

**U.S. NUCLEAR REGULATORY COMMISSION ENFORCEMENT ACTION STATISTICS**

Notices of Violations (NOVs)													
Year	Month	Traditional Enforcement Severity Level (SL)					ROP Significance				Total	Total Civil Penalties	
		<	1	2	3	4	5	Green	White	Yellow			Red
1981	January	1				1						2	0
1981	February				1							1	0
1981	March					1						1	0
1981	April				2	2						4	0
1981	May				6		1					7	0
1981	June				4							4	0
1981	July	1			1							2	0
1981	August				1	1						2	0
1981	September				1	8						9	0
1981	October				1	1	1					3	0
1981	November				1							1	0
1981	December				3		1					4	0
<b>1981 Totals:</b>		<b>2</b>			<b>21</b>	<b>14</b>	<b>3</b>					<b>40</b>	<b>N/A</b>
1982	January				2							2	0
1982	February				14							14	0
1982	March				1							1	0
1982	April	1				3						4	0
1982	May				1	6						7	0
1982	June	1			2	1						4	0
1982	July					7						7	0
1982	August					3						3	0
1982	September				2	3						5	0
1982	October				1	4						5	0
1982	November				1	3						4	0
1982	December	1			1							2	0
<b>1982 Totals:</b>		<b>3</b>			<b>25</b>	<b>30</b>						<b>58</b>	<b>N/A</b>
1983	January	1			2	1						4	0
1983	February					1						1	0
1983	March				1	2	1					4	0
1983	April												0
1983	May				1	3						4	0
1983	June					4						4	0
1983	July				1	4						5	0
1983	August				2	4						6	0
1983	September	1			3	1	1					6	0
1983	October				4	7						11	0
1983	November			1	3	5						9	0
1983	December					1						1	0
<b>1983 Totals:</b>		<b>2</b>		<b>1</b>	<b>17</b>	<b>33</b>	<b>2</b>					<b>55</b>	<b>N/A</b>
1984	January				1	1						2	0
1984	February				3	1						4	0
1984	March				2							2	0
1984	April				1	1						2	0
1984	May				2	3						5	0
1984	June				2	1						3	0
1984	July				4	2						6	0
1984	August				2							2	0
1984	September				5	2						7	0
1984	October				2	3						5	0
1984	November					2						2	0
1984	December				1	1						2	0
<b>1984 Totals:</b>					<b>25</b>	<b>17</b>						<b>42</b>	<b>N/A</b>

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<b>Notices of Violations (NOVs)</b>													
<b>Year</b>	<b>Month</b>	<b>Traditional Enforcement Severity Level (SL)</b>					<b>ROP Significance</b>				<b>Total</b>	<b>Total Civil Penalties</b>	
		<b>&lt;&gt;</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>Green</b>	<b>White</b>	<b>Yellow</b>			<b>Red</b>
1985	January				3	2						5	0
1985	February												0
1985	March				9	1						10	0
1985	April				4	4						8	0
1985	May				4							4	0
1985	June				2	3						5	0
1985	July				4	3						7	0
1985	August				4	3						7	0
1985	September				1	1						2	0
1985	October					2						2	0
1985	November				3	2						5	0
1985	December				3	2						5	0
<b>1985 Totals:</b>					<b>37</b>	<b>23</b>						<b>60</b>	<b>N/A</b>
1986	January				2							2	0
1986	February				3	3						6	0
1986	March				5							5	0
1986	April				7	1						8	0
1986	May				6	4						10	0
1986	June				4	1						5	0
1986	July				4	2						6	0
1986	August				4	2						6	0
1986	September				4	2						6	0
1986	October				2	2						4	0
1986	November				2	1						3	0
1986	December				6	2						8	0
<b>1986 Totals:</b>					<b>49</b>	<b>20</b>						<b>69</b>	<b>N/A</b>
1987	January				2	3						5	0
1987	February				1	3						4	0
1987	March	1			4	2						7	0
1987	April					3						3	0
1987	May				1	4						5	0
1987	June	2		1	2	3						8	0
1987	July				5	4						9	0
1987	August					8						8	0
1987	September				2	5						7	0
1987	October					5						5	0
1987	November				1	4						5	0
1987	December				1	6						7	0
<b>1987 Totals:</b>		<b>3</b>		<b>1</b>	<b>19</b>	<b>50</b>						<b>73</b>	<b>N/A</b>
1988	January					3						3	0
1988	February				1	8						9	0
1988	March				3	6						9	0
1988	April				2	9						11	0
1988	May	1			2	5						8	0
1988	June				4	4						8	0
1988	July				3	6						9	0
1988	August	1			7	7						15	0
1988	September				3	4						7	0
1988	October				4	5						9	0
1988	November				3	4						7	0
1988	December	1			2	3						6	0
<b>1988 Totals:</b>		<b>3</b>			<b>34</b>	<b>64</b>						<b>101</b>	<b>N/A</b>

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<b>Notices of Violations (NOVs)</b>													
<b>Year</b>	<b>Month</b>	<b>Traditional Enforcement Severity Level (SL)</b>					<b>ROP Significance</b>				<b>Total</b>	<b>Total Civil Penalties</b>	
		<b>&lt;&gt;</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>Green</b>	<b>White</b>	<b>Yellow</b>			<b>Red</b>
1989	January				3	7						10	0
1989	February				5	7						12	0
1989	March				1	9						10	0
1989	April				2	6						8	0
1989	May				4	10						14	0
1989	June			1	3	12						16	0
1989	July				3	11						14	0
1989	August				3	6						9	0
1989	September				2	3						5	0
1989	October				1	5						6	0
1989	November				4	9						13	0
1989	December		1		5	6						12	0
<b>1989 Totals:</b>			<b>1</b>	<b>1</b>	<b>36</b>	<b>91</b>						<b>129</b>	<b>N/A</b>
1990	January				5	7						12	0
1990	February			1	8	3						12	0
1990	March				4	5						9	0
1990	April				5	9						14	0
1990	May					2						2	0
1990	June				2	5						7	0
1990	July			1	4	3						8	0
1990	August				2	2						4	0
1990	September			1	4	4						9	0
1990	October				3	7						10	0
1990	November				1	9						10	0
1990	December			1	8	6						15	0
<b>1990 Totals:</b>				<b>4</b>	<b>46</b>	<b>62</b>						<b>112</b>	<b>N/A</b>
1991	January					2						2	0
1991	February				1	3						4	0
1991	March				4	2						6	0
1991	April			1	3	4						8	0
1991	May			1		4						5	0
1991	June				3	2						5	0
1991	July				3	4						7	0
1991	August			1	2	6						9	0
1991	September		1			1						2	0
1991	October				2	5						7	0
1991	November				1	2						3	0
1991	December				1	3						4	0
<b>1991 Totals:</b>			<b>1</b>	<b>3</b>	<b>20</b>	<b>38</b>						<b>62</b>	<b>N/A</b>
1992	January				5	5						10	0
1992	February				1	6						7	0
1992	March				8	4						12	0
1992	April				4	4						8	0
1992	May				3	11						14	0
1992	June					6						6	0
1992	July				2	8						10	0
1992	August				5	2						7	0
1992	September				3	6						9	0
1992	October			1	6	3						10	0
1992	November				4	3						7	0
1992	December				3	4						7	0
<b>1992 Totals:</b>				<b>1</b>	<b>44</b>	<b>62</b>						<b>107</b>	<b>N/A</b>

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<b>Notices of Violations (NOVs)</b>													
<b>Year</b>	<b>Month</b>	<b>Traditional Enforcement Severity Level (SL)</b>					<b>ROP Significance</b>				<b>Total</b>	<b>Total Civil Penalties</b>	
		<b>&lt;</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>Green</b>	<b>White</b>	<b>Yellow</b>			<b>Red</b>
1993	January				5	4						9	0
1993	February				6	2						8	0
1993	March				2	4						6	0
1993	April				5	3						8	0
1993	May				2	5						7	0
1993	June				4	8						12	0
1993	July				5	5						10	0
1993	August				10	6						16	0
1993	September				3	2						5	0
1993	October	2			4							6	0
1993	November				4	5						9	0
1993	December				4	3						7	0
<b>1993 Totals:</b>		<b>2</b>			<b>54</b>	<b>47</b>						<b>103</b>	<b>N/A</b>
1994	January				6							6	0
1994	February				6	3						9	0
1994	March				1	3						4	0
1994	April				7	5						12	0
1994	May				1	7						8	0
1994	June				6	4						10	0
1994	July				4	1						5	0
1994	August	1			6	8	1					16	0
1994	September				8	1						9	0
1994	October			1	2	10						13	0
1994	November			2	6	3						11	0
1994	December				2	3						5	0
<b>1994 Totals:</b>		<b>1</b>		<b>3</b>	<b>55</b>	<b>48</b>	<b>1</b>					<b>108</b>	<b>N/A</b>
1995	January				7	2						9	0
1995	February				9							9	0
1995	March				4							4	0
1995	April			1	1	2						4	0
1995	May				5	1						6	0
1995	June	1			10	3						14	0
1995	July				9	3						12	0
1995	August			3	6							9	0
1995	September				7	3						10	0
1995	October			3	8	1						12	0
1995	November				4	4						8	0
1995	December				5	2						7	0
<b>1995 Totals:</b>		<b>1</b>		<b>7</b>	<b>75</b>	<b>21</b>						<b>104</b>	<b>N/A</b>
1996	January				5	2						7	0
1996	February			1	8	5						14	0
1996	March				9	8						17	0
1996	April				7	11						18	0
1996	May		2	1	7	11						21	0
1996	June				8	8						16	0
1996	July				6	9						15	0
1996	August				5	11						16	0
1996	September				11	8						19	0
1996	October				8	10						18	0
1996	November				10	14						24	0
1996	December			1	7	17						25	0
<b>1996 Totals:</b>			<b>2</b>	<b>3</b>	<b>91</b>	<b>114</b>						<b>210</b>	<b>N/A</b>

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<b>Notices of Violations (NOVs)</b>													
<b>Year</b>	<b>Month</b>	<b>Traditional Enforcement Severity Level (SL)</b>					<b>ROP Significance</b>				<b>Total</b>	<b>Total Civil Penalties</b>	
		<b>&lt;&gt;</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>Green</b>	<b>White</b>	<b>Yellow</b>			<b>Red</b>
1997	January			1	12	7						20	0
1997	February				7	16						23	0
1997	March			3	11	16						30	0
1997	April				9	13						22	0
1997	May				9	25						34	0
1997	June				10	13						23	0
1997	July				9	17						26	0
1997	August			1	4	15						20	0
1997	September				17	9						26	0
1997	October				11	11						22	0
1997	November	2			11	8						21	0
1997	December	2			12	22						36	0
<b>1997 Totals:</b>		<b>4</b>		<b>5</b>	<b>122</b>	<b>172</b>						<b>303</b>	<b>N/A</b>
1998	January	1	1		8	10						20	0
1998	February	1			8	17						26	0
1998	March		1		11	24						36	0
1998	April	1			8	15						24	0
1998	May	1			5	15						21	0
1998	June	1			6	24						31	0
1998	July	5			13	18						36	0
1998	August	2		1	1	15						19	0
1998	September	1			2	6						9	0
1998	October	1		1	6	15						23	0
1998	November	1			2	7						10	0
1998	December				3	5						8	0
<b>1998 Totals:</b>		<b>15</b>	<b>2</b>	<b>2</b>	<b>73</b>	<b>171</b>						<b>263</b>	<b>N/A</b>
1999	January				6	6						12	0
1999	February			1	5	7						13	0
1999	March	2		1	7							10	0
1999	April			2	4	3						9	0
1999	May				7	5						12	0
1999	June				7	2						9	0
1999	July				8	4						12	0
1999	August	1			6	2						9	0
1999	September				5	7						12	0
1999	October				8	2						10	0
1999	November			1	8	6						15	0
1999	December				7	1						8	0
<b>1999 Totals:</b>		<b>3</b>		<b>5</b>	<b>78</b>	<b>45</b>						<b>131</b>	<b>N/A</b>
2000	January				3	2						5	0
2000	February			3	4	1			1			9	0
2000	March				1	4			1			6	0
2000	April				5	12						17	0
2000	May				8	6						14	0
2000	June			1	3	2						6	0
2000	July			1	1	5						7	0
2000	August				2	6			4			12	0
2000	September				8	2						10	0
2000	October		1		5	6						12	0
2000	November				1	3		1	1		1	7	0
2000	December				4				2			6	0
<b>2000 Totals:</b>			<b>1</b>	<b>5</b>	<b>45</b>	<b>49</b>	<b>1</b>	<b>9</b>		<b>1</b>		<b>111</b>	<b>N/A</b>

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<b>Year</b>	<b>Month</b>	<b>Traditional Enforcement Severity Level (SL)</b>					<b>ROP Significance</b>				<b>Total</b>	<b>Total Civil Penalties</b>		
		<b>&lt;&gt;</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>Green</b>	<b>White</b>	<b>Yellow</b>			<b>Red</b>	
2001	January				8	2			3			13	0	
2001	February			1	5	2			3			11	0	
2001	March				3	5			1			9	0	
2001	April				5	2						7	0	
2001	May				6	2						8	0	
2001	June				8	6			1			15	0	
2001	July				1	1			3			5	0	
2001	August			1	10	2			3			16	0	
2001	September				10	5		1	2	1		19	0	
2001	October				5				1			6	0	
2001	November				3	2						5	0	
2001	December				3	4		1	1	1		10	0	
<b>2001 Totals:</b>				<b>2</b>	<b>67</b>	<b>33</b>		<b>2</b>	<b>18</b>	<b>2</b>		<b>124</b>	<b>N/A</b>	
2002	January				7	4			1			12	0	
2002	February				2				1			3	0	
2002	March				3	4			3			10	0	
2002	April				6				1			7	0	
2002	May				2	6			1			9	0	
2002	June				4	2			4			10	0	
2002	July				5	1			4		1	11	0	
2002	August			1	6	1			2			10	0	
2002	September				5	1			2			8	0	
2002	October				8				2			10	0	
2002	November				3	7			2			12	0	
2002	December				2	1			1			4	0	
<b>2002 Totals:</b>				<b>1</b>	<b>53</b>	<b>27</b>			<b>24</b>		<b>1</b>	<b>106</b>	<b>N/A</b>	
2003	January				9							9	0	
2003	February				5	2			3			10	0	
2003	March				3				1			4	0	
2003	April				5	5					1	11	0	
2003	May				6	5			2			13	0	
2003	June				3	1			2			6	0	
2003	July			1	3				1			5	0	
2003	August				6	1						7	0	
2003	September				1							1	0	
2003	October			2	6	1				1		10	0	
2003	November				1	3						4	0	
2003	December				3	1			2		1	7	0	
<b>2003 Totals:</b>				<b>3</b>	<b>51</b>	<b>19</b>			<b>11</b>	<b>1</b>	<b>2</b>	<b>87</b>	<b>N/A</b>	
2004	January				1	1			2			4	0	
2004	February				5	2			2			9	0	
2004	March			1	7	4		2	4			18	0	
2004	April				5	2			2			9	0	
2004	May				5	1		1	2			9	0	
2004	June								2			2	0	
2004	July				2	3						5	0	
2004	August				3	2						5	0	
2004	September			1	5				2			8	0	
2004	October				3	3						6	0	
2004	November				1	2						3	0	
2004	December				2				1			3	0	
<b>2004 Totals:</b>				<b>2</b>	<b>39</b>	<b>20</b>		<b>3</b>	<b>17</b>			<b>81</b>	<b>N/A</b>	

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<b>Year</b>	<b>Month</b>	<b>Traditional Enforcement Severity Level (SL)</b>						<b>ROP Significance</b>				<b>Total</b>	<b>Total Civil Penalties</b>
		<b>&lt;&gt;</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>Green</b>	<b>White</b>	<b>Yellow</b>	<b>Red</b>		
2005	January				4	2			1			7	0
2005	February				9	2			1			12	0
2005	March				5				2			7	0
2005	April				1	2			2	1	2	8	0
2005	May				1	1			2			4	0
2005	June				4	1						5	0
2005	July			1	3				2			6	0
2005	August				5	4			1			10	0
2005	September				4	2			4			10	0
2005	October				7	3						10	0
2005	November				4							4	0
2005	December				3	1			1	1		6	0
<b>2005 Totals:</b>				1	50	18			16	2	2	89	N/A
2006	January				2	1		1	1			5	0
2006	February				1	1			1			3	0
2006	March				3	1						4	0
2006	April				2	2			2			6	0
2006	May				10	7			1			18	0
2006	June				2	4			3			9	0
2006	July				5	5			3			13	0
2006	August				1	6		1	1			9	0
2006	September				3	4			1			8	0
2006	October				3	5			1			9	0
2006	November				1	5			3			9	0
2006	December				5	4			2			11	0
<b>2006 Totals:</b>					38	45		2	19			104	N/A
2007	January												0
2007	February												0
2007	March												0
<b>2007 Totals:</b>													N/A
<b>Grand Totals:</b>		39	7	50	1,264	1,333	6	8	114	5	6	2,832	N/A

**NOTES:** (1) Statistical data was obtained from the Office of Enforcement's (OE) Enforcement Action Tracking System (EATS). EATS is intended to track escalated enforcement actions (Severity Level 3, 2 and 1 violations, and Reactor Oversight Process (ROP) findings with a significance of White, Yellow and Red). As such, the information on Severity Level 4 and 5 violations and ROP findings with a significance of Green may not accurately reflect the total number of actions in these categories.

(2) At one time, "minor violations" were categorized as Severity Level 5 violations.

(3) OE began tracking potential escalated enforcement action case that ultimately became Non-Cited Violations (NCVs) in 1997.

(4) Data included in the '<>' column represents the number of cases not meeting the Severity Level or ROP Significance categories (SL 1-5 and Significance of G, W, Y, R).