UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

OFFICE OF THE
INSPECTOR GENERAL

October 11, 2007

MEMORANDUM FOR: Vonna L. Ordaz
Assistant for Operations
Office of the Executive Director for Operations

FROM: Stephen D. Dingbaum
Assistant Inspector General for Audits
Office of the Inspector General

SUBJECT: STATUS OF OPEN AUDIT RECOMMENDATIONS – SEPTEMBER 2007

Attached is the OIG up-to-date status of all open audit recommendations as of the end of September 2007. Should you have any questions please feel free to contact Tony Lipuma at 301-415-5910.

Attachment: As stated

cc: M. Malloy, OEDO
P. Tressler, OEDO

Status of Audit Recommendations - Open Audits

Report Number & Title		Unresolved	Resolved	Closed	Total
OIG-01-A-03	Government Performance and Results Act: Review of the Fiscal	0	2	0	2
OIG-02-A-12	Review of ADAMS	0	1	0	1
OIG-03-A-01	Review of NRC's Handling and Marking of Sensitive Unclassifie	0	2	0	2
OIG-03-A-11	Use of E-Mail at NRC	0	1	0	1
OIG-03-A-15	Audit of NRC's Regulatory Oversight of Special Nuclear Materia	0	3	5	8
OIG-04-A-15	Review of NRC's Drug-Free Workplace Plan	0	3	0	3
OIG-04-A-20	Audit of NRC's Incident Response Program	0	5	12	17
OIG-04-A-21	System Evaluation of the Agencywide Documents Access and M	0	5	1	6
OIG-04-A-22	Independent Evaluation of NRC's Implementation of the Federal	0	5	11	16
OIG-04-A-24	Systems Evlaluation of the GeneralLicense Tracking System	0	3	2	5
OIG-05-A-05	Audit of NRC's Drug Testing Program	0	3	9	12
OIG-05-A-08	System Evaluation of the Integrated Personnel Security System (I	0	7	1	8
OIG-05-A-09	Audit of the Budget Formulation Process	0	3	1	4
OIG-05-A-13	Audit of NRC's Telecommunications Program	0	1	12	13
OIG-05-A-17	Audit of NRC's Decommissioning Program	0	1	3	4
OIG-05-A-18	Security Controls Over Personal Computers and Laptops - FISM/	0	8	0	8
OIG-05-A-19	Audit of NRC's Generic Communications Program	0	3	1	4
OIG-05-A-21	Independent Evaluation of NRC's Implementation of the Federal	0	9	1	10
OIG-06-A-06	Audit of NRC's Integrated Personnel Security System	0	3	14	17
OIG-06-A-09	Audit of NRC's Office of Nuclear Security and Incident Respons	0	4	2	6
OIG-06-A-10	Audit of the Development of the National Source Tracking Syste	0	1	1	2
OIG-06-A-11	Audit of the NRC Byproduct materials License Application and I	0	2	0	2
OIG-06-A-14	Evaluation of personal Privacy Information Found on NRC Netw	0	1	3	4
OIG-06-A-20	Audit of NRC's Implementation of Homeland Security Presidenti	0	1	5	6
OIG-06-A-21	Audit of NRC's Baseline Security and Safeguards Inspection Pro	0	5	3	8
OIG-06-A-22	Audit of NRC's Process for Releasing Commission Decision Doc	1	1	0	2
OIG-06-A-24	Evaluation of NRC's Use of Probabilistic Risk Assessment In Re	0	2	1	3
OIG-06-A-26	Independent Evaluation of NRC's Implementation of FISMA for	0	1	2	3
OIG-07-A-02	Audit of NRC's FY 2006 Financial Statements	0	4	1	5
OIG-07-A-05	Audit of NRC's Technical Training Center	0	9	2	11
OIG-07-A-06	Audit of NRC's Regulation of Nuclear Fuel Cycle Facilities	0	1	0	1
OIG-07-A-10	Audit of NRC's Badge Access System	0	15	2	17
OIG-07-A-11	Memorandum Report: Audit of NRC's Nuclear Material Events I	0	3	0	3
OIG-07-A-12	Summary Report on Byproduct Material Security and Control	0	1	0	1
OIG-07-A-13	Audit of NRC's Emergency Preparedness Program	0	2	0	2
OIG-07-A-14	Audit of NRC's Non-Capitalized Property	0	12	0	12
OIG-07-A-15	Audit of NRC's License Renewal Program	8	0	0	8
OIG-07-A-16	Audit of NRC's Process for Placing Documents in the ADAMS F	8	0	0	8
OIG-07-A-18	Assessment of Security at NRC Buildings	27	0	0	27
OIG-07-A-19	Independent Evaluation of NRC's Implementation of the Federal	15	0	0	15
Grand Total		**59**	**133**	**95**	**287**

Status of OIG Audit Recommendations

Rec #	Description	Responsible Office	OIG Correspondence	Agency Response Due	Agency Response Rece	Status
OIG-01-A-03 Government Performance and Results Act: Review of the Fiscal Year 1999 Performance Report (02/23/2001)						
1	Develop a Management Directive	OCFO	07/11/2007	10/31/2007		Resolved
3	Include guidance on reporting unmet goals	OCFO	07/11/2007	10/31/2007		Resolved
OIG-02-A-12 Review of ADAMS (06/12/2002)						
1	Finalize and issue MD 2.5	EDO,OIS	06/26/2007	10/05/2007		Resolved
OIG-03-A-01 Review of NRC's Handling and Marking of Sensitive Unclassified Information (10/16/2002)						
1	Update OUO Guidance	EDO	12/26/2006	12/31/2008		Resolved
2	Mandate defined markings	EDO	12/26/2006	12/31/2008		Resolved
OIG-03-A-11 Use of E-Mail at NRC (03/21/2003)						
1	Revise MD 3.53	EDO,OIS	04/20/2006	10/13/2006		Resolved
OIG-03-A-15 Audit of NRC's Regulatory Oversight of Special Nuclear Materials (05/23/2003)						
1	Conduct periodic inspections	EDO,NMSS	11/20/2006	12/31/2007		Resolved
3	Document risk informed approach	EDO,NMSS	11/20/2006	12/31/2007		Resolved
4	Require annual inventories	EDO,NMSS	11/20/2006	12/31/2007		Resolved
OIG-04-A-15 Review of NRC's Drug-Free Workplace Plan (05/24/2004)						
1	Revise Plan to Include Deferral of Testing Clause	ADM,HR	07/19/2007	08/25/2007		Resolved
2	Obtain HHS Approval of Drug-Free Workplace Plan Prior to Implementation	ADM,HR	07/19/2007	10/25/2007		Resolved
3	Drug-Testing Plan Revisions Must Receive Approval from HHS	ADM,HR	07/19/2007	10/25/2007		Resolved
OIG-04-A-20 Audit of NRC's Incident Response Program (09/16/2004)						
4	Conduct Periodic Reviews of Region's IRPs	NSIR	06/27/2007	01/11/2008		Resolved
8	Conduct Exercises Involving Multiple Sites	NSIR	07/26/2007	01/11/2008		Resolved
11	Revise IRP to Address Incidents Involving Materials/Fuel Cycle Facilities	NSIR	07/26/2007	01/11/2008		Resolved
13	Update Response Technical Manual for GDPs	NSIR	07/26/2007	01/11/2008		Resolved
OIG-04-A-21 System Evaluation of the Agencywide Documents Access and Management System (09/30/2004)						
1	Update the ADAMS Risk Assessment Report	OCIO	11/20/2006	06/29/2007		Resolved
2	Update the ADAMS Security Plan	OCIO			09/24/2007	Resolved
4	Update the ADAMS Business Continuity Plan	OCIO	09/24/2007	02/01/2008		Resolved
5	Consistently define the protection requirements	OCIO	09/24/2007	02/01/2008		Resolved
6	Track all actions items resulting from testing of the ADAMS security controls	OCIO	09/24/2007	02/01/2008		Resolved
OIG-04-A-22 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2004 (09/30/2004)						
5	Re-certify and re-accredit the NRC Data Center/Telecommunications System.	OCIO	05/14/2007	10/11/2007		Resolved
6	Re-certify and re-accredit the NRC Local Area Network/Wide Area Network	OCIO	05/14/2007	10/11/2007		Resolved
7	Re-certify and re-accredit the Emergency Response Data System.	OCIO	05/14/2007	10/11/2007		Resolved
8	Re-certify and re-accredit the Emergency Telecommunications System	OCIO	05/14/2007	10/11/2007		Resolved
10	Update the NRC LAN/WAN Business Continuity Plan.	OCIO	05/14/2007	10/11/2007		Resolved
OIG-04-A-24 Systems Evlaluation of the GeneralLicense Tracking System (09/30/2004)						
1	Update the GLTS Security Plan	NMSS,OCIO	05/16/2007	11/30/2007		Resolved
3	Update the GLTS Business Continuity Plan	NMSS,OCIO	05/16/2007	11/30/2007		Resolved
4	Update the GLTS Security Plan	NMSS,OCIO	05/16/2007	11/30/2007		Resolved
OIG-05-A-05 Audit of NRC's Drug Testing Program (12/20/2004)						

Status of OIG Audit Recommendations

Rec #	Description	Responsible Office	OIG Corresponde	ency Response D	icy Response Rece	Status
4	Revise categories of testing designated positions	ADM	07/25/2007	10/25/2007		Resolved
5	Re-evaluate categories of testing designated positions	ADM	07/11/2007	10/25/2007		Resolved
12	Update the Management Directive System to include drug testing policy	ADM	07/11/2007	10/25/2007		Resolved
OIG-05-A-08 System Evaluation of the Integrated Personnel Security System (IPSS) (01/14/2005)						
1	Re-certify and re-accredit IPSS	ADM	07/20/2007	01/22/2008		Resolved
2	Update risk assessment risk levels	ADM	07/20/2007	01/22/2008		Resolved
3	Update plan contact info	ADM	07/20/2007	01/22/2008		Resolved
4	Update plan life cycle & IRO capability.	ADM	07/20/2007	01/22/2008		Resolved
5	Update plan control descriptions.	ADM	07/20/2007	01/22/2008		Resolved
7	Update contingency plan	ADM	07/20/2007	01/22/2008		Resolved
8	Consistently define plan and assessment protection requirements	ADM	07/20/2007	01/22/2008		Resolved
OIG-05-A-09 Audit of the Budget Formulation Process (01/31/2005)						
1	EDO, CFO Roles	OCFO	07/02/2007	10/31/2007		Resolved
2	PRC Role	OCFO	07/02/2007	10/31/2007		Resolved
3	Document Process	OCFO	07/02/2007	10/31/2007		Resolved
OIG-05-A-13 Audit of NRC's Telecommunications Program (06/07/2005)						
3	Revise MD 2.3.	OCIO	09/17/2007	02/15/2008		Resolved
OIG-05-A-17 Audit of NRC's Decommissioning Program (09/21/2005)						
1	Retain Supporting Documentation	NMSS,OCFO	07/02/2007	10/19/2007		Resolved
OIG-05-A-18 Security Controls Over Personal Computers and Laptops - FISMA (09/22/2005)						
1	Security controls on laptops	OIS	06/04/2007	09/28/2007		Resolved
2	Rules of behavior agreement for security controls	OIS	06/04/2007	09/28/2007		Resolved
3	Verify required security controls	OIS	06/04/2007	09/28/2007		Resolved
4	Provide guidance on Executive Order 13103	OIS	06/04/2007	09/28/2007		Resolved
5	Rules of behavior agreement EO 13103	OIS	11/14/2006	09/28/2007		Resolved
6	Develop procedures for monitoring compliance with Executive Order 13103	OIS	06/04/2007	09/28/2007		Resolved
7	Develop procedures in the Management Directives for disposal of equipment	OIS	06/04/2007	09/28/2007		Resolved
8	Include procedures for disposal of equipment in security plan.	OIS	06/04/2007	09/28/2007		Resolved
OIG-05-A-19 Audit of NRC's Generic Communications Program (09/30/2005)						
1	Security Advisories in GC Program	NRR,NSIR	09/11/2007	01/31/2008		Resolved
3	Consistent Tracking Methodology	NRR,NSIR	09/11/2007	01/31/2008		Resolved
4	Effectiveness of GC Program	NRR	09/11/2007	01/31/2008		Resolved
OIG-05-A-21 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2005 (09/30/2005)						
1	Categorize all NRC information systems	OIS			09/19/2007	Resolved
3	Contingency Plan Test Procedures	OIS			09/19/2007	Resolved
4	Maintain other agency C&As.	OIS			09/19/2007	Resolved
5	Maintain other agency's self-assessments.	OIS			07/20/2007	Resolved
5	Maintain other agency's self-assessments.	OIS			09/19/2007	Resolved
6	Maintain annual contingency plan test results other agencies.	OIS			09/19/2007	Resolved
7	Procedures for contractor oversight	OIS			09/19/2007	Resolved

Status of OIG Audit Recommendations

Rec #	Description	Responsible Office	OIG Corresponde	ency Response Du	icy Response Rece	Status
8	Review and update the six completed e-authentication risk assessments.	OIS			09/19/2007	Resolved
9	Develop and implement plan for completing e-authentication risk assessments.	OIS			09/19/2007	Resolved
10	Develop and implement procedures for security awareness and training.	OIS			09/19/2007	Resolved
OIG-06-A-06 Audit of NRC's Integrated Personnel Security System (01/09/2006)						
4	IPSS reinvestigation data clean-up	ADM	08/23/2007	12/31/2007		Resolved
7	Top-to-bottom cleanup of IPSS data	ADM	08/23/2007	12/31/2007		Resolved
17	Cost-benefit analysis	ADM	08/23/2007	12/31/2007		Resolved
OIG-06-A-09 Audit of NRC's Office of Nuclear Security and Incident Response (02/16/2006)						
1	Prioritizing Assignments	EDO,NSIR	06/29/2007	11/28/2007		Resolved
2	Documenting Emergent Work	EDO,NSIR	06/29/2007	11/28/2007		Resolved
5	Measure Communication Effectiveness	EDO,NSIR	06/29/2007	11/28/2007		Resolved
6	Assessment Follow-Up	EDO,NSIR	06/29/2007	11/28/2007		Resolved
OIG-06-A-10 Audit of the Development of the National Source Tracking System (02/23/2006)						
1	1. Conduct Regulatory Analysis	EDO,NMSS			08/31/2007	Resolved
OIG-06-A-11 Audit of the NRC Byproduct materials License Application and Review Process (03/10/2006)						
1	Conduct a materials process vulnerability assessment	EDO,NMSS	09/28/2007	03/31/2008		Resolved
2	Modify licensing process to address identified risks	EDO,NMSS	09/28/2007	03/31/2008		Resolved
OIG-06-A-14 Evaluation of personal Privacy Information Found on NRC Network Drives (06/30/2006)						
3	3. Develop polices reviewing drives	OIS			09/07/2007	Resolved
OIG-06-A-20 Audit of NRC's Implementation of Homeland Security Presidential Directive -12 (08/01/2006)						
6	Develop an HSPD-12 charter.	ADM	07/20/2007	09/14/2007		Resolved
OIG-06-A-21 Audit of NRC's Baseline Security and Safeguards Inspection Program (09/08/2006)						
1	Required Training	NSIR	05/16/2007	11/30/2007		Resolved
4	Training Program Update	NSIR	05/16/2007	11/30/2007		Resolved
6	Sample Size Guidance	NSIR	05/16/2007	11/30/2007		Resolved
7	Sample Size Training	NSIR	05/16/2007	11/30/2007		Resolved
8	Security Findings Database	NSIR	05/16/2007	11/30/2007		Resolved
OIG-06-A-22 Audit of NRC's Process for Releasing Commission Decision Documents (09/08/2006)						
1	Develop a FOIA 552(a)(2) compliance program	EDO,OGC,SECY	09/28/2007	12/28/2007		Resolved
2	Review unpublished SECY papers and SRMs for disclosure	EDO,OGC,SECY			03/15/2007	Unresolved
OIG-06-A-24 Evaluation of NRC's Use of Probabilistic Risk Assessment In Regulating the Commercial Nuclear Power Industry (09/29/2006)						
1	Implement process for maintaining PRA models	NRR,RES	09/24/2007	02/01/2008		Resolved
3	Full V&V for SAPHIRE and GEM	NRR,RES	09/24/2007	02/01/2008		Resolved
OIG-06-A-26 Independent Evaluation of NRC's Implementation of FISMA for FY 2006 (09/29/2006)						
2	2. Re-categorize Network Continuity of Operations System	OIS	07/11/2007	10/01/2007		Resolved
OIG-07-A-02 Audit of NRC's FY 2006 Financial Statements (11/09/2006)						
1	Rec. 01 - Assessment of Fee Billing System	OCFO	12/06/2006	11/15/2007		Resolved
2	Rec. 02 - Implement Compensating Controls	OCFO	12/06/2006	11/15/2007		Resolved
3	Rec. 03 - Coordinate and Collaborate with EDO	OCFO	12/06/2006	11/15/2007		Resolved
4	Rec. 04 - Coordinate with OIS and EDO	OCFO	12/06/2006	11/15/2007		Resolved

Status of OIG Audit Recommendations

Rec #	Description	Responsible Office	OIG Corresponde	ency Response Du	icy Response Rece	Status
OIG-07-A-05 Audit of NRC's Technical Training Center (01/09/2007)						
1	Rec. 01 - Revise MD 13.1	HR	09/28/2007	02/29/2008		Resolved
3	Rec. 03 - Finalize Policies and Procedures	HR			07/16/2007	Resolved
4	Rec. 04 Maintenance Policy	HR			07/16/2007	Resolved
5	Rec. 05 Policy for Pending Changes	HR			07/16/2007	Resolved
6	Rec. 06 Develop Policy - Technical Currency	HR			07/16/2007	Resolved
7	Rec. 07 Provide Instructional Methodology Training	HR			07/16/2007	Resolved
9	Rec. 09 Periodically rotate cognizant instructors	HR			07/16/2007	Resolved
10	Rec. 10 Establish method to track course evaluations	HR			07/16/2007	Resolved
11	Rec. 11 Evaluation of Instructor performance	HR			07/16/2007	Resolved
OIG-07-A-06 Audit of NRC's Regulation of Nuclear Fuel Cycle Facilities (01/10/2007)						
1	Fuel Cycle Facility framework	NMSS	06/29/2007	12/21/2007		Resolved
OIG-07-A-10 Audit of NRC's Badge Access System (01/23/2007)						
1	Perform an annual assessment of the user list	ADM	07/20/2007	10/29/2007		Resolved
2	Require separate user IDs	ADM	06/11/2007	09/04/2007		Resolved
4	Define and document user roles and associated rights.	ADM	06/11/2007	09/04/2007		Resolved
5	Institute quarterly quality assurance reviews of system data	ADM	06/11/2007	09/04/2007		Resolved
6	Conduct quarterly reviews of super user lists	ADM	06/11/2007	09/04/2007		Resolved
7	Provide official agency list of departures to all field office	ADM	06/11/2007	09/04/2007		Resolved
8	Write and implement badge access system operating procedures	ADM	06/11/2007	09/04/2007		Resolved
9	Conduct daily reconciliations	ADM	06/11/2007	09/04/2007		Resolved
10	Replace visitor badges with expiring paper badges.	ADM	06/11/2007	09/04/2007		Resolved
11	Financial penalty clause.	ADM	06/11/2007	09/04/2007		Resolved
12	Notify DFS when a contractor no longer needs access.	ADM	06/11/2007	09/04/2007		Resolved
13	Security plan and Information System Security Officer.	ADM	06/11/2007	09/04/2007		Resolved
14	Documentation to support interim authority to operate.	ADM	06/11/2007	09/04/2007		Resolved
15	Address penetration test report.	ADM	06/11/2007	09/04/2007		Resolved
17	Limit key distribution	ADM	06/11/2007	09/04/2007		Resolved
OIG-07-A-11 Memorandum Report: Audit of NRC's Nuclear Material Events Database (03/23/2007)						
1	Develop/implement FCNMED procedures	NMSS	04/25/2007	11/30/2007		Resolved
2	Appoint FCNMED coordinator	NMSS	04/25/2007	11/30/2007		Resolved
3	Conduct QA review of FCNMED	NMSS	04/25/2007	11/30/2007		Resolved
OIG-07-A-12 Summary Report on Byproduct Material Security and Control (03/30/2007)						
1	Independent Panel of Experts	EDO,FSME	09/28/2007	03/31/2008		Resolved
OIG-07-A-13 Audit of NRC's Emergency Preparedness Program (06/25/2007)						
1	Coordination role and responsibilities	EDO,NSIR	08/24/2007	12/10/2007		Resolved
2	Consistent coordination approach	EDO,NSIR	08/24/2007	12/10/2007		Resolved
OIG-07-A-14 Audit of NRC's Non-Capitalized Property (07/12/2007)						
1	Rec. 01 SES Performance Plan	ADM,EDO	09/28/2007	02/29/2008		Resolved
2	Rec. 02 Property Custodian Evaluations	ADM,EDO	09/28/2007	02/29/2008		Resolved

Status of OIG Audit Recommendations

Rec #	Description	Responsible Office	OIG Corresponde	ency Response Du	icy Response Rece	Status
3	Rec. 03 Performance Measures	ADM,EDO	09/28/2007	02/29/2008		Resolved
4	Rec. 04 Property Custodian Training	ADM,EDO	09/28/2007	02/29/2008		Resolved
5	Rec. 05 Threshold Increase	ADM,EDO	09/28/2007	02/29/2008		Resolved
6	Rec. 06 Modify Form 395	ADM,EDO	09/28/2007	02/29/2008		Resolved
7	Rec. 07 Modify MD 13.1	ADM,EDO	09/28/2007	02/29/2008		Resolved
8	Rec. 08 Interim Guidance	ADM,EDO	09/28/2007	02/29/2008		Resolved
9	Rec. 09 Reemphasize Physical Security	ADM,EDO	09/28/2007	02/29/2008		Resolved
10	Rec. 10 Survey NRC Physical Security Measures	ADM,EDO	09/28/2007	02/29/2008		Resolved
11	Rec. 11 Modify MD 13.1 to Notify AIGI	ADM,EDO	09/28/2007	02/29/2008		Resolved
12	Rec. 12 Interim Guidance to Notify AIGI	ADM,EDO	09/28/2007	02/29/2008		Resolved
OIG-07-A-15 Audit of NRC's License Renewal Program (09/05/2007)						
1	Report-writing Standards	NRR	09/06/2007	10/09/2007		Unresolved
3	Document Removal Guidance	NRR	09/06/2007	10/09/2007		Unresolved
4	Op Exper Reviews	NRR	09/06/2007	10/09/2007		Unresolved
5	Complete IP 71003	NRR	09/06/2007	10/09/2007		Unresolved
6	Communicate IP 71003 details	NRR	09/06/2007	10/09/2007		Unresolved
7	Establish backfit review process	NRR	09/06/2007	10/09/2007		Unresolved
8	Commission's SOC	NRR	09/06/2007	10/09/2007		Unresolved
OIG-07-A-16 Audit of NRC's Process for Placing Documents in the ADAMS Public and Non-Public Libraries (09/06/2007)						
1	Update MD 3.4	OIS	09/06/2007	10/09/2007		Unresolved
2	Create supplemental guidance document	OIS	09/06/2007	10/09/2007		Unresolved
3	Conduct training needs analysis	OIS	09/06/2007	10/09/2007		Unresolved
4	Develop Mechanism to indicate public/non-public rationale	OIS	09/06/2007	10/09/2007		Unresolved
5	Require offices to use mechanism developed to record public/non-public rationale	OIS	09/06/2007	10/09/2007		Unresolved
6	Conduct Periodic Assessments	OIS	09/06/2007	10/09/2007		Unresolved
7	Notify office directors of all documents assigned non-public, pending review status fo	OIS	09/06/2007	10/09/2007		Unresolved
8	Add a non-public, pending review category to E-RIDS notifications.	OIS	09/06/2007	10/09/2007		Unresolved
OIG-07-A-18 Assessment of Security at NRC Buildings (09/25/2007)						
1	Control of pedestrians	ADM	09/26/2007	10/26/2007		Unresolved
2	Add additional cameras	ADM	09/26/2007	10/26/2007		Unresolved
3	Consider blank screen technology	ADM	09/26/2007	10/26/2007		Unresolved
4	Pop up bollards	ADM	09/26/2007	10/26/2007		Unresolved
5	Install vehicle barrier	ADM	09/26/2007	10/26/2007		Unresolved
6	Install fencing around gas equipment	ADM	09/26/2007	10/26/2007		Unresolved
7	Install lock on electrical transformer gate	ADM	09/26/2007	10/26/2007		Unresolved
8	Conduct training for building maintenance personnel	ADM	09/26/2007	10/26/2007		Unresolved
9	Assess emergency egress doors	ADM	09/26/2007	10/26/2007		Unresolved
10	Apply mylar film	ADM	09/26/2007	10/26/2007		Unresolved
11	Post signs directing pedestrian traffic	ADM	09/26/2007	10/26/2007		Unresolved
12	Place warning sign	ADM	09/26/2007	10/26/2007		Unresolved

Status of OIG Audit Recommendations

Rec #	Description	Responsible Office	OIG Corresponde	ency Response Du	icy Response Rece	Status
13	Increase width of painted walkway	ADM	09/26/2007	10/26/2007		Unresolved
14	Clearly mark activation buttons and remove obstructions	ADM	09/26/2007	10/26/2007		Unresolved
15	Review EOP appendices	ADM	09/26/2007	10/26/2007		Unresolved
16	complete apendices A,D, and E for EOP	ADM	09/26/2007	10/26/2007		Unresolved
17	Install alarm on emergency egress door	ADM	09/26/2007	10/26/2007		Unresolved
18	Conduct a fire alarm audibility test in the HOO area	ADM	09/26/2007	10/26/2007		Unresolved
19	Evaluate if need smoke detector in room T5-B23	ADM	09/26/2007	10/26/2007		Unresolved
20	Implement more robust back up power system	ADM	09/26/2007	10/26/2007		Unresolved
21	Develop guard post orders on contingency plans	ADM	09/26/2007	10/26/2007		Unresolved
22	Consider assigning security manager in Las Vegas	ADM	09/26/2007	10/26/2007		Unresolved
23	Develop and refine plans for hearings in Las Vegas	ADM	09/26/2007	10/26/2007		Unresolved
24	Increase the cache for recording CCTV	ADM	09/26/2007	10/26/2007		Unresolved
25	Conduct electrical study of UPS	ADM	09/26/2007	10/26/2007		Unresolved
26	Develop implementing procedures too sensitive for EOP	ADM	09/26/2007	10/26/2007		Unresolved
27	Conduct tabletop exercises	ADM	09/26/2007	10/26/2007		Unresolved
OIG-07-A-19 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2007 (09/28/2007)						
1	Review Security Categorizations	OIS	09/28/2007	10/29/2007		Unresolved
2	Categorize all NRC major applications and general support systems	OIS	09/28/2007	10/29/2007		Unresolved
3	Conduct annual self-assessments in accordance with guidance	OIS	09/28/2007	10/29/2007		Unresolved
4	Include an explanation in self-assessments	OIS	09/28/2007	10/29/2007		Unresolved
5	Develop and implement quality assurance procedures for self-assessments.	OIS	09/28/2007	10/29/2007		Unresolved
6	Develop and implement procdures to ensure contingency plans are tested annually	OIS	09/28/2007	10/29/2007		Unresolved
7	Maintain documentation that demonstrates systems provided by other Federal agencie	OIS	09/28/2007	10/29/2007		Unresolved
8	Develop and implement procedures for performing oversight of major applications	OIS	09/28/2007	10/29/2007		Unresolved
9	Complete the updates to the security categorizations of the general support systems wi	OIS	09/28/2007	10/29/2007		Unresolved
10	Develop and Implement a methodology for identifying which listed systems reside on	OIS	09/28/2007	10/29/2007		Unresolved
11	Develop and implement quality assurance procedures for POA&Ms.	OIS	09/28/2007	10/29/2007		Unresolved
12	Follow NIST guidance when issuing IATOs	OIS	09/28/2007	10/29/2007		Unresolved
13	Develop and implement quality assurance procedures for certification and accreditatio	OIS	09/28/2007	10/29/2007		Unresolved
14	Develop and implement procedures to ensure employees/contractors receive proper se	OIS	09/28/2007	10/29/2007		Unresolved
15	Develop and implement a plan for completing the remaining e-authentication risk asse	OIS	09/28/2007	10/29/2007		Unresolved