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U.S. Nuclear Regulatory Commission ATTENTION: Document Control Desk

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Your ref: Project Number 740 Our ref: DCP/NRC1855

March 28, 2007

References:

1. 10 CFR 73.21

2. NRC Regulatory Issue Summary 2002-15

Subject:

Use of Encryption Software for Electronic Transmission of Safeguards

Information

Pursuant to the requirements of 10 CFR 73.21(g)(3), Westinghouse Electric Company requests approval to process and transmit Safeguards Information (SGI) using PGP Software (Enterprise, Corporate, or Personal) Desktop Version 8.0 or the latest validated version, developed with PGP SDK 3.0.3. National Institute of Standards and Technology Certificate 394 validates compliance of this SDK with FIPS 140-2 requirements.

An information protection system for SGI that meets the requirements of 10 CFR 73.21(b) through (i) has been established and is being maintained. Prior to the first use of encryption software for SGI material, written procedures shall be in place to describe, as a minimum: access controls; where and when encrypted communications can be made; how encryption keys, codes and passwords will be protected from compromise; actions to be taken if the encryption keys, codes or passwords are, or are suspected to have been, compromised (for example, notification of all authorized users); and how the identity and access authorization of the recipient will be verified.

Westinghouse Electric Company intends to exchange SGI with the NRC, Nuclear Energy Institute (NEI), and other SGI holders who have received NRC approval to use PGP software. Westinghouse Electric Company is responsible for the overall implementation of the SGI encryption program at Westinghouse Electric Company. Westinghouse Electric Company is responsible for collecting, safeguarding, and dissemination the software tools needed for encryption and decryption of SGI.

Pursuant to 10 CFR 73.21(g)(3), the transmission of encrypted material to other authorized SGI holders, who have received NRC approval to use PGP software, would be considered a protected telecommunications system. The transmission and dissemination of unencrypted SGI is subject to the provisions of 10 CFR 73.21(g)(1) and (2).



Should you have any questions or require additional information, please contact Andrew Pfister at (412) 374-4663.

Sincerely,

Andrew Pfister

Passive Plant Engineering & Security

## /Attachment

1. "FIPS 140-2 Validation Certificate"

cc: Juan Peralta - NRC/NISR

Mario Gareri - NRC/NSIR

John Rycyna - NEI

S. Bloom - U.S. NRC

P. Grendys - Westinghouse
D. Lindgren - Westinghouse

E. Schmiech - Westinghouse R. Bowen - Westinghouse

## ATTACHMENT 1

"FIPS 140-2 Validation Certificate"

## **FIPS 140-2 Validation Certificate**



The National Institute of Standards and Technology of the United States of America







The Communications Security
Establishment of the Government
of Canada

The National Institute of Standards and Technology, as the United States FIPS 140-2 Cryptographic Module Validation Authority; and the Communications Security Establishment, as the Canadian FIPS 140-2 Cryptographic Module Validation Authority; hereby validate the FIPS 140-2 testing results of the Cryptographic Module identified as:

## F-Secure® Cryptographic Library™ by F-Secure Corporation

(When operated in FIPS mode)

in accordance with the Derived Test Requirements for FIPS 140-2, Security Requirements for Cryptographic Modules. FIPS 140-2 specifies the security requirements that are to be satisfied by a cryptographic module utilized within a security system protecting Sensitive Information (United States) or Protected Information (Canada) within computer and telecommunications systems (including voice systems).

Products which use the above identified cryptographic module may be labeled as complying with the requirements of FIPS 140-2 so long as the product, throughout its life cycle, continues to use the validated version of the cryptographic module as specified in this certificate. The validation report contains additional details concerning test results. No reliability test has been performed and no warranty of the products by both agencies is either expressed or implied.

This certificate includes details on the scope of conformance and validation authority signatures on the reverse.

FIPS 140-2 provides four increasing, qualitative levels of security: Level 1, Level 2, Level 3, and Level 4. These levels are intended to cover the wide range and potential applications and environments in which cryptographic modules may be employed. The security requirements cover eleven areas related to the secure design and implementation of a cryptographic module. The scope of conformance achieved by the cryptographic modules as tested in the product identified as:

F-Secure® Ctyptographic Library™ by F-Secure Corporation

(Software Versions: 2.2 (Windows) and 1.1 (Solaris); Software)			
and tested by the Cryptographic Module	Testing accredited laboratory:	Atlan Laboratories, NVLAP Lab Code 2004 CRYPTIK Version 5.8	92-0
is as follows:			
Cryptographic Module Specification:	Level 2	Cryptographic Module Ports and Interfa	aces: Level 2
Roles, Services, and Authentication:	Level 2	Finite State Model:	Level 2
Physical Security:	Level N/A	Cryptographic Key Management:	Level 2
(Multi-Chip Standalone) EMI/EMC:	Level 2	Self Tests:	Level 2
Design Assurance:	Level 2	Mitigation of Other Attacks:	Level 2
Operational Environment:	Level 2	tested in the following configuration(s): with Service Pack 3 and Q326886 Hotfix E Personal Computer System, Trusted Solaris	EAL 4 on Dell Optiplex GX 400
#145 :	and #148); SHS (Certs. #234 and	S (Certs. #257 and #259); Triple-DES (Certs. d #237); HMAC-SHA-1 and HMAC-SHA-256 (09); RSA (Certs. #4 AND #6); RNG (Certs. #2 a	#255 snf #257); AES (Certs. Certs. #234 and #237, vendo
		ved algorithms: DES (CTR); Blowfish; CAS Diffie-Hellman (key agreem	T-128; MD5; HMAC-MD5;
	Overall Level	Achieved: 2	
Signed on behalf of the Government of th	e United States	Signed on behalf of the Govern	ıment of Canada
Signature:	_	Signature:	4

Chief, Computer Security Division

National Institute of Standards and Technology

Director, Industry Program Group

Communications Security Establishment