

EDO Principal Correspondence Control

FROM: DUE: 06/11/07

EDO CONTROL: G20070403

DOC DT: 06/04/07

FINAL REPLY:

Representative Tom Davis

TO:

Chairman Klein

FOR SIGNATURE OF :

** PRI **

CRC NO: 07-0396

Chairman Klein

DESC:

ROUTING:

Questions to Assess How Agencies are Implementing
Key IT Laws and the Influence of Agency CIOs
(Due to Rep. Davis: 6/20/07)

Reyes
Virgilio
Kane
Ash
Ordaz
Cyr/Burns
Rabideau, CFO
Schmidt, OCA

DATE: 06/06/07

ASSIGNED TO:

CONTACT:

015

Baker

SPECIAL INSTRUCTIONS OR REMARKS:

Coordinate with CFO.

Template: SECY-017

E-RIDS: SECY-01

EDATS

Electronic Document and Action Tracking System

Initiating Office: SECY

EDATS Number: SECY-2007-0189

General Information

Assigned To: OIS

OEDO Due Date: 6/11/2007 5:00 PM

Other Assignees:

SECY Due Date: 6/13/2007 5:00 PM

Subject: Questions to Assess How Agencies are Implementing Key IT Laws and the Influence of Agency CIOs

Description:

CC Routing: OCA; CFO

ADAMS Accession Numbers - Incoming: NONE

Response/Package: NONE

Other Information

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Staff Initiated: NO

Related Task:

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Agency Lesson Learned: NO

Process Information

Action Type: Letter

Priority: Medium

Sensitivity: None

Signature Level: Chairman Klein

Urgency: NO

OEDO Concurrence: YES

OCM Concurrence: YES

OCA Concurrence: YES

Special Instructions: Coordinate with CFO.

Document Information

Originator Name: Representative Tom Davis

Date of Incoming: 6/4/2007

Originating Organization: Congress

Document Received by SECY Date: 6/6/2007

Addressee: Chairman Klein

Date Response Requested by Originator: 6/20/2007

Incoming Task Received: Letter

OFFICE OF THE SECRETARY
CORRESPONDENCE CONTROL TICKET

Date Printed: Jun 05, 2007 17:25

PAPER NUMBER: LTR-07-0396 **LOGGING DATE:** 06/05/2007
ACTION OFFICE: EDO

AUTHOR: REP Tom Davis
AFFILIATION: CONG
ADDRESSEE: CHRM Dale Klein
SUBJECT: Requests answers to a series of questions to assess how agencies are implementing key IT laws and the influence of agency CIOs

ACTION: Signature of Chairman
DISTRIBUTION: RF, OCA to Ack.

LETTER DATE: 06/04/2007
ACKNOWLEDGED: No
SPECIAL HANDLING: Response is due to Congress NLT, Wednesday, June 20, 2007.
NOTES: Chairman Correspondence
FILE LOCATION: ADAMS
DATE DUE: 06/13/2007 **DATE SIGNED:**

EDO --G20070403

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Congress of the United States

House of Representatives

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June 4, 2007

The Honorable Dale E. Klein
Chairman
Nuclear Regulatory Commission
11555 Rockville Pike, Room 016D3
Rockville, MD 20852

Dear Chairman Klein:

As you know, Congress is focused on ensuring the federal government effectively and efficiently manages its information technology (IT) resources and protects the privacy, reliability, and integrity of its information systems. To help federal agencies in their efforts, we passed Clinger-Cohen Act (P.L. 104-106) which created agency chief information officers (CIO) and provides a foundation for responsible IT capital planning and investment control. In addition, we passed the Federal Information Security Management Act (P.L. 107-347), which established a government-wide risk-based approach to information security management. The agency CIOs play a vital role in implementing these acts and ensuring the IT investment and security decisions are consistent with the mission and goals of the agency.

As the Ranking Republican Member of the House Committee on Oversight and Government Reform and the author of FISMA, I maintain a high level of interest in IT management issues. As part of my minority oversight agenda, I have developed a series of questions to assess how agencies are implementing key IT laws and the influence of agency CIOs. Your response to these questions will serve as the basis for our further oversight on information technology management issues.

As you prepare your responses to the following questions, I ask that you provide sufficient documentation and examples to support the answers you are providing to me.

1. Please provide the name and official title of the individual currently fulfilling the responsibilities and duties of agency Chief Information Officer as delineated in the Clinger-Cohen Act of 1996 (P.L. 104-106) and the Federal Information Security Management Act of 2002 ('FISMA') (P.L. 107-347). If the individual is

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- serving in an "acting" capacity, please explain the steps you are taking to finalize an appointment to this position.
2. Since FISMA was enacted in December 2002, how many individuals have served in the agency CIO position and what were the periods of their service?
 3. Does the agency CIO have a direct reporting relationship to you? If not, to whom does the agency CIO directly report on a day-to-day basis?
 4. What functions does the agency CIO's office perform? What is the CIO's jurisdiction within the agency? Also, please describe the structure of the agency CIO's office including total number of employees, their titles, and responsibilities.
 5. Is the agency CIO a member of formal executive-level strategic planning, budget, and program-area process re-design committees, groups, or councils established in?
 - a. What are the responsibilities of the agency CIO on these committees and groups?
 - b. Has the agency CIO made, or played a vital role in making, strategic business decisions for the department/agency? Please provide several noteworthy examples.
 6. Please outline the policy, operational, and budget authorities delegated to the CIO. What, if any, additional duties or responsibilities does the agency CIO have other than information resources management?
 7. Do the component organizations that comprise your agency have designated CIOs? If so, please list the component organization, and the name and official title of the person serving as CIO for that component.
 8. Please tell us (a) how are the component organization CIOs are selected, (b) to whom do they report, and (c) the parameters of the decisional and budgetary authority of the component organizations?
 9. What percentage of total information management and technology expenditures are controlled or approved by the agency CIO? What percentage is controlled by component organizations that comprise your agency?
 10. What resources does your Department or Agency provide to the CIO office and its organizational components to maintain an effective agency-wide security program?

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11. Please describe the agency CIO's role in developing information technology budget submissions and business case justifications for major information technology investments. Are the CIOs of the agency's component organizations involved in these processes? If so, please describe their contributions.
12. Please provide the name and job title of the individual managing privacy issues in the agency? To whom does this individual report and what responsibilities does the individual have?
13. Ensuring adequate information security in the federal government requires skilled and dedicated IT employees. The federal government, for example, finds itself competing against the private sector for talented IT workers. Do federal agencies have the resources necessary to execute the elaborate security measures that are necessary in order to maintain their systems and to keep the government connected to people and businesses?
14. What percentage of the total agency budget is allocated for IT? For security? Please provide a breakdown of the IT security budget.

Please provide your responses to me by June 20, 2007. After receiving your response, our staff may want to meet with your representatives to discuss the information provided. If you or your staff would like to discuss this request further, please contact Chas Phillips or Victoria Proctor at (202) 225-5074.

Thank you for your attention to this important issue.

Sincerely,



Tom Davis
Ranking Member

COMMITTEE ON OVERSIGHT AND GOVERNMENT REFORM
TOM DAVIS, RANKING MEMBER



FACSIMILE TRANSMISSION

Date: 6-5-07

To: Nancy

From: Victoria Proctor & Chas Phillips

Phone: 202-225-5074

Fax: 202-225-1240

There will be a total of 4 pages, including cover page.

Comments: _____

*If there are any questions or problems regarding this transmission,
please call the sender at 202-225-5074*

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