

December 10, 2007

MEMORANDUM TO: Luis A. Reyes
Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits

SUBJECT: SYSTEM EVALUATION OF THE GENERAL
LICENSE TRACKING SYSTEM (OIG-04-A-24)

REFERENCE: DIRECTOR, OFFICE OF FEDERAL AND STATE
MATERIALS AND ENVIRONMENTAL
MANAGEMENT PROGRAMS, MEMORANDUM
DATED NOVEMBER 26, 2007

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated November 26, 2007. Based on this response, recommendations 1, 3, and 4 continue to be resolved. Recommendations 2 and 5 were closed previously. Please provide an updated status of the resolved recommendations by April 28, 2008.

If you have any questions or concerns, please call me at 415-5915.

Attachment: As stated

cc: V. Ordaz, OEDO
M. Malloy, OEDO
P. Tressler, OEDO

Audit Report

System Evaluation of the General License Tracking System (OIG-04-A-24)

Status of Recommendations

Recommendation 1: Update the GLTS Security Plan to describe all controls currently in place. In-place controls are those marked at least at Level 3 in the self-assessment, and that were documented as passed in the last Security Test and Evaluation Report or in any test and evaluation on controls added since publication of that report.

Response Dated
November 26, 2007:

Upon the reorganization of October 2006, the Office of Federal and State Materials and Environmental Management Programs (FSME) assumed responsibility for the General License Tracking System (GLTS) from the Office of Nuclear Material Safety and Safeguards (NMSS). As stated in the previous responses of August 31, 2005 (ML062500092), NMSS and now FSME accepts the significant security responsibilities required by the Federal Information Security Management Act (FISMA). NMSS staff examined the security-oriented GLTS weaknesses identified in OIG-04-A-24 and plans were established to appropriately address the reported recommendations and resolve those weaknesses. Plans to address the report's recommendations were tied to the need for GLTS to be re-accredited for operation by October 8, 2005. Re-accreditation would involve a complete redevelopment of all the security documentation as required by FISMA, including the security plan.

FSME proceeded to coordinate the GLTS security documentation redevelopment through a contract managed by OIS. Task order number T011 is now in place for the purpose of completion of the C&A process and preparation of deliverables for GLTS in compliance with FISMA guidance. Task order T011 has a period of performance through September 25, 2008. On October 31, 2007, FSME and OIS representatives met with the OIS contractor to kick off the work under the task order.

Audit Report

System Evaluation of the General License Tracking System (OIG-04-A-24)

Status of Recommendations

OIG Response: The proposed corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG receives and evaluates the updated GLTS Security Plan.

Status: Resolved.

Audit Report

System Evaluation of the General License Tracking System (OIG-04-A-24)

Status of Recommendations

<u>Recommendation 3:</u>	<p>Update the GLTS Business Continuity Plan to include the following changes:</p> <ul style="list-style-type: none">➤ Record modifications to the plan in a record of changes to include what changes were made (e.g., the page numbers or section numbers where the changes were made), why the changes were made (e.g., annual update or update during re-accreditation), and date of change.➤ Describe the methods used to notify recovery personnel during business and non-business hours.➤ Incorporate all teams roles and responsibilities and relevant points of contact information for team leaders, alternate team leaders, and team members.➤ Include procedures for restoring system operations, with a focus on how to clean the alternate site of any equipment or other materials belonging to the organization.
Response Dated November 26, 2007:	The details of this response are included under the status page addressing recommendation 1.
OIG Response:	The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG receives and evaluates the updated GLTS Business Continuity Plan.
Status:	Resolved.

Audit Report

System Evaluation of the General License Tracking System (OIG-04-A-24)

Status of Recommendations

<u>Recommendation 4:</u>	Update the GLTS Security Plan and/or GLTS self-assessment to consistently define the protection requirements (confidentiality, integrity, availability).
Response Dated November 26, 2007:	The details of this response are included under the status page addressing recommendation 1.
OIG Response:	The proposed corrective action addresses the intent of OIG's recommendation. This recommendation will be closed when OIG receives and evaluates the updated GLTS Security Plan with consistently defined protection requirements.
Status:	Resolved.