

July 11, 2007

MEMORANDUM TO: Luis A. Reyes  
Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**  
Assistant Inspector General for Audits

SUBJECT: REVIEW OF NRC'S DRUG-FREE WORKPLACE PLAN  
(OIG-04-A-15)

AUDIT OF NRC'S DRUG TESTING PROGRAM  
(OIG-05-A-05)

REFERENCE: DIRECTOR, OFFICE OF ADMINISTRATION,  
CORRESPONDENCE TO DIRECTOR, DIVISION OF  
WORKPLACE PROGRAMS AND SUBSTANCE ABUSE  
AND MENTAL HEALTH SERVICES ADMINISTRATION,  
DEPARTMENT OF HEALTH AND HUMAN SERVICES  
DATED APRIL 26, 2007

Attached is the Office of the Inspector General (OIG) analysis and status of the recommendations contained in the subject audit reports, as discussed in NRC correspondence to the Department of Health and Human Services dated April 26, 2007 pertaining to approval of the NRC's Drug-Free Workplace Plan. Based on this correspondence, recommendations 4, 5, and 12 contained in OIG-05-A-05 and recommendations 1, 2, and 3 contained in OIG-04-A-15 remain resolved. Please provide an updated status of these recommendations by October 25, 2007.

If you have questions or concerns, please call me at 415-5915 or Beth Serepca at 415-5911.

Attachments: As stated

cc: V. Ordaz, OEDO  
M. Malloy, OEDO  
P. Tressler, OEDO

## **Audit Report**

### **REVIEW OF NRC'S DRUG-FREE WORKPLACE PLAN (OIG-04-A-15)**

#### **Status of Recommendations**

Recommendation 1: Revise the *NRC Drug-Free Workplace Plan* (Plan) to include the deferral of testing clause from the U.S. Department of Health and Human Services (HHS) Model Plan for a Comprehensive Drug-Free Workplace Program.

Correspondence Dated  
April 26, 2007:

Enclosed is a revised copy of the NRC's Drug-Free Workplace Plan for your [HHS'] review and approval. The Plan incorporates minor comments received from the HHS representatives on April 23, 2007.

OIG Analysis:

The proposed action addresses the issue discussed in the report and the intent of the recommendation. This recommendation will be closed when OIG verifies that the final updated Plan contains the deferral of testing clause.

**Status:**

Resolved.

## **Audit Report**

### **REVIEW OF NRC'S DRUG-FREE WORKPLACE PLAN (OIG-04-A-15)**

#### **Status of Recommendations**

<u>Recommendation 2:</u>	Include in the <i>NRC Drug-Free Workplace Plan</i> (Plan) instruction that revisions must receive approval from the U.S. Department of Health and Human Services prior to implementation.
Correspondence Dated April 26, 2007:	Enclosed is a revised copy of the NRC's Drug-Free Workplace Plan for your [HHS'] review and approval. The Plan incorporates minor comments received from the HHS representatives on April 23, 2007.
OIG Analysis:	The proposed action addresses the issue discussed in the report and the intent of the recommendation. This recommendation will be closed when OIG verifies that the final updated Plan contains the provision for HHS approval prior to revision.
<b>Status:</b>	Resolved.

## **Audit Report**

### **REVIEW OF NRC'S DRUG-FREE WORKPLACE PLAN (OIG-04-A-15)**

#### **Status of Recommendations**

<u>Recommendation 3:</u>	Obtain U.S. Department of Health and Human Services approval of the <i>NRC Drug-Free Workplace Plan</i> (Plan) prior to implementation.
Correspondence Dated April 26, 2007:	Enclosed is a revised copy of the NRC's Drug-Free Workplace Plan for your [HHS'] review and approval. The Plan incorporates minor comments received from the HHS representatives on April 23, 2007.
OIG Analysis:	The proposed action addresses the issue discussed in the report and the intent of the recommendation. This recommendation will be closed when OIG reviews HHS's approval of the final updated Plan.
<b>Status:</b>	Resolved.

**Audit Report**  
**Audit of NRC's Drug Testing Program**  
**OIG-05-A-05**

**Status of Recommendations**

<u>Recommendation 4:</u>	Revise the categories of testing-designated positions to include computer system administrators and individuals engaged in law enforcement activities who are authorized to carry weapons.
Correspondence Dated April 26, 2007:	Enclosed is a revised copy of the NRC's Drug-Free Workplace Plan for your [HHS'] review and approval. The Plan incorporates minor comments received from the HHS representatives on April 23, 2007.
OIG Analysis:	The corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG receives verification that ADM has implemented the revised NRC Drug-Free Workplace Plan as described above.
<b>Status:</b>	Resolved.

**Audit Report**  
**Audit of NRC's Drug Testing Program**  
**OIG-05-A-05**

**Status of Recommendations**

Recommendation 5: Re-evaluate categories of testing designated positions and continue to do so biennially.

Correspondence Dated  
April 26, 2007: Enclosed is a revised copy of the NRC's Drug-Free Workplace Plan for your [HHS'] review and approval. The Plan incorporates minor comments received from the HHS representatives on April 23, 2007.

OIG Analysis: The corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG receives verification that ADM has implemented the revised NRC Drug-Free Workplace Plan as described above.

**Status:** Resolved.

**Audit Report**  
**Audit of NRC's Drug Testing Program**  
**OIG-05-A-05**

**Status of Recommendations**

Recommendation 12: Update the Management Directive System to include the drug testing policy and procedures that employees are expected to follow.

Correspondence Dated  
April 26, 2007: Enclosed is a revised copy of the NRC's Drug-Free Workplace Plan for your [HHS'] review and approval. The Plan incorporates minor comments received from the HHS representatives on April 23, 2007.

OIG Analysis: The corrective action addresses the intent of this recommendation. This recommendation will be closed when OIG evaluates the revised Management Directive containing the drug testing policy and procedures that employees are expected to follow.

**Status:** Resolved.