

| Facility: Columbia Generating Station Examination Level (circle one): RO / SRO | | Date of examination: November 2006 Operating test number: |
|--|------------|---|
| Administrative Topic (see Note) | Type Code* | Describe activity to be performed |
| Conduct of Operations | D | SRO is given a turnover sheet that states a RX S/U is in progress and then parameters that indicate the reactor is critical. He is cued to determine his next action. To successfully complete the JPM he has to realize the Reactor is Critical prior to the ECP and take actions per PPM 3.1.2 which states to: stop control rod withdrawal, the CRS should direct the CRO to drive control rods in the reverse order until all rods are fully inserted; POC approval is required to proceed with further rod withdrawal; Consider the applicability of T.S. 3.1.2. He will fill out an attachment indicating what his next action will be and the basis for that action. |
| Conduct of Operations | N | SRO is cued that during an inspection of the Active Fire System in the Control Room, the 18 Halon systems storage tanks were found to be 85% of full charge pressure. He is asked to determine if any compensatory measures are required and if they are, what are they. He will refer to PPM 1.3.10B and determine operability. Per PPM 1.3.10B he is to issue an FPSI permit for the inoperable system. Once this is identified he is handed a blank FPSI permit to fill out. |
| Equipment Control | N | The SRO is given a turnover sheet that indicates a Division 1 instrument is OOS. He is then handed a taggout for a Div 2 piece of equipment. The taggout erroneously pulls a fuse for a non-related Div 2 component that is the opposite division of the Div 1 component already OOS. If approved and this fuse is removed, a full scram would occur. He has to determine if he would sign taggout or not and explain why/why not. |
| Radiation Control | D, S | The SRO is given data and is required to enter the data into the QEDPS and print out the form. Then, based on the results of the QEDPS, he is to classify the event. |
| Emergency Plan | N, S | After Scenario #2, Classify the event and fill out a CNF. The scenario should be classified as a Site Area Emergency per EAL 2.2.S.1 (ATWS with power GT 5%). |
| Note: All items (5 total) are required for SROs. RO applicants require only 4 items unless they are retaking only the administrative topics, when all 5 are required. | | |
| *Type Codes & Criteria (C)ontrol room (D)irect from bank (≤ 3 for ROs; ≤ 4 for SROs & RO retakes) (N)ew or (M)odified from bank (≥ 1) (P)revious 2 exams (≤ 1; randomly selected) (S)imulator | | |

BWR RO/SRO EXAM OUTLINE ES-401-1

| Facility: Columbia Generating Station | | Date of Exam: November 2006 | | | | | | | | | | | | | | | | |
|---|-------------|-----------------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|-------|-----------------|----|-------|---|---|
| Tier | Group | RO Category Points | | | | | | | | | | | | SRO Only Points | | | | |
| | | K 1 | K 2 | K 3 | K 4 | K 5 | K 6 | A 1 | A 2 | A 3 | A 4 | G * | Total | A2 | G* | Total | | |
| 1. Emergency and Abnormal Plant Evolutions | 1 | 4 | 4 | 2 | N/A | | | 4 | 4 | N/A | | 2 | 20 | 5 | 2 | 7 | | |
| | 2 | 0 | 1 | 1 | | | | 2 | 2 | | | 1 | 7 | 1 | 2 | 3 | | |
| | Tier Totals | 4 | 5 | 3 | | | | 6 | 6 | | | 3 | 27 | 6 | 4 | 10 | | |
| 2. Plant Systems | 1 | 3 | 1 | 3 | 3 | 2 | 2 | 4 | 3 | 2 | 2 | 1 | 26 | 3 | 2 | 5 | | |
| | 2 | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 2 | 1 | 0 | 1 | 12 | 1 | 2 | 3 | | |
| | Tier Totals | 5 | 2 | 4 | 4 | 3 | 3 | 5 | 5 | 3 | 2 | 2 | 38 | 4 | 4 | 8 | | |
| 3. Generic Knowledge and Abilities Categories | | | | | 1 | | 2 | | 3 | | 4 | | 10 | 1 | 2 | 3 | 4 | 7 |
| | | | | | 3 | | 3 | | 2 | | 2 | | | 1 | 2 | 2 | 2 | |

1. Ensure that at least two topics from every applicable K/A category are sampled within each tier of the RO and SRO only outlines (i.e. except for one category in Tier 3 of the SRO only outline, the "Tier Totals" in each K/A category shall not be less than two.
2. The point for each group and tier in the proposed outline must match that specified in the table. The final point total for each group and tier may deviate by + or - 1 from that specified in the table based on NRC revisions. The final RO exam must total 75 points and the SRO only exam must total 25 points.
3. Systems/evolutions within each group are identified on the associated outline; systems or evolutions that do not apply at the facility should be deleted and justified; operationally important, site-specific systems that are not included on the outline should be added. Refer to ES-401, Attachment 2 for guidance regarding the elimination of inappropriate K/A statements.
4. Select topics from as many systems and evolutions as possible; sample every system or evolution in the group before selecting a second topic for a system or evolution.
5. Absent a plant specific priority, only those K/As having an importance rating (IR) of 2.5 or higher shall be selected. Use the RO and SRO ratings for the RO and SRO only portions, respectively.
6. Select SRO topics for Tiers 1 and 2 from the shaded system and K/A categories.
7. *The Generic (G) K/As in Tiers 1 and 2 shall be selected from Section 2 of the K/A Catalog, but the topics must be relevant to the applicable evolution or system.
8. On the following page, enter the K/A numbers, a brief description of each topic, the topics' importance ratings (IRs) for the applicable license level, and the point totals (#) for each system and category. Enter the group and tier totals for each category in the table above. Use duplicate pages for RO and SRO only exams.
9. For Tier 3, select topics from Section 2 of the K/A Catalog, and enter the K/A number, descriptions, IRs, and point totals (#) on Form ES-401-3. Limit SRO selections to K/As that are linked to 10 CFR 55.43.

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category | K/A | TOPICS | RO | | SRO | |
|-----------------------------------|----------|--|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 1. Conduct of Operations | 2.1.4 | Knowledge of shift staffing requirements | | | 3.4 | 1 |
| | Subtotal | | | | | 1 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category | K/A | TOPICS | RO | | SRO | |
|----------------------------|----------|--|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 2. Equipment Control | 2.2.29 | Knowledge of SRO fuel handling responsibilities | | | 3.8 | 1 |
| | 2.2.11 | Knowledge of the process for controlling temporary changes | | | 3.4 | 1 |
| | Subtotal | | | | | 2 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category: | K/A | TOPICS | RO | | SRO | |
|----------------------------|----------|---|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 3. Radiation Control | 2.3.2 | Knowledge of facility ALARA program | | | 2.9 | 1 |
| | 2.3.6 | Knowledge of the requirements for reviewing and approving release permits | | | 3.1 | 1 |
| | Subtotal | | | | | 2 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category | K/A | TOPICS | RO | | SRO | |
|---------------------------------------|----------|---|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 4. Emergency Procedures Plan | 2.4.30 | Knowledge of which events related to system operation/status should be reported to outside agencies | | | 3.6 | 1 |
| | 2.4.22 | Knowledge of the bases for prioritizing safety functions during abnormal/emergency conditions | | | 4.0 | 1 |
| | Subtotal | | | | | 2 |
| Group point totals: 10 / 7 | | | | | | 7 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - RO

| Category | K/A | TOPICS | RO | | SRO | |
|-----------------------------------|----------|---|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 1. Conduct of Operations | 2.1.22 | Ability to determine the Mode of Operation | 2.8 | 1 | | |
| | 2.1.7 | Ability to evaluate plant performance and make operational judgments based on operating characteristics, reactor behavior, and instrument interpretations | 3.7 | 1 | | |
| | 2.1.31 | Ability to locate control room switches, controls and indications and to determine that they are correctly reflecting the desired plant lineup | 4.2 | 1 | | |
| | Subtotal | | | 3 | | |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - RO

| Category | K/A | TOPICS | RO | | SRO | |
|-----------------|----------|---|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 2. Equipment | 2.2.26 | Knowledge of refueling administrative requirements | 2.5 | 1 | | |
| | 2.2.28 | Knowledge of new and spent fuel movement procedures | 2.6 | 1 | | |
| Control | 2.2.12 | Knowledge of surveillance procedures | 3.0 | 1 | | |
| | Subtotal | | | 3 | | |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - RO

| Category | K/A | TOPICS | RO | | SRO | |
|--------------------------------|-------|---|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 3. Radiation Control | 2.3.4 | Knowledge of the radiation exposure limits and contamination control / including permissible levels in excess of those authorized | 2.5 | 1 | | |
| | 2.3.1 | Knowledge of 10 CFR 20 and related facility radiation control requirements | 2.6 | 1 | | |
| Subtotal | | | | 2 | | |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - RO

| Category | K/A | TOPICS | RO | | SRO | |
|---------------------------------------|----------|--|-----|----|-----|---|
| | | | IMP | # | IMP | # |
| 4. Emergency Procedures Plan | 2.4.11 | Knowledge of abnormal condition procedures | 3.4 | 1 | | |
| | 2.4.29 | Knowledge of the Emergency Plan | 2.6 | 1 | | |
| | Subtotal | | | 2 | | |
| Group point totals: 10/7 | | | | 10 | | |

BWR RO/SRO EXAM OUTLINE ES-401-1

| Facility: Columbia Generating Station | | Date of Exam: November 2006 | | | | | | | | | | | | | | | | |
|---|-------------|-----------------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|-------|-----------------|----|-------|----|---|
| Tier | Group | RO Category Points | | | | | | | | | | | | SRO Only Points | | | | |
| | | K 1 | K 2 | K 3 | K 4 | K 5 | K 6 | A 1 | A 2 | A 3 | A 4 | G * | Total | A2 | G* | Total | | |
| 1. Emergency and Abnormal Plant Evolutions | 1 | 4 | 4 | 2 | N/A | | | 4 | 4 | N/A | | | 2 | 20 | 5 | 2 | 7 | |
| | 2 | 0 | 1 | 1 | | | | 2 | 2 | | | | 1 | 7 | 1 | 2 | 3 | |
| | Tier Totals | 4 | 5 | 3 | | | | 6 | 6 | | | | 3 | 27 | 6 | 4 | 10 | |
| 2. Plant Systems | 1 | 3 | 1 | 3 | 3 | 2 | 2 | 4 | 3 | 2 | 2 | 1 | 26 | 3 | 2 | 5 | | |
| | 2 | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 2 | 1 | 0 | 1 | 12 | 1 | 2 | 3 | | |
| | Tier Totals | 5 | 2 | 4 | 4 | 3 | 3 | 5 | 5 | 3 | 2 | 2 | 38 | 4 | 4 | 8 | | |
| 3. Generic Knowledge and Abilities Categories | | | | | 1 | | 2 | | 3 | | 4 | | 10 | 1 | 2 | 3 | 4 | 7 |
| | | | | | 3 | | 3 | | 2 | | 2 | | | 1 | 2 | 2 | 2 | |

1. Ensure that at least two topics from every applicable K/A category are sampled within each tier of the RO and SRO only outlines (i.e. except for one category in Tier 3 of the SRO only outline, the "Tier Totals" in each K/A category shall not be less than two.
2. The point for each group and tier in the proposed outline must match that specified in the table. The final point total for each group and tier may deviate by + or - 1 from that specified in the table based on NRC revisions. The final RO exam must total 75 points and the SRO only exam must total 25 points.
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BWR RO/SRO EXAM OUTLINE ES-401-1

| Facility: Columbia Generating Station | | Date of Exam: November 2006 | | | | | | | | | | | | | | | | |
|---|-------------|-----------------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|-------|-----------------|----|-------|----|---|
| Tier | Group | RO Category Points | | | | | | | | | | | | SRO Only Points | | | | |
| | | K 1 | K 2 | K 3 | K 4 | K 5 | K 6 | A 1 | A 2 | A 3 | A 4 | G * | Total | A2 | G* | Total | | |
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| | 2 | 0 | 1 | 1 | | | | 2 | 2 | | | | 1 | 7 | 1 | 2 | 3 | |
| | Tier Totals | 4 | 5 | 3 | | | | 6 | 6 | | | | 3 | 27 | 6 | 4 | 10 | |
| 2. Plant Systems | 1 | 3 | 1 | 3 | 3 | 2 | 2 | 4 | 3 | 2 | 2 | 1 | 26 | 3 | 2 | 5 | | |
| | 2 | 2 | 1 | 1 | 1 | 1 | 1 | 1 | 2 | 1 | 0 | 1 | 12 | 1 | 2 | 3 | | |
| | Tier Totals | 5 | 2 | 4 | 4 | 3 | 3 | 5 | 5 | 3 | 2 | 2 | 38 | 4 | 4 | 8 | | |
| 3. Generic Knowledge and Abilities Categories | | | | | 1 | | 2 | | 3 | | 4 | | 10 | 1 | 2 | 3 | 4 | 7 |
| | | | | | 3 | | 3 | | 2 | | 2 | | | 1 | 2 | 2 | 2 | |

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9. For Tier 3, select topics from Section 2 of the K/A Catalog, and enter the K/A number, descriptions, IRs, and point totals (#) on Form ES-401-3. Limit SRO selections to K/As that are linked to 10 CFR 55.43.

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category | K/A | TOPICS | RO | | SRO | |
|-----------------------------------|----------|--|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 1. Conduct of Operations | 2.1.4 | Knowledge of shift staffing requirements | | | 3.4 | 1 |
| | Subtotal | | | | | 1 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category | K/A | TOPICS | RO | | SRO | |
|----------------------------|----------|--|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 2. Equipment Control | 2.2.29 | Knowledge of SRO fuel handling responsibilities | | | 3.8 | 1 |
| | 2.2.11 | Knowledge of the process for controlling temporary changes | | | 3.4 | 1 |
| | Subtotal | | | | | 2 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category: | K/A | TOPICS | RO | | SRO | |
|----------------------------|----------|---|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 3. Radiation Control | 2.3.2 | Knowledge of facility ALARA program | | | 2.9 | 1 |
| | 2.3.6 | Knowledge of the requirements for reviewing and approving release permits | | | 3.1 | 1 |
| | Subtotal | | | | | 2 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category | K/A | TOPICS | RO | | SRO | |
|---------------------------------------|----------|---|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 4. Emergency Procedures Plan | 2.4.30 | Knowledge of which events related to system operation/status should be reported to outside agencies | | | 3.6 | 1 |
| | 2.4.22 | Knowledge of the bases for prioritizing safety functions during abnormal/emergency conditions | | | 4.0 | 1 |
| | Subtotal | | | | | 2 |
| Group point totals: 10 / 7 | | | | | | 7 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category | K/A | TOPICS | RO | | SRO | |
|-----------------------------------|----------|--|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 1. Conduct of Operations | 2.1.4 | Knowledge of shift staffing requirements | | | 3.4 | 1 |
| | Subtotal | | | | | 1 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category | K/A | TOPICS | RO | | SRO | |
|----------------------------|----------|--|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 2. Equipment Control | 2.2.29 | Knowledge of SRO fuel handling responsibilities | | | 3.8 | 1 |
| | 2.2.11 | Knowledge of the process for controlling temporary changes | | | 3.4 | 1 |
| | Subtotal | | | | | 2 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category: | K/A | TOPICS | RO | | SRO | |
|----------------------------|----------|---|-----|---|-----|---|
| | | | IMP | # | IMP | # |
| 3. Radiation Control | 2.3.2 | Knowledge of facility ALARA program | | | 2.9 | 1 |
| | 2.3.6 | Knowledge of the requirements for reviewing and approving release permits | | | 3.1 | 1 |
| | Subtotal | | | | | 2 |

BWR RO/SRO EXAM OUTLINE ES-401-3 (Tier 3)

PLANT-WIDE GENERIC RESPONSIBILITIES TIER 3

BWR - SRO

| Category | K/A | TOPICS | RO | | SRO | |
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| | | | IMP | # | IMP | # |
| 4. Emergency Procedures Plan | 2.4.30 | Knowledge of which events related to system operation/status should be reported to outside agencies | | | 3.6 | 1 |
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| | Subtotal | | | | | 2 |
| Group point totals: 10 / 7 | | | | | | 7 |

| Facility: Columbia Generating Station Examination Level (circle one): RO / SRO | | Date of examination: November 2006 Operating test number: |
|--|------------|--|
| Administrative Topic (see Note) | Type Code* | Describe activity to be performed |
| Conduct of Operations | D | The RO is given a turnover sheet that states a RX S/U is in progress and then parameters that indicate the reactor is critical. He has to realize the Reactor is Critical or will be critical prior to the ECP and take actions per PPM 3.1.2 which states to: stop control rod withdrawal and notify the CRS. He will fill out an attachment indicating what his next action will be and the basis for that action. |
| Conduct of Operations | M | The RO is given that a startup is in progress and plant data showing current APRM indicated Power and Bypass Valve Positions and told to complete step Q31 of PPM 3.1.2 and inform the CRS of the results. When done he will check the block on the attachment indicating APRM readings are GT power readings extrapolated from BPV position. |
| Equipment Control | N | The RO is given a section of OSP-INST-H101 that has 3 out of spec reading entered and not identified as out of spec readings. Candidate is told to verify readings and to submit the surveillance to the CRS for review. He should find the 3 out of spec readings and circle them. |
| Radiation Control | N | The RO is given a tagout that requires entry into a contaminated area of the plant to hang. His directions are to sign onto a specific RWP to perform the task. He is to determine if the tagout can be hung. The tagout has no discrepancies. When the RWP is reviewed it is discovered that the RWP does not allow entry into contaminated zones of the plant. The candidate will indicate that the task can not be performed. |
| Emergency Plan | | |
| Note: All items (5 total) are required for SROs. RO applicants require only 4 items unless they are retaking only the administrative topics, when all 5 are required. | | |
| *Type Codes & Criteria (C)ontrol room (D)irect from bank (≤ 3 for ROs; ≤ 4 for SROs & RO retakes) (N)ew or (M)odified from bank (≥ 1) (P)revious 2 exams (≤ 1; randomly selected) (S)imulator | | |