ES-401 Site-Specif	- ·•·								
	Cover Sheet								
U.S. Nı	U.S. Nuclear Regulatory Commission								
Site-Spe	ecific SRO Written Examination								
	Applicant Information								
Name:									
Date:	Facility/Unit:	R.E. Ginna							
Region: I	Reactor Type:	Westinghouse							
Start Time:	Finish Time:								
	Instructions								
Use the answer sheets provided to document your answers. Staple this cover sheet on top of the answer sheets. To pass the examination you must achieve a final grade of at least 80.00 percent overall, with a 70.00 percent or better on the SRO-only items if given in conjunction with the RO exam; SRO-only exams given alone require a final grade of 80.00 percent to pass. You have 8 hours to complete the combined examination, and 3 hours if you are only taking the SRO portion.									
Applicant Certification									
All work done on this examination is	s my own. I have neither given nor	received aid.							

Results

Applicant's Signature

_____ / ____ / ___ Points

_____ / ____ Points

Percent

_____/ _____/ ______/

Applicant's Score

Applicant's Grade

RO/SRO-Only/Total Examination Values

Site-Specific Written Examination Ginna Senior Reactor Operator Answer Key

	_	~~		-4	•	70	
1.	D	26.	Α	51.	С	76.	Α
2.	С	27.	D	52.	В	77.	D
3.	D	28.	В	53.	D	78.	Α
4.	С	29.	С	54.	В	79.	D
5.	С	30.	Α	55.	С	80.	В
6.	Α	31.	В	56.	D	81.	С
7.	Α	32.	С	57.	Α	82.	С
8.	С	33.	В	58.	D	83.	D
9.	С	34.	Α	59.	C	84.	В
10.	С	35.	В	60.	С	85.	В
11.	В	36.	В	61.	В	86.	В
12.	В	37.	В	62.	D	87.	В
13.	Α	38.	С	63.	D	88.	D
14.	С	39.	С	64.	В	89.	D
15 <i>.</i>	D	40.	Α	65.	D	90.	С
16.	С	41.	В	66.	С	91.	D
17.	В	42.	Α	67.	С	92.	D
18.	Α	43.	С	68.	D	93.	Α
19.	С	44.	В	69.	C	94.	D
20.	С	45.	С	70.	В	95.	Α
21.	С	46.	В	71.	D	96.	С
22.	В	47.	Α	72.	В	97.	С
23.	Α	48.	D.	73.	D	98.	С
24.	С	49.	D	74.	D	99.	С
25.	С	50.	D	75.	С	100.	Α

U.S.N.R.C. Site-Specific Written Examination Ginna Senior Reactor Operator

					·	, , , , , , , , , , , , , , , , , , , 					 	
1.	Α	В	С	D		26.	Α	В	С	D	_	
2.	Α	В	С	D		27.	Α	В	С	D		
3.	Α	В	С	D		28.	Α	В	С	D		
4.	Α	В	С	D		29.	Α	В	С	D		
5.	Α	В	С	D		30.	Α	В	С	D		
6.	Α	В	С	D		31.	Α	В	С	D		
7.	Α	В	С	D		32.	Α	В	С	D		
8.	Α	В	С	D		33.	Α	В	С	D		
9.	Α	В	С	D		34.	Α	В	С	D		
10.	Α	В	С	D		35.	Α	В	С	D		
11.	Α	В	С	D		36.	Α	В	С	D		
12.	Α	В	С	D		37.	Α	В	С	D		
13.	Α	В	С	D		38.	Α	В	С	D		
14.	Α	В	С	D		39.	Α	В	С	D		
15.	Α	В	С	D		40.	Α	В	С	D		
16.	Α	В	С	D		41.	Α	В	С	D		
17.	Α	В	С	D		42.	Α	В	С	D		
18.	Α	В	С	D		43.	Α	В	С	D		
19.	Α	В	С	D		44.	Α	В	С	D		
20.	Α	В	С	D		45.	Α	В	С	D		
21.	Α	В	С	D		46.	Α	В	С	D		
22.	Α	В	С	D		47.	Α	В	С	D		
23.	Α	В	С	D	ı	48.	Α	В	С	D		
24.	Α	В	С	D		49.	Α	В	С	D		
25.	Α	В	С	D		50.	Α	В	С	D		

U.S.N.R.C. Site-Specific Written Examination Ginna Senior Reactor Operator

51.	Α	В	С	D		76.	Α	В	С	D		l
52.	Α	В	С	D		77.	Α	В	С	D		l
53.	Α	В	С	D	!	78.	Α	В	С	D		
54.	Α	В	С	D		79.	Α	В	С	D		
55.	Α	В	С	D		80.	Α	В	С	D		
56.	Α	В	С	D	·	81.	Α	В	С	D		}
57.	Α	В	С	D		82.	Α	В	С	D		
58.	Α	В	С	D		83.	Α	В	С	D		
59.	Α	В	С	Q		84.	Α	В	С	D		
60.	Α	В	С	D		85.	Α	В	С	D		
61.	Α	В	С	D		86.	Α	В	С	D		
62.	Α	В	С	D		87.	Α	В	С	D		
63.	Α	В	С	D		88.	Α	В	С	D		
64.	Α	В	С	D		89.	Α	В	С	D		
65.	Α	В	С	D		90.	Α	В	С	D		
66.	Α	В	С	D		91.	Α	В	С	D		
67.	Α	В	С	D		92.	Α	В	С	D		
68.	Α	В	С	D		93.	Α	В	С	D		
69.	Α	В	С	D		94.	Α	В	С	D		
70.	Α	В	С	D		95.	Α	В	С	D		
71.	Α	В	С	D		96.	Α	В	С	D		
72.	Α	В	С	D		97.	Α	В	С	D		
73.	Α	В	С	D		98.	Α	В	С	D		
74.	Α	В	С	D		99.	Α	В	С	D		
75.	Α	В	С	D		100.	Α	В	С	D	 	

•	Sample Written Examination Question Worksheet				
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO		
	Group #	1			
	K/A # Importance Rating	003 K1.03 3.3			

Knowledge of the physical connections and/or cause-effect relationships between the RCPS and the following systems: RCP seal system

Proposed Question:

Common 1

Given the following:

- The crew has entered AP-RCP.1, RCP Seal Malfunction.
- The following conditions exist for RCP 'A'.
 - o RCP seal #1 leakoff indicates 1.8 GPM and lowering.
 - o RCP seal #2 leakoff to the RCDT is 2.2 GPM.
 - o RCP "A" STANDPIPE HIGH LEVEL alarm is lit.
 - Labyrinth seal DP is approximately 18 inches.
 - Seal Injection flow is approximately 9 GPM.
 - o Containment radiation levels are normal and stable.

Which ONE (1) of the following failures is indicated, and describes the normal leak off for the associated seal?

- A. RCP #1 seal has failed. 5 Gallons Per Minute.
- B. RCP #2 seal has failed. 5 Gallons Per Hour.
- C. RCP #1 seal has failed. 3 Gallons Per Minute.
- D. RCP #2 seal has failed. 3 Gallons Per Hour.

Proposed Answer:

D

- A. Incorrect. #1 seal failure would be indicated by >8 gpm total seal flow or <0.8 gpm flow, or lab seal DP lowering. % GPM is plausible because it is the actual flow through the #2 seal. An applicant may confuse seal flow with seal leakoff flow
- B. Incorrect. Correct seal but incorrect flow
- C. Incorrect, Incorrect seal, correct flow for that seal

ES-401	Sample Written Examination Question Worksheet	n Form ES-401-5
D. Correct.		
Technical Reference(s)	AP-RCP.1	(Attach if not previously provided)
Proposed references to be	provided to applicants during exam	mination: None
Learning Objective:	EO-1.07A	_ (As available)
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	edge X
10 CFR Part 55 Content:	55.41 _7,10 _	
Comments:		

·	Written Examination estion Worksheet		Form ES-401-5
			
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO
	Group #	1	
	K/A #	004 K6.17	
	Importance Rating	4.4	
Knowledge of the operational implications of the following Proposed Question: Common 2	ng concepts as they apply to th	e CVCS: Flow paths fo	or emergency boration
Given the following:			
 Crew has entered FR-S.1, Emergency boration via MG The RO aligns the normal lindicated 1 Charging pump and 1 Bo 	OV-350 is not workin boration flowpath, bu oric Acid pump are ru	g (MOV jamme t no boric acid nning	ed) flow is
Which ONE (1) of the following is the injection?	next method to be u	sed to establis	n bonc acid
A. Open RWST to Charging pum	ps (LCV-112B)		
B. Open the bypass around MOV	/-350		
C. Open Blender outlet to Chargi boric acid flow control valve H		V-110B and m	anually open
D. Open the Immediate Borate M	lanual Valve V-356		
Proposed Answer: C Explanation (Optional): A. Incorrect. Would be performed if of the content	control room actions di ormal boration did not v owpath is considered t able during the perforr	d not succeed vork ne preferred alte	-
Technical Reference(s) FR-S.1 ER-CVCS.1		(Attach if not pre	viously provided)
<u> </u>			
Proposed references to be provided to a	applicants during exam	ination: None	

ES-401	•	en Examination Worksheet	Form ES-401-5
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	C000.1016	(Note changes or attach parent)
Question History:	Last NRC Exam	2004 Ginna	RO 21
Question Cognitive Level:	Memory or Fundar Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 <u>10</u>		
Commants:			

ES-401	•	Written Examination estion Worksheet	Form ES-401-5						
Examination Outline Cross-	reference:	Level Tier # Group # K/A # Importance Rating	RO SRO 2 1 005 K4.11 3.5						
Knowledge of RHRS design feature(s) and/or interlock(s) which provide or the following: Lineup for low head recirculation mode (external and internal) Proposed Question: Common 3 Following a small break LOCA, ECCS will be aligned for high head recirculation. Which ONE (1) of the following describes the MINIMUM action(s) necessary to open MOV-857B, RHR Discharge to SI Pump Suction?									
 A. Place MOV-857B control switch in OPEN. B. Close MOV-896A, or B, RWST Outlet to CNMT Spray and SI Pumps, then place MOV-857B control switch in OPEN. C. Reduce RHR pressure to less than 250 psig, open MOV-897 or 898, SI Recirc to RWST, and then place MOV-857B control switch in OPEN. D. Reduce RHR pressure to less than 250 psig, close MOV-897 or 898, SI Recirc to RWST, and MOV-896A or B, then place MOV-857B in OPEN. 									
Proposed Answer: D Explanation (Optional): A. Incorrect. Other valves are interlocked and must be closed first. B. Incorrect. Only partially fulfills requirements. C. Incorrect. RHR discharge head is below 250 psig but not an interlock to open the valve D. Correct.									
			Attach if not previously provided)						
Proposed references to be	provided to a	ipplicants during examin	ation: None						
Learning Objective:	EO	(As available)						
Question Source:	Bank #	X							

ES-401	Sample Written Examir Question Workshe				
	Modified Bank #	(Note changes or attach parent)			
Question History:	Last NRC Exam				
Question Cognitive Level:	Memory or Fundamental Knowledge X Comprehension or Analysis				
10 CFR Part 55 Content:	55.41 5				
Comments:					

•	le Written Examination uestion Worksheet	Form ES-401-5		
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO	
	Group #	1		
	K/A #	005 G2.4.	49	
	Importance Rating	4.0		

Emergency Procedures / Plan Ability to perform without reference to procedures those actions that require immediate operation of system components and controls.

Proposed Question:

Common 4

Given the following:

- The plant is in Mode 5, Reduced Inventory Operations in progress currently at 12".
- RHR Loop "A" is operating with total flow of 800 GPM.
- FCV-626 is in auto control
- Instrument Air is lost to FCV-625, RHR Heat Exchanger "A" Outlet Valve.

The following conditions now exist:

- RHR Pump "A" discharge pressure and flow are oscillating.
- RHR flow indicates approximately 800 to 1500 GPM.
- RCS level is 2".
- The CRS has entered the appropriate AP.

Of the following choices, which ONE (1) of the following describes the effect on the plant due to the failure and the preferable mitigation strategy?

- A. RCS temperature will rise; Stop the "A" RHR Pump
- B. RCS temperature will rise; Attempt to throttle RHR flow
- C. RCS temperature will lower; Stop the "A" RHR Pump
- D. RCS temperature will lower; Attempt to throttle RHR flow

Proposed Answer:

C

- A. Incorrect Incorrect temperature indication, incorrect action
- B. Incorrect. Temperature will lower because more water is going through the heat

ES-4	01	Sample Written Examination Question Worksheet		Form ES-401-5		
C. D.	exchanger Correct. Incorrect. Indications operating pump	s of vortexing with ca	vitation and R0	CS low level requires trip of		
Technical Reference(s) AP-RHR.2			(Attach if not previously provided)			
Prop	osed references to be	provided to applican	ts during exam	nination: None		
Lear	ning Objective:			(As available)		
Question Source:		Bank # Modified Bank # New	X	(Note changes or attach parent)		
Ques	stion History:	Last NRC Exam				
Ques	stion Cognitive Level:	I: Memory or Fundamental Knowledge Comprehension or Analysis X				
10 C	FR Part 55 Content:	55.41 10				
Com	ments:					

	e Written Examination lestion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO
	Group #	1	
	K/A #	006 K6.02	
	Importance Rating	3.4	

Knowledge of the effect of a loss or malfunction on the following will have on the ECCS: Core flood tanks (accumulators)

Proposed Question:

Common 5

Given the following:

The plant is in Mode 1.

SI Accumulator parameters are as follows:

- "A" SI Accumulator pressure 690 psig
- "A" SI Accumulator boron concentration 2560 ppm
- "B" SI Accumulator pressure 785 psig
- "B" SI Accumulator boron concentration 3035 ppm

Which ONE (1) of the following describes the impact on the ability of the ECCS to perform its design function?

The inoperability of...

- A. "A" SI Accumulator affects the long term cooling capability of the ECCS.
- B. "B" SI Accumulator affects the long term cooling capability of the ECCS.
- C. "A" SI Accumulator affects the ability of ECCS to maintain a coolable core geometry.
- "B" SI Accumulator affects the ability of ECCS to maintain a coolable core geometry.

Proposed Answer:

С

- A. Incorrect. "A" SI accumulator is inoperable because pressure is below the TS required value
- B. Incorrect. "B" SI Accumulator is within limits, although close to high out of spec
- C. Correct. Coolable geometry is affected by the SI Accumulator ability to reflood following a

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
LBLOCA D. Incorrect. "B" SI Acc	umulator is in spec		
Technical Reference(s)	TS 3.5.1 and basis		(Attach if not previously provided)
Proposed references to be	provided to applican	ts during exam	nination: None
Learning Objective:			_ (As available)
Question Source:	Bank # Modified Bank # New	X	. (Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundam Comprehension or		dge
10 CFR Part 55 Content:	55.41 <u>5</u> 55.43 <u>2</u>		
Comments:			

•	401 Sample Written Examination Question Worksheet			
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO	
	Group #	1		
	K/A #	007 K5.02		
	Importance Rating	3.1		

Knowledge of the operational implications of the following concepts as the apply to PRTS: Method of forming a steam bubble in the PZR

Proposed Question:

Common 6

Given the following:

- The plant is in Mode 4.
- RCS temperature is 335°F.
- A bubble is being formed in the PRZR.

Which ONE (1) of the following describes a plant restriction while forming a bubble, and the method(s) used to ensure the conditions are met?

- A. RCS pressure is maintained less than 350 psig to prevent operation of the Overpressure Protection system; Letdown Backpressure control is maintained automatically at the setpoint.
- B. RCS pressure is maintained greater than 350 psig to ensure the bubble does not form under the reactor vessel head; Letdown Backpressure control is maintained automatically at the setpoint.
- C. RCS pressure is maintained less than 350 psig to prevent operation of the Overpressure Protection system; Charging flow and/or PRZR spray are manually adjusted as the bubble is forming.
- D. RCS pressure is maintained greater than 350 psig to ensure continued RCP operation; Charging flow and/or PRZR spray are manually adjusted as the bubble is forming.

Proposed Answer:

Α

- A. Correct.
- B. Incorrect. Correct action but pressure is maintained below 350 psig
- C. Incorrect Incorrect action but correct restriction. Actions are for maintaining Letdown

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
flow below 70 GPM D. Incorrect. Incorrect r	estriction and incorre	ect action	
Technical Reference(s)	O-1.1		(Attach if not previously provided)
Proposed references to be	provided to applicar	nts during exam	nination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		lge X
10 CFR Part 55 Content:	55.41 10		
Comments:			

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO
	Group #	1	
	K/A #	008 A2.02	
	Importance Rating	3.2	

Ability to (a) predict the impacts of the following malfunctions or operations on the CCWS, and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: High/low surge tank level.

Proposed Question:

Common 7

Given the following:

- The plant is at 100% power.
- RCS gross activity is at the technical specification limit.
- The following annunciator is received:
 - o A-5, CCW Surge Tank Hi Level 58.8%
- The HCO determines that the alarm is valid.
- Normal charging and letdown is in service.
- CCW Surge Tank is at 59% and raising slowly.
- R-17, CCW Radiation Monitor, is stable, and NOT in alarm.

Which ONE (1) of the following describes a potential cause of the alarm, and the action(s) required to mitigate the consequences?

- A. The CCW Surge Tank Fill Valve is leaking by its seat; ensure the valve is closed and stop RMW pumps.
- B. There is a tube leak in the Sealwater Heat Exchanger; close the seal water return isolation valve.
- C. There is a tube leak in the RCP Thermal Barrier Heat Exchanger; close CCW return valve from the affected pump.
- D. There is a tube leak in the Non-Regenerative Heat Exchanger; isolate normal letdown and initiate Excess Letdown.

Proposed Answer:

Α

Explanation (Optional):

A. Correct. If valve is leaking by seat makeup water will enter CCW system when RMW pumps are started. Step 13 of AP-CCW.1 provides mitigation steps.

ES-401	Sample Written Examination	Form ES-401-5
	Question Worksheet	

- B. Incorrect. If there is a tube leak in the SW HX the flow would be from CCW to Sealwater return flow and CCW Tank level would decrease.
- C. Incorrect. If there is a tube leak in the RCP TBHX, radiation levels would be rising. Plausible because the actions are correct for a TBHX leak.
- D. Incorrect. A NRHX tube leak would result in increased inventory in the CCW system, however, R017 would also be in alarm. Plausible because actions are correct if this was the cause

Technical Reference(s)	AP-CCW.1	(Attach if not previously provided)
Proposed references to be	provided to applicants during exar	nination: None
Learning Objective:		_ (As available)
Question Source:	Bank # Modified Bank # New X	_ _ (Note changes or attach parent) _
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	dge X
10 CFR Part 55 Content:	55.41 <u>7, 10</u>	
Comments:		

ES-401	•	Written Examination estion Worksheet		Form ES-401-5
	Gue	Ston Worksheet		<u>. </u>
Examination Outline Cross-refe	erence:	Level	RO	SRO
		Tier #	2	
		Group #	_1	
		K/A #	010 A1.08	
		Importance Rating	3.2	
Ability to predict and/or monitor changes in controls including: Spray nozzle DT	n parameters (to prevent exceeding design	limits) associated with	operating the PZR PCS
Proposed Question: Co	ommon 8			
Given the following condition	ns:			
 A reactor trip and loss The crew is performing The HCO is preparing PRZR pressure is 22% PRZR level is 24%. 	ng ES-0.2 g to initiat	, Natural Circulatior	n Cooldown.	ne RCS.
Of the following choices, wh allowable RHX Outlet tempe 0.2?		, ,		
A. 355°F				
B. 345°F				
C. 335°F				
D. 325°F				
Proposed Answer: C Explanation (Optional): A. Incorrect. May go 20 deg B. Incorrect. May go 10 deg C. Correct.	grees lowe	r		
D. Incorrect. This would vid	plate the lin	nit by 10 degrees		
Technical Reference(s) ES	S-0.2		(Attach if not pre	eviously provided)

ES-401	Sample Written Examination Question Worksheet			
Proposed references to be	provided to applicants during exam	nination: None		
Learning Objective:		(As available)		
Question Source:	Bank # Modified Bank # New X	_ (Note changes or attach parent) -		
Question History:	Last NRC Exam			
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	dge		
10 CFR Part 55 Content:	55.41 <u>10</u>			
Comments:				

ES-401	•	e Written Examination estion Worksheet		Form ES-401-5
Examination Outline Cross-re	eference:	Level Tier #	RO 2	SRO
		Group #	1 040 004 0	
		K/A # Importance Rating	010 G2.1.2 3.0	<u> </u>
Conduct of Operations: Knowledge of or Proposed Question:	perator respons Common 9		nt operation.	
The plant is at 90% pThe following annunce		t:		
(F-18) PRZR Safe(AA-13) PRZR Safe		utlet High Temperature Position	e 145 degrees F	
PRZR pressure is 221	10 psig and	trending DOWN at app	proximately 5 psi	g/min.
Which ONE (1) of the following	ng describe	s the required mitigatir	ng strategy?	
A. Trip the reactor and ç	go to E-0, Re	eactor Trip or Safety Ir	njection.	
B. Place the PRZR Pres	ssure Contro	oller, 431K, in MANUA	L and raise outp	ut.
C. Energize Heaters and	d ensure the	e PRZR Spray valves o	closed.	
D. Reduce load to main correct the problem.	tain RCS tei	mperature and pressu	re within limits wi	hile attempting to
Proposed Answer:	С			
 Explanation (Optional): A. Incorrect. Incorrect be B. Incorrect. Output is chindicated malfunction C. Correct. D. Incorrect. 	•	•		anual unless it
Technical Reference(s)	AP-PRZR.1		(Attach if not pre	eviously provided)
Proposed references to be n	provided to a	applicants during ever	ination: None	

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	B010.0022	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge
10 CFR Part 55 Content:	55.41 10		
Comments:			

ES-401	•	Written Examination estion Worksheet		Form ES-401-5
Examination Outline Cross-r	reference:	Level Tier # Group # K/A # Importance Rating	RO 2 1 012 K5.01 3.3	SRO
Knowledge of the operational implication Proposed Question:	ons of the followin		RPS: DNB	
Which ONE (1) of the follo (Departure from Nucleate	•	or trip signals provide	s protection aç	gainst DNB
A. Over Power Delta	Т			
B. High Pressurizer L	evel			
C. Bus 11A Underfred	quency			
D. Steam Generator L	.O-LO Wate	er Level		
, ,	High PZR P	•		ulation
Technical Reference(s)		sis (/	Attach if not pre	viously provided)
Proposed references to be p		pplicants during examir	nation: None	
Learning Objective:			(As available)	
Question Source:	Bank # Modified Ba New	X	(Note changes	or attach parent)
Question History:	Last NRC E	xam		
Question Cognitive Level:	Memory or I	Fundamental Knowledg	e <u>X</u>	

ES-401	O1 Sample Written Examination Question Worksheet			
	Comprehension or Analysis			
10 CFR Part 55 Content:	55.41 <u>5</u> 55.43 <u>2</u>			
Comments: WTSI Westinghouse Gene	eric			

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO
	Group # K/A #	1 013 K6.01	
	Importance Rating	2.7	

Knowledge of the effect of a loss or malfunction on the following will have on the ESFAS: Sensors and detectors

Proposed Question:

Common 11

Given the following:

- The plant is at 100% power.
- All control systems are in their normal alignments.
- Pressurizer Pressure Transmitter PT-429 has failed LOW.
- All actions have been taken to remove the transmitter from service in accordance with the appropriate plant procedures.

Which ONE (1) of the following describes the logic required from the remaining operable pressurizer pressure channels to initiate (1) a Low Pressurizer Pressure Reactor Trip, and (2) a Low Pressurizer Pressure Safety Injection actuation?

- A. (1) 1 out of 2
 - (2) 1 out of 3
- B. (1) 1 out of 3
 - (2) 1 out of 2
- C. (1) 1 out of 2
 - (2) 1 out of 2
- D. (1) 1 out of 3
 - (2) 1 out of 3

Proposed Answer:

В

- A. Incorrect. Opposite of actual
- B. Correct.
- C. Incorrect. Reactor Trip receives inputs from 4 channels
- D. Incorrect. Safety Injection receives input from 3 channels

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Technical Reference(s)	R1901C, R3501C ER-INST.1	(Attach if not previously provided)
Proposed references to be	provided to applicants during exar	nination: None
Learning Objective:	EO 1.07D	_ (As available)
Question Source:	Bank # Modified Bank # New X	- _ (Note changes or attach parent) -
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	dge X
10 CFR Part 55 Content:	55.41 _7	
Comments: Have similar in WTSI Bank	for different failures	

•	e Written Examination lestion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO
	Group #	1	
	K/A #	013 A1.09	
	Importance Rating	3.4	

Ability to predict and/or monitor changes in parameters (to Prevent exceeding design limits) associated with operating the ESFAS controls including: T-hot

Proposed Question:

Common 12

Given the following:

- ECA-2.1, Uncontrolled Depressurization of All Steam Generators is being performed.
- The crew has reduced AFW flow to both steam generators (SG) to 50 gpm as they continue attempts to isolate the SGs.

Which ONE (1) of the following describes the expected plant response to the AFW flow reduction and what actions will be taken to mitigate the effect?

- A. RCS hot leg temperatures will eventually begin to increase due to reduction of SG inventory and the crew will then transition to FR-H.1, Response to Loss of Secondary Heat Sink.
- B. RCS hot leg temperatures will eventually begin to increase due to reduction in SG inventory and the crew will then raise AFW flow while continuing in ECA-2.1, Uncontrolled Depressurization of All Steam Generators.
- C. The SGs will eventually become completely depressurized due to inadequate secondary heat sink and the crew will then transition to E-2, Faulted Steam Generator Isolation.
- D. The SGs will eventually become completely depressurized due to inadequate secondary heat sink and the crew will then transition to FR-H.1, Response to Loss of Secondary Heat Sink.

Proposed Answer:

В

- A. Incorrect. Will not go to FR-H.1 as long as capability for AFW is maintained
- B. Correct. AFW remains throttled until Thot begins to increase, then it is raised to stabilize Thot
- Incorrect. The SGs depressurize because of the event. E-2 is not used until 1 SG represurizes

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
D. Incorrect. Do not go	to FR-H.1 if capabilit	ty to feed remai	ns
Technical Reference(s)	ECA-2.1		(Attach if not previously provided)
Proposed references to be		, <u>, , , , , , , , , , , , , , , , , , ,</u>	ination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge
10 CFR Part 55 Content:	55.41 10		
Comments:	r 2006	•	

ES-401	Sample Written Examination	Form ES-401-5
	Question Worksheet	

 Examination Outline Cross-reference:
 Level Tier #
 RO
 SRO

 Group #
 1
 022 K4.03

Importance Rating

2.8

Knowledge of CCS design feature(s) and/or interlock(s) which provide for the following: Automatic Containment Isolation.

Proposed Question:

Common 13

Given the following:

- The plant is in Mode 1.
- Containment Mini-Purge is in operation.
- All other ventilation systems are in their normal alignments.
- Subsequently, the following events occur:
 - o RCS pressure lowering.
 - o PRZR level lowering.
 - o Main Steam pressure lowering.
 - o Containment pressure stable.
 - The crew manually initiates a reactor trip and safety injection.

Which ONE (1) of the following correctly describes the operation of the Containment Ventilation System?

- A. Containment Mini-Purge will isolate. All other ventilation will remain running as prior to the safety injection.
- B. Containment Mini-Purge will isolate. CRDM cooling fans and Containment compartment cooling fans will stop.
- C. Containment Mini-Purge will remain running until Containment pressure or radiation levels exceed the trip setpoint. All other ventilation will remain running as prior to the safety injection.
- D. Containment Mini-Purge will remain running until Containment pressure or radiation levels exceed the trip setpoint. CRDM cooling fans and Containment compartment cooling fans will stop.

Proposed Answer:

Α

- A. Correct. Containment Ventilation Isolation will stop the mini-purge (Any SI)
- B. Incorrect. CVI does not trip these fans
- C. Incorrect. SI will stop the mini-purge, but plausible because these other signals also stop it, and if the applicant thinks that CIA is required on High Cnmt pressure, this is a credible choice

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
	lieves that a High Cn	Imt pressure is	tuse these other signals also stop required to give the containment
Technical Reference(s)	R2101C		(Attach if not previously provided)
	EOP Att 3		
Proposed references to be	provided to applican	ıts during exan	nination: None
Learning Objective:	EO-1.04		_ (As available)
Question Source:	Bank #		
	Modified Bank #		(Note changes or attach parent)
	New	X	- -
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		dge <u>X</u>
10 CFR Part 55 Content:	55.41 <u>5</u>		
Comments:			

•	le Written Examination uestion Worksheet	Form ES-401-5	
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO
	Group #	1	
	K/A #	026 G2.2.	22
	Importance Rating	3.4	

Equipment Control Knowledge of limiting conditions for operations and safety limits.

Proposed Question:

Common 14

Given the following:

The plant is in Mode 1.

 Containment Spray Pump "A" declared INOPERABLE due to a failed surveillance. Containment Spray Pump "B" also declared INOPERABLE due to the results of a common cause failure analysis. Plant Shutdown to Mode 3 commenced. Containment Spray Pump "A" returned to OPERABLE status. Containment Spray Pump "B" returned to OPERABLE status. 	<u>TIME</u>	<u>EVENT</u>
results of a common cause failure analysis. 1406 Plant Shutdown to Mode 3 commenced. 1421 Containment Spray Pump "A" returned to OPERABLE status.	1310	
1421 Containment Spray Pump "A" returned to OPERABLE status.	1339	
· · · · · · · · · · · · · · · · · · ·	1406	Plant Shutdown to Mode 3 commenced.
1449 Containment Spray Pump "B" returned to OPERABLE status.	1421	Containment Spray Pump "A" returned to OPERABLE status.
	1449	Containment Spray Pump "B" returned to OPERABLE status.

Which ONE (1) of the following describes the Technical Specification requirements for operation of the plant?

Plant conditions...

- A. require that the Shutdown to Mode 3 is completed no later than 1939.
- B. require that the Shutdown to Mode 3 is completed no later than 2006.
- C. allowed the plant shutdown to be terminated no earlier than 1421.
- D. allowed the plant shutdown to be terminated no earlier than 1449.

Proposed Answer:

С

- A. Incorrect. Represents 6 hours from inoperability of 2nd pump. Applicant must recognize that TS 3.0.3 has been entered, and the requirements and restrictions of operating in TS 3.0.3
- B. Incorrect. Represents 6 hours from initiation of plant shutdown, which would be the normal time to be in Mode 3
- C. Correct. When the condition requiring entry to 3.0.3 no longer applies, shutdown may be terminated

ES-401	Sample Written Examination Form ES-401-5 Question Worksheet			
D. Incorrect. Condition requiring entry to 3.0.3 was cleared when first pump was returned to operable status.				
Technical Reference(s)	TS 3.6.6, TS 3.0.3		Attach if not previously provided)	
Proposed references to be	provided to applicants		nation: None	
Learning Objective:		((As available)	
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)	
Question History:	Last NRC Exam			
Question Cognitive Level:	Memory or Fundame Comprehension or A	_	e	
10 CFR Part 55 Content:	55.41 <u>10</u> 55.43 <u>2</u>			
Comments:				
Modified from WTSI Bank b	out credit as bank			

ES-401 Sample Qu		Form ES-401-5	
Examination Outline Cross-reference:	Level Tìer #	RO 2	SRO
	Group # K/A #	1 039 K3.04	
	Importance Rating	2.5	

Knowledge of the effect that a loss or malfunction of the MRSS will have on the following: MFW pumps

Proposed Question:

Common 15

Given the following:

- · A reactor trip has occurred.
- All equipment is operating as designed.
- The crew is performing E-0, Reactor Trip or Safety Injection.
- Plant conditions as follows:
 - RCS pressure is 1600 psig and lowering slowly.
 - o RCS temperature is 500°F.
 - o "A" SG pressure is 680 psig and stable.
 - o "B" SG pressure is 380 psig and lowering.
 - o Containment pressure is 6.5 psig and rising.

Which ONE (1) of the following describes the status of feedwater, and the required action based on plant conditions?

- A. Main Feedwater Pumps are running; when directed by the EOPs, Feedwater flow must be throttled to each SG to maintain RCS cooldown rate within limits.
- B. Main Feedwater Pumps are running; when directed by the EOPs, Feedwater flow must be isolated to SG "B".
- C. Main Feedwater Pumps are tripped; when directed by the EOPs, Aux Feedwater flow must be throttled to each SG to maintain RCS cooldown rate within limits.
- D. Main Feedwater Pumps are tripped; when directed by the EOPs, Aux Feedwater flow must be isolated to SG "B".

Proposed Answer:

D

Explanation (Optional):

A. Incorrect. SI has actuated with the conditions presented. MFPs would be tripped

ES-401	Sample Written Examination Form ES-401-5 Question Worksheet			
 B. Incorrect. SI has actuated with the conditions presented. C. Incorrect. AFW to a faulted SG must be isolated, so the fault is not fed D. Correct. 				
Technical Reference(s)	E-2		(Attach if not previously provided)	
Proposed references to be			nination: None	
Learning Objective:			(As available)	
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)	
Question History:	Last NRC Exam			
Question Cognitive Level:	Memory or Fundam Comprehension or		lge	
10 CFR Part 55 Content:	55.41 10			
Comments:				

	ple Written Examination Question Worksheet		Form ES-401-5
Examination Outline Cross-reference:		RO	SRO
	Tier # Group #	2	
	Κ/A #	039 K1.01	
	Importance Rating	3.1	
Knowledge of the physical connections and/or cause Proposed Question: Common		MRSS and the follow	ring systems: S/G
Given the following:			
 The plant was at 100% pow "A" SG Atmospheric Relief No A load rejection is occurring SG pressures are currently NO action has been taken. 	Valve (ARV) is in Manu . 1090 psig and stable.		rotor?
Which ONE (1) of the following de-	scribes the status of F	Steam Gene	rator
"A" SG ARV is			
A. open; all SG Safety Valves	are closed.		
B. closed; all SG Safety Valve	s are closed.		
C. open; ONE (1) SG Safety V	alve is open.		
D. closed; ONE (1) SG Safety	Valve is open.		
Proposed Answer: C Explanation (Optional): A. Incorrect. The ARV is snapped B. Incorrect. The ARV receives a manual C. Correct. Low set SV is 1085 ps D. Incorrect. ARV is open	snap open signal at 106	0 psig when the	
Technical Reference(s) R4001C		(Attach if not p	reviously provided)

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
Proposed references to be	provided to applican	nts during exam	ination: None
Learning Objective:	EO 1.07B, C		(As available)
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis X		
10 CFR Part 55 Content:	55.41 5,7		
Comments:			

ES-401	•	Written Examination estion Worksheet		Form ES-401-5
Examination Outline C	ross-reference:	Level Tier # Group # K/A #	RO 2 1 059 K1.04	SRO
		Importance Rating	3.4	
Knowledge of the physical con-	nections and/or cause-ef	ect relationships between the M	MFW and the following s	systems: S/GS water
Proposed Question:	Common 17			
Given the following:				
All systems areADFCS is deer	-	guration.	will have on the	Main
Feedwater System?	rollowing describe	s the impact this failure	will have on the	Mairi
A. The Feedwater trip.	Regulating Valve	s will fail as is; the runr	ning Main Feedwa	ater Pump will
B. The Feedwater continue to run	•	s will fail closed; the ru	nning Main Feed	water Pump will
C. The Feedwater Pump will trip.	r Regulating Valve	s will transfer to manua	al; the running Ma	ain Feedwater
D. The Feedwater Pump will cont		s will transfer to manua	d; the running M a	ain Feedwater
Proposed Answer:	В			
Explanation (Optional) A. Incorrect. Valve B. Correct.		oump does not receive a	a trip signal	
C. Incorrect. ValveD. Incorrect.	s will close on los	s of power to ADFCS		
Technical Reference(s	s) R4401C	(Attach if not prev	viously provided)
Proposed references t	to be provided to a	pplicants during exami	nation: None	
Learning Objective:	EO 1.05A, E	3	(As available)	

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question Source:	Bank # C000.1377 Modified Bank # New	- _ (Note changes or attach parent) -
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	dge X
10 CFR Part 55 Content:	55.41 _7	
Comments:		

ES-401	•	Written Examination estion Worksheet		Form ES-401-5
				
Examination Outline Cross-	-reference:	Level Tier#	RO 2	SRO
		Group #	1	
		Κ/A #	061 A1.05	
		Importance Rating	3.6	
Ability to predict and/or monitor change controls including: AFW flow/motor at Proposed Question:			imits) associated with	operating the AFW
Given the following:				
Following a reactor trip, to valve closed when the fo			oump discharge	e flow control
AR H-10, AUX	ILIARY FEE	DWATER PUMP LIC	GHT LOAD	
Which ONE (1) of the fol the alarm is received?	lowing desc	ribes the status of the	e TDAFW flow	path at the time
A. TDAFW flow has	reached a se	etpoint of 100 GPM.		
B. TDAFW flow has	reached a so	etpoint of 80 GPM.		
C. TDAFW discharge	e pressure h	as reached a setpoir	nt of 1350 psig	
D. TDAFW discharge	e pressure h	as reached a setpoir	nt of 1085 psig	
Proposed Answer:	A			
•	, .			
Explanation (Optional): A. Correct.				
B. Incorrect. The recirc just dropped below 1	00 gpm and I	en at 80 gpm and close has not reached the Va h the lo flow alarm set	alve Open setpo	oint of 80 gpm,
		ssure at >1350 used to		
because it is the des	ign pressure	ssure at >1350 will cau of the TDAFW pump, a ntrasts of options A an	and makes pres	
Technical Reference(s)	R4201C		(Attach if not pr	eviously provided)
	AR-H-10			

ES-401	<u>.</u>	en Examination Worksheet	Form ES-401-5
Proposed references to be	provided to applicar	nts during exam	ination: NONE
Learning Objective:	EO 1.11A		(As available)
Question Source:	Bank # Modified Bank # New	x	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 <u>5</u>		
Comments:			

5100

ES-401 Sample Qu		Form ES-401-5	
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO
	Group #	1	
	K/A #	061 A2.09	
	Importance Rating	TBD	

Ability to(a) predict the impact of the following mailtunctions or operations on the AFW system; and (b) based on those predictions use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: Total loss of feedwater

Proposed Question:

Common 19

Initial conditions:

- A reactor trip has occurred due to a loss of feedwater.
- Subsequent failures required the crew to enter FR-H.1, Response to Loss of Secondary Heat Sink.
- RCS pressure is 2280 psig.
- Containment pressure is 2 psig.

Current conditions:

- The crew has started the TDAFW Pump.
- Current conditions are as follows:
 - "A" SG WR level has decreased to 58 inches
 - "B" SG WR level has decreased to 45 inches
 - RCS pressure has increased to 2330 psig.
- The crew is referring to Attachment 22, Attachment Restoring Feed Flow.

For the current plant conditions, which ONE (1) of the following describes the action required to restore AFW flow in accordance with Attachment 22?

- A. Feed BOTH SGs at any flow rate as desired to raise level.
- B. Feed BOTH SGs at no greater than 100 GPM per SG.
- C. Feed "A" SG at any flow rate as desired to raise level. Feed "B" SG at no greater than 100 GPM.
- D. Feed "B" SG at any flow rate as desired to raise level. Feed "A" SG at no greater than 100 GPM.

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_ `	- 1	117
	,	VI.

Sample Written Examination Question Worksheet

Form ES-401-5

Proposed Answer:	Α		
B. Incorrect. May feed rateC. Correct. 100 GPM is	G <50 inches, rate is r both, but since "A" is a for hot/dry SG, and th of correct, the SG >50	above 50 inche ne conditions ar	s, there is no restriction on flow re not presented here
Technical Reference(s)	FR-H.1, Att. 22	(/	Attach if not previously provided)
Proposed references to be	provided to applicants	s during examin	nation: None
Learning Objective:		-	(As available)
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundame Comprehension or A	-	e
10 CFR Part 55 Content:	55.41 10		
Comments:			

ES-401	•	e Written Examination estion Worksheet	Form ES-401-5
Examination Outline Cross-r	eference:	Level Tier # Group # K/A # Importance Rating	RO SRO 2 1 062 K2.01 3.3
Knowledge of bus power supplies to the Proposed Question:	e following: Majo Common 20		
Given the following:			
A loss of all AC powerThe crew is performiPower has NOT bee	ng actions o	10 minutes ago. If ECA-0.0, Loss of All A	C Power.
Which ONE (1) of the follow	ing describe	s the status of the 120	VAC Instrument Buses?
A. 1A, 1B, 1C, 1D ener	gized.		
B. 1A, 1B, 1C, 1D de-e	nergized.		
C. 1A and 1C energized	d. 1B and 1	D de-energized.	
D. 1A and 1C de-energ	ized. 1B an	d 1D energized.	
		d to 1A and 1C IB's. IB . (MCC-1B and MCC-1	1B and 1D must be manually re- C will be de-energized)
Technical Reference(s)	R0901C P-10	(Attach if not previously provided)
Proposed references to be p	provided to a	applicants during exami	nation: None
Learning Objective:	EO 1.07		(As available)
Question Source:	Bank #		

ES-401	•	en Examination Worksheet	Form ES-401-5
	Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 5		
Comments: Lower Cog Bank item			

ES-401	•	e Written Examination estion Worksheet		Form ES-401-5
Examination Outline Cross	-reference:	Level Tier # Group # K/A # Importance Rating	RO 2 1 062 A4.07 3.1	SRO
Ability to manually operate and/or mo	onitor in the control Common 21		ling of different ac su	pplies
Given the following:				
EDG "B" is being	synchronize	d to its associated bus	for surveilland	ce.
Which ONE (1) of the fol reverse power trip when	_		higher likeliho	ood of a
A. EDG frequency is	too high			
B. EDG voltage is to	o high			
C. EDG frequency is	too low			
D. EDG voltage is to	o low			
powerB. Incorrect. Would res when closedC. Correct. If frequency	ult in VARS ((speed) is setor (load on the	nd than intended to be pi DUT; reactive load and h et too low, then the EDG he grid) Reverse power	igh current flow	across breaker enough load
Technical Reference(s)	R0801C		attach if not pre	viously provided)
	PT-12.1, 12		•	,
Proposed references to be	provided to a	applicants during examin	ation: None	, , , , , , , , , , , , , , , , , , ,
Learning Objective:	9. 50. 5-	(As available)	

ES-401	•	en Examination Worksheet	Form ES-401-5
Question Source:	Bank # Modified Bank # New	<u>X</u>	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		ge X
10 CFR Part 55 Content:	55.41 <u>5</u>		
Comments: Numerous similar bank iter	ms		

ES-401	•	e Written Examination estion Worksheet	า	Form ES-401-5
Examination Outline Cros	s-reference:	Level Tier #	RO 2	SRO
		Group #	1	
		K/A #	063 A4.03	
		Importance Rating	3.0	
Ability to manually operate and/or memory Proposed Question:	nonitor in the control Common 22		Э	
Given the following:				
A loss of all AC FThe crew has en		curred. 0, Loss of All AC Pe	ower.	
Which ONE (1) of the fo will remain operable if E voltage required for the	OC Bus "A" loa	ad shedding is NOT		
A. 4 Hours; 120 VD	С			
B. 4 Hours; 105 VD	С			
C. 8 Hours; 120 VD	С			
D. 8 Hours; 105 VD	С			
Proposed Answer:	В			
•	ь			
Explanation (Optional): A. Incorrect. Correct setpoint	time but voltag	e incorrect. Voltage	is nominally the D	C low volt alarm
B. Correct.				
	e because at 1	50 amps discharge ra	ate, it will be 8 ho	urs to full
		ne battery. Incorrect		
D. Incorrect. Plausible discharge based on		50 amps discharge ra ne battery.	ite, it will be 8 hou	ırs to full
Technical Reference(s)	ECA-0.0, R	0901C	(Attach if not pre	eviously provided)
Proposed references to b	e provided to a	applicants during exa	mination: None	

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5	
Learning Objective:	EO 1.07	(As available)	
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)	
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	ge <u>X</u>	
10 CFR Part 55 Content:	55.41 <u>7, 10</u>		
Comments:			

ES-401	•	Written Examination estion Worksheet		Form ES-401-5	
		SSHOIT VVOIKSHEEL			
Examination Outline Cross-r	eference:	Level Tier # Group # K/A # Importance Rating	RO 2 1 064 K2.03 3.2	SRO	
Knowledge of bus power supplies to the Proposed Question:	e following: Cont Common 23				
Which ONE (1) of the follooperation of EDG "A"?	owing descr	ibes the effect of a los	s of Battery "A	" on the	
A. EDG "A" will autom Transfer System is	-	rt if required, but contr	ol power to the	e "A" Fuel	
B. EDG "A" will auton lost.	B. EDG "A" will automatically start if required, but remote operation from the MCB is lost.				
C. EDG "A" will NOT automatically start if required, due to loss of power to the Start Relay.					
D. EDG "A" will NOT a Start Valve	automatical	ly start if required, due	to loss of pov	ver to the Air	
Proposed Answer: Explanation (Optional):	Α				
A. Correct.B. Incorrect. The transfetLOCAL operation	er panel lose:	s control power, resultin	g in inability to t	ransfer to	
C. Incorrect. Battery "B"		ernate power to a redun wer to a redundant air st		oid	
Technical Reference(s)	R0801C ER-ELEC.2		Attach if not pre	viously provided)	
Proposed references to be provided to applicants during examination: None					
Learning Objective:	EO 1.10B		(As available)		
Question Source:	Bank #		(Note changes	or attach parent)	

ES-401	•	itten Examination on Worksheet	Form ES-401-5
	New	X	
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fund Comprehension	lamental Knowledge or Analysis	<u></u>
10 CFR Part 55 Content:	55.41 7	_	
Comments:			

•	Sample Written Examination Question Worksheet			
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO	
	Group #	1		
	K/A #	073 K3.01		
	Importance Rating	3.6		

Knowledge of the effect that a loss or malfunction of the PRM system will have on the following: Radioactive effluent releases

Proposed Question:

Common 24

Given the following:

- The plant is in Mode 1.
- A Gas Decay Tank release is in progress.
- R-14 indicates low with range light lit.
- The crew responds in accordance with the alarm response
 - o AR-RMS-14.1, PLANT VENT GAS FAIL

Which ONE (1) of the following describes the effect on the release and associated actions that are required?

- A. The release is automatically terminated. Initiate A-52.12 (ODCM)
- B. The release is automatically terminated. The release may be reinitiated provided that 2 independent samples are obtained and 2 qualified personnel perform valve alignments. Contact RP and I & C to determine the cause and initiate repair.
- C. The release does NOT automatically terminate. Manually terminate the release and initiate A-52.12 (ODCM)
- D. The release does NOT automatically terminate. The release may continue uninterrupted provided that 2 independent samples are obtained and 2 qualified personnel perform valve alignments. Contact RP and I & C to determine the cause and initiate repair.

Proposed Answer:

C

Explanation (Optional):

A. Incorrect. The release will only terminate on high radiation, not on detector failure. Plausible because the liquid effluent PRM losing power will cause valve closure

ES-401	Sample Written Question We		Form ES-401-5
effluent PRM losing p C. Correct. IAW AR-RM	se will not terminate au power will cause valve (1S-14.1, also contact F se must be terminated	closure IP and I&C	ausible because the liquid
Technical Reference(s)	AR-RMS-14.1	(A	ttach if not previously provided)
Proposed references to be	provided to applicants	during examina	ation: None
Learning Objective:		(,	As available)
Question Source:	Bank # Modified Bank # New	X (I	Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundame Comprehension or A	•	X
10 CFR Part 55 Content:	55.41 10, 12		
Comments:			

 ES-4		Sample	Written Ex	amination		Form ES-401-5
LO 1	01	•	estion Work			
Evan	nination Outline Cross	-reference:	Level		RO	SRO
LAGII	mation odimic oross	1010101100.	Tier#		2	3.10
			Group #		1	
			K/A #		076 A3.02	
			Importan	ce Rating	3.7	
•	to monitor automatic operation osed Question:	of the SWS, includ Common 25		y heat loads		
Give	n the following cond	litions:				
•	 A reactor trip has occurred. The crew has entered E-0, Reactor Trip or Safety Injection. RCS pressure indicates 1720 psig. Containment pressure indicates 4.5 psig. PZR level indicates 5%. All equipment is running as designed. 					
Whic	ch ONE (1) of the fol	llowing descr	ibes the al	ignment of	the Service V	Vater system?
	SW Outlets fro	om CNMT Co	oolers	SW Supply	to CCW Hea	t Exchangers
Α.	Throttled			Open		
B.	Throttled			Closed		
C.	Tripped Open			Open		
D.	Tripped Open			Closed		
•	osed Answer: anation (Optional): Incorrect. SI signal Incorrect. SI signal Incorrect. SI signal Incorrect. Correct. Incorrect. SW isolati	nas been gene en, a SW isok	erated, and ation does i	CNMT Coole		•
Tech	nnical Reference(s)	R5101C		(A	ttach if not pro	eviously provided)

ES-401	Sample Writte Question	Form ES-401-5	
Proposed references to be	provided to applican	its during exam	ination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	<u>x</u>	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge
10 CFR Part 55 Content:	55.41 5		
Comments:			

•	e Written Examination Jestion Worksheet	Form ES-401-5		
Examination Outline Cross-reference:	Level Tier #	RO 2	SRO	
	Group #	1		
	K/A #	078 A4.01		
	Importance Rating	_3.1		

Ability to manually operate and/or monitor in the control room: Pressure gauges

Proposed Question:

Common 26

The plant is at 100% power.

- Service Air Compressor is running loaded following maintenance.
- Instrument Air Compressor "C" is running unloaded due to Instrument Air System demand.
- Instrument Air pressure is lowering.
- "A" and "B" Instrument Air Compressors in Standby.

Which ONE (1) of the following describes the Air Compressor configuration when MCB Instrument Air pressure gauge PI-2086 lowers to 103 psig?

- A. The Service Air Compressor AND Instrument Air Compressor "C" are running loaded. "A" and "B" IAC running loaded.
- B. The Service Air Compressor AND Instrument Air Compressor "C" are running loaded. "A" and "B" IAC remain in Standby.
- C. The Service Air Compressor is running loaded; the C Instrument Air Compressor is running unloaded. "A" and "B" IAC running loaded.
- D. The Service Air Compressor is running loaded; the C Instrument Air Compressor is running unloaded. "A" and "B" IAC remain in Standby.

Proposed Answer:

Α

Explanation (Optional):

- A. Correct. 105 psig starts backup (standby) compressors
- B. Incorrect. SA Compressor as the backup would have started and loaded at 105. Would load if running unloaded prior to reaching 105 pig
- C. Incorrect. C IAC will cycle between 110-123 psig. At this pressure it is running loaded.
- D. Incorrect. When running both compressors will unload at 123 psig, so they will both still be loaded.

ES-401	Sample Written Examinatio Question Worksheet	n Form ES-401-5
Technical Reference(s)	4701C IA/SA	_ (Attach if not previously provided)
Proposed references to be	provided to applicants during exa	mination: None
Learning Objective:		(As available)
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	· · · · · · · · · · · · · · · · · · ·
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	edge X
10 CFR Part 55 Content:	55.41 5	
Comments:		

Sample Written Examination Question Worksheet		
Level Tier #	RO 2	SRO
Group #	1	
K/A #	103 A2.03	
Importance Rating	3.5	
	estion Worksheet Level Tier # Group # K/A #	Level RO Tier # 2 Group # 1 K/A # 103 A2.03

Ability to (a) predict the impacts of the following malfunctions or operations on the containment system-and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations Phase A and B including

Proposed Question:

Common 27

Given the following:

- With the plant at 100% power, the following annunciator is received:
 - AR A-26, CONTAINMENT ISOLATION
- No other alarms are received.

Which ONE (1) of the following describes the effect on the plant, and the action that will be required?

- A. A reactor trip signal is generated; perform actions of E-0, Reactor Trip or Safety Injection. SI and CI must be reset prior to restoring Letdown.
- B. A reactor trip signal is generated; perform actions of E-0, Reactor Trip or Safety Injection. Verify Containment Isolation using Attachment 3.0, Attachment CI/CVI.
- C. A reactor trip signal is NOT generated; SI and CI must be reset prior to restoring Letdown.
- D. A reactor trip signal is NOT generated; Verify Containment Isolation using Attachment 3.0, Attachment CI/CVI.

Proposed Answer:

D

Explanation (Optional):

- A. Incorrect. Trip generated by SI, this is only CI. If there was a trip, action would be correct
- B. Incorrect. Trip generated by SI, this is only CI. Actions correct for actual event
- C. Incorrect. Actions would be correct if SI was generated
- D. Correct. AR-A-26 directs verification of CI/CVI if no SI has occurred

ES-401	•	en Examination Worksheet	Form ES-401-5	
Technical Reference(s)	AR-A-26		(Attach if not previously provided)	
Proposed references to be	provided to applican	nts during exan	nination: None	
Learning Objective:		——————————————————————————————————————	_ (As available)	
Question Source:	Bank # Modified Bank # New X		_ _ (Note changes or attach parent) _	
Question History:	Last NRC Exam			
Question Cognitive Level:	Memory or Fundan Comprehension or		dge	
10 CFR Part 55 Content:	55.41 7			
Comments:				

ES-401	•	e Written Examination estion Worksheet		Form ES-401-5
			77	
Examination Outline	Cross-reference:	Level Tier #	RO 2	SRO
		Group #	1	
		K/A #	103 A3.01	
		Importance Rating	3.9	
Ability to monitor automatic or Proposed Question:	operation of the containmer Common 28	nt system, including: Containmen	it isolation	
Given the following	;			
An RCS leak result	ed in the following	conditions:		
TIME	<u>EVENT</u>	T /		
0815 0818	Manual Reactor	•		
0826	Manual Safety Ir	*	waring	
0827	- Pagamanag			
0831	Containment Pressure 4 psig and rising. Containment Pressure 29 psig and rising.			
0833 RCS Pressure 220 psig and stable.				
describes the EAR		e taken, which ONE (1 tainment Isolation sigr	•	•
A. 0818				
B. 0826				
C. 0827				
D. 0831				
Proposed Answer:	В			
Explanation (Optional	al):			
• •	ot actuated on Man	ual SI		
	ınal generated on Lo			
C. Incorrect. Low PZR pressure was actuated prior to Ctmt pressure				
D. Incorrect. Setpoint for Containment Spray is 28 psig				
Technical Reference	(s) <u>P-7</u>	(/	Attach if not pre	eviously provided)

ES-401	Sample Written Examination Question Worksheet	on Form ES-401-5
		_ _
Proposed references to be	provided to applicants during exa	nmination: None
Learning Objective:		(As available)
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	edge X
10 CFR Part 55 Content:	55.41 <u>5</u>	
Comments:		

ES-401	•	Written Examination		Form ES-401-5		
	Question Worksheet					
Examination Outline Cross-	reference:	Level	RO	SRO		
Examination Outline Gross	10,010,100.	Tier #	2	•		
		Group #	2			
		K/A #	002 K1.07			
		Importance Rating	3.5			
Knowledge of the physical connection vessel level indication system Proposed Question:	s and/or cause-ef		RCS and the following	systems; Reactor		
Froposed Question.	Common 23					
Which ONE (1) of the foll Vessel Level Indicating S	_	• •	the Tcold input	to the Reactor		
Provides density compen RVLIS indication	sation and r	measurement of spe	cific gravity for	RCS fluid for		
A. during all modes of	of operation.					
B. ONLY for operation	n with RCPs	s off.				
C. ONLY for operation	with NO SI o	r RHR Flow, and whe	n CETs NOT > T	sat.		
D. ONLY for operatio NOT > Tsat.	n with RCPs	s running with NO SI	or RHR Flow,	and when CETs		
Proposed Answer:	С					
Explanation (Optional): A. Incorrect. Defeated v B. Incorrect. Active for I C. Correct.		or off if SI or RHR flow	w exists			
D. Incorrect. Active also	o for RCPs of	f				
Technical Reference(s)	R6701C		(Attach if not pre	eviously provided)		
Proposed references to be	provided to a	pplicants during exam	nination: None			
Learning Objective:			_ (As available)			
Question Source:	Bank #					

ES-401	<u>.</u>	en Examination Worksheet	Form ES-401-5
	Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Funda Comprehension of		ge X
10 CFR Part 55 Content:	55.41 <u>5</u>		
Comments:			

	101 Samp	ole Written Examination		Form ES-401-5	
_	Question Worksheet				
Exar	nination Outline Cross-reference:	Level	RO	SRO	
		Tier #	2		
		Group #	2	<u> </u>	
		K/A #	011 K1.04		
		Importance Rating	3.8		
	ledge of physical connections and/or cause-effe posed Question: Common (· ·	S and the followin	g: RPS	
Give	en the following:				
•	The sector that BDZD is all		۱L.		
	uming no action by the crew, wl Delta T setpoint and PRZR leve	• •	wing describe	es the effect on	
,	A. OT Delta T setpoint will rise.	PRZR level will rise un	til the reacto	r trips.	
ł	3. OT Delta T setpoint will rise.	PRZR level will lower u	ıntil letdown	isolates.	
(C. OT Delta T setpoint will lowe	er. PRZR level will rise u	ıntii the reac	tor trips.	
1	D. OT Delta T setpoint will lowe	er. PRZR level will lowe	r until letdow	n isolates.	
Prop	posed Answer: A				
Expl	anation (Optional): Correct. Failing low will cause le will continue to rise until the trip setpoint, PRZR pressure will als setpoint will be higher at the high pressure	setpoint is reached. If PRZ o be higher due to the bub	R level rises to ble being sque	to the trip eezed. OTDT	
В. С.	Incorrect. PRZR level would low Incorrect. OTDT setpoint would	-	-	pposite direction	
D.	Incorrect. OTDT setpoint would failure was controlling channel in		re, and PRZR	would lower if	

ES-401	Sample Written Examination Question Worksheet	n Form ES-401-5
Proposed references to be	provided to applicants during exar	mination: None
Learning Objective:		_ (As available)
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	edge X
10 CFR Part 55 Content:	55.41 5	
Commente:		

ES-401	•		Examination orksheet		Form ES-401-5
Examination Outline Cross-	reference:	Level Tier # Group K/A # Impor	# tance Rating	RO 2 2 016 G2.2.2 3.4	SRO 2
Equipment Control Knowledge of limiti Proposed Question:	ng conditions for Common 31		and safety limits.		
Which ONE (1) of the followage specification action states	_		•	•	
A. Loop "B" Wide Rar	nge Thot				
B. Loop "A" Narrow R	lange Tcold				
C. SG "B" Main Stear	n Pressure				
D. SG "A" Main Stear	n Flow				
Proposed Answer: Explanation (Optional): A. Incorrect. WR temperate B. Correct. NR temperate C. Incorrect. Input to ES D. Incorrect. Input to ES	ture inputs to FAS but no I	OTDT re	eactor trip ins		oring
Technical Reference(s)				(Attach if not pre	viously provided)
Proposed references to be p			during exam	nination: None	
Learning Objective:				(As available)	
Question Source:	Bank # Modified Ba New	-	X	- ' -	or attach parent)
Question History:	Last NRC E	xam			
Question Cognitive Level:	Memory or F	undame	ental Knowled	ige	

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
	Comprehension or Analysis	X
10 CFR Part 55 Content:	55.41 5	
Comments:		

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5			
	woods it it offware				
Examination Outline Cross-refere	nce: Level Tier#	RO SRO			
	Group #	2			
	K/A#	017 A4.02			
	Importance Rating	3.8			
Ability to manually operate and/or monitor in the inadequate core cooling (i.e., if applicable, average Proposed Question:	ne control room: Temperature values used erage of five highest values) Mon 32	1 to determine RCS/RCP operation during			
Given the following:					
Inadequate Core Coolin	es, the crew has transitioned g.				
Which ONE (1) of the following	describes the operation of	RCPs for this event?			
 A. Any available RCPs are run for the entire event. B. Any available RCPs are started prior to performing secondary depressurization. C. Only one RCP at a time is started if it is available, only if secondary depressurization is ineffective. D. Only one RCP is started prior to performing secondary depressurization. 					
B. Incorrect. Plausible because RCP startC. Correct. If secondary deprese be started	essurization is ineffective and (S is always desirable cond depressurization step after CETs >1200 degrees F, RCP will start is after depressurization is			
Technical Reference(s) FR-C	D.1 (Attach if not previously provided)			
Proposed references to be provide	ded to applicants during exami	nation: None			

ES-401		en Examination Worksheet	Form ES-401-5
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	<u>X</u>	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 10		
Comments: WTSI Bank			

ES-401	Sample	Written Examination		Form ES-401-5		
	Que	estion Worksheet				
	_					
Examination Outline Cross-	reference:	Level	RO	SRO		
		Tier #	_2			
		Group #	_2			
		K/A #	034 A3.01			
		Importance Rating	2.5			
Ability to monitor automatic operation Proposed Question:	of the Fuel Handl Common 33		its			
Which ONE (1) of the folloprevents the Manipulator	•		~ -			
A. Bridge Travel is re Trolley is aligned v	•	zone interlock to the Jeling Canal centerline		the core if the		
B. Bridge Travel is re Trolley is NOT alig	_	zone interlock to the Refueling Canal cen		the core if the		
C. Limit switches previs aligned with the		_	south direction	on if the Trolley		
-	D. Limit switches prevent ANY Bridge movement in the south direction if the Trolley is NOT aligned with the Refueling Canal centerline.					
Proposed Answer:	В					
Explanation (Optional):						
• • • • • •	ot he restrict	ed if aligned with cavity	conterline			
		ravel unless aligned with		lina		
C. Incorrect. Limit switch	nes prevent d	collision with north guide	stud as well a	s south, but		
 bridge movement to the south is allowed until reaching the edge of the core Incorrect. Limit switches prevent collision with the north guide stud, as well as south, but bridge movement to the south is allowed until reaching the edge of the core 						
Technical Reference(s)	R3701C	(/	Attach if not pre	viously provided)		
Proposed references to be provided to applicants during examination: None						
Learning Objective:		((As available)			
Question Source:	Bank #					

ES-401	•	en Examination Worksheet	Form ES-401-5
	Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 5		
Once an areter			
Comments:			

Examination Outline Cross-reference: Level RO SRO Tier # 2 Group # 2 K/A # 035 K5.03 Importance Rating 2.8 Knowledge of operational implications of the following concepts as the apply to the S/GS: Shrink and swell concept Proposed Question: Common 34 Which ONE (1) of the following describes the function of the Feedwater Temperature input to the ADFCS? A. Provides a gain adjustment to the level error signal in Low Power mode to minimize effects of shrink and swell. B. Provides a gain adjustment to the level error signal in High Power mode to minimize effects of shrink and swell. C. Provides density compensation to the Feedwater flow signal in Low Power Mode to provide ADFCS stability. D. Provides density compensation to the Feedwater flow signal in High Power Mode to provide ADFCS stability. Proposed Answer: A Explanation (Optional): A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided)	ES-401	Sample	Written Examination		Form ES-401-5	
Tier # 2 Group # 2 K/A # 035 K5.03 Importance Rating 2.8 Knowledge of operational implications of the following concepts as the apply to the S/GS: Shrink and swell concept Proposed Question: Common 34 Which ONE (1) of the following describes the function of the Feedwater Temperature input to the ADFCS? A. Provides a gain adjustment to the level error signal in Low Power mode to minimize effects of shrink and swell. B. Provides a gain adjustment to the level error signal in High Power mode to minimize effects of shrink and swell. C. Provides density compensation to the Feedwater flow signal in Low Power Mode to provide ADFCS stability. D. Provides density compensation to the Feedwater flow signal in High Power Mode to provide ADFCS stability. Proposed Answer: A Explanation (Optional): A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment. C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided)		Que	estion Worksheet			
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Group # K/A # 035 K5.03 Importance Rating 2.8 Knowledge of operational implications of the following concepts as the apply to the S/GS: Shrink and swell concept Proposed Question: Common 34 Which ONE (1) of the following describes the function of the Feedwater Temperature input to the ADFCS? A. Provides a gain adjustment to the level error signal in Low Power mode to minimize effects of shrink and swell. B. Provides a gain adjustment to the level error signal in High Power mode to minimize effects of shrink and swell. C. Provides density compensation to the Feedwater flow signal in Low Power Mode to provide ADFCS stability. D. Provides density compensation to the Feedwater flow signal in High Power Mode to provide ADFCS stability. Proposed Answer: A Explanation (Optional): A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. A thigh power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided)	Examination Galino Gross to	10101100.				
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 A. Provides a gain adjustment to the level error signal in Low Power mode to minimize effects of shrink and swell. B. Provides a gain adjustment to the level error signal in High Power mode to minimize effects of shrink and swell. C. Provides density compensation to the Feedwater flow signal in Low Power Mode to provide ADFCS stability. D. Provides density compensation to the Feedwater flow signal in High Power Mode to provide ADFCS stability. Proposed Answer: A Explanation (Optional): A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided) 	•			: Shrink and swell	concept	
minimize effects of shrink and swell. B. Provides a gain adjustment to the level error signal in High Power mode to minimize effects of shrink and swell. C. Provides density compensation to the Feedwater flow signal in Low Power Mode to provide ADFCS stability. D. Provides density compensation to the Feedwater flow signal in High Power Mode to provide ADFCS stability. Proposed Answer: A Explanation (Optional): A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided)	• •	ving descr	ribes the function of th	e Feedwater	Temperature	
minimize effects of shrink and swell. C. Provides density compensation to the Feedwater flow signal in Low Power Mode to provide ADFCS stability. D. Provides density compensation to the Feedwater flow signal in High Power Mode to provide ADFCS stability. Proposed Answer: A Explanation (Optional): A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided)	•			n Low Powe	r mode to	
to provide ADFCS stability. D. Provides density compensation to the Feedwater flow signal in High Power Mode to provide ADFCS stability. Proposed Answer: A Explanation (Optional): A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided)				n High Powe	er mode to	
Proposed Answer: A Explanation (Optional): A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided)						
 Explanation (Optional): A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided) 			n to the Feedwater flo	w signal in F	ligh Power Mode	
 A. Correct. At low power, effects shrink and swell are more severe B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided) 	Proposed Answer:	\				
 B. Incorrect. At high power provides for stability, the effects of shrink and swell are minimal at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided) 	Explanation (Optional):					
at high power. Plausible because it does provide a gain adjustment C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided)	A. Correct. At low power,	effects shr	ink and swell are more s	severe		
 C. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided) 					swell are minimal	
D. Incorrect. Provides a gain adjustment to level error, not density compensation for feed flow Technical Reference(s) R4401C (Attach if not previously provided)	C. Incorrect. Provides a g				nsation for feed	
	D. Incorrect. Provides a g	ain adjustn	nent to level error, not de	ensity compe	nsation for feed	
Proposed references to be provided to applicants during examination: None	Technical Reference(s)F	R4401C	(/	Attach if not p	reviously provided)	
	Proposed references to be proposed references to be proposed to be	rovided to a	applicants during examir	nation: None	э	
Learning Objective: (As available)	Learning Objective:			(As avaìlable)	ı	

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	lge X
10 CFR Part 55 Content:	55.41 5	
Comments:		

· ·	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier#	RO 2	SRO
	Group #	2	
	K/A #	041 K3.04	
	Importance Rating	3.5	

Knowledge of the effect that a loss or malfunction of the SDS will have on the following: Reactor power

Proposed Question:

Common 35

Given the following:

- A load rejection has occurred.
- Reactor power is currently 75%.
- · Main Turbine control is in IMP IN.
- Generator load is approximately 380 MWe.
- Group "A" Steam Dump Valves are full open.
- The arming solenoid to Group "A" Steam Dump Valves fails, losing power.

Which ONE (1) of the following describes the effect on reactor power?

Reactor power is reduced by approximately...

A. 3.5%.

B. 7%.

C. 10.5%.

D. 21%.

Proposed Answer:

В

- A. Incorrect. Valves fail closed. 2 valves in Group A, each with a capacity of 3.5%
- B. Correct. Capacity of 2 valve
- C. Incorrect. Capacity of 1 SG Safety valve
- D. Incorrect. Capacity of 2 SG Safety valves

ES-401	Sample Written Examin Question Workshee	
Technical Reference(s)	R4501C	(Attach if not previously provided)
Proposed references to be	provided to applicants during	examination: None
Learning Objective:		(As available)
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Kno Comprehension or Analysis	owledge
10 CFR Part 55 Content:	55.41 7	
Comments:		

•	Written Examination		Form ES-401-5	
Que	estion Worksheet			
Examination Outline Cross-reference:	Level Tier # Group # K/A #	RO 2 2 045 A1.05 3.8	SRO	
	Importance Rating	3.0		
Ability to predict and/or monitor changes in parameters (system controls including: Expected response of primary Proposed Question: Common 36	to prevent exceeding design li plant parameters (temperatur	mits) associated with o e and pressure) follow	perating the MT/Ging T/G trip	
Given the following plant conditions:				
 An ATWS has occurred from 1 The crew is performing actions Restart/ATWS. The HCO determines that the formula of PZR PORVs indicate Of PRT temperature, level, 	in accordance with following occurs in repressure increasing PEN	apid successior		
Which ONE (1) of the following has o	·	g.		
A. SI has actuated.				
B. The turbine has tripped.				
C. The TDAFW Pump has tripped	i .			
D. A PZR Pressure Controller ma	Ifunction has occurre	ed.		
Proposed Answer: B Explanation (Optional): A. Incorrect. Parameters would decree. B. Correct. Characteristic of a large lect. C. Incorrect. Parameters would chan. D. Incorrect. Indications are similar, to	oss of load ge, but not as severe	•	ture increasing.	
Technical Reference(s) FR-S.1 BD	Technical Reference(s) FR-S.1 BD (Attach if not previously provided)			
Proposed references to be provided to a	pplicants during exam	ination: None		

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		ge
10 CFR Part 55 Content:	55.41 7		
Comments: Robinson 2006 Audit Exam	า		

ES-401	•	Vritten Examination tion Worksheet	Form ES-401-5
Examination Outline Cross-	reference:	Level Tier # Group # K/A # Importance Rating	RO SRO 2 2 015 K6.02 2.6
Knowledge of the effect of a loss or m Proposed Question:	alfunction on the follo	owing will have on the NIS	: Discriminator/Compensation circuits
Which ONE (1) of the folloreactor power being LOW			as that will result in indicated
A. Source Range puls Intermediate Rang	_		
B. Source Range puls Intermediate Rang	•		
C. Source Range puls Intermediate Rang			
D. Source Range puls Intermediate Rang	-		
Proposed Answer: Explanation (Optional): A. Incorrect. Both of thes B. Correct. With Pulse Inwould be higher than C. Incorrect. SR is incorrect. D. Incorrect. SR is correct.	neight discrimin indicated (non- ect, IR is corre	ation or IR comper- conservative) ct.	ed power esation set too high, actual power
Technical Reference(s)			(Attach if not previously provided)
Proposed references to be			nination: None
Learning Objective:			_ (As available)
Question Source:	Bank # Modified Bank New	X #	_ _ (Note changes or attach parent)

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	X
10 CFR Part 55 Content:	55.41 11	
Comments: WTSI Bank, used on BVPS	S-1 2002 exam	

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Examination Outline Cross-refere	ence: Level Tier # Group # K/A # Importance Rating	RO SRO 2 2 079 K4.01 2.9
Knowledge of SAS design feature(s) and/or in Proposed Question: Com	nterlock(s) which provide for the following: Camon 38	Cross-connect with IAS
Given the following:		
·	oressure is 80 psig and lower	•
Which ONE (1) of the following Cross-Tie Isolation valve, V-70 AOV-5251?	-	
A. V-7000 open; AOV-525	51 open.	
B. V-7000 open; AOV-525	51 closed.	
C. V-7000 closed; AOV-52	251 open.	
D. V-7000 closed; AOV-52	251 closed.	
Proposed Answer: C Explanation (Optional): A. Incorrect. At 100 psig, V-7 B. Incorrect. Opposite of actu C. Correct. D. Incorrect. AOV-5251 is op-		251 opens
Technical Reference(s) 4701	1C (A	attach if not previously provided)
Proposed references to be provide	ded to applicants during examin	ation: <u>None</u>
Learning Objective:	(As available)

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	lge <u>X</u>
10 CFR Part 55 Content:	55.41 5	
Comments:		

-	ple Written Examination Question Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier # Group #	RO 1 1	SRO
	K/A #	008 AK2.	02
	Importance Rating	3.8	_
Knowledge of the interrelations between the Pressur Proposed Question: Common		following: Sensors	and detectors.
Given the following:			
 Pressurizer pressure is 985 Pressurizer Relief Tank pres PRT temperature is 90°F. The reactor is shut down. 			
If a pressurizer safety valve begins temperature seen downstream of t		of the follow	ring is the
A. 230°F			
B. 270°F			
C. 300°F			
D. 340°F			
Proposed Answer: C			
Explanation (Optional):			
A. Incorrect. Reasonable because PZR, and answer is within rang	e of correct answer if an e	rror is made o	on the diagram
 B. Incorrect. Normal temperature C. Correct. Constant enthalpy proapproximately 300°F 		•	
D. Incorrect. Reasonable because PZR, and answer is within rang	•		
Technical Reference(s) Steam Ta	ables, Mollier (Attach if not p	reviously provided
Proposed references to be provided t	o applicants during exami	nation: Non-	e

ES-401	•	en Examination Worksheet	Form ES-401-5
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	_X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		ge X
10 CFR Part 55 Content:	55.41 <u>14</u>		
Comments: IP3 2003 NRC			

ES-401	Sample Written Examination Question Worksheet	Form ES-40)1-5
Examination Outline Cross-refere	nce: Level Tier#	RO SRO	
	Group #	1	
	K/A #	015 AK3.01	
	Importance Rating	2.5	
Knowledge of the reasons for the following response in the potential damage from high winding and/or be Proposed Question:	sponses as they apply to the Reactor C earing temperatures Mon 40	Coolant Pump Malfunctions (Loss of RC Fl	ow) :
Given the following:			
•		•	
Assuming CCW CANNOT be residue of the following is the MAXIMU			
A. 1 minute			
B. 2 minutes			
C. 3 minutes			
D. 4 minutes			
Proposed Answer: A			
B. Incorrect. Credible if they aC. Incorrect. Credible if they b	for 2 minutes without CCW of apply 2 minute rule without accelleve that 4 minutes would apply 5 degrees per minute a	ccounting for 1 minute passed be too long	
Technical Reference(s) AP-C	CCW.2	(Attach if not previously provid	bet
Proposed references to be provide	led to applicants during exan	nination: None	
Learning Objective:		(As available)	

ES-401	· ·	n Examination Worksheet	Form ES-401-5
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 10		
Comments: Have written similar for oth	er exams different K	As	

ES-401 Sai	mple Written Examination Question Worksheet		Form ES-401-5
Examination Outline Cross-reference	e: Level	RO	SRO
	Tier #	_1	
	Group #	1	

022 AA1.09

3.2

Ability to operate and / or monitor the following as they apply to the Loss of Reactor Coolant Pump Makeup: RCP seal flows, temperatures, pressures, and vibrations.

K/A #

Importance Rating

Proposed Question:

Common 41

Given the following:

- The plant is at 100% power.
- (B-9) RCP 1A Labyrinth Seal Low Diff Press 15" H2O, alarms.
- (B-10) RCP 1B Labyrinth Seal Low Diff Press 15" H2O alarms.
- (A-4) Regenerative Letdown Outlet Hi Temp 395°F, alarms.
- Letdown line flow erratic.
- Low pressure letdown line pressure is erratic.
- "A" RCP seal injection = 0 gpm.
- "B" RCP seal injection = 0 gpm.
- Charging line flow = 0 gpm.
- Charging Pump Discharge Pressure = 1900 psig.
- R-4, R13 and R14 are trending up.
- Auxiliary Building Sump Level High Alarms frequency has increased.

Based upon these symptoms, which ONE (1) of the following describes the initiating condition?

(HCV-142, Charging Flow to Regenerative Heat Exchanger)

Charging Line leak...

- A. inside containment downstream of the regenerative heat exchanger
- B. outside containment upstream of HCV-142
- C. inside containment downstream of HCV-142 but upstream of the regenerative heat exchanger
- D. outside containment downstream of HCV-142

Proposed Answer:

В

- A. Incorrect. Flow would be indicated if the leak was downstream of RHX and letdown would not be erratic
- B. Correct. AP-CVCS.3 Step 2 provides guidance for checking for Charging Pump Leaks, Discharge

ES-401

Sample Written Examination Question Worksheet

Form ES-401-5

pressure < RCS pressure with no flow and indications of increased leakage into the Aux Building (sump levels and rad monitors) are indication of a Charging Line Leak in the Aux building. The leak is upstream of HCV-142 because a leak downstream of HCV-142 would be downstream of the flow indicator and result in the leak flow being indicated on the Flow indicator.

C Incorrect. No indication of leakage in containment are given and leak has to be upstream of HCV-142 as discussed above.

D. Incorrect. See above AP-CVCS.2 (Attach if not previously provided) Technical Reference(s) Proposed references to be provided to applicants during examination: None (As available) Learning Objective: Question Source: Bank # C000.1346 Modified Bank # (Note changes or attach parent) New Question History: Last NRC Exam Question Cognitive Level: Memory or Fundamental Knowledge X ____ Comprehension or Analysis 10 CFR Part 55 Content: 55.41

Comments:

Bank item changed 1 distractor

	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier #	RO 1	SRO
	Group #	1	
	K/A #	025 AK3.0	<u> </u>

3.1

Knowledge of the reasons for the following responses as they apply to the Loss of Residual Heat Removal System: Shift to alternate flowpath

Proposed Question:

Common 42

Given the following conditions:

- The plant is cooling down in Mode 4.
- RHR Loop "A" is in service.
- RCS temperature is 305°F.
- Both RCPs are secured.

Subsequently, "A" RHR Pump trips on overcurrent. The crew enters the appropriate AP for the event.

Which ONE (1) of the following describes the method of restoring core cooling, in order of preference?

- A. Start "B" RHR Pump.
 Start an RCP and steam SGs.
 Verify Natural Circulation and steam SGs.
- B. Start an RCP and steam SGs.
 Verify Natural Circulation and steam SGs.
 Start an RCDT Pump to provide cooling.
- C. Start "B" RHR Pump.
 Verify Natural Circulation and steam SGs.
 Start an RCP and steam SGs.
- D. Verify Natural Circulation and steam SGs.Start an RCP and steam SGs.Start an RCDT Pump to provide cooling.

Proposed Answer:

Α

ES-401	ES-401	
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Sample Written Examination Question Worksheet

Form ES-401-5

- A. Correct. With just an overcurrent trip of the RHR Pump, the procedure directs action IAW step10, which will start another pump
- B. Incorrect. These actions are correct, with the exception that the RHR pump would be started first
- C. Incorrect. Correct first action but 2nd 2 are reversed
- D. Incorrect. 1st 2 actions are reversed

Technical Reference(s)	AP-RHR.1	(Attach if not previously provided)
·	provided to applicants during exan	nination: None (As available)
Learning Objective: Question Source:	Bank # Modified Bank # New X	_ (Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	dge
10 CFR Part 55 Content:	55.41 <u>10</u>	
Comments:		

•	le Written Examination uestion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO 1	SRO
	Group #	1	
	K/A #	026 G2.1	1.30
	Importance Rating	3.9	
Conduct of Operations: Ability to locate and operate of Proposed Question: Common 4			
Given the following:			
 A Loss of CCW has occurred The crew is performing AP-C An AO has been directed to 1.1, Attachment Normal CCV 	CCW.2, Loss of CCW Duverify normal CCW flows	_	•
Which ONE (1) of the following desconsidered normal for the RCPs, arthrottled if required?			
A. 100 GPM; Containment Mez	zanine.		
B. 100 GPM; Auxiliary Building	Middle Level.		
C. 200 GPM; Containment Mez	zanine.		
D. 200 GPM; Auxiliary Building	Middle Level.		
Proposed Answer: C			
Explanation (Optional): A. Incorrect. Flow too low but location B. Incorrect. Flow too low and incorre		pecause it is	the location where

ATT 1.1 (Attach if not previously provided)

Technical Reference(s)

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Proposed references to be	provided to applicants during examination	on: None
Learning Objective:	(As	available)
Question Source:	Bank # Modified Bank # (No New X	te changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	<u>X</u>
10 CFR Part 55 Content:	55.41 <u>5</u>	
Comments:		

ES-401	Sample Written Examin Question Workshe		Form ES-401-5
Examination Outline Cross-reference	rence: Level Tier # Group # K/A # Importance F	RO 1 1 027 AK2.0 Rating 2.6	SRO
Knowledge of the interrelations between the positioners	Pressurizer Pressure Control Ma	alfunctions and the following: C	Controllers and
Proposed Question: Cor	nmon 44		
Given the following:			
 The plant is operating A failure of the control actual pressurizer pressure C The PRZR Pressure C Which ONE (1) of the following	ling input to the PRZR ssure to increase to 22 Controller has been pla	280 psig. aced in MANUAL at 5	50% demand.
normal?			
A. Decrease the controlle	er output		
B. Increase the controller	output.		
C. Raise the pressure se	tpoint adjustment		
D. Lower the pressure se	tpoint adjustment		
Proposed Answer: B Explanation (Optional): A. Incorrect. Decreasing the on heaters B. Correct. C. Incorrect. Setpoint adjust D. Incorrect. See C			ver output will turn
Technical Reference(s) R1	901C	(Attach if not p	reviously provided)
Proposed references to be prov	rided to applicants durin	g examination: None	e

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	_X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundam Comprehension or A		ge
10 CFR Part 55 Content:	55.41 _7		
Comments: Previous other NRC Exam	s, (2005 and prior) W	TSI Westingho	ouse Generic

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier#	RO 1	SRO
	Group #	1	
	K/A #	029 G2.1.	14
	Importance Rating	2.5	

Conduct of Operations: Knowledge of system status criteria which require the notification of plant personnel.

Proposed Question:

Common 45

Given the following:

Brancoad Anguers

- An ATWS is in progress.
- The CRS has entered FR-S.1, Response to Reactor Restart/ATWS.
- The reactor will NOT trip.

Which ONE (1) of the following actions is required NEXT to shut the reactor down in accordance with FR-S.1?

- A. Immediately initiate RCS boration; then initiate manual rod insertion while dispatching an AO to open Reactor Trip Breakers OR MG Set Breakers.
- B. Immediately initiate RCS boration; allow rods to insert automatically while dispatching an AO to open Reactor Trip Breakers OR MG Set Breakers.
- C. Immediately initiate manual rod insertion, then initiate RCS boration; dispatch an AO to open Reactor Trip Breakers OR MG Set Breakers if the reactor is not tripped when immediate actions are complete.
- D. Immediately initiate manual rod insertion, then dispatch an AO to open Reactor Trip Breakers AND MG Set Breakers; initiate RCS boration when the immediate actions are complete.

riup	osed Allswel.		C	
Expla	anation (Optior	nal):		
A.	Incorrect. Do	o not bora	te or send an AO ui	ntil rod insertion
B.	Incorrect. Se	ee A		
C.	Correct.			
D.	Incorrect. Bo	oration pe	rformed first	
For F	RO Knowledge	e, notificat	ion is interpreted to	be dispatch of the associated AO
Tech	nical Referenc	ce(s)	FR-S.1 Step 7	(Attach if not previously provided

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
Proposed references to be	provided to applicar	nts during exam	ination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 10		
Comments:			

•	e Written Examination lestion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO 1	SRO
	Group #	1	
	K/A #	038 EA2.02	

Ability to determine or interpret the following as they apply to a SGTR: Existence of an S/G tube rupture and its potential consequences

Proposed Question:

Common 46

Given the following conditions:

- Reactor trip and safety injection have actuated due to a steam line break upstream of "A" MSIV.
- The crew is performing E-2, Faulted SG Isolation.
- "A" SG is isolated.
- "A" SG pressure is 50 psig.
- "A" SG WR level is 60 inches and rising slowly.
- Containment pressure is 0.2 psig and stable.
- RCS pressure is 1350 psig and stable.
- SI flow is 200 GPM and stable.

Which ONE (1) of the following describes the operational impact of these indications?

- A. The faulted SG has blown down and steam dump should be adjusted to minimize RCS heatup.
- B. A SGTR is occurring and actions must be taken to minimize radiological release in accordance with E-3, Steam Generator Tube Rupture.
- C. The faulted SG has blown down and SI Termination will be performed after verifying SI not required in E-1, Loss of Reactor or Secondary Coolant.
- D. A SGTR is occurring and will be verified by rising radiation levels in containment and by Chemistry sample.

Proposed Answer:

В

Explanation (Optional):

A. Incorrect. SG has already blown down. WR level is rising because of the primary water being admitted through a tube

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
pressure is 0.2 psig C. Incorrect. Would be	correct if a SGTR wa	as not apparen	ainment, since containment It ample will be performed to check
Technical Reference(s)	E-2		(Attach if not previously provided)
Proposed references to be	provided to applican	nts during exam	nination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank #		_ (Note changes or attach parent)
	New	_X	-
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		dge
10 CFR Part 55 Content:	55.41		
Comments:			

ES-401 Sai	mple Written Examination Question Worksheet	Form ES-401-5
Examination Outline Cross-reference	e: Level Tier # Group # K/A # Importance Rating	RO SRO 1 1 040 AK1.01 4.1
Knowledge of the operational implications of the formula i		eam Line Rupture: Consequences of PTS
Given the following:		
to an ORANGE condition of	Reactor Trip or Safety Inject on the Integrity CSF Status	ction, and initially responded
Which ONE (1) of the following a	ctions is permitted?	
A. Stop "B" SI Pump		
B. Start a Charging Pump		
C. Energize PRZR heaters		
D. Increase AFW flow to "B" S	SG	
Proposed Answer: A Explanation (Optional): A. Correct. Will not cause coolde B. Incorrect. Would potentially ra C. Incorrect. Would raise pressu D. Incorrect. Would cooldown the	aise RCS pressure re	
Technical Reference(s) FR-P.1	(A	attach if not previously provided)
Proposed references to be provided	to applicants during examin	ation: None

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5	
Learning Objective:			(As available)	
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)	
Question History:	Last NRC Exam			
Question Cognitive Level:	Memory or Fundan Comprehension or		ge	
10 CFR Part 55 Content:	55.41 <u>10</u>			
Comments: Most recent – McGuire 200	07 similar item			

•	e Written Examination lestion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO 1	SRO
	Group # K/A #	1 054 AK1.0	1

4.1

Knowledge of the operational implications of the following concepts as they apply to Loss of Main Feedwater (MFW): MFW line break depressurizes the S/G (similar to a steam line break)

Proposed Question:

Common 48

Given the following:

- The plant is operating at 100% power.
- A Feedwater Line Break occurs at the piping connection to "A" SG inside containment.

Which ONE (1) of the following describes the effect of this event?

- A. RCS temperature lowers prior to reactor trip. SG "A" pressure stabilizes after FWIV closure.
- B. RCS temperature lowers prior to reactor trip. SG "A" continues to depressurize after FWIV closure.
- C. RCS temperature rises prior to reactor trip. SG "A" pressure stabilizes after FWIV closure.
- D. RCS temperature rises prior to reactor trip. SG "A" continues to depressurize after FWIV closure.

Proposed Answer:

D

- A. Incorrect. Temperature would lower if it was a steam break being fed. A feed break will rob the SG of water, causing it to heat up prior to the trip. No check valve keeping the SG from depressurizing, so after the trip, the SG will stabilize. Plausible because this is the symptom of a SLB downstream of MSIV
- B. Incorrect. Temperature would lower if it was a steam break being fed. A feed break will rob the SG of water, causing it to heat up prior to the trip. Plausible because this is the symptom of a SLB upstream of the MSIV. The location of the feed break makes it easy to confuse with a SLB post trip.
- C. Incorrect. No check valve keeping the SG from depressurizing
- D. Correct.

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
Technical Reference(s)	Feed Break Transient Analysis E-2 BD		(Attach if not previously provided)
Proposed references to be	provided to applicant	ts during exar	nination: None
Learning Objective:			_ (As available)
Question Source:	Bank # Modified Bank # New	X	_ _ (Note changes or attach parent) _
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundam Comprehension or A		dge
10 CFR Part 55 Content:	55.41 14		
Comments: WTSI Westinghouse General Most recent use VCS Audit	` '	C Salem 7/02	2

•	Sample Written Examination Question Worksheet		Form ES-401-5	
Examination Outline Cross-reference:	Level Tier #	RO 1	SRO	
	Group #	1	· · · · · · · · · · · · · · · · · · ·	

055 G2.4.31

Emergency Procedures / Plan Knowledge of annunciators alarms and indications, and use of the response instructions.

K/A #

Proposed Question:

Common 49

Given the following:

- A loss of all AC power has occurred.
- The crew is performing ECA-0.0, Loss of All AC Power.
- Power has NOT been restored.
- The following annunciators were lit 60 seconds <u>prior</u> to the loss of power:
 - A-25, CONTAINMENT VENTILATION ISOLATION
 - A-26, CONTAINMENT ISOLATION

Which ONE (1) of the following describes the indication of the status of the components affected by these alarms, and the action performed to verify the status?

- A. Valve Status lights have lost power. Manually initiate both CI and CVI and determine component status by their MCB valve position indication.
- B. Valve Status lights have lost power. Component status must be verified using ATT 3.0, Attachment CI/CVI.
- C. Valve Status lights will be BRIGHT. Verification of position must also be performed using MCB valve position indication.
- D. Valve Status lights will be BRIGHT. Component status may also be verified using ATT 3.0, Attachment CI/CVI.

Proposed Answer:

D

- A. Incorrect. Valve status lights should have DC power supplied
- B. Incorrect. Valve status lights should have DC power supplied
- C. Incorrect.
- D. Correct. IAW both ARPs

ES-401	Sample Written Examination Question Worksheet	n Form ES-401-5
Technical Reference(s)	ECA-0.0, A-25, A-26	(Attach if not previously provided)
Proposed references to be	provided to applicants during example	mination: None
Learning Objective:		_ (As available)
Question Source:	Bank # Modified Bank # New X	_ _ (Note changes or attach parent) _
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	dge X
10 CFR Part 55 Content:	55.41 5	
Comments:		

ES-401

Sample Written Examination **Question Worksheet**

Form ES-401-5

Examination Outline Cross-reference:

RO SRO Level Tier# 1 1 Group # K/A # 057 AA2.15 Importance Rating 3.8

Ability to determine and interpret the following as they apply to the Loss of Vital AC Instrument Bus: That a loss of ac has occurred Common 50

Given the following:

Proposed Question:

- The plant is at 100% power.
- All equipment is in service.
- The following conditions exist:
 - All RPS Channel I status lights are illuminated.
 - NI Cabinet N-41 indication is extinguished.
 - o Multiple control room annunciators are received.
 - The crew is performing appropriate actions in accordance with plant procedures.

Which ONE (1) of the following describes the event that has occurred, and the initial response of the plant?

- A. Loss of DC Distribution Panel 1A; a reactor trip will occur.
- B. Failure of Instrument Bus 1A; a reactor trip will occur.
- C. Loss of DC Distribution Panel 1A; a reactor trip will NOT occur.
- D. Failure of Instrument Bus 1A; a reactor trip will NOT occur.

Proposed Answer:

D

Explanation (Optional):

- Incorrect. Loss of DC Distribution panel would only lose the normal input to the inverter, and it would swap to alternate
- B. Incorrect. Correct failure but a trip will not automatically occur on loss of 1 inverter. (2/4 logic for RPS)
- C. Incorrect. Correct plant response but incorrect failure
- D. Correct.

Technical Reference(s)

E-3, E-6, ER-INST.3

(Attach if not previously provided)

ES-401		en Examination Worksheet	Form ES-401-5
Proposed references to be	provided to applicar	nts during exam	nination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	X	. (Note changes or attach parent)
Question History:	Last NRC Exam	***	
Question Cognitive Level:	Memory or Fundar Comprehension or		ge
10 CFR Part 55 Content:	55.41 7		
Comments: North Anna 2006 NRC			

ES-401	•	Written Examination		Form ES-401-5
	Qu	estion Worksheet		
Examination Outline Cross	s-reference:	Level	RO	SRO
		Tier #	1	
		Group #	1	
		K/A #	058 AK3.0	2
		Importance Rating	4.0	
Knowledge of the reasons for the fo	llowing responses a	s they apply to the Loss of DC	Power: Actions conta	ined in EOP for loss of
Proposed Question:	Common 51			
Given the following:				
-	-	f ECA-0.0, Loss of All A AC Oil Pump control sw		
Which ONE (1) of the follo	wing describe	s the reason for this ac	tion?	
A. Prevent auto start	of the pumps v	when power is restored	l.	
B. The subsequent of	peration of sec	ondary plant equipmer	nt will be unnece	essary.
C. Extend the time un of the DC oil pump		Station Batteries by st	arting the time o	delay for auto trip
D. Extend the time un inverters.	itil depletion of	Station Batteries by re	educing load on	the 120 VAC
Proposed Answer:	С			
•	•			
Explanation (Optional): A. Incorrect. Pumps v 1E powered.	vill not auto sta	art on restoration of pov	ver because the	y are not class
	tement but no	t the reason for turning	numps off in th	is procedure
		s a timer to stop the D	•	is procedure
	s are not powe	red by the inverters, bu	•	ause minimizing
Technical Reference(s)			Attach if not pre	eviously provided)
Proposed references to be		applicants during exam	ination: None	
Learning Objective:		-	(As available)	
Losiming Objection			(, to arallable)	
Question Source:	Bank #			

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
	Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 10		
Comments:			

·	401 Sample Written Examination Question Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO 1	SRO
	Group #	1	
	K/A #	062 AA2.	03

Ability to determine and interpret the following as they apply to the Loss of Nuclear Service Water: The valve lineups necessary to restart the SWS while bypassing the portion of the system causing the abnormal condition

Importance Rating

2.6

Proposed Question:

Common 52

Given the following:

- The plant is at 80% power.
- A Service Water System Leak is occurring.
- The crew is performing actions of AP-SW.1, Service Water Leak.
- Service Water Pumps A, B, and D are running.
- A controlled plant shutdown is in progress.
- Service Water Loop "A" pressure is 42 psig.
- Service Water Loop "B" pressure is 50 psig.
- The CRS directs splitting Service Water loops.

Which ONE (1) of the following choices describes the operability of the Service Water System, and contains MINIMUM actions for isolating components for the current plant conditions?

Entry to a Technical Specification action statement is...

- A. Required; BOTH SW loop cross-ties in the Screenhouse basement must be closed. NO other actions are required.
- B. Required; EITHER D/G SW cross-tie may be closed, and EITHER SW loop cross-tie in the Screenhouse basement may be closed.
- C. NOT required; BOTH SW loop cross-ties in the Screenhouse basement must be closed. NO other actions are required
- D. NOT required; EITHER D/G SW cross-tie may be closed, and EITHER SW loop cross-tie in the Screenhouse basement may be closed.

Proposed Answer:

В

E3-401

Sample Written Examination Question Worksheet

Form ES-401-5

Explanation (Optional):

- A. Incorrect. Both valves in each line not required. Either valve will split headers
- B. Correct

Comments:

- C. Incorrect. Both valves in each line not required. Either valve will split headers. TS 3.7.8 must be entered
- D. Incorrect. TS 3.7.8 must be entered

Technical Reference(s)	AP-SW.1, ATT 2.5		(Attach if not previously provided)
Proposed references to be	provided to applicar	nts during exa	mination: None
Learning Objective:		±	_ (As available)
Question Source:	Bank # Modified Bank # New	X	 _ (Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis X		
10 CFR Part 55 Content:	55.41 <u>10</u> 55.43 2		

•	Sample Written Examination Question Worksheet		Form ES-401-5	
Examination Outline Cross-reference:	Level Tier #	RO 1	SRO	
	Group #	1		
	K/A #	065 AA1.03		

Importance Rating

2.9

Ability to operate and / or monitor the following as they apply to the Loss of Instrument Air: Restoration of systems served by instrument air when pressure is regained

Proposed Question:

Common 53

Given the following:

- The plant is at 50% power.
- A loss of Instrument Air required the crew to perform actions of AP-IA.1, Loss of Instrument Air.
- Instrument Air was isolated to the Aux Building.
- Subsequently, the leak was isolated to a small section of piping.
- Instrument Air to the Aux Building has been restored.
- The crew is preparing to restore Letdown in accordance with AP-IA.1.

Which ONE (1) of the following describes the sequence of actions required for restoration of Letdown in accordance with AP-IA.1? (Assume all Letdown orifice and isolation valves are closed)

- A. Ensure Charging to Loop B Cold Leg isolation valve, AOV-294, is open; open Letdown orifice isolation valves; then open Letdown isolation valves (AOV-427 and AOV-371).
- B. Open Letdown isolation valves (AOV-427 and AOV-371); open Letdown orifice isolation valves; ensure Charging to Loop B Cold Leg isolation valve, AOV-294, is open;.
- C. Open Letdown orifice isolation valves; open Letdown isolation valves (AOV-427 and AOV-371); ensure Charging to Loop B Cold Leg isolation valve, AOV-294, is open;.
- D. Ensure Charging to Loop B Cold Leg isolation valve, AOV-294, is open; open Letdown isolation valves (AOV-427 and AOV-371); then open Letdown orifice isolation valves.

ES-401	Sample Writter Question V		Form ES-401-5
Proposed Answer:	D		
Explanation (Optional): A. Incorrect. Wrong see isolations will not ope B. Incorrect. Correct sec C. Incorrect. Incorrect s D. Correct.	n if letdown isolation quence for letdown v	valves are not open alves but AOV-294 r	
Technical Reference(s)	AP-IA.1, ATT 9.0	(Attac	h if not previously provided)
Proposed references to be	provided to applican	ts during examination	n: None
Learning Objective:		(As a	available)
Question Source:	Bank # Modified Bank # New	(Note	e changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundam Comprehension or	•	X
10 CFR Part 55 Content:	55.41 10		
Comments:			

_		
ES-401	Sample Written Examination	Form ES-401-5
	Question Worksheet	

Examination Outline Cross-reference:

Level RO SRO

Tier # 1

Group # 1

K/A # F0/4 FK 1.2

K/A # E04 EK1.2
Importance Rating 3.5

Knowledge of the operational implications of the following concepts as they apply to the (LOCA Outside Containment) Normal, abnormal and emergency operating procedures associated with (LOCA Outside Containment).

Proposed Question:

Common 54

Given the following:

- The plant was in Mode 1.
- Reactor trip and safety injection have occurred.
- Due to high Aux Building radiation levels, the crew has entered ECA-1.2, LOCA Outside Containment.
- Actions have been taken in an attempt to isolate the break.
- The current conditions exist:
 - o Aux Building Radiation Monitors are in alarm
 - PRZR level is off-scale low
 - SI flow is 0 GPM.
 - RCS pressure is 1600 psig and rising.

Which ONE (1) of the following describes the status of the leak based on the requirements of ECA-1.2?

- A. The leak is isolated based on SI flow of 0 GPM
- B. The leak is isolated based on RCS pressure rising.
- C. The leak is NOT isolated based on PRZR level indication not rising.
- D. The leak is NOT isolated based on Aux Building radiation monitor indication.

Proposed Answer:

В

- A. Incorrect. SI flow could be 0 if RCS pressure never got below shutoff head of the SI pumps
- B. Correct. RCS pressure is the required parameter for determination of isolation

ES-401	Sample Writte Question V		Form ES-401-5
restored			while when RCS inventory is
D. Incorrect. Aux Buildi	ng radiation is used a	as an entry cor	ndition to the procedure
Technical Reference(s)	ECA-1.2		(Attach if not previously provided)
Proposed references to be	provided to applican	ts during exam	nination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	X	Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundam Comprehension or		lge
10 CFR Part 55 Content:	55.41 10		
Comments:			

WTSI Bank

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier #	RO 1	SRO
	Group #	1	
	K/A #	E05 EK2	.1

Knowledge of the interrelations between the (Loss of Secondary Heat Sink) and the following: Components, and functions of control and safety systems, including instrumentation, signals, interlocks, failure modes, and automatic and manual features.

Importance Rating

3.7

Proposed Question:

Common 55

Given the following conditions:

- A Loss of Heat Sink has occurred.
- The crew is establishing RCS 'Bleed and Feed' in accordance with FR-H.1, Loss of Secondary Heat Sink.
- The RO opens one PRZ PORV. He reports that the other PORV will NOT open.

Which ONE (1) of the following describes the consequences of the PORV failure?

- A. A Red Path on the Core Cooling CSF will develop due to loss of RCS Inventory.
- B. RCS 'Feed and Bleed' cooling must be established to ensure sufficient SI flow at the operable PORV setpoint.
- C. The RCS may not depressurize quickly enough to ensure sufficient SI flow to provide RCS heat removal, and other RCS openings may have to be established.
- D. RCS 'Bleed and Feed' cooling must be terminated and secondary depressurization to inject Condensate pump flow must be immediately initiated.

Proposed Answer:

С

- A. Incorrect. Red path on core cooling would not exist solely due to this failure
- B. Incorrect. Feed and Bleed is not established on LP plants. The symptoms of feed and bleed would exist but the PORV is NOT allowed to cycle at its setpoint. This is plausible because it is part of the discussion for initiation of bleed and feed. If 1 PORV will not open, and the other is allowed to cycle at setpoint, then there is concern for adequate SI flow to cool the core.
- C. Correct.
- D. Incorrect. Bleed and feed is not terminated for Condensate flow. It is performed when Condensate flow is ineffective or not established

ES-401	Sample Written Examination Question Worksheet	n Form ES-401-5
Technical Reference(s)	FR-H.1 and BD	(Attach if not previously provided)
Proposed references to be	provided to applicants during exar	mination: None
Learning Objective:		_ (As available)
Question Source:	Bank # X Modified Bank # New	_ _ (Note changes or attach parent) _
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	dge <u>X</u>
10 CFR Part 55 Content:	55.41 10,7	
Comments: 2002 BVPS-1 NRC		

ES-401	•	Vritten Examination tion Worksheet		Form ES-401-5
Examination Outline Cross-ref	erence:	Level Tier # Group # K/A # Importance Rating	RO 1 1 E11 EA1.3 3.7	SRO
Ability to operate and / or monitor the folioresults during abnormal and emergency serioposed Question:		oly to the (Loss of Emergenc	y Coolant Recirculatio	n) Desired operating
Which ONE (1) of the follow ECA-1.1, Loss of Emergence A. Maximize SI flow to 6	y Coolant F	Recirculation?	-	
ensure RCS inventory can be maintained. B. Reduce SI flow to delay depletion of the RWST and stabilize RCS temperature to minimize RCS inventory requirements.				
C. Perform necessary s capability and stabiliz requirements.				
D. Reduce SI flow to de alignments to restore			•	ssary system
Proposed Answer: D Explanation (Optional): A Incorrect. SI is reduced to the B Incorrect. Stabilizing RCS to C Incorrect. Stabilizing RCS to D Correct. The procedure has to minimize break flow and carrecirculation capability	mperature is emperature is 3 objectives	s not an action or pri s not an action or pri s: Minimizes depleti	ority ority on of RWST, de	
Technical Reference(s) E	CA-1.1 BD	((Attach if not pre	viously provided)
Proposed references to be pro	vided to app	olicants during exam	ination: None	
Learning Objective:			(As available)	

ES-401		en Examination Worksheet	Form ES-401-5
Question Source:	Bank # Modified Bank # New	<u>X</u>	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 <u>10</u>		
Comments: WTSI Bank (W Generic)			

•	le Written Examination uestion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier # Group #	RO	SRO 1 2
	K/A # Importance Rating	001 AA2.03	4.5
automatic safety functions have not taken place Proposed Question: Common 5 Given the following: The plant is at 98 % power. Rod Control is operating in A Control Bank D is at 206 stee Following a 10 gallon boration minute. Tavg is verified to be approx Based on these conditions, which ONE	AUTOMATIC. eps. on, Control Bank D is wit kimately 2 degrees highe	er than Tref.	
A. Place Rod Control in MANUAL.			
B. Ensure that RCS boration is ter	minated.		
C. Stop any turbine load changes i	in progress.		
D. Trip the reactor and enter E-0, I	Reactor Trip or Safety In	jection.	
Proposed Answer: A Explanation (Optional): A. Correct. First action is to place re B. Incorrect. May have started from C. Incorrect. Will check turbine load D. Incorrect. Not unless placing rod	a boration, but this action stable	•	or condition
Technical Reference(s) AP-RCC.1	(Attach if not pre	viously provided)

ES-401	•	en Examination Worksheet	Form ES-401-5
Question Source:	Bank # Modified Bank # New	<u>X</u>	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		ge X
10 CFR Part 55 Content:	55.41 10		
Comments: WTSI Westinghouse gener	ric Salem 2002		

_		
ES-401	Sample Written Examination	Form ES-401-5
	Question Worksheet	

Examination Outline Cross-reference:

Level RO SRO

Tier # 1

Group # 2

K/A # 032 AK3.01

Importance Rating

3.2

Knowledge of the reasons for the following responses as they apply to the Loss of Source Range Nuclear Instrumentation: Startup termination on source-range loss

Proposed Question:

Common 58

Given the following:

- A reactor startup is in progress.
- Control Bank "A" is at 50 steps.
- Both Intermediate Range channels indicate approximately 1 E -11 amps.
- Source Range Channel N-31 fails DOWNSCALE.

Which ONE (1) of the following describes the required response and the reason for the response?

- A. Continue the reactor startup; with only one source range channel operable; 48 hours is allowed to restore two channels to service.
- B. Suspend the reactor startup; source range channels are not required to trip the reactor; however, the source range monitoring functions must be available.
- C. Continue the reactor startup; the Intermediate Range Neutron Flux Trip and the Power Range Neutron Flux-Low Trip provide the necessary core protection.
- D. Suspend the reactor startup; with only one source range channel operable, the minimum required Source Range High Flux Trip protection is not met.

Proposed Answer:

D

- A. Incorrect. Cannot continue to Mode 1 or go above P-6. 48 hours is normal action time, but in a startup, 2 are required to continue
- B. Incorrect. Source Range is required for Rx Trip at the current power level. Applicant must know power below P-6.
- C. Incorrect. May not continue, and PR High Flux Low Setpoint is not enabled because P-6 is not satisfied.
- D. Correct

ES-401	Sample Written Exami Question Workshe	
Technical Reference(s)	TS 3.3.1 and Basis	(Attach if not previously provided)
Proposed references to be	provided to applicants during	g examination: None
Learning Objective:		(As available)
Question Source:	Bank # X Modified Bank # New	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental K Comprehension or Analysis	
10 CFR Part 55 Content:	55.41 <u>10</u> 55.43 <u>2</u>	
Comments: BVPS-1 2002		

ES-401	•	Written Examination stion Worksheet		Form ES-401-5
Examination Outline Cross-refe	rence:	Level Tier #	FIO 1	SRO
		Group #	_2	
		K/A #	<u>0</u> 33 AA1.0	3
		Importance Rating	3.0	
Ability to operate and / or monitor the follow restoration of power Proposed Question: Col	ving as they ap	oply to the Loss of Intermed	iate Range Nuclear Inst	rumentation: Manual
Given the following:				
The plant is at 100% pIntermediate Range C		R-N36 is being retu	rned to service.	
When the channel has been restored to service, which ONE (1) of the following describes the indication of the LEVEL TRIP BYPASS lamp, and why?				
A. Illuminated because power is above the P-10 setpoint.				
B. Illuminated because the IR High Flux trip is bypassed.				
C. Extinguished because	the testir	ng of IR-N36 will be	e complete.	
D. Extinguished because	power is	above the P-10 se	etpoint.	
Proposed Answer: C				
Explanation (Optional):				
A. Incorrect. P-10 enables 'at power' trips. Plausible because the name of the lamp implies that the level trip is bypassed, and because P-10 enables/disables at power trips, it is a plausible misconception that the status of P-10 can control the status of the light				
B. Incorrect. The trip for IR	High flux is	s blocked using push	nbuttons not relat	ed to the switch
C. Correct. Switch is used for complete, the switch is re			channel. When	testing is
D. Incorrect. P-10 relate to	blocking tr	ips but not the switch	n. Also see A de	scription
Technical Reference(s) P-6	6, R3301C		(Attach if not pre	eviously provided)
Proposed references to be pro-	wided to on	molicante durina evan	nination: Nana	

ES-401	Sample Written Ex Question Work	
Learning Objective:		(As available)
Question Source:	Bank # Modified Bank # NewX	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamenta Comprehension or Anal	
10 CFR Part 55 Content:	55.41 <u>5</u>	
Comments:		

ES-401	•	ritten Examinatior on Worksheet	1	Form ES-401-5
Examination Outline Cross-	ד (א	.evel Tier # Group # K/A # mportance Rating	RO 1 2 059 AK2.01 2.7	SRO
Knowledge of the interrelations between Proposed Question:	en the Accidental Liqu Common 60	d Radwaste Release a	nd the following: Radioad	tive-liquid monitors
Which ONE (1) of the folloaccidental radioactive liquisolate its flowpath?				
A. Component Coolin	ng Water, R-17			
B. R-20A and R-20B, Service Water from SFP Heat Exchangers				
C. R-21, Retention Ta	ank Monitor			
D. R-16, Service Wat	er from Contair	nment Fan Coole	ers	
Proposed Answer: Explanation (Optional): A. Incorrect. CCW monicontents from causing B. Incorrect. Monitors had C. Correct. Discharge various potentially exceeding D. Incorrect. Valve has in	g a radioactive spave no automational properties at all the will close at limits of 10CFR2	oill regardless of s c function a higher rad leve 20	setpoint	
Technical Reference(s)	R3901C		(Attach if not pre	viously provided)
Proposed references to be		cants during exar	mination: None	
Learning Objective:			_ (As available)	
Question Source:	Bank # Modified Bank : New	<u>X</u>		or attach parent)

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	
10 CFR Part 55 Content:	55.41 11	
Comments: WTSI Bank item, not previous	ously used on any NRC exam	

•	e Written Examination estion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier # Group # K/A #	RO 1 2 060 EA2.0	SRO
	Importance Rating	3.6	
Ability to determine and interpret the following as they a radioactive gases	apply to the Accidental Gaseous	Radwaste: Valve li	neup for release of
Proposed Question: Common 61	1		
Which ONE (1) of the following Gas accidental gaseous waste release? A. A release of the in-service tanthan 5 psig.	•		
B. Outlet valves of the in-service closed prior to opening RCV-0)14, GDT Release AO	V to Plant Ve	ent.
 C. RCV-014 is set to maintain a control the gas release. 	constant dinerential re	lease rieaue	r pressure during
D. RCV-014 is closed when tank	pressure reaches 5 p	sig.	
Proposed Answer: B			
Explanation (Optional): A. Incorrect. Tank is released until it would theoretically result in a lower B. Correct. All other GDT outlet valve.	er release rate		·
inadvertently C. Incorrect. RCV-014 is set to release	se at a certain rate cont	rolled at the V	VD Panel. DP is
maintained by V-1040 D. Incorrect. Valve is closed at 5 psi gas analyzer when the tank is plantaged.		ent drawing a	vacuum on the
Technical Reference(s) R3801C, S	-4.2.5 (/	Attach if not p	reviously provided
Proposed references to be provided to a	applicants during examir	nation: None	9
Learning Objective:		(As available)	

ES-401	Sample Written Examination Question Worksheet	n Form ES-401-5
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	dge X
10 CFR Part 55 Content:	55.41 10	
Comments:		

	Written Examination estion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier # Group # K/A # Importance Rating	RO 1 2 E02 G2.1 3.9	SRO .23
Conduct of Operations: Ability to perform specific system Proposed Question: Common 62		es during all modes	of plant operation.
Given the following:			
 ES-1.1, SI Termination, is in prog Normal letdown has just been es Charging Pumps are operating at The following conditions exist: 	tablished.		
 Containment Pressure - 4 psig RCS Pressure - 1600 psig and decreasing slowly Core Exit TCs - 540°F Pressurizer Level - 22% and decreasing slowly 			
Which ONE (1) of the following is require	ed in accordance with E	S-1.1?	
A. Reinitiate Safety Injection and ref	turn to E-0, Reactor Trip	or Safety Inj	ection.
B. Reinitiate Safety Injection and ref	turn to E-1, Loss of Rea	ctor or Secon	dary Coolant.
C. Manually operate SI pumps as ne	ecessary and go to E-0,	Reactor Trip	or Safety Injection.
D. Manually operate SI pumps as no Coolant.	ecessary and go to E-1,	Loss of Read	ctor or Secondary
Proposed Answer: D Explanation (Optional): A. Incorrect. Manual SI is not require B. Incorrect. Manual SI is not require C. Incorrect. Transition to E-0 would	ed		ects transition to
E-1 D. Correct.	as made nome by the		
Technical Reference(s)ES-1.1 Fold	out Page (A	Attach if not p	reviously provided)
Proposed references to be provided to a	pplicants during examin	nation: None	e

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge X
10 CFR Part 55 Content:	55.41 10		
0			

Comments:

Various similar in Bank. This was significantly modified from Ginna Bank B000.0333, but we have others from other exams that are similar

·	Sample Written Examination Question Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO 1	SRO
	Group #	2	

E03 EK1.1

3.4

Knowledge of the operational implications of the following concepts as they apply to the (LOCA Cooldown and Depressurization) Components, capacity, and function of emergency systems.

Importance Rating

K/A #

Proposed Question:

Common 63

Given the following:

- A SBLOCA has occurred.
- ES-1.2, Post LOCA Cooldown and Depressurization is in progress.
- Both RCPs are running.
- RCS pressure is 1120 psig.
- An RCS cooldown has been initiated by dumping steam to the atmosphere.

Which ONE (1) of the following describes the optimum RCP configuration, and the reason for this configuration?

- A. Both RCPs should be stopped; minimizes RCS inventory loss when the break uncovers.
- B. One RCP should be stopped; minimizes RCS inventory loss and provides boron mixing for RHR operations.
- C. Both RCPs should be left running; ensures symmetric heat transfer to the S/Gs and prevents steam voiding in the Reactor vessel head.
- D. One RCP should be stopped; minimizes RCS heat input, and produces effective heat transfer and RCS pressure control.

Proposed Answer:

D

- A. Incorrect. Reason for initially tripping RCPs on low RCS to SG DP
- B. Incorrect. RHR operations are not considered for RCP operation in ES-1.2
- C. Incorrect. Both RCPs will provide too much heat input and potentially delay the depressurization
- D. Correct.

ES-401	Sample Written Examinatio Question Worksheet	n Form ES-401-5
Technical Reference(s)	ES-1.2 and BD	_ (Attach if not previously provided)
Proposed references to be	provided to applicants during exa	mination: None
Learning Objective:		(As available)
Question Source:	Bank # X Modified Bank # New	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	edge X
10 CFR Part 55 Content:	55.41 <u>10</u>	
Comments: Surry NRC Exam 2003		

ES-401	Sample Written Examination	Form ES-401-5
	Question Worksheet	

Examination Outline Cross-reference:

 Level
 RO
 SRO

 Tier #
 1

 Group #
 2

 K/A #
 E09 EK2.2

 Importance Rating
 3.6

Knowledge of the interrelations between the (Natural Circulation Operations) and the following: Facility's heat removal systems, including primary coolant, emergency coolant, the decay heat removal systems, and relations between the proper operation of these systems to the operation of the facility.

Proposed Question:

Common 64

Given the following:

- A loss of off-site power has occurred.
- The crew is performing ES-0.2, Natural Circulation Cooldown.
- TWO (2) CRDM Shroud Fans have tripped upon starting and cannot be restarted.

Which ONE (1) of the following describes the effect on the subsequent RCS cooldown?

The crew will...

- A. remain in ES-0.2 and RCS cooldown rate will be limited to 50 degrees F per hour.
- B. remain in ES-0.2 and RCS cooldown rate will be limited to 25 degrees F per hour.
- C. transition to ES-0.3, Natural Circulation Cooldown with Steam Void in Vessel, and RCS cooldown rate will be limited to 50 degrees F per hour.
- D. transition to ES-0.3, Natural Circulation Cooldown with Steam Void in Vessel, and RCS cooldown rate will be limited to 25 degrees F per hour.

Proposed Answer:

В

- A. Incorrect. Cooldown rate will remain at 25 degrees F per hour
- B. Correct. No reason to transition, although head cooling is lost
- C. Incorrect. No reason for transition, although a void is more likely if RCS cooldown rate limit is exceeded
- D. Incorrect. Correct rate, but transition will not be required unless a void develops or RCS cooldown rate must be higher

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Technical Reference(s)	ES-0.2	(Attach if not previously provided)
Proposed references to be	provided to applicants during exan	nination: None
Learning Objective:		_ (As available)
Question Source:	Bank # X Modified Bank # New	_ _ (Note changes or attach parent) _
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	dge X
10 CFR Part 55 Content:	55.41 10	
Comments: Unused Bank question		

ES-401	-	Written Examination stion Worksheet		Form ES-401-5	
	- 400	<u> </u>			
E tait Out A		Laval	DO	CDO.	
Examination Outline Cross-refer	ence:	Level Tier#	RO 1	SRO	
		Group #	2		
		K/A #	E06 EK1.2		
		Importance Rating	3.5		
Knowledge of the operational implications of the following concepts as they apply to the (Degraded Core Cooling) Normal, abnormal and emergency operating procedures associated with (Degraded Core Cooling). Proposed Question: Common 65 Which ONE of the following describes the reason that a RED condition on the Integrity CSF Status Tree may develop while performing actions of FR-C.2, Response to Degraded Core Cooling?					
A. Core Exit Thermocoup Pumps are started and	•		e rapidly when	SI or RHR	
B. Core Exit Thermocoup depressurization and \$	-		· -	SG	
C. RCS Cold Leg tempera started and flow is initi		decrease rapidly v	vhen SI or RHR	Pumps are	
D. RCS Cold Leg tempera SI Accumulator injection		decrease rapidly v	vhen SG depre	ssurization and	
Proposed Answer: D					
Explanation (Optional): D. Correct. SG depressurization will cause Tc to rapidly decrease. Note in FR-C.2 warns of red condition on integrity. A. Incorrect. Core Exit thermocouples will decrease when SI started, but CETs do not input to the Integrity CSF ST. B. Incorrect. Core Exit Thermocouples do not input Integrity CSFST, but condition would exist C. Incorrect. Starting SI or RHR would raise inventory and cause transition to procedure in effect. It will not cause a cooldown that would result in Integrity CSFST red path					
Technical Reference(s) FR	-C.2		(Attach if not pre	eviously provided)	
Proposed references to be prov	ided to ap	oplicants during exar	nination: None		

ES-401	•	n Examination Worksheet	Form ES-401-5
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge X
10 CFR Part 55 Content:	55.41 10		
Comments: WTSI bank – North Anna 2	2006		

ES-401	•	Written Examina stion Workshee		Form ES-401-5
Examination Outline Cross-	reference:	Level Tier # Group # K/A # Importance Ra	RO 3 1 G2.1.22 ating 2.8	SRO
Ability to determine Mode of Operation Proposed Question:	^{n.} Common 66			
Which ONE (1) of the foll to Mode 6?	owing descril	oes the plant c	ondition required fo	r declaring entry
A. RCS temperature	is less than 2	00°F.		
B. RCS temperature	is less than 1	40°F.		
C. The FIRST Reacto	or Vessel Hea	ad stud is dete	nsioned.	
D. The LAST Reacto	r Vessel Hea	d stud is deten	sioned.	
Proposed Answer: Explanation (Optional): A. Incorrect. Plausible to temperature is below C. Correct. Mode 6 dec. D. Incorrect. Plausible to the last stud is removed.	pecause core a this value lared when firs pecause the ve	alterations typica	tud is detensioned IA	w ts
Technical Reference(s)	TS Definition	S	(Attach if not pro	eviously provided)
Proposed references to be	provided to ap	plicants during	examination: None	
Learning Objective:	. ,		(As available)	
Question Source:	Bank # Modified Ban New	x k#		or attach parent)
Question History:	Last NRC Ex	am		

ES-401	S-401 Sample Written Examination Question Worksheet			
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	<u>X</u>		
10 CFR Part 55 Content:	55.41 <u>10</u> 55.43 <u>2</u>			
Comments: North Anna Audit Exam 20	007			

ES-401	Sample Written Examina Question Worksheet	tion Form ES-401-5
Examination Outline Cross-refe	rence: Level Tier # Group # K/A # Importance Rat	RO SRO 3 1 G2.1.23 ting 3.9
Ability to perform specific system and integr Proposed Question: Cor	ated plant procedures during all mod	les of plant operation.
Which ONE (1) of the following Uncontrolled Depressurization		,
 A. Terminate SI Flow, Co Boundary. 	ontrol Feed Flow, Reesta	blish any Secondary Pressure
B. Terminate SI Flow, Re Feed Flow.	eestablish any Secondar	y Pressure Boundary, Control
C. Reestablish any Secon	ndary Pressure Boundar	y, Control Feed Flow, Terminate
D. Reestablish any Secon Feed Flow.	ndary Pressure Boundar	y, Terminate SI Flow, Control
Proposed Answer: C Explanation (Optional):		
B. Incorrect. Termination ofC. Correct.	SI flow is after controlling to SI flow is after controlling to SI flow is after controlling to	feed flow
Technical Reference(s) EC	A-2.1 BD	(Attach if not previously provided)
Proposed references to be prov	rided to applicants during e	examination: None
Learning Objective:		(As available)
	nk # X dified Bank #	(Note changes or attach parent)

New

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	<u>X</u>
10 CFR Part 55 Content:	55.41 <u>10</u>	
Comments: WTSI Westinghouse Gene	eric	

ES-401	•	ritten Examination ion Worksheet	Form ES-401-5
Examination Outline Cross-r	- (_evel Tier # Group # K/A # Importance Rating	RO SRO 3 2 G2.2.27 2.6
Knowledge of the refueling process. Proposed Question:	Common 68		
The Plant is in Mode 6 with building.	movement of irr	adiated fuel in progre	ess in CNMT and the Auxiliary
Initially, all administrative red	quirements for r	moving fuel are satisf	ied.
Which ONE (1) of the follow movement to be stopped pe and Refueling Conditions?			elops, would require fuel t Checklist for Entry into Mode 6
A. The Refueling Cavity	r level is 23' 7" a	and is decreasing by	1/2 inch per hour.
B. Containment Purge I	nas automatical	lly been secured while	e performing ESFAS testing.
C. One of the 2 available	e RHR pumps l	has been determined	to be inoperable.
D. Reactor Cavity Boro	n Concentration	n is 2290 ppm.	
Proposed Answer: Explanation (Optional): A. Incorrect. Cavity leve B. Incorrect. Purge may C. Incorrect. As long as requirement is met D. Correct. Less than mi	be stopped; no 1 is in operation	t required for operation and operable with c	on avity >23 feet, minimum
Technical Reference(s)	TS 3.9.1, COL	R (A	attach if not previously provided)
Proposed references to be p	provided to app	licants during examin	ation: None
Learning Objective:			As available)
Question Source:	Bank # Modified Bank		Note changes or attach parent)

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
	New	
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	<u>X</u>
10 CFR Part 55 Content:	55.41 <u>10</u> 55.43 <u>6</u>	
Comments:		

ES-401	•	Written Examination estion Worksheet	1	Form ES-401-5
Examination Outline Cross-	reference:	Level Tier # Group # K/A # Importance Rating	RO 3 2 G2.2.12 3.0	SRO
Knowledge of surveillance procedures Proposed Question:	s. Common 69	,		
The crew is preparing to pe	erform a portion	on of PT-1, Rod Cont	rol System.	
Which ONE (1) of the follow	ving describe	s a condition where t	he use of "N/A" is	acceptable?
A. To modify the condi	tions of a por	tion of the PT.		
B. To designate preca	utions or limit	ations that are not ag	oplicable.	
C. To designate comp		•		
D. To designate steps the PT.	that cannot b	e performed as writte	en but do not char	nge the intent of
Proposed Answer:	С			
Explanation (Optional):A. Incorrect. Modification changeB. Incorrect. Not acceptC. Correct. PT-1 specification	table to N/A p	orecautions or limitati	ons	• /
not allow use of NA, D. Incorrect. Procedure	this surveillan	ce has specific requi		
Technical Reference(s)	PT-1 CNG-PR-1.0	01-1009	(Attach if not pre	eviously provided)
Proposed references to be	provided to a	pplicants during exa	mination: None	
Learning Objective:			_ (As available)	
Question Source:	Bank # Modified Ba	XX	_ _ (Note changes	or attach parent)

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	<u>X</u>
10 CFR Part 55 Content:	55.41 10	
Comments: Editorial mods to similar ba	ank items BV-2, IP-3	

ES-401	•	Written Examination stion Worksheet	າ	Form ES-401-5
Examination Outline Cross-refe	rence.	Level	RO	SRO
Examination Outline Gross rele	i Crice.	Tier #	3	0110
		Group #	3	
		K/A #	G2.3.10	<u> </u>
		Importance Rating		
Ability to perform procedures to reduce exc Proposed Question: Co	cessive levels o	f radiation and guard agai	nst personnel exposure.	
Given the following:				
 A high activity exists in The crew is performing The CRS has directed limits. 	g AP-RCS	3.3, High Activity in		
Which ONE (1) of the following potential radioactive release	_	_		ty and limit
A. MSIVs are closed.				
B. Letdown flow is raised	d to 60 GP	M.		
C. SG Atmospheric Relie	ef valve se	tpoints are raised	l.	
D. Maximum condensate	e polishing	demineralizers a	re placed in serv	ice.
Proposed Answer: B				
Explanation (Optional): A incorrect. If a SGTR were to B correct.	occur, MS	IV closure would al	low for the SG AR	V or SV to lift
C incorrect. Setpoints are set I D incorrect. Demins may be pl			side if required, b	out not secondary
Technical Reference(s) AF	P-RCS.3		(Attach if not pre	viously provided)
Proposed references to be pro-	vided to ap	plicants during exa	mination: None	
Learning Objective:			_ (As available)	
Question Source: Ba	nk #	×		

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
	Modified Bank # New		(Note changes or attach parent)
Question History:	Last NRC Exam	2004 Ginna 7	72 different answer
Question Cognitive Level:	Memory or Fundam Comprehension or	`	ge
10 CFR Part 55 Content:	55.41 10		
Comments: WTSI various			

ES-401	•	en Examination Worksheet	l	Form ES-401-5
Examination Outline Cross-re			RO	SRO
	Tie		<u>3</u>	
		oup #	3	
	K/A		G2.3.1	
	ımı	oortance Rating	2.6	
Knowledge of 10 CFR: 20 and related for Proposed Question:	acility radiation control (Common 71	requirements		
Which ONE (1) of the followexceeding 10CFR20 radiate	• .		d within limits to	prevent
A. RCS Primary to Sec	ondary Leakag	е		
B. Primary System Act	ivity			
C. Secondary System	Activity			
D. Liquid Waste Efflue	nt Discharge Ac	tivity		
Proposed Answer:)			
Explanation (Optional):				
A. Incorrect. Assists in prevaccident	enting 10CFR10	0 limits from bei	ng exceeded in t	he event of an
B. Incorrect. Assists in prevaccident	enting 10CFR10	0 limits from bei	ing exceeded in t	he event of an
C. Incorrect. Assists in prev	enting 10CFR10	0 limits from bei	ng exceeded in t	he event of an
accident D. Correct.				
Technical Reference(s)	ODCM		(Attach if not pre	eviously provided)
Proposed references to be p	rovided to applica		mination: <u>None</u>	
Learning Objective:			_ (As available)	
	Bank # Modified Bank #	<u>X</u>	_ _ (Note changes	or attach parent)

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
	New	
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	
10 CFR Part 55 Content:	55.41 <u>13</u>	
Comments: WTSI Bank		

ES-401	•	e Written Examination estion Worksheet	_	Form ES-401-5
Examination Outline Cross-	reference:	Level Tier # Group # K/A # Importance Rating	RO 3 4 G2. 3.0	4.27
Knowledge of fire in the plant procedu Proposed Question:	re. Common 72	2		
Given the following:				
control board fire.	actor is tripp	ed and the turbine stop		an uncontrollable main are closed, the ARVs are
Which ONE (1) of the follow control room?	ring describe	s the additional action	required	prior to leaving the
A. Manual Containmen	t Isolation.			
B. RCPs tripped and pr	ull-stopped.			
C. Turbine Driven AFW	pump is sta	irted.		
D. MDAFW pump pull-	stopped.			
	DAFW is disa	performed abled in CR for this eve be operated remotely		control room is
Technical Reference(s)	AP-CR.1	, TT - 11 %	(Attach if	f not previously provided)
Proposed references to be	provided to	applicants during exam	nination:	None
Learning Objective:	Need AP L	Ps	_ (As ava	uilable)

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5	
Question Source:	Bank # X Modified Bank # New		- _ (Note changes or attach parent) -	
Question History:	Last NRC Exam			
Question Cognitive Level:	Memory or Fundar Comprehension or		ge <u>X</u>	
10 CFR Part 55 Content:	55.41 10			
Comments: Editorial mods and cleanup	o. Intended for audit	, suitable for NF	RC	

ES-401	•	e Written Exa estion Works			Form ES-401-5	
Examination Outline Cross-r	eference:	Level Tier # Group # K/A # Importanc	e Rating	RO 3 4 G2.4.3 3.5	SRO	
Ability to identify post-accident instrum- Proposed Question:	entation. Common 73	}				
In accordance with Techn monitoring channels is ide	•	•	` '		_	
A. R-10B, Plant Vent	lodine					
B. R-11, Containment	Particulate	Radiation I	Monitor			
C. R-12, Containment	: Gaseous F	Radiation Mo	onitor			
D. R-29, Containment	: Area Radi	ation				
Proposed Answer: Explanation (Optional): A. Incorrect. Plausible be B. Incorrect. Plausible be C. Incorrect. Plausible be D. Correct. Refer to TS 3	ecause rad r ecause iodir	monitor does	provide auto	function ins	ide containment	
Technical Reference(s)	TS 3.3.3	<u></u> .	(At	tach if not pr	eviously provided)	
Proposed references to be provided to applicants during examination: None						
Learning Objective:			(<i>p</i>	s available)		
Question Source:	Bank # Modified Ba	X .nk #	(/	lote changes	s or attach parent)	

New

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	<u>X</u>
10 CFR Part 55 Content:	55.41 <u>11</u> 55.43	
Comments: IP3 2003 similar		

ES-401	•	Written Examination estion Worksheet		Form ES-401-5
Examination Outline Cross	-reference:	Level Tier # Group # K/A # Importance Rating	RO 3 4 G2.4.17 3.1	SRO
Knowledge of EOP terms and definit Proposed Question:	ions. Common 74			
Which ONE (1) of the fol Operating Procedures the control a specific parame	at directs an	operator to operate	appropriate co	
A. Adjust				
B. Implement				
C. Establish				
D. Maintain				
Proposed Answer: Explanation (Optional): Incorrect. Incorrect. Incorrect. Correct. See A503.1 fe	D or description	of each action		
Technical Reference(s)	A503.1		(Attach if not pre	viously provided)
Proposed references to be	provided to a	pplicants during exam	ination: None	
Learning Objective:		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	(As available)	
Question Source:	Bank # Modified Ba New	X 	(Note changes	or attach parent)
Question History:	Last NRC E	xam		

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5		
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	<u>X</u>		
10 CFR Part 55 Content:	55.41 10			
				
Comments: Farley 2004				

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5	
Examination Outline Cross-refere	ence: Level Tier # Group # K/A # Importance F	RO 3 4 G2.4.29 ating 2.6	SRO	
Knowledge of the emergency plan. Proposed Question: Com	imon 75			
Given the following:				
 A Site Area Emergency The Emergency Respo A repair team consisting to the Aux Building to is Which ONE (1) of the following assembly and preparation of the 	nse Organization is so g of 1 AO, 1 mechanic solate a leak. g Emergency Respon	c, and 1 HP techn		
A. Control Room				
B. Technical Support Cen	ter (TSC)			
C. Operational Support Ce	enter (OSC)			
D. Emergency Operations	Facility (EOF)			
Proposed Answer: C Explanation (Optional): A. Incorrect. B. Incorrect. C. Correct. See EPIP-1.0 for	description of each resi	ponsibility		
D. Incorrect.	accompliant of cach roof	on to to the total of the total		
Technical Reference(s) EPIF	P 1-10	(Attach if not	previously provided)	
Proposed references to be provide	ded to applicants during	examination: No	ne	
Learning Objective:		(As available	9)	

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5	
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)	
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	dge <u>X</u>	
10 CFR Part 55 Content:	55.41 <u>10</u>		
Comments: New, but we have similar in	n style		

ES-401	Sample Written Examination	Form ES-401-5
	Question Worksheet	

Examination Outline Cross-reference: Level RO SRO Tier# Group # 1 K/A # 009 EA 2.14 Importance Rating

4.4

Ability to determine or interpret the following as they apply to a small break LOCA: Actions to be taken if PTS limits are violated **SRO 76** Proposed Question:

Given the following:

- A LOCA has occurred. The crew is performing E-1, Loss of Reactor or Secondary Coolant.
- The following conditions exist:
 - RCS pressure is 1150 psig.
 - Containment Pressure is 6 psig.
 - RCS Cold Leg temperature has decreased to from 547°F to 225°F in the last hour.

Which ONE (1) of the following describes the status of the Integrity CSF Status Tree, and the action required?

- A. Red; Enter FR-P.1, Response to Imminent Pressurized Thermal Shock Condition to stabilize RCS temperature and reduce RCS pressure.
- B. Orange; Enter FR-P.1, Response to Imminent Pressurized Thermal Shock Condition to stabilize RCS temperature and reduce RCS pressure.
- C. Orange; Enter FR-P.2, Response to Anticipated Pressurized Thermal Shock Condition, verify the operation of SI and RHR and return to E-1.
- D. Yellow; Enter FR-P.2, Response to Anticipated Pressurized Thermal Shock Condition, verify the operation of SI and RHR and return to E-1.

Proposed Answer:

Explanation (Optional):

Α. Correct. RCS temperature is to the left of limit A

Α

- Incorrect. Orange path B.
- Incorrect. Orange Path leads to FR-P.1, Yellow Path leads to FR-P.2. Action would be C.

ES-401		Sample Written Examination		Form ES-401-5		
		Question	Worksheet			
correct if RCS pressure was lower D. Incorrect. Yellow Path would be correct if RCS Cold Leg Temperatures were >315 degrees F. Action would be correct if RCS pressure was lower						
Technical Reference(s)		F-0.4 CSFST		(Attach if not previously provided)		
Prop	oosed references to be	provided to applica	nts during exam	ination: None		
Lear	ning Objective:			(As available)		
Que	stion Source:	Bank #				
		Modified Bank #		(Note changes or attach parent)		
		New	X			
Que	stion History:	Last NRC Exam				
Question Cognitive Level:		Memory or Fundar Comprehension or		ge X		
10 C	FR Part 55 Content:	55.41				

55.41 55.43

Comments:

5___

•	le Written Examination uestion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level	RO	SRO
	Tier#		_
	Group #		1
	K/A #	022 G2.4.	49

Emergency Procedures / Plan Ability to perform without reference to procedures those actions that require immediate operation of system components and controls.

Importance Rating

4.0

Proposed Question:

SRO 77

Given the following:

- A large leak in the Auxiliary Building exists on the normal Charging line.
- The crew performed actions of AP-CVCS.1, CVCS Leak, before being directed to AP-CVCS.3, Loss of All Charging Flow.

Current conditions:

- Charging and Letdown are isolated.
- VCT level is 5% and lowering.
- PRZR level is 5% and lowering.
- The crew is attempting to isolate the leak prior to restoring Charging flow.

Which ONE (1) of the following actions will be required next?

- A. Remain in CVCS.3 and prepare to initiate a load reduction to Hot Standby due to Charging Pump suction swapover to the RWST.
- B. Refer to ER-CVCS.1, Reactor Makeup Control Malfunction, to initiate manual VCT makeup.
- C. Refer to AP-RCS.1, Reactor Coolant Leak, to perform additional leak isolation actions
- D. Trip the reactor and enter E-0, Reactor Trip or Safety Injection.

Proposed Answer:

D

Explanation (Optional):

A. Incorrect. Will not remain in CVCS.3 but plausible because these conditions do exist

ES-401	Sample Writte	en Examination	Form ES-401-5
	Question	Worksheet	
B. Incorrect. Incorrect to exist for this action	pecause a reactor tri	p is required, b	ut plausible because conditions
	RZR level is droppin		tions do exist that make this action and Letdown isolated, but it
D. Correct. 5% PRZR le	evel is a 'monitor' ste	ep	
Technical Reference(s)	AP-CVCS.3		(Attach if not previously provided)
Proposed references to be	provided to applica	nts during exan	nination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	X	- _ (Note changes or attach parent) -
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar Comprehension or		dge

Comments:

10 CFR Part 55 Content:

55.41

55.43

5

•	e Written Examination estion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO	SRO 1
	Group #		1
	K/A #	027 G2.2.2	5
	Importance Rating		3.7

Equipment Control Knowledge of bases in technical specifications for limiting conditions for operations and safety limits.

Proposed Question:

SRO 78

Given the following:

- The plant is operating at 80% power.
- The Pressurizer Pressure controller (431K) controlling channel fails to 2325 psig.

Which ONE (1) of the following describes the most restrictive Technical Specification implications of the event, prior to any action taken by the crew?

- A. DNB design criteria may not be met in the case of an unplanned loss of forced coolant flow.
- B. The safety limit for RCS pressure could be challenged in the case of a 100% loss of load event.
- C. DNB design criteria may not be met in the case of a loss of normal feedwater event.
- D. The safety limit for the reactor core could be challenged in the case of a DBA Main Steam Line break.

Proposed Answer:

Explanation (Optional):

- A. Correct. TS 3.4.1 basis describes DNB events and limits for pressure, temperature, and flow.
- B. Incorrect. Pressure would be lower to start with
- C. Incorrect. Loss of feed is not a DNB limiting event

Α

D. Incorrect. Main Steam Line break will result in overpower, but lower temperature. Therefore, this event would be less restrictive, and also not a DNB limiting event

Technical Reference(s)	TS Basis 3.4.1	_ (Attach if not previously provided)

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Proposed references to be	provided to applicants during exam	ination: None
Learning Objective:		(As available)
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	dge
10 CFR Part 55 Content:	55.41 55.43 _2	
Comments:		

ES-401	Sample Written Examination	Form ES-401-5
	Question Worksheet	

Examination Outline Cross-reference:	Level	RO	SRO
	Tier #		1
	Group #		1
	K/A #	029 G2.4.30	
	Importance Bating		3.6

Emergency Procedures / Plan Knowledge of which events related to system operations/status should be reported to outside agencies.

Proposed Question:

SRO 79

Given the following:

The plant was at 100% power.

<u>TIME</u>	<u>EVENT</u>
0600	Main Generator trip resulted in a turbine trip. The reactor did NOT trip.
0603	The Reactor Trip Breakers were opened locally.
0607	The crew determined that ONE (1) PRZR Safety Valve was stuck open.
0608	Safety Injection was manually initiated.
0609	The Shift Manager declares the event.

Which ONE (1) of the following describes the Emergency Classification for this event, and the LATEST time that the NRC must be notified?

A. Alert; 0700

B. Alert; 0709

C. Site Area Emergency; 0700

D. Site Area Emergency; 0709

Proposed Answer:

D

- A. Incorrect. Alert if the reactor will trip from the Main Control Board. One hour from time of event, not classification
- B. Incorrect. Alert is incorrect, but correct time
- C. Incorrect. Correct classification, incorrect time
- D. Correct.

ES-401	Sample Written Examination Question Worksheet	n Form ES-401-5
Technical Reference(s)	EALs, 10CFR50.72	_ (Attach if not previously provided)
Proposed references to be	provided to applicants during exa	mination: EALs
Learning Objective:		(As available)
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	edge X
10 CFR Part 55 Content:	55.41 55.43 _5	
Comments:		

•	e Written Examination uestion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO	SRO 1
	Group #		1
	K/A #	038 EA2.17	
	Importance Rating		11

Ability to determine or interpret the following as they apply to a SGTR: RCP restart criteria

Proposed Question:

SRO 80

Given the following:

- A SGTR has occurred.
- RCPs were secured due to RCP Trip Criteria being met.
- Ruptured SG has been IDENTIFIED and ISOLATED.
- RCS cooldown to target temperature is COMPLETE.
- RCS depressurization is COMPLETE.
- Normal charging and letdown are IN SERVICE.
- Pressurizer Level is 35%.
- RCS Subcooling is 43°F.
- Ruptured SG (NR) level is 56% and STABLE.

Which ONE (1) of the following describes what action(s) is (are) are performed regarding RCP status in accordance with E-3, Steam Generator Tube Rupture?

- A. Conditions for RCP restart are satisfied; refer to S-2.1, Reactor Coolant Pump Operation, to determine if RCPs meet the conditions for restart.
- B. Conditions for RCP restart are satisfied; continue in E-3 and evaluate RCPs to determine if they may be started.
- C. Conditions for RCP restart are NOT satisfied; Select an appropriate cooldown procedure and initiate cooldown on natural circulation.
- D. Conditions RCP restart are NOT satisfied; Engineering guidance must be obtained prior to any subsequent RCP restart.

Proposed Answer:

В

- A. Incorrect. Restart conditions will be determined by attachment 15 when in the EOPs
- B. Correct.
- C. Incorrect. RCP restart is desired

ES-401	•	n Examination Worksheet	Form ES-401-5
D. Incorrect. RCP resta	rt is desired		
Technical Reference(s)	E-3, step 42		(Attach if not previously provided)
Proposed references to be	provided to applican	its during exam	ination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	<u>X</u>	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge
10 CFR Part 55 Content:	55.41 55.43 <u>5</u>		
Comments: Used on a 2007 Audit Example 1	m(SQN); Was new, h	nas not been us	sed on an NRC exam

ES-401	Sample Written Examination	Form ES-401-5
	Question Worksheet	

 Examination Outline Cross-reference:
 Level
 RO
 SRO

 Tier #
 1
 1

 Group #
 1
 1

 K/A #
 E12 EA2.2
 E12 EA2.2

 Importance Rating
 3.9

Ability to determine and interpret the following as they apply to the (Uncontrolled Depressurization of all Steam Generators) Adherence to appropriate procedures and operation within the limitations in the facility's license and amendments.

Proposed Question:

SRO 81

Initial conditions:

- A Steam Line Break occurred.
- The crew has entered ECA-2.1, Uncontrolled Depressurization of Both Steam Generators, due to stuck open safety valves on BOTH SGs.

Current conditions:

- RCS temperature has decreased from 547°F to 422°F in the last hour.
- SG NR levels are both off-scale low.
- The crew has taken the appropriate action regarding AFW flow.
- Maintenance reports that "A" SG Safety Valve has a gagging device installed.

Which ONE (1) of the following describes the status of AFW flow and the action that will be taken by the crew for procedure transition?

- A. AFW flow is 50 GPM per SG; immediately transition to E-2, Faulted SG Isolation.
- B. AFW flow is a minimum of 200 GPM; immediately transition to E-2, Faulted SG Isolation.
- C. AFW flow is 50 GPM per SG; transition to E-2, Faulted SG isolation, upon observing a pressure increase in "A" SG.
- D. AFW flow is a minimum of 200 GPM; transition to E-2, Faulted SG isolation, upon observing a pressure increase in "A" SG.

Proposed Answer:

C

Explanation (Optional):

A. Incorrect. AFW reduction is correct because RCS temperature has dropped by more than

ES-401

Sample Written Examination Question Worksheet

Form ES-401-5

100 degrees in the last hour. Transition not made until pressure increase observed. Plausible because 1 condition of SG isolation is met

- B. Incorrect. AFW flow should be reduced, but plausible because this is normal flow for SG NR level below 7%
- C. Correct.
- D. Incorrect. Incorrect flow, but correct transition. Plausible because 200 GPm is normal flowrate and would be required based on RCS cooldown rate <100 degrees per hour. The applicant must determine that the requirement was exceeded

Technical Reference(s)	ECA-2.1	_ (Attach if not previously provided)
Proposed references to be	provided to applicants during exa	amination: None
Learning Objective:		(As available)
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	edge
10 CFR Part 55 Content:	55.41 <u>5</u>	
Comments:		

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier#	RO	SRO
	Group #		2
	K/A #	032 AA2.02	
	Importance Rating		3.9

Ability to determine and interpret the following as they apply to the Loss of Source Range Nuclear Instrumentation: Expected change in source range count rate when rods are moved

Proposed Question:

SRO 82

Given the following:

- A reactor startup is in progress in accordance with O-1.2, Plant Startup From Hot Shutdown To Full Load.
- Estimated Critical Rod Position is Bank "D" at 130 steps.
- A log of stable Source Range Count rate is as follows:

Control Bank Position	<u>SR N-31</u>	<u>SR N-32</u>
"D"	0.450.000	0500 000
"B" at 0 steps	2450 CPS	2500 CPS
"B" at 50 steps	2600 CPS	2650 CPS
"B" at 100 steps	2950 CPS	3000 CPS
"C" at 0 steps	3200 CPS	3350 CPS
"C" at 50 steps	3600 CPS	3700 CPS
"C" at 100 steps	4050 CPS	4600 CPS
"C" at 150 steps	4900 CPS	9500 CPS

Based upon current plant conditions, which ONE (1) of the following actions will be taken?

- A. Initiate rod withdrawal to 200 steps on Bank "C" at a startup rate not to exceed 0.5 DPM.
- B. Stop the reactor startup and determine the reason that Source Range N-31 is not responding as expected prior to continuing.
- C. Stop the reactor startup and determine the reason that Source Range N-32 is not responding as expected prior to continuing.
- D. Ensure that both Intermediate Range channels indicate higher than the P-6 Defeat Permissive, and then initiate rod withdrawal to 200 steps on Bank "C".

ES-401	Sample Written Examination
	Question Worksheet

Form ES-401-5

Proposed Answer:	С	
prior to continuing. M	flust diagnose which ons that can be taker	n 1 rod withdrawal, O-1.2 requires evaluation channel is responding improperly, and other not during the startup, if the anomaly is either not ugh
Technical Reference(s)	O-1.2	(Attach if not previously provided)
Proposed references to be	provided to applicant	ts during examination: None
Learning Objective:		(As available)
Question Source:	Bank # Modified Bank # New	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundam Comprehension or	
10 CFR Part 55 Content:	55.41 <u>5</u>	
Comments:		

E\$-401	Sample Written Examination	Form ES-401-5
	Question Worksheet	

Examination Outline Cross-reference:	Level Tier #	RO	SRO 1
	Group #		2
	K/A #	061 G2.4.4	
	Importance Rating		43

Emergency Procedures / Plan Ability to recognize abnormal indications for system operating parameters which are entry-level conditions for emergency and abnormal operating procedures.

Proposed Question:

SRO 83

Given the following:

- Refueling is in progress.
- During Fuel shuffle in Containment with an assembly in transit to the upender, the following annunciator is received in the Control Room: "E-24, "RMS Area Monitor Hi Activity."
- The HCO determines that R2 is above its alarm setpoint.
- R-11 and R-12 are rising slowly.
- The Refueling SRO reports that Refueling Cavity level is lowering slowly.

Which ONE (1) of the following describes the action required?

- A. In accordance with the annunciator response, direct HP to perform surveys to determine if background radiation from the reactor head is causing the elevated radiation levels.
- B. Enter RF-601, Fuel Handling Accident Instructions, evacuate Containment and place the fuel assembly in the upender and place the upender in a horizontal position in Containment.
- C. In accordance with the annunciator response, refer to AP-RCS.1, RCS Leak, to determine and correct the source of the leak.
- D. Enter RF-601, Fuel Handling Accident Instructions, evacuate Containment and place the fuel assembly in the bottom of the transfer slot area, in the emergency location, and leave latched with power removed from the crane.

Proposed Answer: D

- A. Incorrect. Would be performed if cavity level was normal and R-11/R-12 were not rising
- B. Incorrect. Would not maintain upender in horizontal position with fuel assembly if cavity is lowering.
- C. Incorrect. Would be performed if not in Mode 6 refueling
- D. Correct.

ES-401	Sample Written Examination Question Worksheet	n Form ES-401-5	
Technical Reference(s)	RF-601	(Attach if not previously provided)	
Proposed references to be	provided to applicants during exa	mination: None	
Learning Objective:		_ (As available)	
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent) 	
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	odge X	
10 CFR Part 55 Content:	55.41 55.43 <u>5,6</u>		
Comments:			

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier #	RO	SRO 1
	Group #		2
	K/A #	074 EA2.08	
	Importance Rating		4.6

Ability to determine or interpret the following as they apply to a Inadequate Core Cooling: The effect of turbine bypass valve operation on RCS temperature and pressure

Proposed Question:

SRO 84

Given the following:

- A LOCA has occurred.
- All SI Pumps have failed.
- RCPs are secured.
- The crew is performing E-0, Reactor Trip or Safety Injection.
- When performing diagnostic actions, the current conditions exist:
 - o RCS Pressure is 675 psig.
 - Core Exit temperatures are 710 degrees F
 - RVLIS indicates 48%

Which ONE (1) of the following describes the procedure that will be entered and of the choices, the first action that is required?

- A. FR-C.1: start RCPs to establish forced circulation flow.
- B. FR-C.1; dump steam from SGs to cooldown and depressurize the RCS.
- C. FR-C.2; start RCPs to establish forced circulation flow.
- D. FR-C.2; dump steam from SGs to cooldown and depressurize the RCS.

Proposed Answer:

В

- A. Incorrect. RCPs are started if secondary depressurization is ineffective. Plausible because it is an action that can be taken. Additionally, with no SI pumps it is reasonable to believe that forced circulation is desired, and RCPs are checked available prior to performing depressurization, they are just not started at that time
- B. Correct. Red path because RVLIS is below 52%

ES-401	Sample Writte	n Examination	Form ES-401-5
	Question Worksheet		
	because the action to the thick that forced circulates	may be taken. ation is desired	
Technical Reference(s)	F.02, FR-C.1		(Attach if not previously provided)
Proposed references to be	provided to applican	ts during exam	nination: None
Learning Objective:			(As available)
Question Source:	Bank #		-
	Modified Bank #		(Note changes or attach parent)
	New	Χ	-
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan	nental Knowled	lge
	Comprehension or	Analysis	<u>X</u>

10 CFR Part 55 Content:

Comments:

55.41 55.43

5

•	Sample Written Examination Question Worksheet			
Examination Outline Cross-reference:	Level Tier #	RO	SRO 1	
	Group #		2	
	K/A #	F03 G2.1.2	,	

Importance Rating

4.0

Conduct of Operations: Knowledge of operator responsibilities during all modes of plant operation.

Proposed Question:

SRO 85

Given the following:

- A LOCA has occurred.
- The crew is performing E-1, Loss of Reactor or Secondary Coolant.
- The following parameters exist:
 - Both SG pressures 830 psig and slowly trending down.
 - Both SG levels being controlled at 56% NR.
 - o PRZR level off-scale high.
 - o RVLIS indicates 80%.
 - o Containment Pressure 13 psig.
 - RWST level 74% and decreasing slowly.
 - RCS pressure 750 psig and decreasing slowly.

Based on these indications, which ONE (1) of the following procedures will the crew be required to perform next?

- A. ES-1.1, SI Termination
- B. ES-1.2, Post LOCA Cooldown and Depressurization
- C. ES-1.3, Transfer to Cold Leg Recirculation
- D. E-2, Faulted Steam Generator Isolation

Proposed Answer:

В

- A. Incorrect. Reasonable because parameters meet SI termination with exception of RCS pressure trend. (Subcooling not given, but would not meet criteria)
- B. Correct. RWST level too high for transfer, next procedure is ES-1.2
- C. Incorrect. RWST level too high
- D. Incorrect. SG pressures dropping due to SI flow dragging down RCS pressure (Primary is leading)

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5	
Technical Reference(s)	E-1		(Attach if not previously provided)	
Proposed references to be	provided to applican	ts during exam	nination: None	
Learning Objective:			(As available)	
Question Source:	Bank # Modified Bank # New	X	(Note changes or attach parent)	
Question History:	Last NRC Exam			
Question Cognitive Level:	Memory or Fundam Comprehension or		lge	
10 CFR Part 55 Content:	55.41 55.43 <u>5</u>			
Comments: WTSI Westinghouse generation	ric			

•	Sample Written Examination Question Worksheet		Form ES-401-5		
Examination Outline Cross-reference:	Level Tier #	RO	SRO 2		
	Group #		1		
	K/A #	004 A2.26			
	Importance Rating		3.0		

Ability to (a) predict the impacts of the following malfunctions or operations on the CVCS; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: Low VCT pressure

Proposed Question:

SRO 86

Given the following conditions:

- The plant is at 100% power.
- The following annunciator is received:
 - o AR-A-10, VCT PRESSURE 15 PSI 65
- The HCO determines VCT pressure is 15 psig.
- VCT level is 22%.

Which ONE (1) of the following describes the potential impact on the plant, and the action required for the impact?

- A. Charging Pump cavitation; initiate manual blended makeup to the VCT in accordance with the annunciator response.
- B. Charging Pump cavitation; go to AP-CVCS.3, Loss of All Charging Flow.
- C. RCP #1 seal leakoff flow will exceed the maximum limit; initiate manual blended makeup to the VCT in accordance with the annunciator response.
- D. RCP #1 seal leakoff flow will exceed the maximum limit; go to AP-RCP.1, RCP Seal Malfunction.

Proposed Answer:

В

- A. Incorrect. Correct impact but if Charging Pump is cavitating, go to CVCS.3. Action supplied is for low pressure caused by low level. Level is normal
- B. Correct.
- C. Incorrect. RCP seal leakoff will rise based on the conditions. Action is for low pressure caused by low level. Level is normal

	-	
ES-401	Sample Written Ex Question Work	
D. Incorrect. RCP seal I	eakoff will rise. Action v	vould be correct for a seal failure
Technical Reference(s)	AR-A-10	(Attach if not previously provided)
Proposed references to be	provided to applicants d	uring examination: None
Learning Objective:		(As available)
Question Source:	Bank # Modified Bank # NewX	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundament Comprehension or Ana	
10 CFR Part 55 Content:	55.41 55.43 _5	
Commonts:		

•	e Written Examination estion Worksheet		Form ES-401-5	
Examination Outline Cross-reference:	Level Tier #	RO	SRO 2	
	Group #		1	
	K/A #	005 A2.04		
	Importance Rating		2.9	

Ability to (a) predict the impacts of the following malfunctions or operations on the RHRS, and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: RHR valve malfunction

Proposed Question:

SRO 87

Given the following:

- RCS temperature is 190 degrees F.
- RHR Loop "A" is in service.
- Drain Down to mid-loop is in progress.
- PRZR Wide Range level indicates 15 inches.
- RCS Loop Level Indicator is at 80 inches.

Subsequently, the following indications are observed:

- RCS temperature is 196 degrees F and rising.
- RHR Pump "A" flow is 0 GPM.
- RHR Pump "A" discharge pressure is 300 psig.
- RHR Pump current is stable at approximately 40 amps.
- PRZR Wide Range level indicates 17 inches.
- RCS Loop Level Indicator is at 82 inches.

Which ONE (1) of the following describes (1) the event in progress, and (2) the procedure entry that will be required?

- A. RHR Pump sheared shaft; AP-RHR.1, Loss of RHR
- B. RHR system valve failure; AP-RHR.1, Loss of RHR
- C. RHR Pump sheared shaft; AP-RHR.2, Loss of RHR While Operating at Reduced RCS Inventory Conditions
- D. RHR system valve failure; AP-RHR.2, Loss of RHR While Operating at Reduced RCS Inventory Conditions

ES-401	•	en Examination Worksheet	Form ES-401-5
Proposed Answer:	В		
Explanation (Optional):			
A. Incorrect. A sheared	shaft would not indi	cate discharge	pressure of 300 psig.
 B. Correct. Pump is ope volume change 	erating against close	d valve, RCS is	s heating up, resulting in small
C. Incorrect. Wrong pro- failure	cedure, the RCS is	not considered	in RIO at this level. Also incorrect
D. Incorrect. Wrong pro-	cedure; RIO is belov	w 10 inches PR	ZR WR
Technical Reference(s)	AP-RHR.1		(Attach if not previously provided)
Proposed references to be	provided to applicar	nts during exam	nination: None
Learning Objective:			(As available)
Question Source:	Bank #		
	Modified Bank #		(Note changes or attach parent)
	New	X	- -
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundar	nental Knowled	lge
	Comprehension or	Analysis	<u>X</u>
10 CFR Part 55 Content:	55.41		
	55.43 5		

Comments:

ES-401	•	Written Examination estion Worksheet	F	orm ES-401-5
Examination Outline Cross-r	eference:	Level	RO	SRO
		Tier #		2
		Group #		1
		K/A #	006 G2.2.25	
		Importance Rating		3.7
Equipment Control Knowledge of bases Proposed Question:	s in technical spe SRO 88	ecifications for limiting conditions	s for operations and safety	/ limits.
Which ONE (1) of the followade for the operability of	•		•	•
A. Large Break LOCA disables ECCS and	•		failure of 1 EDG	that
B. Large Break LOCA; Loss of Off-Site power; single failure of 1 EDG that disables ECCS and Containment Spray				
C. Small Break LOCA; Off-Site power available; single failure disabling 1 train of ECCS				
D. Small Break LOCA ECCS	; Loss of O	ff-Site power; single f	ailure disabling 1	train of
	D			
Explanation (Optional): A. Incorrect. EDG assummade	ned to opera	te for spray. Loss of of	f-site power is one	assumption
B. Incorrect. EDG assum	-	te for spray one assumption made		
Technical Reference(s)	TS 3.5.2 bas	sis (Attach if not previo	usly provided)
Proposed references to be provided to applicants during examination: None				
Learning Objective:			(As available)	
Question Source:	Bank #			
	Modified Ba	nk #	(Note changes or a	attach parent)

ES-401	Sample Written Examination Question Worksheet		Form ES-401-5
	New	X	
Question History:	Last NRC Exa	m	
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis		<u>X</u>
10 CFR Part 55 Content:	55.41 <u>2</u>		
Comments:			

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier#	RO	SRO 2
	Group #		1
	K/A #	013 G2.1.12	?
	Importance Rating		4.0

Conduct of Operations: Ability to apply technical specifications for a system.

Proposed Question:

SRO 89

Given the following:

- The plant is at 100% power.
- One SG Narrow Range level channel on SG "B" Fails LOW.
- Actions to stabilize the plant have been taken in accordance with appropriate procedures.

Which ONE (1) of the following describes the technical specification requirements for this event?

Enter TS LCO(s)...

- A. 3.3.2, Engineered Safety Features Actuation System ONLY. Trip the associated bistable within 1 hour.
- B. 3.3.2, Engineered Safety Features Actuation System ONLY. Trip the associated bistable within 6 hours.
- C. 3.3.1, Reactor Trip System Instrumentation AND 3.3.2, Engineered Safety Features Actuation System. Trip the associated bistables within 1 hour.
- D. 3.3.1, Reactor Trip System Instrumentation AND 3.3.2, Engineered Safety Features Actuation System. Trip the associated bistables within 6 hours.

Proposed Answer:

D

- Incorrect. Even though channel failed low and already tripped, 3.3.1 entry is required. Incorrect time
- B. Incorrect. Correct time, incorrect entry
- Incorrect. Correct entry but incorrect time
- D. Correct.

ES-401	Sample Writte Question \		Form ES-401-5
Technical Reference(s)	TS 3.3.1, 3.3.2		(Attach if not previously provided)
Proposed references to be	provided to applican	ts during exan	nination: None
Learning Objective:			_ (As available)
Question Source:	Bank # Modified Bank # New	X	- (Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundam Comprehension or		dge
10 CFR Part 55 Content:	55.41 55.43 _2		
Comments:			

•	Question Worksheet			
Examination Outline Cross-reference:	Level Tier #	RO	SRO 2	
	Group #		1	
	K/A #	064 G2.1.33		
	Importance Rating		4.0	

Comple Written Exemination

Form ES-401-5

Conduct of Operations: Ability to recognize indications for system operating parameters which are entry-level conditions for technical specifications.

Proposed Question:

EQ 401

SRO 90

Given the following conditions:

- The plant is at 100% power.
- At 1030, EDG "A" is declared inoperable due to discovery of a cracked linkage on one of the fuel racks. Technical Specification actions are in effect.
- At 1200, RHR Pump "B" is removed from service and declared inoperable due to flange leakage.

Which ONE (1) of the following describes the MOST limiting Technical Specification action for this condition if the components CANNOT be restored to operable status?

Technical Specification 3.0.3 must be entered...

Α.		
	med	

B. at 1430.

C. at 1600.

D. at 2230

Proposed Answer:

С

- A. Incorrect. The redundant component does not have to declared inoperable until 4 hours after the condition exists
- B. Incorrect. This time is for the initial condition of RHR Pump inoperability. The clock does not start until the DG is also inoperable.
- C. Correct. 4 hours after the RHR Pump is declared inoperable
- D. Incorrect. 12 hours after the initial inoperability is plausible because that is the action if 1 off-site source is lost with a redundant function also lost. Credible because it is an action that may be taken under the same LCO as the one listed above, just for a different

ES-401	Sample Written Examinatio Question Worksheet	n Form ES-401-5
parameter in the LCC		
Technical Reference(s)	TS 3.8.1.1 and basis	(Attach if not previously provided)
Proposed references to be	provided to applicants during exa	mination: <u>TS 3.5.2, TS 3.8.1</u>
Learning Objective:		_ (As available)
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	edge X
10 CFR Part 55 Content:	55.41 <u>2</u>	
Comments:		

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level	RO	SRO
	Tier #		2
	Group #		2
	K/A #	029 A2.03	

Ability to (a) predict the impacts of the following mal-functions or operations on the Containment Purge System; and (b) based on those predictions, use procedures to correct, control, or mitigate the consequences of those malfunctions or operations: Startup operations and the associated required valve lineups

Importance Rating

Proposed Question:

SRO 91

Given the following:

- The plant is in Mode 6.
- Core alterations are in progress.

 A Containment Purge is being prepared in accordance with S-23.2.2, Containment Purge Procedure.

Containment Purge Procedure.

THE ROLLY DOOR IS CLOSED PRE

Which ONE (1) of the following describes (1) an acceptable ventilation alignment, and (2) the reason for the alignment, under these conditions?

- A. (1) 2 Purge Supply Fans running; 1 Purge Exhaust Fan running; Containment Recirc Fans stopped.
 - (2) Minimize radioactive release to the environment
- B. (1) 2 Purge Supply Fans running; 1 Purge Exhaust Fan running; 1 Containment Recirc Fan running.
 - (2) Provide for adequate Containment Cooling
- C. (1) 1 Purge Supply Fan running; 2 Purge Exhaust Fans running; Containment Recirc Fans stopped.
 - (2) Provide for adequate Containment Cooling
- D. (1) 1 Purge Supply Fan running; 2 Purge Exhaust Fans running; 1
 Containment Recirc Fan running.
 - (2) Minimize radioactive release to the environment

* NOTE: This	WAS	Added during Exam ADMINISTRATION TO Add CHARITY & TO ENSURE D' is CORRET If he Koly BOOK WAS OPEN THERE WOULD
Proposed Answer:	D	to Add CHARITY & TO ENSURE D' IS CORRET
Explanation (Optional):		If the Kolly DOOR WAS OPEN there WOULD

A. Incorrect. Not acceptable to have 2 supply and 1 exhaust fan. Negative pressure in 14

A NEWER

3.1

 Sample Written Examination	
 Question Worksheet	

containment is required.

Incorrect. Not acceptable to have 2 supply and 1 exhaust fan. Negative pressure in containment is required. Wrong reason for the alignment В.

Form ES-401-5

- Incorrect. Wrong reason but correct fan alignment

 Correct. Recirc fan required with Fuel Handling in p C.

D. Correct. Hecirc tan re	equired with Fuel Handling in prog	ress
Technical Reference(s)	S-23.2.2	(Attach if not previously provided)
Proposed references to be	provided to applicants during exar	mination: None
Learning Objective:		_ (As available)
Question Source:	Bank # Modified Bank # New X	_ _ (Note changes or attach parent) _
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	dge
10 CFR Part 55 Content:	55.41 55.43 <u>4</u>	
Comments:		

•	Sample Written Examination Question Worksheet		Form ES-401-5	
Examination Outline Cross-reference:	Level	RO	SRO	
	Tier #			
	Group #		2	
	K/A #	068 G2.1.23	}	

Importance Rating

4.0

Conduct of Operations: Ability to perform specific system and integrated plant procedures during all modes of plant operation

Proposed Question:

SRO 92

Given the following:

- At 0138 during a release of the 'A' monitor tank, R-18 alarms at 6.7E5 cpm.
- The HCO determined from the R-18 recorder that the monitor alarm setpoint was set too high and that the R-18 reading had exceeded the required alarm setpoint from the start of the release at 0010 until the spike.

Which ONE (1) of the following describes the correct actions for this situation?

- A. Increase circulating water flow for maximum dilution; refer to S-4.1U, Velocity Flush of R-18; Refer to the ODCM.
- B. Verify RCV-018 closed; reset R-18 to the correct setpoint; refer to the ODCM; refer to S-4.1U, Velocity Flush of R-18.restart release.
- C. Increase circulating water flow for maximum dilution; refer to EPIP 1-0 for potential event classification; re-sample the "A" monitor tank.
- D. Verify RCV-018 closed; re-sample the "A" monitor tank; refer to S-4.1U, Velocity Flush of R-18; Refer to the ODCM; Refer to EPIP 1-0 for potential event classification.

Proposed Answer: D

- A. Incorrect. Increasing flow is plausible but for the current condition, would not be performed. Plausible because a flush would be performed
- B. Incorrect. Plausible because the valve must be closed. Incorrect because reset not required
- C. Incorrect. Increasing flow is plausible but for the current condition, would not be performed. Plausibility is enhanced because the EPIP is referred to
- D. Correct.

Technical Reference(s)	ODCM 3.1,AR-RMS-18	8 (Attach if not previously provided)

ES-401	Sample Writte Question	Form ES-401-5	
Proposed references to be	provided to applicar	nts during exam	ination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	<u>x</u>	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundan Comprehension or		ge <u>X</u>
10 CFR Part 55 Content:	55.41 55.43 <u>4, 5</u>		

Comments:

•	e Written Examination lestion Worksheet		Form ES-401-5
Examination Outline Cross-reference:	Level Tier #	RO	SRO 2
	Group #		2
	K/A #	075 G2.1.32	
	Importance Rating		3.8

Conduct of Operations: Ability to explain and apply all system limits and precautions

Proposed Question:

SRO 93

Given the following:

- A Liquid Waste Release has been in progress for 2 hours.
- Reactor power has been reduced from 60% to 49% in the last 60 minutes due to a Circulating Water Pump vibration problem.
- "A" Circulating Water Pump is being removed from service in accordance with T-8A, Startup and Shutdown of Circulating Water Pumps A and B.

Based upon these conditions, which ONE (1) of the following describes the action(s) required?

- A. Notify RP to update release rate calculations or stop the release.
- B. Notify Chemistry to sample the RCS for lodine and Gross Activity.
- C. Notify Chemistry to sample the RCS for Iodine and Gross Activity AND notify RP to update release rate calculations or stop the release.
- D. Direct that the liquid Waste release flow rate be throttled to within the capacity of 1 Circulating Water Pump, and refer to the ODCM.

LIO	JOSEG AllSwei.	A	
Expl	lanation (Optional):		
A.	Correct. If Circ Wa	ter Flow Rate is change	d, RP must recalculate release rate
B.	Incorrect. Power c	hanges >15% in 1 hour	require sample
C.	Incorrect. Power of	hanges >15% in 1 hour	require sample
D.	Incorrect. Flow rat	e will be terminated, not	throttled.
Tecl	hnical Reference(s)	T-8A	(Attach if not previously provided)

Sample Written Examination Question Worksheet

Form ES-401-5

			
Proposed references to be	provided to applicants	during examination:	None
Learning Objective:		(As av	ailable)
Question Source:	Bank # _ Modified Bank # _ New	X (Note of	changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundame Comprehension or A	•	X
10 CFR Part 55 Content:	55.41 55.43 <u>4,5</u>		
Comments:			

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier #	RO	SRO 3
	Group #		1
	K/A #	G2.1.25	
	Importance Batino		3.1

Ability to obtain and interpret station reference materials such as graphs, monographs, and tables which contain performance data.

Proposed Question:

SRO 94

Given the following conditions:

- The plant was operating at 100% power when a reactor trip occurred on low pressurizer pressure.
- "B" S/G Tube Rupture was diagnosed, and E-3, Steam Generator Tube Rupture, was entered.
- RCS Cooldown and Depressurization is complete.

Given the following control room indications:

- SG "B" level is 32% and decreasing.
- SG "A" level is 52% and stable.
- PRZR level is 63% and increasing.

Which ONE (1) of the following describes the required operator action IAW E-3, and which ONE (1) of the following procedures will subsequently be used for the ruptured SG Cooldown if radioactive release and contamination must be minimized?

- A. Raise Charging Flow; ES-3.1, Post SGTR Cooldown Using Backfill.
- B. Raise Charging Flow; ES-3.2, Post SGTR Cooldown Using Blowdown.
- C. Energize PRZR Heaters; ES-3.2, Post SGTR Cooldown Using Blowdown.
- D. Energize PRZR Heaters; ES-3.1, Post SGTR Cooldown Using Backfill.

Proposed Answer:

D

- A. Incorrect, Incorrect action, correct procedure
- B. Incorrect. May perform if SG level was lowering, but wrong procedure
- C. Incorrect. Would perform if PRZR level was high, but wrong procedure
- D. Correct.

ES-401	Sample Written Examination Question Worksheet	on Form ES-401-5
Technical Reference(s)	E-3 Step 36	_ (Attach if not previously provided)
Proposed references to be	provided to applicants during exa	amination: E-3, Step 36
Learning Objective:		(As available)
Question Source:	Bank # X Modified Bank # New	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis	edge X
10 CFR Part 55 Content:	55.41 55.43 <u>5</u>	

Comments:

Probably qualifies as modified, first time item has been written in this manner. Just call Bank

•	01 Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier #	RO	SRO 3
	Group #		1
	K/A #	G2.1.5	
	Importance Rating	····	3.4

Ability to locate and use procedures and directives related to shift staffing and activities.

Proposed Question:

SRO 95

Given the following:

Dropood Apouer

- The plant is in Mode 1.
- The shift is manned to the minimum complement.
- The shift has 4 hours remaining.
- The HCO has become ill and must leave the site for emergency medical treatment.

Which ONE (1) of the following describes the requirements regarding the shift complement and the MINIMUM required action in this situation?

- A. The HCO may leave the site immediately after turnover of responsibilities to another qualified person on shift. A replacement must arrive within 2 hours.
- B. Responsibilities of the HCO may be turned over to the CO for the remainder of the shift.
- C. The HCO may leave the site immediately after turnover of responsibilities to another qualified person on shift. Action to call in a replacement must be initiated within 2 hours.
- D. The CRF may assume the responsibilities of the HCO. The Shift Manager may perform duties of CRF until normal shift relief.

Lioh	oseu Answei.	A	
Expla	anation (Optional):		
Α.	Correct. OPS-SHIFT	-ORG and TS Section 5	
B.	Incorrect. Cannot be	less than minimum for greater	than 2 hours due to emergency
C.	Incorrect. Action mu	st be initiated immediately with	a replacement arriving within 2 hours
D.	Incorrect. Cannot be	less than minimum for greater	than 2 hours
_			
i ecr	nical Reference(s)	OPS-SHIFT-ORG, TS 5	(Attach if not previously provided)
			<u></u>

ES-401	•	n Examination Worksheet	Form ES-401-5
Proposed references to be	provided to applican	ts during exam	ination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	<u>X</u>	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundamental Knowle Comprehension or Analysis		ge <u>X</u>
10 CFR Part 55 Content:	55.41 55.43 <u>1,5</u>		

From recent audit. Others similar

ES-40	ES-401 Sample Written Examination Question Worksheet			Form ES-401-5
Exami	ination Outline Cross-reference:	Level Tier #	RO	SRO 3
		Group #		2
		K/A #	G2.2.10	
		Importance Rating		3.3
a propose Propo	dge of the process for determining if the margin of sed change, test or experiment. Sed Question: SRO 96 the plant in Mode 3, The SI systems with the diagence walks throtten	em engineer has requ	ested that SI	Pump "B" be
	ed with the discharge valve throto ate under these conditions.	lied to 75% open to de	termine Star	ung current and
	evolution is NOT described in cu sis Report.	irrent procedures, nor	the Updated	Final Safety
	system engineer has developed eillance Test procedure for perfo	_	to the Engir	neering
	Which ONE (1) of the following describes under what conditions the Shift Manager may approve the evolution?			
Α.	. Only upon completion of POR	C review.		
B. With concurrence of one additional SRO.				
С	C. Upon completion of a written safety evaluation in accordance with 10CFR50.59.			
D. When the SI pump is NOT required to be operable in accordance with Technical Specifications.				
Propo	osed Answer: C			
Expla	nation (Optional):			
Α.	Incorrect. PORC may review but 1		•	rmed
B.	Incorrect. Normal process for tem	porary procedure change	es	
C.	Correct.	ndor this condition but a	ot roquired if	cafaty avaluation
U.	 Incorrect. The test may be done under this condition but not required if safety evaluation is performed 			

ES-401	Sample Writter Question V	Form ES-401-5	
Proposed references to be	provided to applicant	ts during exami	nation: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Bank # New	<u>X</u>	(Note changes or attach parent)
Question History:	Last NRC Exam		
Question Cognitive Level:	Memory or Fundam Comprehension or	_	ge
10 CFR Part 55 Content:	55.41 55.43 3		

Comments:

Various similar previous NRC other facilities

ES-401	•	Written Examination estion Worksheet	Form ES-401-5
Examination Outline Cross	s-reference:	Level Tier # Group # K/A # Importance Rating	RO SRO 3 2 G2.2.28 3.5
Knowledge of new and spent fuel management fuel management Proposed Question:	ovement procedures	5.	
• •	ne Refueling I offload, Reloa upervisor ANI	Manipulator Crane ir d, Shuffle)? D Reactor Engineer.	approval required for bypassing accordance with RF-301,
C. Refueling SRO A	ND Refueling	Shift Supervisor.	
D. Refueling Coordi	nator AND Re	eactor Engineer.	
Proposed Answer: Explanation (Optional): A. Incorrect. B. Incorrect. C. Correct. Direct from D. Incorrect.	C RF-301, Att 3		
Technical Reference(s)	RF-301 Att 3	3	(Attach if not previously provided)
Proposed references to be		pplicants during exam	ination: None
Learning Objective:			(As available)
Question Source:	Bank # Modified Ba New	nk #	(Note changes or attach parent)
Question History:	Last NRC E	xam	

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis	<u>X</u>
10 CFR Part 55 Content:	55.41 55.43 _6	
Comments:		

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5		
Examination Outline Cross-refere	ence: Level Tier # Group # K/A # Importance Rating	RO SRO 3 3 2.3.9 3.4		
Knowledge of the process for performing a concept of the process for performing a concept of the proposed Question:				
Given the following:				
 The plant is in Mode 5. A Containment Purge is Containment was samp The current time is 090 	oled at 0600 this morning.			
Which ONE (1) of the following initiated without re-sampling C		that the purge may be		
A. 1000 today				
B. 1400 today				
C. 1800 today				
D. 0600 tomorrow				
Proposed Answer: C Explanation (Optional): A. Incorrect. B. Incorrect. C. Correct. 12 hours is allowed. D. Incorrect.	ed prior to re-sample			
Technical Reference(s) S-23	3.2.2 (/	Attach if not previously provided)		
Proposed references to be provided to applicants during examination: None				
Learning Objective:	ı	(As available)		

ES-401	Sample Written Examination Question Worksheet	Form ES-401-5
Question Source:	Bank # Modified Bank # New X	(Note changes or attach parent)
Question History:	Last NRC Exam	
Question Cognitive Level:	Memory or Fundamental Knowled Comprehension or Analysis	ge <u>X</u>
10 CFR Part 55 Content:	55.41 55.43 <u>4</u>	
Comments:		

•	Sample Written Examination Question Worksheet		
Examination Outline Cross-reference:	Level Tier#	RO	SRO 3
	Group #		4
	K/A #	G2.4.6	
	Importance Rating		4.0

Knowledge of symptom based EOP mitigation strategies.

Proposed Question:

SRO 99

Given the following conditions:

- An ATWS has occurred.
- The crew is performing FR-S.1, Response to Reactor Restart/ATWS.
- RCS Boration is in progress.
- SI has actuated.
- Both SG pressures are approximately 550 psig and trending down.
- RCS Temperature is approximately 460 degrees F and trending down.
- Reactor Power indicates approximately 4% and trending down slowly.

Which ONE (1) of the following describes the mitigation strategy for the current conditions and the event in progress?

- A. Remain in FR-S.1 and isolate the faulted SGs. Transition to E-0, Reactor Trip or Safety Injection when isolation is complete.
- B. Remain in FR-S.1 and isolate the faulted SGs. Transition to E-0, Reactor Trip or Safety Injection when adequate Shutdown Margin is verified.
- C. Exit FR-S.1; Transition to E-0, Reactor Trip or Safety Injection, and subsequently isolate the faulted SG using E-2, Faulted Steam Generator Isolation.
- D. Exit FR-S.1; Transition to E-2, Faulted Steam Generator Isolation, and isolate the faulted SG. Perform steps of subsequent EOPs that do not contradict the actions taken in FR-S.1.

Proposed Answer:

C

ES-401

Sample Written Examination

Form ES-401-5

	Question V	Vorksheet		
A is incorrect. FR-S.1 has guidance to isolate a faulted SG, but transitionwhen power is below 5%				
B is incorrect. Would go to C is Correct.	to E-0 after FR-S.1 is complete and directed by FR-S.1 (Power <5%)			
D is incorrect. Would not transition to E-2 directly from FR-S.1				
Technical Reference(s)	CSF STs, FR-S.1	(Attach if not previously provided)		
Proposed references to be	provided to applicant	ts during examination: None		
Learning Objective:	and the second second	(As available)		
Question Source:	Bank # Modified Bank # New	X (Note changes or attach parent)		
Question History:	Last NRC Exam			
Question Cognitive Level:	Memory or Fundam Comprehension or A			
10 CFR Part 55 Content:	55.41 <u>5</u>			
Comments: McGuire 2007 NRC Exam				

ES-401		

Sample Written Examination Question Worksheet

Form ES-401-5

Examination Outline Cross-reference:

Level	RO	SRO
Tier #		3
Group #		4
K/A #	G2.4.34	
Importance Rating		3.6

Knowledge of RO tasks performed outside the main control room during emergency operations including system geography and system implications.

Proposed Question:

SRO 100

Given the following conditions:

- The plant is in Mode 1.
- An uncontrollable fire is in progress in the Control Room Complex.
- Heavy smoke requires evacuation of the Control Room.

Which ONE (1) of the following describes the procedure usage for the event and the responsibility of the Head Control Operator?

- A. Perform ER-FIRE.0, CR Response to Fire Alarms and Reports, concurrently with AP-CR.1, Control Room Inaccessibility, then go to ER-FIRE-1, Alternate Shutdown for Control Complex Fire; Go to AFW Pump Area and transfer equipment to local control
- B. Discontinue use of ER-FIRE.0, CR Response to Fire Alarms and Reports; Perform action in accordance with ER-FIRE-1, Alternate Shutdown for Control Complex Fire, and AP-CR.1, Control Room Inaccessibility; Go to AFW Pump Area and transfer equipment to local control.
- C. Perform ER-FIRE.0, CR Response to Fire Alarms and Reports, concurrently with AP-CR.1, Control Room Inaccessibility; Go to Screenhouse to ensure 1 Service Water Pump is running in each SW loop.
- D. Discontinue use of ER-FIRE.0, CR Response to Fire Alarms and Reports; Perform action in accordance with ER-FIRE-1, Alternate Shutdown for Control Complex Fire, and AP-CR.1, Control Room Inaccessibility. Go to Screenhouse to ensure 1 Service Water Pump is running in each SW loop.

Proposed Answer:

Α

Explanation (Optional):

A. Correct. ER-FIRE.0 directs concurrent use of the 2 procedures

ES-401 Sample Written Examination Question Worksheet		Form ES-401-5		
 B. Incorrect. Would not discontinue ER-FIRE.0, but credible because it is normal to transition to another procedure, which in this case, is controlling the actions C. Incorrect. CRF goes to Screenhouse D. Incorrect. Would not discontinue use of ER-FIRE.0, but credible because it is normal to transition to another procedure, which in this case is controlling the actions taken 				
Tech	nical Reference(s)	ER-FIRE.0, AP-CR	.1	(Attach if not previously provided)
Proposed references to be provided to applicants during examination: None Learning Objective: (As available)				
Ques	tion Source:	Bank # Modified Bank # New	X	- _ (Note changes or attach parent) -
Ques	stion History:	Last NRC Exam		1MA
Ques	tion Cognitive Level:	Memory or Fundamental Knowledge Comprehension or Analysis X		
10 C	FR Part 55 Content:	55.41 55.43 _5		

and and section 7.

Comments: