed nuclear material to a carrier for transportation (Part 71)</i></p> <p>1. Review of the design application</p> <p>a. Application, safety analysis report, and supporting documents</p> <p>b. Supplements to the application</p> <p>c. Correspondence from the NRC to the applicant regarding the application and its supplements, including questions submitted for the applicant's response</p> <p>d. Correspondence from the applicant to the NRC regarding the application and its supplements, including answers submitted by the NRC for response</p> <p>e. Correspondence to and from State and local governments relating to the application (if applicable)</p> <p>f. Certificate of compliance</p> | | |
| Y | Y | | | NMSS | | | |
| Y | Y | | | NMSS | | | |
| Y | Y | | | NMSS | | | |
| Y | Y | | | NMSS | | | |
| Y | Y | | | NMSS | | | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR /LPDR | C A T E G O R I E S | G P O /NTIS | OFFICE | NUDOCS |
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| | | | | | PDR/LPDR |
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
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| | | | | | G. Documents relating to the issuance of an approval of the design of a package for use in delivering licensed nuclear material to a carrier for transportation (Part 71) (continued) |
| | | | | | 2. Review of the quality assurance program |
| Y | Y | | | NMSS | a. Application and supporting documents |
| Y | Y | | | NMSS | b. Supplements to the application |
| Y | Y | | | NMSS | c. Correspondence from the NRC to the applicant regarding the application and its supplements, including questions submitted for the applicant's response |
| Y | Y | | | NMSS | d. Correspondence from the applicant to the NRC regarding the application and its supplements, including answers submitted by the NRC for response |
| Y | Y | | | NMSS | e. Correspondence to and from State and local governments relating to the application (if applicable) |
| Y | Y | | | NMSS | f. Quality assurance program approval |
| Y | Y | | | NMSS | 3. Registration for use of an approved package |
| | | | | | 4. Documents relating to the NRC Inspection and Enforcement Program |
| Y | Y | | | NRR/NMSS/ RGN | a. Inspection reports |
| Y | Y | | | RGN | b. PN of event |
| Y | Y | | | OE/RGN | c. Notice of violation/nonconformance (may include proposed imposition of civil penalty) |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
|----------------|--------------------------------------|------------------------------------------------|--------------------------------------|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR | |
| | | | | | FILE | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | CATEGORIES | |
| | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | G. Documents relating to the issuance of an approval of the design of a package for use in delivering licensed nuclear material to a carrier for transportation (Part 71) (continued) | |
| Y | Y | | | OE/RGN | | d. Licensee or vendor response to the notice of violation. |
| Y | Y | | | OE/RGN | | e. NRC acknowledgement of receipt of the licensee response to the notice of violation/nonconformance |
| Y | Y | | | NRR/NMSS/OE | | f. Orders |
| Y | Y | | | RGN | | g. Licensee (certificate holder, vendor, fabricator) response to an order |
| Y | Y | | | NRR/NMSS | | h. Bulletins |
| Y | Y | | | RGN | | i. Licensee (certificate holder, vendor, fabricator) response to a bulletin |
| Y | Y | | | NRR/NMSS | | j. Information notices |
| Y | Y | | | OE | | k. Notification of significant enforcement action (to be submitted to the PDR and the LPDR after the enforcement action has been taken) |
| Y | Y | | | NRR/NMSS | | l. Confirmatory action prepared |
| Y | Y | | | NRR/NMSS/ RGN | | m. Meeting notices and summaries |
| Y | Y | | | NMSS | | 5. Licensee (certificate holder, vendor, fabricator) reports |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR /LPDR | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
|------------|--------------|------------------------------------------------|--------------------------------------|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR |
| | | | | | <p>Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
| | | | | | <p>H. Documents relating to the review of the application for issuance of a license to operate an independent spent fuel storage facility (Part 72). (Documents are placed under the docket number for all licensees.)</p> <ol style="list-style-type: none"> 1. Review of the safety analysis report and other technical information <ol style="list-style-type: none"> a. Application, safety analysis report, and supporting documents b. Supplements to the application c. Correspondence from the NRC to the applicant regarding the application and its supplements, including questions submitted for the applicant's response d. Correspondence from the applicant to the NRC regarding the and its supplements, including answers submitted by the NRC for application response e. Correspondence to and from State and local governments relating to the application (if applicable) f. SER g. License/certificate of compliance 2. Review of the applicant's environmental report <ol style="list-style-type: none"> a. Environmental report and supporting documents b. Supplements to the environmental report c. Correspondence from the NRC to the applicant regarding the environmental report, its supplements, and other supporting information, including questions sent to the applicant for response |
| Y | Y | K/Y | | NMSS | |
| Y | Y | Y | | NMSS | |
| Y | Y | Y | | NMSS | |
| Y | Y | Y | | NMSS | |
| Y | Y | Y | | NMSS | |
| Y | Y | E | | NMSS | |
| Y | Y | Y | | NMSS | |
| Y | Y | C | | NMSS | |
| Y | Y | C | | NMSS | |
| Y | Y | C | | NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR / LPDR | FILE | CATEGORIES | GPO/ NTIS | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|------------|------------------|------|------------|--------------|--------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | | <p>H. Documents relating to the review of the application for issuance of a license to operate an independent spent fuel storage facility (Part 72). (Documents are placed under the docket number for all licensees.) <i>(continued)</i></p> <p>d. Correspondence from the applicant to the NRC regarding the environmental report, its supplements, and other supporting information, including answers to questions submitted by the NRC</p> <p>e. Report of site visits</p> <p>f. Meeting notices and summaries of public meetings (if applicable)</p> <p>g. DEIS and supplements</p> <p>h. Comments on the DEIS from individuals; State, local, and Federal agencies; industry; and other organizations</p> <p>i. FEIS and supplements</p> <p>j. Environmental assessment and finding of no significant impact (when applicable)</p> <p>3. Review of proposed amendments to a license/certificate of compliance</p> <p>a. Proposed amendment</p> <p>b. Correspondence from the NRC to the licensee regarding the proposed amendment, including questions submitted for the applicant's response</p> <p>c. Correspondence from the licensee to the NRC regarding the proposed amendment, including answers to questions submitted by the NRC</p> |
| Y | Y | C | | | NMSS | |
| Y | Y | Y | | | NMSS | |
| Y | Y | D | | | NMSS | |
| Y | Y | D | | | NMSS | |
| Y | Y | D | | | NMSS | |
| Y | Y | D | | | NMSS | |
| Y | Y | Y | | | NMSS | |
| Y | Y | Y | | | NMSS | |
| Y | Y | Y | | | NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR /LPDR | C A T E G O R I E S | G P O /NTIS | OFFICE | NUDOCS |
|------------|--------------|------------------------------------------------|----------------------|--------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR |
| | | | | | FILE CATEGORIES |
| | | | | | GPO/NTIS |
| | | | | | <p>Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
| | | | | | <p>H. Documents relating to the review of the application for issuance of a license to operate an independent spent fuel storage facility (Part 72). (Documents are placed under the docket number for all licensees.) (continued)</p> <p>d. SER</p> <p>e. Amendment to a license/certificate of compliance</p> <p>4. Documents relating to emergency plans and amendments to emergency plans</p> <p>a. Emergency plans</p> <p>b. Amendments to emergency plans</p> <p>c. Correspondence from the NRC to the applicant/licensee/certificate holder regarding the plan or amendment, including questions submitted for response</p> <p>d. Correspondence from the applicant/licensee/certificate holder to the NRC regarding the plan or amendment, including answers to questions submitted by the NRC for response</p> <p>e. Correspondence to and from State and local governments relating to the plan or amendment</p> <p>f. Documents received from FEMA that relate to a specific nuclear power plant or nuclear power plant site</p> |
| Y | Y | E | | NMSS | |
| Y | Y | Y | | NMSS | |
| Y | Y | F | | NMSS | |
| Y | Y | F | | NMSS | |
| Y | Y | F | | NMSS | |
| Y | Y | F | | NMSS | |
| Y | Y | F | | NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P DR / LP DR | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
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| | | | | | PDR/LPDR | |
| | | | | | FILE CATEGORIES | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | H. Documents relating to the review of the application for issuance of a license to operate an independent spent fuel storage facility (Part 72). (Documents are placed under the docket number for all licensees.) <i>(continued)</i> | |
| Y | Y | Y | | NMSS | 5. | Documents relating to the review of the decommissioning plan |
| Y | Y | Y | | NMSS | a. | Application and supporting documents |
| Y | Y | Y | | NMSS | b. | Supplements to the application |
| Y | Y | Y | | NMSS | c. | Correspondence from the NRC to the applicant regarding the application and its supplements, including questions submitted for the applicant's response |
| Y | Y | Y | | NMSS | d. | Correspondence from the applicant to the NRC regarding the application and its supplements, including answers submitted by the NRC for response |
| Y | Y | Y | | NMSS | e. | Correspondence to and from State and local governments relating to the application (if applicable) |
| Y | Y | R | | NMSS | 6. | Periodic reports submitted by the licensee/certificate holder |
| Y | Y | G | | SECY | 7. | Documents related to hearings to obtain a construction permit, including the construction permit. Documents involved in proceedings, including hearings, before ASLBP and the Commission review of board decisions. |
| Y | Y | G | | SECY | a. | Request for a hearing |
| Y | Y | G | | SECY | b. | Board notifications |
| Y | Y | G | | SECY | c. | Motions, petitions, interrogatories, answers, discovery requests, requests for admission, and requests to make a limited appearance |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| N U D O C S | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|------------------------------------------------|--------------------------------------|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>H. Documents relating to the review of the application for issuance of a license to operate an independent spent fuel storage facility (Part 72). (Documents are placed under the docket number for all licensees.) <i>(continued)</i></p> | | | | | |
| Y | Y | G | | SECY | d. Briefs, testimony, and statements of the applicant, NRC staff, and other parties |
| Y | Y | T | | ASLBP | e. Transcripts of hearings |
| Y | Y | G | | SECY | f. Orders, opinions, and decisions of the boards and the Commission, including those directing the issuance of a construction permit, an operating license, and amendments to the construction permit and the operating license |
| Y | Y | G | | SECY | g. Other filings and documentation submitted by parties to the proceedings to the boards |
| Y | Y | Y | | NMSS | h. License |
| 8. Documents relating to the NRC Inspection and Enforcement Program | | | | | |
| Y | Y | Q | | NRR/NMSS/ RGN | a. Inspection reports |
| Y | Y | Q | | RGN | b. PN of event |
| Y | Y | Q | | OE/RGN | c. Notice of violation/nonconformance (may include proposed imposition of civil penalty) |
| Y | Y | Q | | OE/RGN | d. Licensee/certificate holder (vendor or fabricator) or vendor response to the notice of violation |

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| N U D O C S | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS PDR/LPDR FILE CATEGORIES GPO/NTIS | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
|----------------------------|--------------------------------------|------------------------------------------------|--------------------------------------|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | H. Documents relating to the review of the application for issuance of a license to operate an independent spent fuel storage facility (Part 72). (Documents are placed under the docket number for all licensees.) <i>(continued)</i> | |
| Y | Y | Q | | OE/RGN | e. | NRC acknowledgement of receipt of the licensee/certificate holder response to the notice of violation/nonconformance |
| Y | Y | Q | | NRR/NMSS/OE | f. | Orders |
| Y | Y | Q | | RGN | g. | Licensee/certificate holder response to an order |
| Y | Y | Q | | NRR/NMSS | h. | Bulletins |
| Y | Y | Q | | RGN | i. | Licensee/certificate holder response to a bulletin |
| Y | Y | Q | | NRR/NMSS | j. | Information notices |
| Y | Y | Q | | OE | k. | Notification of significant enforcement action (to be submitted to the PDR and the LPDR after the enforcement action has been taken) |
| Y | Y | Q | | NRR/NMSS | l. | Confirmatory action prepared |
| Y | Y | Q | | NRR/NMSS RGN | m. | Meeting notices and summaries |
| | | | | | I. Documents relating to the approval of routes for the transport of spent fuel (filed in the PDR under Part 71 but considered a Part 73 approval) | |
| Y | Y | | | NMSS | 1. | Route approval letter to licensee with accompanying strip charts (only after 10 days of the last of a series of shipments in a shipping campaign) |

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| | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| J. Documents relating to the pre-licensing activities involving the high-level waste repository (Part 60) | | | | | | |
| Y | Y | WM | | NMSS | 1. | Technical high-level waste site/project-specific pre-licensing documentation, including, but not limited to, the following: |
| | | | | | a. | Nuclear Regulatory Commission/Department of Energy (NRC/DOE) meeting minutes and trip reports related to public meetings, workshops, and site visits |
| | | | | | b. | State and Indian Tribe comments and correspondence |
| | | | | | c. | Technical positions and review plans |
| | | | | | d. | NRC/DOE memoranda of understanding and interagency agreements |
| | | | | | e. | DOE environmental assessments, both draft and final |
| | | | | | f. | DOE site characterization plans, study plans, and site characterization program plans |
| | | | | | g. | NRC site characterization analyses and comments on study plans |
| Y | Y | WM | | NMSS | 2. | DOE siting guidelines |
| | | | | | a. | NRC comments sent to DOE on the guidelines |
| | | | | | b. | Comments received by NRC on the guidelines |
| | | | | | c. | Meeting minutes and trip reports related to the guidelines |
| Y | Y | WM | | NMSS | 3. | DOE mission plan and program plan |
| | | | | | a. | NRC comments sent to DOE on the mission plan |
| | | | | | b. | Comments received by NRC on the mission plan |
| | | | | | c. | Meeting minutes and trip reports related to the mission plan |

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| NU DOCS | PDR / LPDR | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS PDR/LPDR |
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| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCs microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
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| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | J. Documents relating to the pre-licensing activities involving the high-level waste repository (Part 60) (continued) |
| Y | Y | WM | | NMSS | 4. DOE project decision schedule (PDS) |
| Y | Y | WM | | NMSS | a. NRC comments sent to DOE on the PDS |
| Y | Y | WM | | NMSS | b. Comments received by NRC on the PDS |
| | | | | | c. Meeting minutes and trip reports related to the PDS |
| Y | Y | WM | | NMSS | 5. Environmental Protection Agency (EPA) high-level waste (HLW) standard |
| | | | | | a. NRC comments sent to EPA regarding proposed or final EPA HLW standard |
| Y | Y | WM | | NMSS | 6. NRC HLW technical contractor documents |
| | | | | | a. Incoming and outgoing technical contractor correspondence and reports related to the HLW pre-licensing program |
| Y | Y | WM | | NMSS | 7. Generic HLW technical positions and review plans released for public comment |
| Y | Y | WM | | NMSS | 8. Other HLW documents between NRC and DOE or other Federal agencies, including, but not limited to, the following: |
| | | | | | a. NRC comments on major Federal agency documents, such as the EPA HLW standard, the DOE Viability Assessment, Draft License Application and Environmental Impact Statement |
| Y | Y | WM | | NMSS | b. NRC/DOE procedural agreements |
| Y | Y | WM | | NMSS | c. NRC/DOE communications related to the HLW pre-licensing process |

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| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
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| | | | | | PDR/LPDR |
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| | | | | | K. Documents relating to the issuance of licenses to export or import nuclear materials or components for nuclear facilities (Part 110) |
| Y | Y | | | OIP | 1. Application for a license or proposed amendments to a license |
| Y | Y | | | OIP | 2. <i>Federal Register</i> notice of application (when required for major application) |
| Y | Y | | | OIP | 3. Correspondence from the NRC to applicant/licensee regarding the application or proposed amendment, including questions submitted for response |
| Y | Y | | | OIP | 4. Correspondence from the applicant/licensee regarding the application or proposed amendment, including answers to questions submitted by NRC for response |
| Y | Y | | | OIP | 5. Correspondence from the NRC to the Department of State for executive branch views or to DOE as an assurance letter |
| Y | Y | | | OIP | 6. Correspondence to the NRC from the Department of State containing executive branch views or from DOE as an assurance letter |
| Y | Y | | | OIP | 7. Any correspondence from a member of the public, foreign governments, or international organizations regarding the application or proposed amendment |
| Y | Y | | | SECY | 8. Staff papers (SECY series) prepared for the Commission's review of an export or import application for a license or proposed amendment to a license (placed in the PDR three (3) days after receipt by the Commissioners) |
| Y | Y | | | SECY | 9. Commission decision memorandum on issuance of an application for a license or proposed amendment to a license transmitted by the Office of the Secretary to the Executive Director for Operations |

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| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | <i>K. Documents relating to the issuance of licenses to export or import nuclear materials or components for nuclear facilities (Part 110) (continued)</i> |
| Y | Y | | | SECY | 10. Documents relating to proceedings before ASLBP |
| Y | Y | | | SECY | a. Request for a hearing |
| Y | Y | | | SECY | b. Board notifications |
| Y | Y | | | SECY | c. Motions, petitions, interrogatories, answers, discovery requests, requests for admission, and requests to make a limited appearance |
| Y | Y | | | SECY | d. Briefs, testimony, and statements of the applicant, NRC staff, and other parties |
| Y | Y | | | ASLBP | e. Transcripts of hearings |
| Y | Y | | | SECY | f. Orders, opinions, and decisions of the boards and the Commission, including those directing the issuance of a construction permit, the operating license, and amendments to the construction permit and the operating license |
| Y | Y | | | SECY | g. Other filings and documentation submitted by parties to the proceedings to the boards |
| Y | Y | | | OIP | 11. License or amendment to a license |

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| | | | | | <p>Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
| | | | | | <p><i>L. Documents relating to the Agreement State Program, State liaison activities, and State and local government radiological emergency response planning (Memoranda of Understanding and Interagency Agreements with Federal Agencies [e.g., Department of Transportation (DOT), DOE, EPA, Occupational Safety and Health Administration (OSHA), FEMA, and Department of Defense (DOD)])</i></p> <ol style="list-style-type: none"> 1. Agreement State Program documents <ol style="list-style-type: none"> a. Agreements between NRC and States to license source, byproduct, and special nuclear material (pursuant to Sec. 274 of the Atomic Energy Act of 1954, as amended) b. Letters to States reporting NRC's reviews of the Agreement State Program, State responses, and other documents related to NRC's reviews c. "Licensing Statistics and other Data" reports 2. State liaison activities <ol style="list-style-type: none"> a. Memoranda of understanding b. Other State communications 3. State and local government radiological emergency response planning <ol style="list-style-type: none"> a. NUREG reports and supplements b. Other reports and studies c. Analyses of State radiological emergency response planning capabilities |
| Y | Y | | | OSP | |
| Y | Y | | | OSP | |
| Y | Y | | | OSP | |
| Y | Y | | | OSP | |
| Y | Y | | | OSP | |
| Y | Y | | | NRR | |
| Y | Y | | | NRR | |
| Y | Y | | | NRR | |

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| | | | | | <p><i>M. Records relating to the activities of the Commissioners</i></p> <ol style="list-style-type: none"> 1. Commission papers (If the document contains withholdable or sensitive material, a statement must be included on the front page and all applicable pages stating that the document must not be released.) <ol style="list-style-type: none"> a. All SECY papers that do not contain withholdable (adjudicatory, enforcement or investigatory, attorney-client or legal work product, classified or proprietary, and personal privacy) or particularly sensitive material will be made publicly available immediately after final Commission action, unless noted otherwise below. b. Information papers that do not contain sensitive or withholdable information as noted above will be made publicly available 10 business days after issuance of the paper. 2. Staff requirements memoranda and Commission voting records on the releasable SECY papers (1 above) will be released immediately after final Commission action. 3. Commission Action Memoranda (COMs) that do not contain withholdable (adjudicatory, enforcement or investigatory, attorney-client or legal work product, classified or proprietary, and personal privacy) or particularly sensitive material will be released immediately after final Commission action. 4. Documents relating to Commission meetings that must be disclosed under the Government in the Sunshine Act. <ol style="list-style-type: none"> a. <i>Federal Register</i> Sunshine Meeting Announcements b. General Counsel's certification of closed Commission meetings. |
| Y | Y | | | SECY | |
| Y | Y | | | SECY | |
| Y | Y | | | SECY | |
| Y | Y | | | SECY | |
| Y | Y | | | SECY | |
| Y | Y | | | SECY | |

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| | | | | | <i>M. Records relating to the activities of the Commissioners (continued)</i> |
| Y | Y | | | SECY | c. Full written explanations of closed Commission meetings, including Commissioner votes on closing of meeting |
| Y | Y | | | SECY | d. Transcripts of closed Commission meetings (after exempted material is deleted) |
| Y | Y | | | SECY | e. Transcripts of open Commission meetings |
| Y | Y | | | SECY | 5. Staff requirements memorandum issued as a result of an open Commission meeting |
| Y | Y | | | SECY | 6. Staff documents disclosed at open Commission meetings, such as briefing charts, background documents, and so forth |
| Y | Y | | | SECY | 7. Documents, including vote sheets of individual Commissioners, released publicly at the Commissioner's direction |
| | | | | | <i>N. Congressional and Executive correspondence</i> |
| Y | Y | | | SECY | 1. Correspondence to and from congressional committees having oversight responsibilities for NRC operations (to be placed in the PDR 5 working days after the NRC reply is sent to the committee) |
| Y | Y | | | SECY | 2. Other correspondence sent from the Commission's Chairman to members of the Congress regarding public health, safety of nuclear facilities, safeguards of nuclear facilities and materials, or export/import of nuclear commodities, and license fee (to be placed in the PDR 5 working days after material is sent to a member of Congress) |
| Y | Y | | | SECY | 3. Other Executive correspondence and replies signed by the Chairman to be placed in the PDR 5 working days after material is sent to the recipient. |

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| | | | | | PDR/LPDR |
| | | | | | FILE CATEGORIES |
| | | | | | GPO/NTIS |
| Y | Y | | | * | <p>O. Documents relating to the Commission Federal Advisory Committees (FACs)</p> <ol style="list-style-type: none"> 1. Open committee minutes and transcripts 2. Open subcommittee and working group minutes and transcripts 3. Committee reports and letters (Reports which contain proprietary or classified material will not be placed in the PDR unless the proprietary or classified material is redacted from the reports) <ol style="list-style-type: none"> a. ACRS reports and letters b. ACNW reports and letters 4. Consultant reports (Reports that contain proprietary or classified material will not be placed in the PDR unless the proprietary or classified material is redacted from the reports.) 5. <i>Federal Register</i> notices relating to committee meetings 6. Meeting agenda 7. Advisory committee charters 8. Documents provided to committees 9. Documents considered by committees at meetings |
| Y | Y | | | * | |
| Y | Y | | | * | |
| Y | Y | | | ACRS | |
| Y | Y | | | ACNW | |
| Y | Y | | | * | |
| Y | Y | | | SECY | |
| Y | Y | | | * | |
| Y | Y | | | * | |

*Documents are generated from any of the following advisory committees: Advisory Committee on Reactor Safeguards (ACRS), Advisory Committee on Nuclear Waste (ACNW), Advisory Committee on Medical Uses of Isotopes, Advisory Committee for the Decontamination of Three Mile Island (Unit 2), and Licensing Support System Advisory Review Panel.

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| | | | | | PDR/LPDR | |
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| | | | | | P. Documents relating to the issuance of NRC regulations, regulatory guides, and generic requirements | |
| Y | Y | | | SECY | 1. Documents relating to the issuance of NRC regulations | |
| Y | Y | | | SECY/ADM | a. Proposed rule and associated documents, including the regulatory analysis and the <i>Federal Register</i> notice of its issuance and availability | |
| Y | Y | | | SECY | b. Comments on the proposed rule submitted by members of the public, State, local and Federal agencies, industry, and other organizations | |
| Y | Y | | | SECY | c. Requests for written interpretation of the proposed rule | |
| Y | Y | | | SECY/ADM | d. Final rule as issued and associated documents, including the regulatory analysis and history, and <i>Federal Register</i> notice of its issuance | |
| Y | Y | | | SECY | e. Documents pertaining to public Commission meetings held at the discretion of the Commission on proposed changes to the Commission's regulations | |
| Y | Y | | | ADM/CIO | f. Title 10 of the <i>Code of Federal Regulations</i> (only available at the PDR) | |
| | | | | | 2. Documents relating to petitions for rulemaking | |
| Y | Y | | | SECY/ADM | a. Petition to the NRC to issue, amend, or rescind an NRC regulation | |
| Y | Y | | | SECY/ADM | b. <i>Federal Register</i> notice of the filing of the petition | |
| Y | Y | | | ADM | c. Correspondence with the petitioner regarding the status and content of the petition | |
| Y | Y | | | SECY | d. Comments on the petition for rulemaking submitted by members of the public; State, local, and Federal agencies; industry; and other organizations | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P DR / LP DR | F I L E | C A T E G O R I E S | G P O / N T I S | OFFICE | <p>NUDOCS PDR/LPDR Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>FILE CATEGORIES Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>GPO/NTIS Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
|----------------|--------------------------|------------------|------------------------------------------------|--------------------------------------|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | | <p><i>P. Documents relating to the issuance of NRC regulations, regulatory guides, and generic requirements (continued)</i></p> <p>3. Documents relating to issuance of regulatory guides</p> <p>a. Proposed regulatory guides and associated documents, including the regulatory analysis and the <i>Federal Register</i> notice of its issuance and availability</p> <p>b. Comments on the proposed guide submitted by members of the public; State, local, and Federal agencies; industry; and other organizations on the proposed regulatory guide</p> <p>c. Summaries of public meetings held by the NRC regarding the proposed regulatory guide</p> <p>d. Regulatory guide (as issued)</p> <p>4. Documents relating to the activities of the Committee to Review Generic Requirements</p> <p>a. Meeting notice transmittal memorandum without attached proposed generic requirements (attached proposed generic requirements are placed in the PDR after the NRC has considered the proposed requirements in a public forum or has decided the matter addressed in the proposed requirements)</p> <p>b. Meeting minutes transmittal memorandum without attached minutes (attached meeting minutes placed in the PDR after the NRC has decided the matter addressed in the minutes)</p> |
| Y | Y | | | | RES/NMSS | |
| Y | Y | | | | RES/NMSS | |
| Y | Y | | | | RES/NMSS | |
| Y | Y | | | | RES/NMSS | |
| Y | Y | | | | CRGR | |
| Y | Y | | | | CRGR | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NUDOCS | PDR/LPDR | CATEGORIES | GPO/NTIS | OFFICE | NUDOCS |
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| | | | | | PDR/LPDR |
| | | | | | <p>Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR).</p> <p>Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories.</p> <p>Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS).</p> |
| | | | | | <p>Q. NRC solicitation and contract award documents and contractor-developed reports</p> <ol style="list-style-type: none"> 1. Documents relating to the award of a contract <ol style="list-style-type: none"> a. Solicitation documents (requests for proposals) (are maintained in the PDR until solicitation closing date) b. Contract and contract modifications 2. Documents received under NRC research and technical assistance contracts or interagency agreements <ol style="list-style-type: none"> a. Final contractor reports published in the NUREG series b. Research information letters <p>R. Documents relating to vendor activities</p> <ol style="list-style-type: none"> 1. Vendor quality assurance program <ol style="list-style-type: none"> a. Inspection reports by NRC b. Notices of deviation c. Correspondence with the vendor associated with inspection findings, including corrective actions to be taken by the vendor 2. Documents relating to vendor topical reports <ol style="list-style-type: none"> a. Topical reports submitted by vendors b. Revisions and modifications to topical reports c. Correspondence from the NRC to vendors regarding topical reports; including questions submitted by the NRC for response |
| Y | Y | | | ADM | |
| Y | Y | | | ADM | |
| Y | Y | | | RES/NMSS | |
| Y | Y | | | RES/NMSS | |
| Y | Y | | | NRR/NMSS | |
| Y | Y | | | NRR/NMSS | |
| Y | Y | | | NRR/NMSS | |
| Y | Y | | | NRR/NMSS | |
| Y | Y | | | NRR/NMSS | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
|----------------|--------------------------------------|------------------------------------------------|--------------------------------------|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR |
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| Y | Y | | | NRR/NMSS | <p>R. Documents relating to vendor activities (continued)</p> <p>d. Correspondence from vendors to the NRC regarding topical reports, including answers to questions submitted by the NRC for response</p> <p>e. Meetings agenda, summaries, and minutes of meeting regarding the content of topical reports</p> <p>S. Freedom of Information Act documents</p> <p>1. Freedom of Information Act requests, appeals, and responses, and records disclosed in response to Freedom of Information requests relating to public health, safety, and safeguards of nuclear facilities and material</p> <p>T. NRC reports, publications, and directives</p> <p>1. NRC administrative documents</p> <p>a. NRC management directives and handbooks (only available at the PDR)</p> <p>b. NRC Staff Practice and Procedure Digest (NUREG-0386)</p> <p>c. NRC organizational charts (NUREG-0325) (current copy maintained in the PDR)</p> <p>d. Press releases (only available at the PDR)</p> <p>e. Plant status reports (only available at the PDR)</p> <p>f. Inspection manual (only available at the PDR)</p> <p>g. Enforcement manual</p> <p>h. Roster of utilities</p> <p>i. Rules and regulations</p> |
| Y | Y | | | NRR/NMSS | |
| Y | Y | | | CIO | |
| | Y | | | ADM | |
| Y | Y | | | OGC | |
| Y | Y | | | HR | |
| | Y | | | OPA | |
| | Y | | | AEOD | |
| | Y | | | NRR | |
| | | | | OE | |
| | | | | NRR | |
| | | | | ADM | |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DOCS | PDR /LPDR | FILE | CATEGORIES | GPO/NTIS | OFFICE | NUDOCS | Document is entered into the NRC Nuclear Documents System (NUDOCS). |
|------------|--------------|------|------------|----------|---------|-----------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | | PDR/LPDR | Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | | FILE CATEGORIES | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | | GPO/NTIS | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | | T. NRC reports, publications, and directives (continued) | |
| Y | Y | | | Y | ADM | j. | Title List of Documents Made Publicly Available (NUREG-0540 series) |
| Y | Y | | | Y | EDO | k. | Weekly Information Report (version released for public dissemination) |
| | Y | | | Y | CIO | l. | Media Monitor (only available at the PDR) |
| Y | Y | | | Y | CIO | m. | Regulatory and Technical Reports (Abstract Index Journal) (NUREG-0304 series) |
| | | | | | | 2. | Published rules, regulations, orders, branch technical positions, and regulatory guides |
| Y | Y | | | Y | ADM | a. | NRC Rules and Regulations |
| Y | Y | | | Y | CIO | b. | NRC Issuances (NUREG-0750 series) |
| Y | Y | | | | ADM | c. | Branch technical positions |
| Y | Y | | | Y | RES/ADM | d. | NRC regulatory guides |
| | | | | | | 3. | NRC final reports (NUREG reports) |
| Y | Y | | | | CIO | a. | Draft reports on which public comments are solicited |
| Y | Y | | | | CIO | b. | Comments on drafts, reports that are submitted by members of the public, by State, local, and Federal agencies, by industry, and by other organizations |
| Y | Y | | | | CIO | c. | Final published reports |

DOCUMENTS ROUTINELY RELEASED TO THE PUBLIC

| NU DO CS | P D R / L P D R | C A T E G O R I E S | G P O / N T I S | OFFICE | NUDOCS |
|----------------|--------------------------------------|------------------------------------------------|--------------------------------------|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | | | | PDR/LPDR |
| | | | | | Document is entered into the NRC Nuclear Documents System (NUDOCS). Document is placed in the NRC Public Document Room (PDR) in paper copy and available in NUDOCS microfiche at the PDR and at each power reactor and high-level waste Local Public Document Room (LPDR). |
| | | | | | FILE |
| | | | | | CATEGORIES |
| | | | | | Filing code assigned to categories of documents under the docket file of each related to nuclear facility licensed under 10 CFR Part 50. See Exhibit 3 of this handbook for a listing of Docket 50 PDR file categories. |
| | | | | | GPO/NTIS |
| | | | | | Document is sold by the Government Printing Office (GPO) and the National Technical Information Service (NTIS). |
| | | | | | T. NRC reports, publications, and directives (continued) |
| | | | | | 4. NRC reports to the Congress |
| Y | Y | | Y | AEOD | a. Report to Congress on Abnormal Occurrences (NUREG-0090 series) |
| Y | Y | | Y | SECY | b. Annual Report on Administration of Government in the Sunshine Act |
| Y | Y | | Y | CIO | c. NRC Annual Report (NUREG-1145) |
| | | | | | 5. Indexes and Lists |
| | Y | | | ACRS | a. ACRS index through 10/92 (only available at the PDR) |
| | Y | | | OE | b. List of Civil Penalties (only available at the PDR) |
| | Y | | | NMSS | c. List of General Licensees (only available at the PDR) |
| | Y | | | NMSS | d. List of Docket 30, 40, and 70 licensees (only available at the PDR) |
| | Y | | | NRR | e. List of Operators (only available at the PDR) |
| Y | Y | | | CFO | U. Correspondence between the NRC and the applicant or licensee regarding license fees (to be placed in the docket file pertaining to the relevant application or license) |
| | | | | | V. Documents relating to 2.206 petitions |
| Y | Y | | | NRR/NMSS | 1. The 2.206 petition submitted to the NRC under 10 CFR 2.206 |
| Y | Y | | | SECY | 2. NRC responses to 2.206 petitions |

Exhibit 2

NRC Policy and Guidance Regarding Sensitive Information

| Document | Description |
|------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Management Directive (MD) 3.1— Freedom of Information Act (FOIA) | Defines responsibilities/authorities for processing FOIA requests and informs staff of the types of records that can be released or are exempt (FOIA exemptions included). |
| MD 3.2—Privacy Act | Ensures the lawful use of identifiable personal information. |
| MD 3.4—Release of Information to the Public | Provides policy guidance on the public release of information (e.g., draft, predecisional). Also includes information on the Nuclear Documents System (NUDOCS) and the Public Document Room (PDR). |
| MD 3.7—Unclassified Staff Publications in the NUREG Series | Ensures that sensitive unclassified information is not compromised by NRC release or publication of the information. |
| MD 3.8—Unclassified Contractor and Grantee Publications in the NUREG Series | Ensures that sensitive unclassified information is not compromised by NRC release or publication of the information. |
| MD 3.11—Conferences and Conference Proceedings | Ensures that classified or sensitive unclassified information is not released at public conferences or in publicly released conference proceedings. |
| MD 3.12—Handling and Disposition of Foreign Documents and Translations | Assigns responsibilities and establishes procedures for handling unclassified, sensitive unclassified, and classified foreign documents and their translations. |
| MD 3.23—Mail Management | Ensures that classified and unclassified sensitive information is not compromised by handling, marking, preparing, and transmitting such information. |
| MD 3.50—Document Management | Includes information on NUDOCS and guidelines protecting proprietary and copyrighted material. |
| MD 3.53—NRC Records Management Program | Fosters effective and efficient filing and records management practices, including the protection of sensitive unclassified information. |
| MD 7.4—Reporting Suspected Wrongdoing and Processing Office of the Inspector General (OIG) Referrals | Describes NRC management responsibilities in handling OIG investigative referrals and reports. |
| MD 8.8—Management of Allegations | Provides guidance for the allegations program, including the protection of allegeders' identities. |

**Volume 3, Part 1 – Publications, Mail, and Information Disclosure
Release of Information to the Public
Handbook 3.4 Exhibits**

| Document | Description |
|-------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| MD 8.9—Accident Investigation | Specifies that Director, Accident Review Group, is charged with preparing and reviewing all data for classified or sensitive unclassified information and distributing the investigation report and related documents. |
| MD 10.122—Employee Health Services Program (Manual Chapter 4161) | Addresses the confidentiality of health and medical records. |
| MD 10.159—Differing Professional Views or Opinions (DPV/DPO) | Includes guidance for determining which DPV/DPO documents or portions of documents should or should not be released to the public. |
| MD 11.1—NRC Acquisition of Supplies and Services | Includes guidance for ensuring that, when necessary, contractors are approved for access to sensitive unclassified information. |
| MD 11.7—NRC Procedures for Placement and Monitoring of Work with The U.S. Department of Energy (DOE) | Includes guidance for providing sensitive unclassified information (including proprietary and safeguards) to DOE. |
| MD 12—Glossary | Defines sensitive information. |
| MD 12.1—NRC Facility Security Program | Ensures that classified and sensitive unclassified information is protected from unauthorized disclosure. |
| MD 12.3—NRC Personnel Security Program | Provides effective controls to further protect classified and sensitive unclassified information. |
| MD 12.4—NRC Telecommunications Systems Security Program | Safeguards classified or sensitive unclassified information communicated over telecommunications systems (e.g., telephones, facsimiles, networks). |
| MD 12.5—NRC Automated Information Systems (AIS) Security Program | Safeguards AIS facilities and classified safeguards information (SGI) and sensitive unclassified information that is processed, stored, or produced on AISs. |
| MD 12.6—NRC Sensitive Unclassified Information Security Program | Includes guidance concerning required markings on proprietary and other documents. |
| Memorandum—FOIA Disclosure Policy, Office of the Executive Director for Operations, December 17, 1993 | Advises that “foreseeable harm” must be shown when withholding information from release (per Department of Justice and Presidential FOIA guidance). |
| NRC Enforcement Manual | Includes guidance on the proper handling and marking of predecisional enforcement information. |
| NRC Inspection Manual | Covers draft inspection reports, FOIA requests, and PDR releases. |
| NRC Yellow Announcement 21—Staff Internet Use, March 19, 1997 | Provides interim guidance concerning the use of the Internet and sensitive information. |
| Operating Reactor Project Manager’s Handbook | Includes guidance on how project managers should handle and process sensitive information and FOIA requests and allegations. |

**Volume 3, Part 1 – Publications, Mail, and Information Disclosure
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| Document | Description |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Commission Policy Statement on Protecting the Identity of Allegers and Confidential Sources | Provides the distinction between allegers and confidential sources and how the agency "protects" these two groups. |
| Code of Federal Regulations, Title 10, Energy | Provides guidance on public inspections, exemptions, requests for withholding official records, and public records provisions. |
| NUREG/BR-0027—NRC Security: You Are the Key | Provides general information regarding sensitive unclassified information at NRC. |
| NUREG/BR-0124—FOIA Handbook | Provides NRC policies/procedures regarding FOIA. Note: Per OGC, the Handbook is out of date and does not reflect current procedures. However, some NRC staff still find it a useful reference tool. |
| NUREG/BR-0168—Security Policy for Processing and Handling of Sensitive Unclassified Information in the Agency Upgrade of Technology for Office Systems (AUTOS)/Local Area Network (LAN) Environment | Provides information on processing and handling sensitive unclassified information in an AUTOS/LAN environment. |
| NUREG-0794—Protection of Unclassified Safeguards Information | Assists licensees and other persons who possess Safeguards Information in establishing an information protection system that satisfies the requirements of 10 CFR 73.21. |

Exhibit 3

Public Document Room Docket 50 Filing System Categories

Each nuclear power reactor has its own docket number under which all documents pertaining to that facility are filed.

Each docket file is divided into categories. These categories pertain either to different aspects of the licensing process or to different document types.

Docket 50 Categories

- A Application/Construction Stage Documents and Correspondence
- B Utility Preliminary Safety Analysis Reports (PSARs) and Amendments
- C Utility Environmental Reports, Amendments, and Correspondence
- D NRC Draft Environmental Impact Statements (DEISs), Final Environmental Impact Statements (FEISs), Supplements, and Correspondence
- E NRC Safety Evaluation Reports (SERs), Supplements, and Correspondence
- F Security, Medical, Emergency, and Fire Protection Plans, and Correspondence
- G Legal and Adjudicatory Correspondence
- H General Correspondence, including Citizens' Letters
- I Financial Information
- J Insurance and Indemnity Information
- K Utility Final Safety Analysis Reports (FSARs) and Amendments
- L Limited Work Authorizations (LWAs) and Correspondence
- M Antitrust Review and Antitrust Correspondence
- N Antitrust Hearing Transcripts, Testimony, and Briefings
- O Advisory Committee on Reactor Safeguards (ACRS) Reports and Correspondence
- P Operating License (OL) Stage Documents and Correspondence
- Q Inspection Reports; Systematic Assessments of Licensee Performance (SALP); NRC Bulletins, Information Notices, and Circulars; Civil Penalties; and Related Correspondence

**Volume 3, Part 1 – Publications, Mail, and Information Disclosure
Release of Information to the Public
Handbook 3.4 Exhibits**

Docket 50 Categories (continued)

- R Periodic Reports, including Operating Reports
- S Licensee Event Reports (LERs), Reportable Occurrences (ROs), Preliminary Notification of Occurrences (PNOs), Preliminary Notification of Safeguards Occurrences (PNSs), and Related Correspondence
- T Transcripts, Testimony, and Briefings on Non-Antitrust Matters
- U Congressional Correspondence
- V Licensing of Operators and Related Correspondence
- W Decommissioning Stage Documents
- WM Waste Management
- X Onsite Storage of Low-Level Waste
- Y Independent Spent Fuel Storage Installations

Nancy Duncan, OCIO
T-2 C8