

July 2, 2007

MEMORANDUM TO: Luis A. Reyes
Executive Director for Operations

FROM: Stephen D. Dingbaum **/RA/**
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: EVALUATION OF
NRC'S AUTOMATED INFORMATION SYSTEM (AIS)
INVENTORY PROCESS (OIG-05-A-22)

REFERENCE: DIRECTOR, OFFICE OF INFORMATION SERVICES
MEMORANDUM DATED JUNE 12, 2007, AND
JUNE 14, 2007.

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's responses dated June 12, 2007, and June 14, 2007. Based on these responses, recommendations 2, 3, and 6 are closed. All recommendations are now closed for this report.

If you have any questions or concerns, please call me at 415-5915.

Attachment: Status of Recommendations

cc: V. Ordaz, OEDO
M. Malloy, OEDO
P. Tressler, OEDO

Audit Report

Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

Status of Recommendations

Recommendation 2: Validate the information in the AIS inventories annually.

Response Dated
June 12, 2007:

OIS sent out a data call in August 2006 and February 2007. The data call was sent to all Office Directors and regional Administrators requesting validation of the information about AIS systems owned by their offices. The data call instructions directed the Office or Regional Representative to validate the information contained on the data sheet for each system and to update any outdated or incorrect information. OIS received all the completed data call sheets by February 23, 2007.

Subsequent to the updated data call sheets, OIS staff updated the NRC System Information Control Database (NSICD) with all revised information contained in the data call sheets. The updates to NSICD were completed on March 20, 2007.

OIS intends to conduct biannual data calls to assure that NSICD remains current. On March 5, 2007, OIS published procedure number OIS-9000D-001 entitled 'revision 0. Biannual Automated Information System (AIS) Inventory Update procedure.' The ADAMS reference number is ML070640564.

OIG Analysis: The corrective actions taken satisfy the intent of the recommendation. This recommendation is closed

Status: Closed.

Audit Report

Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

Status of Recommendations

Recommendation 3: Provide guidance on the type of information required from the office directors, regional administrators, and system owners/sponsors when providing AIS inventory updates.

Response Dated
June 14, 2007:

OIS Procedure OIS-9000D-001 (ML070640564) dated March 5, 2007, titled "Biannual Automated Information System (AIS) Inventory Update Procedure," provides the guidance to NRC offices for biannual inventory updates. Enclosure 1 to the document provides an example data call sheet and enclosure 2 provides an example instruction guide from the January 2007 data call. These enclosures provide guidance to office directors, regional administrators, and system owners/sponsors on the information required when providing AIS inventory updates. OIS requests closure of this recommendation.

OIG Analysis: The corrective actions satisfy the intent of the recommendation. This recommendation is closed.

Status: Closed.

Audit Report

Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

Status of Recommendations

Recommendation 6: Develop and implement procedures for recording system information for systems that are composed of multiple components.

Response Dated
June 14, 2007:

OIS Procedure OIS-9000D-000xxx (ML071240245), with an effective date of June 4, 2007, titled "Entering New System Inventory (SI) Data in the NRC System Information Control Database (NSICD)," provides the guidance for recording system information in NSICD. Specifically, Section 4.1.4 identifies that "When a need is identified to enhance or expand a system's functionality, a request for a new subsystem may be received by the Capital Planning and Investment Control responsible individual. The new subsystem may have separate functionality from the parent system, but will still interact in some way with the parent system; therefore, it will be created as a subsystem to the parent system."

OIG Analysis: The corrective actions address the intent of OIG's recommendation. Recommendation 6 is closed.

Status: Closed.