




UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
REGION I  
475 ALLENDALE ROAD  
KING OF PRUSSIA, PENNSYLVANIA 19406-1415

April 10, 1996

MEMORANDUM TO: Timothy C. Johnson, Section Leader,  
Low Level Waste and Decommissioning Projects Branch  
Division of Waste Management  
Office of Nuclear Materials Safety and Safeguards

FROM:  John D. Kinneman, Chief,  
Nuclear Materials Safety Branch  
Division of Nuclear Materials Safety  
Region I

SUBJECT: FINANCIAL ASSURANCE AUDIT

During the recent financial assurance audit you identified three Self-Guarantees and one Certificate of Deposit which require review by your staff. As discussed below, the original submissions from Merck, Sharp and Dohme, XenoBiotics, and Rohm and Haas were previously submitted for NMSS review. Region I used the results of your contractor's reviews in evaluating the licensees' later submissions.

In the course of our review of the results of your audit, we identified one additional Self-Guarantee (Colgate-Palmolive Company) which has not been submitted to NMSS for review. The appropriate documents for each licensee are enclosed as "packages." Brief descriptions follow:

Merck, Sharp and Dohme 29-00117-06 Self-Guarantee  
Research Laboratories

This package includes: the license; licensee's letter dated July 25, 1990 with enclosed DFP and Parent Company Guarantee; Region I memorandum dated August 8, 1991 transmitting licensee's submittal for review; ICF comments dated May 31, 1991; OGC comments dated July 30, 1991; Region I letter to the licensee dated August 17, 1993 requesting modification of the submittal; licensee's letter dated November 5, 1993, requesting an exemption and use of a Self-Guarantee with attachments (Items VI) a) and VI) b), or the Title Pages, of those attachments are missing and not included with the package); Region I memorandum dated December 8, 1993 transmitting the licensee's submittal to NMSS for review; Region I letter to the licensee dated May 31, 1994 accepting the submittal.

XenoBiotics 29-28053-01 Certificate of Deposit

This package includes: the license; licensee's letter dated September 18, 1990 with enclosures; licensee's letter dated September 26, 1990 with enclosed CD and escrow agreement; Region I memorandum dated

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November 30, 1990, to Louis Bykoski transmitting the licensee's submittal for review; ICF comments dated December 21, 1990; OGC comments dated February 6, 1991; Region I letter to the licensee dated August 24, 1993, requesting modification of the submittal; licensee's letter dated October 20, 1993, with enclosed DFP and survey; licensee's letter dated December 20, 1993, and enclosure, to amend documents; licensee's letter dated November 29, 1993, with enclosed CD, DFP and supporting documents, and STA; Licensee's letter dated December 20, 1993 modifying STA; Region I letter dated January 6, 1994 accepting the licensee's submittal.

**Rohm & Haas Res. Labs. 37-01665-01 Self-Guarantee**

This package includes: the license; licensee's letter dated July 26, 1990, with enclosures in support of a parent company guarantee; licensee's letter dated July 30, 1990, with enclosure clarifying information previously provided; Region I memorandum dated March 9, 1993, to T. Johnson requesting review of licensee's submission; ICF comments dated December 21, 1990; OGC comments dated February 6, 1991; Region I letter to the licensee dated June 8, 1993 requesting modification of the submittal; licensee's letter dated August 4, 1993, with enclosed documents in support of self-guarantee; Region I letter dated January 31, 1994, accepting the licensee's submittal.

**Martin-Marietta Corp. 37-02006-05 & Self-Guarantee  
37-02006-09**

For License No. 37-02006-05, this package includes: the license; Region I letter dated March 1, 1994 requesting submission of financial assurance; licensee's letter dated April 26, 1994, and attached DFP and Self-Guarantee; Region I letter dated May 31, 1994, accepting the licensee's submittal.

For License No. 37-02006-09, this package includes: the license; licensee's letter dated April 26, 1994, and attached DFP and Self-Guarantee; Region I letter dated June 15, 1995, accepting the licensee's submittal.

Since the licensee's April 26, 1994 submittal makes reference to previous GE submittals, the following are also enclosed: GE letters dated July 27, 1990, with enclosed Cost Estimates for GE Aerospace Decommissioning Funding Plan, April 4, 1991, with enclosed financial assurance documents and January 10, 1992, with enclosed cost estimate substantiation.

T.C. Johnson

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Colgate-Palmolive Co

29-00033-07

Self-Guarantee

This package includes: the license; licensee's letter dated March 29, 1994 with enclosed self-guarantee; Region I letter dated August 18, 1994 requesting modification of the licensee's submission; licensee's letter dated September 19, 1994 with enclosed additional information; Region I letter dated October 4, 1994 accepting licensee's submittal.

Let me know if further information is needed.

Enclosures: As Stated

cc: (w/o enclosures)

✓ C.W. Hehl, RI

J.H. Joyner, RI

L.M. Bykoski, NMSS