

U.S. NUCLEAR REGULATORY COMMISSION ENFORCEMENT ACTION STATISTICS

Notices of Violations w/ Civil Penalties (NOV/CPs)													
Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
1981	January				3							3	193,000
1981	February	1			3							4	137,650
1981	March	1		1	1							3	55,500
1981	April				2							2	16,500
1981	May	1			1							2	45,700
1981	June	1		1	1							3	13,000
1981	July				3							3	82,800
1981	August			3	1							4	171,000
1981	September	1			3							4	132,000
1981	October				4							4	99,000
1981	November			1	3							4	280,500
1981	December				4							4	180,000
1981 Totals:		5		6	29							40	\$1,406,650
1982	January				1							1	550,000
1982	February												
1982	March			1	4							5	118,600
1982	April				4							4	10,500
1982	May				3							3	116,000
1982	June				5							5	284,500
1982	July			1	3							4	241,000
1982	August			1	2							3	342,500
1982	September												
1982	October				3							3	170,000
1982	November				2							2	44,000
1982	December			2	5							7	254,125
1982 Totals:				5	32							37	\$2,131,225
1983	January	1		1	2							4	52,025
1983	February				8							8	988,000
1983	March				8							8	366,000
1983	April				7							7	320,500
1983	May		1		8							9	1,029,000
1983	June				7							7	491,000
1983	July		1		1							2	143,000
1983	August			1	6							7	304,000
1983	September			2	3							5	115,900
1983	October		1		4							5	53,500
1983	November		1		6							7	178,375
1983	December			1	6	1						8	288,400
1983 Totals:		1	4	5	66	1						77	\$4,329,700
1984	January				1							1	40,000
1984	February				3							3	85,625
1984	March			1	8							9	329,000
1984	April			3	5							8	62,700
1984	May				7							7	521,000
1984	June				4							4	75,000
1984	July			2	8							10	590,000
1984	August				5							5	13,600
1984	September			1	2							3	69,250
1984	October				4							4	53,750
1984	November				4							4	220,000
1984	December			1	6							7	277,500
1984 Totals:				8	57							65	\$2,337,425

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Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<	1	2	3	4	5	Green	White	Yellow			Red
1985	January				3							3	151,000
1985	February			1	16							17	358,750
1985	March			1	6							7	253,250
1985	April				1	1						2	3,000
1985	May				9							9	419,250
1985	June		1		4							5	534,250
1985	July			2	1							3	254,000
1985	August			2	4							6	274,000
1985	September			2	6							8	409,250
1985	October			2	5							7	293,000
1985	November				2							2	38,000
1985	December		1		6							7	981,000
1985 Totals:			2	10	63	1						76	\$3,968,750
1986	January				3							3	51,750
1986	February				5							5	150,500
1986	March				7							7	253,250
1986	April		2		6							8	451,000
1986	May		1	1	8							10	760,500
1986	June		1		8							9	397,500
1986	July			3	9							12	782,250
1986	August			1	6							7	418,250
1986	September			1	7							8	447,300
1986	October		1	1	4							6	818,500
1986	November				4							4	150,000
1986	December				8	1						9	130,250
1986 Totals:			5	7	75	1						88	\$4,811,050
1987	January			1	3							4	106,500
1987	February				6							6	79,750
1987	March				11							11	331,750
1987	April			2	9	1						12	364,750
1987	May				5							5	168,000
1987	June				6							6	178,750
1987	July				11							11	364,000
1987	August			2	4							6	212,400
1987	September			1	7							8	411,500
1987	October			1	11							12	486,750
1987	November				4							4	155,000
1987	December				7							7	79,500
1987 Totals:				7	84	1						92	\$2,938,650
1988	January			1	5							6	219,750
1988	February			1	7							8	515,000
1988	March				9							9	625,500
1988	April				6							6	605,000
1988	May				7							7	265,000
1988	June		2		13							15	1,327,500
1988	July				5	1						6	141,125
1988	August			15	25							40	1,973,900
1988	September			2	7							9	648,350
1988	October				10							10	468,500
1988	November			1	9							10	543,200
1988	December			1	9							10	531,250
1988 Totals:			2	21	112	1						136	\$7,864,075

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Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
1989	January				5							5	242,500
1989	February				12							12	293,750
1989	March			2	10							12	394,500
1989	April				12							12	536,500
1989	May	1			11							12	659,625
1989	June			1	5							6	166,000
1989	July				6							6	265,500
1989	August			1	8							9	189,125
1989	September	1	1		9							11	870,125
1989	October			1	5							6	413,750
1989	November				6	1						7	113,875
1989	December				8							8	308,750
1989 Totals:		2	1	5	97	1						106	\$4,454,000
1990	January				8	1						9	432,500
1990	February			1	9	1						11	231,000
1990	March			1	11							12	281,125
1990	April			1	6							7	421,250
1990	May				6	1						7	233,125
1990	June			1	5							6	231,625
1990	July			1	11							12	263,625
1990	August			2	4							6	118,875
1990	September		1		4							5	92,750
1990	October		1	2	5							8	276,000
1990	November				8	1						9	208,375
1990	December			1	5	1						7	147,050
1990 Totals:		2	10	82	5							99	\$2,937,300
1991	January			1	4							5	304,250
1991	February			1	7							8	315,000
1991	March			1	8							9	456,875
1991	April				5							5	157,875
1991	May	1			3							4	227,500
1991	June				3							3	80,000
1991	July				9							9	331,750
1991	August				7							7	231,500
1991	September				2							2	26,000
1991	October		1		9							10	327,125
1991	November			1	9							10	300,750
1991	December		1	2	9							12	249,700
1991 Totals:		3	6	75								84	\$3,008,325
1992	January				8							8	576,125
1992	February			1	9	1						11	940,000
1992	March			1	5							6	133,000
1992	April				6							6	66,125
1992	May			1	5							6	453,250
1992	June				6							6	160,000
1992	July				8							8	348,125
1992	August			2	6							8	236,750
1992	September			3	9							12	855,025
1992	October		1		4							5	103,750
1992	November				5							5	71,125
1992	December			2	11							13	640,775
1992 Totals:		1	10	82	1							94	\$4,584,050

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Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<	1	2	3	4	5	Green	White	Yellow			Red
1993	January				9							9	103,375
1993	February				5							5	316,250
1993	March			2	12							14	486,925
1993	April			1	12							13	336,450
1993	May			1	13							14	604,875
1993	June		1	1	7							9	70,000
1993	July			2	8							10	504,750
1993	August				9							9	514,750
1993	September		1	2	11							14	351,625
1993	October				5							5	290,000
1993	November				8							8	275,750
1993	December		1		5							6	532,500
1993 Totals:			3	9	104							116	\$4,387,250
1994	January				6	1						7	280,250
1994	February				8							8	189,125
1994	March			1	11							12	247,000
1994	April				7							7	405,000
1994	May		1	3	11							15	1,062,000
1994	June			1	7	1						9	263,750
1994	July			1	3							4	225,250
1994	August			1	8	1						10	343,250
1994	September			1	1							2	23,000
1994	October			4	4							8	835,500
1994	November		2		7	1						10	245,500
1994	December				7							7	438,000
1994 Totals:			3	12	80	4						99	\$4,557,625
1995	January			1	1							2	27,800
1995	February				3							3	11,000
1995	March				3	1						4	43,150
1995	April	1		2	2							5	201,250
1995	May			1	4							5	265,500
1995	June			1	3							4	23,250
1995	July			1	1							2	58,000
1995	August				3							3	57,500
1995	September				3							3	57,500
1995	October			2	5							7	775,500
1995	November				3							3	102,500
1995	December			1	4							5	161,000
1995 Totals:		1		9	35	1						46	\$1,783,950
1996	January				5							5	212,500
1996	February			3	5							8	334,000
1996	March				7							7	257,500
1996	April				1							1	50,000
1996	May				3							3	157,500
1996	June				10							10	310,500
1996	July		1	2	4							7	1,047,500
1996	August				6							6	166,000
1996	September			1	3							4	258,000
1996	October		1	2	4							7	1,280,500
1996	November				3							3	300,000
1996	December			1	9							10	820,000
1996 Totals:			2	9	60							71	\$5,194,000

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		<	1	2	3	4	5	Green	White	Yellow			Red
1997	January		2	2	6							10	919,100
1997	February		1	2	2							5	294,000
1997	March			2	5							7	292,050
1997	April				7							7	357,500
1997	May			2	9							11	935,750
1997	June			4	10							14	854,500
1997	July				4							4	110,250
1997	August			4	7							11	870,650
1997	September				6							6	388,000
1997	October			1	10							11	613,000
1997	November			1	5							6	173,750
1997	December		1	2	6							9	2,489,400
1997 Totals:			4	20	77							101	\$8,297,950
1998	January				6							6	294,250
1998	February												
1998	March			2	7							9	702,900
1998	April				10							10	293,000
1998	May			1	3							4	64,900
1998	June				4							4	225,500
1998	July			1	5							6	296,400
1998	August				1							1	55,000
1998	September			1	1							2	143,000
1998	October			1	1							2	510,500
1998	November												
1998	December				1							1	55,000
1998 Totals:				6	39							45	\$2,640,450
1999	January			2	1							3	63,800
1999	February				2							2	57,500
1999	March			1	1							2	93,500
1999	April												
1999	May			3								3	127,600
1999	June			2	3							5	76,450
1999	July				3							3	8,250
1999	August				1							1	55,000
1999	September												
1999	October			1								1	8,800
1999	November			1	1							2	112,750
1999	December			1								1	88,000
1999 Totals:				11	12							23	\$691,650
2000	January												
2000	February			1	3							4	96,250
2000	March												
2000	April												
2000	May												
2000	June			1	2							3	14,300
2000	July				2							2	8,250
2000	August				1							1	5,500
2000	September				1							1	2,750
2000	October			1	1							2	11,550
2000	November				1							1	5,500
2000	December		1		1							2	136,000
2000 Totals:			1	3	12							16	\$280,100

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Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
2001	January												
2001	February			1								1	8,800
2001	March				2							2	11,000
2001	April				1							1	5,500
2001	May			1	1							2	57,400
2001	June				2							2	58,000
2001	July				1							1	3,000
2001	August			1								1	8,800
2001	September		1	1	3							5	37,350
2001	October			1	1							2	91,000
2001	November				3							3	9,000
2001	December				2							2	6,000
2001 Totals:			1	5	16							22	\$295,850
2002	January												
2002	February				2							2	9,000
2002	March				1							1	7,500
2002	April												
2002	May				1							1	6,000
2002	June			2	3							5	320,700
2002	July			1	3							4	16,800
2002	August				2							2	18,000
2002	September				4							4	12,000
2002	October			1								1	43,200
2002	November				1							1	60,000
2002	December				1							1	60,000
2002 Totals:				4	18							22	\$553,200
2003	January			1	2							3	18,600
2003	February				5							5	18,000
2003	March		1		1							2	9,000
2003	April				3							3	18,000
2003	May		1		2							3	18,000
2003	June				3							3	69,000
2003	July				2							2	8,500
2003	August				2							2	12,000
2003	September				2							2	10,500
2003	October				4							4	150,000
2003	November				1							1	3,000
2003	December				2							2	66,000
2003 Totals:			2	1	29							32	\$400,600
2004	January												
2004	February				1							1	3,000
2004	March			1	5							6	147,000
2004	April			1	6							7	175,000
2004	May				1							1	7,500
2004	June			2	1							3	74,700
2004	July			1								1	24,000
2004	August				2							2	10,500
2004	September												
2004	October			3	2							5	57,000
2004	November												
2004	December												
2004 Totals:				8	18							26	\$498,700

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		<>	1	2	3	4	5	Green	White	Yellow			Red
2005	January												
2005	February				1							1	55,000
2005	March												
2005	April		2	2	1						1	6	5,500,000
2005	May				1							1	60,000
2005	June												
2005	July			1	2							3	162,000
2005	August				3							3	26,000
2005	September			1	2							3	25,700
2005	October				2							2	48,750
2005	November				3							3	66,500
2005	December			1	1							2	156,000
2005 Totals:			2	5	16						1	24	\$6,099,950
2006	January												
2006	February												
2006	March				1							1	6,000
2006	April				5							5	55,250
2006	May				3							3	26,000
2006	June												
2006	July				1							1	65,000
2006	August												
2006	September			1	1							2	12,850
2006	October				1							1	60,000
2006	November												
2006	December			1	1							2	107,250
2006 Totals:				2	13							15	\$332,350
2007	January												
2007	February												
2007	March												
2007 Totals:													
Grand Totals:		9	38	204	1,383	17					1	1,652	\$80,784,825

NOTES: (1) Statistical data was obtained from the Office of Enforcement's (OE) Enforcement Action Tracking System (EATS). EATS is intended to track escalated enforcement actions (Severity Level 3, 2 and 1 violations, and Reactor Oversight Process (ROP) findings with a significance of White, Yellow and Red). As such, the information on Severity Level 4 and 5 violations and ROP findings with a significance of Green may not accurately reflect the total number of actions in these categories.

(2) At one time, "minor violations" were categorized as Severity Level 5 violations.

(3) OE began tracking potential escalated enforcement action case that ultimately became Non-Cited Violations (NCVs) in 1997.

(4) Data included in the '<>' column represents the number of cases not meeting the Severity Level or ROP Significance categories (SL 1-5 and Significance of G, W, Y, R).