

U.S. NUCLEAR REGULATORY COMMISSION ENFORCEMENT ACTION STATISTICS

Non-Cited Violations (NCVs)													
Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
1981	January												0
1981	February												0
1981	March												0
1981	April												0
1981	May												0
1981	June												0
1981	July												0
1981	August												0
1981	September												0
1981	October												0
1981	November												0
1981	December												0
1981 Totals:													N/A
1982	January												0
1982	February												0
1982	March												0
1982	April												0
1982	May												0
1982	June												0
1982	July												0
1982	August												0
1982	September												0
1982	October												0
1982	November												0
1982	December												0
1982 Totals:													N/A
1983	January												0
1983	February												0
1983	March												0
1983	April												0
1983	May												0
1983	June												0
1983	July												0
1983	August												0
1983	September												0
1983	October												0
1983	November												0
1983	December												0
1983 Totals:													N/A
1984	January												0
1984	February												0
1984	March												0
1984	April												0
1984	May												0
1984	June												0
1984	July												0
1984	August												0
1984	September												0
1984	October												0
1984	November												0
1984	December												0
1984 Totals:													N/A

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Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
1985	January												0
1985	February												0
1985	March												0
1985	April												0
1985	May												0
1985	June												0
1985	July												0
1985	August												0
1985	September												0
1985	October												0
1985	November												0
1985	December												0
1985 Totals:													N/A
1986	January												0
1986	February												0
1986	March												0
1986	April												0
1986	May												0
1986	June												0
1986	July												0
1986	August												0
1986	September												0
1986	October												0
1986	November												0
1986	December												0
1986 Totals:													N/A
1987	January												0
1987	February												0
1987	March												0
1987	April												0
1987	May												0
1987	June												0
1987	July												0
1987	August												0
1987	September												0
1987	October												0
1987	November												0
1987	December												0
1987 Totals:													N/A
1988	January												0
1988	February												0
1988	March												0
1988	April												0
1988	May												0
1988	June												0
1988	July												0
1988	August												0
1988	September												0
1988	October												0
1988	November												0
1988	December												0
1988 Totals:													N/A

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Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
1989	January												0
1989	February												0
1989	March												0
1989	April												0
1989	May												0
1989	June												0
1989	July												0
1989	August												0
1989	September												0
1989	October												0
1989	November												0
1989	December												0
1989 Totals:													N/A
1990	January												0
1990	February												0
1990	March												0
1990	April												0
1990	May												0
1990	June												0
1990	July												0
1990	August												0
1990	September												0
1990	October												0
1990	November												0
1990	December												0
1990 Totals:													N/A
1991	January												0
1991	February												0
1991	March												0
1991	April												0
1991	May												0
1991	June												0
1991	July												0
1991	August												0
1991	September												0
1991	October												0
1991	November												0
1991	December												0
1991 Totals:													N/A
1992	January												0
1992	February												0
1992	March												0
1992	April												0
1992	May												0
1992	June												0
1992	July												0
1992	August												0
1992	September												0
1992	October												0
1992	November												0
1992	December												0
1992 Totals:													N/A

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Non-Cited Violations (NCVs)													
Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
1993	January												0
1993	February												0
1993	March												0
1993	April												0
1993	May												0
1993	June												0
1993	July												0
1993	August												0
1993	September												0
1993	October												0
1993	November												0
1993	December												0
1993 Totals:													N/A
1994	January												0
1994	February												0
1994	March												0
1994	April												0
1994	May												0
1994	June												0
1994	July												0
1994	August												0
1994	September												0
1994	October												0
1994	November												0
1994	December												0
1994 Totals:													N/A
1995	January												0
1995	February												0
1995	March												0
1995	April												0
1995	May												0
1995	June												0
1995	July												0
1995	August												0
1995	September												0
1995	October												0
1995	November												0
1995	December												0
1995 Totals:													N/A
1996	January												0
1996	February												0
1996	March												0
1996	April												0
1996	May												0
1996	June												0
1996	July												0
1996	August												0
1996	September												0
1996	October												0
1996	November												0
1996	December												0
1996 Totals:													N/A

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Non-Cited Violations (NCVs)													
Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
1997	January												0
1997	February												0
1997	March												0
1997	April	1										1	0
1997	May	1										1	0
1997	June												0
1997	July												0
1997	August												0
1997	September												0
1997	October	1										1	0
1997	November	1										1	0
1997	December	1										1	0
1997 Totals:		5										5	N/A
1998	January												0
1998	February												0
1998	March												0
1998	April												0
1998	May												0
1998	June												0
1998	July												0
1998	August												0
1998	September												0
1998	October												0
1998	November												0
1998	December												0
1998 Totals:													N/A
1999	January												0
1999	February												0
1999	March	1										1	0
1999	April												0
1999	May	4										4	0
1999	June	1										1	0
1999	July	2										2	0
1999	August	8										8	0
1999	September	2										2	0
1999	October	4										4	0
1999	November	4										4	0
1999	December	5										5	0
1999 Totals:		31										31	N/A
2000	January	8										8	0
2000	February	6										6	0
2000	March	8										8	0
2000	April	5										5	0
2000	May	3										3	0
2000	June	2										2	0
2000	July	5										5	0
2000	August	1										1	0
2000	September	4										4	0
2000	October	3										3	0
2000	November	1										1	0
2000	December	2						1				4	0
2000 Totals:		48					1	1				50	N/A

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Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
2001	January	1						1				2	0
2001	February							1				1	0
2001	March	1										1	0
2001	April	1						1				2	0
2001	May	1						1				2	0
2001	June	1				2		4				7	0
2001	July	1				3		1				5	0
2001	August	3				2						5	0
2001	September					2						2	0
2001	October	1				2		1				4	0
2001	November	1										1	0
2001	December	1						1				2	0
2001 Totals:		12				11		11				34	N/A
2002	January												0
2002	February					1		1				2	0
2002	March	2						1				3	0
2002	April	1						2				3	0
2002	May							1				1	0
2002	June					1						1	0
2002	July					2						2	0
2002	August							2				2	0
2002	September					1		1				2	0
2002	October					1		4				5	0
2002	November	1				2		1				4	0
2002	December					1						1	0
2002 Totals:		4				9		13				26	N/A
2003	January					1		2				3	0
2003	February												0
2003	March					1						1	0
2003	April					1		3				4	0
2003	May												0
2003	June	1										1	0
2003	July					1						1	0
2003	August	1										1	0
2003	September	2				5						7	0
2003	October					1						1	0
2003	November												0
2003	December	2				1						3	0
2003 Totals:		6				11		5				22	N/A
2004	January							1				1	0
2004	February	1				1		2				4	0
2004	March	1										1	0
2004	April							2				2	0
2004	May												0
2004	June	1				1						2	0
2004	July					3		1				4	0
2004	August					1						1	0
2004	September							2				2	0
2004	October							2				2	0
2004	November					1		1				2	0
2004	December												0
2004 Totals:		3				7		11				21	N/A

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Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<>	1	2	3	4	5	Green	White	Yellow			Red
2005	January					1						1	0
2005	February	1				1	1					3	0
2005	March						2					2	0
2005	April												0
2005	May	1				1						2	0
2005	June					1						1	0
2005	July	1				1	1					3	0
2005	August						3					3	0
2005	September	2										2	0
2005	October												0
2005	November	1				1						2	0
2005	December					5						5	0
2005 Totals:		6				11	7					24	N/A
2006	January					1	2					3	0
2006	February					2	2					4	0
2006	March	1				1						2	0
2006	April						3					3	0
2006	May					4	1					5	0
2006	June					4	1					5	0
2006	July												0
2006	August												0
2006	September						1					1	0
2006	October												0
2006	November					1	1					2	0
2006	December	1					1					2	0
2006 Totals:		2				13	12					27	N/A
2007	January												0
2007	February												0
2007	March												0
2007 Totals:													N/A
Grand Totals:		117				63	60					240	N/A

NOTES: (1) Statistical data was obtained from the Office of Enforcement's (OE) Enforcement Action Tracking System (EATS). EATS is intended to track escalated enforcement actions (Severity Level 3, 2 and 1 violations, and Reactor Oversight Process (ROP) findings with a significance of White, Yellow and Red). As such, the information on Severity Level 4 and 5 violations and ROP findings with a significance of Green may not accurately reflect the total number of actions in these categories.

(2) At one time, "minor violations" were categorized as Severity Level 5 violations.

(3) OE began tracking potential escalated enforcement action case that ultimately became Non-Cited Violations (NCVs) in 1997.

(4) Data included in the '<>' column represents the number of cases not meeting the Severity Level or ROP Significance categories (SL 1-5 and Significance of G, W, Y, R).