

Nuclear Industry Assessment Committee Overview



May 23, 2007

Presentation Overview

- Brief history of NIAC
- Organization structure
- Audit Activity
- NIAC / NUPIC approaches



History

- Founded in 1994 by 11 Charter members
- Patterned after the NUPIC concept subject to some interesting challenges
 - Needed to address the anti-trust (collusion) aspects of competitors sharing audits
 - Sharing arrangement resulted in an innovative ratio technique to address assessment assignments
- Amended charter / checklists in 2003 /2004
 - Include DOE complex suppliers
 - Include Transportation and Storage 10CFR71 / 10CFR72
- Current membership is at 85 members



Organization Structure

- Executive Officers
- Steering Committee
- Compliance Committee
- General Membership



Organization Structure

- **Executive Officers**
 - Chairman Ed Stankiewicz Joseph Oat Corporation
 - Vice-Chair Rosalie Nava Crane Nuclear Inc.
 - Secretary John De Kline Enertech
 - Treasurer John Salasky Axion Technical Services
- **Responsible for general direction of NIAC activities as identified by the Steering Committee**



Organization Structure

- **Steering Committee**
 - Executive Officers
 - Up to 8 additional elected members
- **Control and issuance of NIAC documents (meeting minutes / procedures / audit plans and schedules)**
- **Administration of NIAC funds**
- **Identify matters subject to approval of the General membership**
 - Issues of noncompliance
 - Membership fee and dues structure
 - Changes to NIAC operating procedures or NIAC charter



Organization Structure

- Compliance Committee
 - Three person committee
 - Led by an elected chair person
- Identify noncompliance issues for Steering Committee or General Membership action
- Charter issues
 - Attendance at annual meeting / performance of scheduled audits
 - Performance of scheduled assessments
- Assessment of member performance (self assessment)



Organization Structure

- General Membership
 - Currently 85 members
- Attend annual membership meeting (November)
- Plan / perform assigned assessments
- Conduct assessments as a safety-related service
- Maintain Lead Auditor qualifications (ASME NQA-1 / ANSI 45.2.23)
- Obtaining supplier authorization to perform as a NIAC audit and share results
- Provide audit plans / reports and follow-up information to sharing members



NIAC / NUPIC

- Similar approaches and goals
- Audit performance
 - Equitable sharing arrangement
 - NUPIC performs joint assessments
 - NIAC charter prohibits joint assessments
 - Members evaluate / accept assessments under their individual QA programs

