

Exhibit 300 (BY2008)

PART ONE

OVERVIEW

1. Date of Submission:	2006-09-07
2. Agency:	429
3. Bureau:	00
4. Investment Name:	License Fee Billing System (Fees System)
5. UPI:	429-00-01-01-01-2025-00
6. What kind of investment will this be in FY2008?	Operations and Maintenance
7. What was the first budget year this investment was submitted to OMB?	FY2001 or earlier
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	The NRC is mandated by Federal regulation to recover a major portion of its annual budget from licensees. In order to achieve this requirement, fees are assessed in accordance with the License Fee Management Program for annual licensing activities and various services which include new licensing approvals, licensing amendments, topical reports, and reactor inspections. The Fees System provides an automated method of implementing this major Agency Program. Management reports and invoices are produced by this system which is used by Headquarters Program and Support Offices, as well as the four regional offices. This investment adheres to OMB Circular A-130 for Investment Management and OMB Circular A-127 for financial systems improvement, is managed by a Level 1 Certified Program Manager, and incorporates the best practices of OMB's Office of Federal Financial Management (OFFM). Acquisition Life Cycle planning is institutionalized in the NRC's Capital Planning and Investment Control (CPIC) and clearly defined performance goals and metrics have been institutionalized for this investment. Line of Sight will be institutionalized in the NRC's Consolidated Reference Model (CRM). Several Presidential initiatives including Human Capital, Financial Performance, and Competitive Sourcing are addressed in this investment. The investment also is in compliance with Section 508 of the Rehabilitation Act. Security is maintained through the NRC's Certification and Accreditation and Configuration Management processes. Accreditation of this investment is currently in process with the annual self assessment, e-authentication risk assessment, and privacy impact assessment being completed. Risks have been identified and included in the NRC's Risk Management Plan as required by the CPIC Program. Continuity of Operations is institutionalized and offsite storage of data is maintained.
9. Did the Agency's Executive/Investment Committee approve this request?	yes
9.a. If "yes," what was the date of this approval?	1982-09-01
10. Did the Project Manager review this Exhibit?	yes
11. Project Manager Name:	Hite, Christine
Project Manager Phone:	(301) 415-8191
Project Manager Email:	CWH1@NRC.GOV
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	no
12.a. Will this investment include electronic assets (including computers)?	yes
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	no
12.b.3. If yes, is it designed to be 30% more energy efficient than relevant code?	yes

If yes, select the initiatives that apply:

Budget Performance Integration

Financial Performance

Human Capital

13.a. Briefly describe how this asset directly supports the identified initiative(s)?

The Fees system supports the goals associated with Human Capital through reductions in manpower due to automation. Fees provides financial data to support the NRC's Strategic Plan and other federal requirements that aid in Budget & performance integration. Fees provides timely feedback pertaining to Managers to correctly measure the financial performance of programs.

14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?

no

15. Is this investment for information technology (See section 53 for definition)?

yes

16. What is the level of the IT Project (per CIO Council's PM Guidance)?

Level 2

17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)

(1) Project manager has been validated as qualified for this investment

18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?

no

19. Is this a financial management system?

yes

19.a. If yes, does this investment address a FFIA compliance area?

yes

19.a.1. If yes, which compliance area:

Financial Systems Requirements

19.b. If yes, please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A11 section 52.

License Fee Billing System (Fees System)

20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)

Hardware	0
Software	0
Services	100
Other	0

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

n/a

22. Contact information of individual responsible for privacy related questions.

Name

Sandra S. Northern

Phone Number

301) 415-6879

Title

Privacy Officer

Email

SSN@NRC.GOV

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY
	-2005	2006	2007
Planning Budgetary Resources	0.433	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000
Maintenance Budgetary Resources	0.977	1.108	1.035
Government FTE Cost	0.126	0.149	0.151
# of FTEs	1	1	1

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

Funding adjusted to more closely reflect current funding usage.

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2003	Goal 3: Provide high quality financial services.	Timeliness.	Current administrative and programmatic workload.	Deliver accurate fee bills for Part 170 full cost within 30 days after close of calendar year.	Part 170 and 171 bills were all issued as scheduled.
2	2004	Corporate Management Strategy 1: Employ innovative and sound business practices.	Agency financial assets are protected consistent with risk.	Substantial compliance with federal regulations and use of performance-based contracts.	Financial systems meet government-wide requirements;	The baseline year for measuring this goal is Fiscal Year 2004. Will be realized in 2009 when the legacy Fee Systems are replaced.
3	2004	Corporate Management Strategy 3: Provide proactive information management and information technology services.	Maintain fair, equitable, transparent, and practical system that facilitates the effective and efficient collection of fees.	Corporate Management Strategy 3: Provide proactive information management and information technology services.	Meet statutory fee collection requirement;	The baseline year for measuring this goal is Fiscal Year 2005 as it is an annual goal. Was realized at fiscal year end.
4	2004	Corporate Management Strategy 4: Communicate strategic change.	Use information technology to improve delivery of services. Obtain stakeholder input regarding changes to services and training required.	Corporate Management Strategy 4: Communicate strategic change. Management Strategy 4: Communicate strategic change.	No reported instances of inadequate services.	The baseline year for measuring this goal is Fiscal Year 2004. Will be realized for the requirements analysis phase by September 2006.

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

	Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2006	Mission and Business Results	Debt Collection	User Fee Collection	Prepare 100% of licensee invoice information using the existing fees collection systems.	Prepare 100% of licensee invoice information using the existing fees collection systems.	Prepared 100% of licensee invoice information using the existing fees collection systems.
2	2006	Customer Results	Automation	Automation	The systems required to carry out the fee collection and reporting processes are available 100% of the time.	The systems required to carry out the fee collection and reporting processes are available 100% of the time.	The systems required to carry out the fee collection and reporting processes were available 100% of the time.
3	2006	Processes and	Costs	Costs	Manage maintenance	Manage maintenance costs	Manage maintenance

		Activities			costs within +/-10% while new system is under development	within +/-10% while new system is under development	costs within +/-10%
4	2006	Technology	Availability	Availability	Maintain accurate Part 170 and Part 171 fee bills to ensure they are delivered within 30 days after close of calendar year while new system is under development	Maintain accurate Part 170 and Part 171 fee bills to ensure they are delivered within 30 days after close of calendar year while new system is under development	Part 170 and 171 bills were all issued as scheduled.
5	2007	Mission and Business Results	Debt Collection	User Fee Collection	Prepare 100% of licensee invoice information using the existing fees collection systems.	Prepare 100% of licensee invoice information using the existing fees collection systems.	Pending
6	2007	Customer Results	Automation	Automation	The systems required to carry out the fee collection and reporting processes are available 100% of the time.	The systems required to carry out the fee collection and reporting processes are available 100% of the time.	Pending
7	2007	Processes and Activities	Costs	Costs	Manage maintenance costs within +/-10% while new system is under development	Manage maintenance costs within +/-10% while new system is under development	Pending
8	2007	Technology	Availability	Availability	Maintain accurate Part 170 and Part 171 fee bills to ensure they are delivered within 30 days after close of calendar year while new system is under development	Maintain accurate Part 170 and Part 171 fee bills to ensure they are delivered within 30 days after close of calendar year while new system is under development	Pending

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

License Fee Billing System

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Digital Asset Services	FEES allows users to access NRC fee collection data	Knowledge Management	Information Retrieval			No Reuse	0
2	Digital Asset Services	FEES supports a multi-user environment to share NRC fee information	Knowledge Management	Information Sharing			No Reuse	0
3	Digital Asset Services	FEES facilitates the collection of data and information	Knowledge Management	Knowledge Capture			No Reuse	0
4	Digital Asset Services	FEES distributes information to the system users	Knowledge Management	Knowledge Distribution and Delivery			No Reuse	0
5	Business Analytical Services	FEES provides standardized reports	Reporting	Standardized / Canned			No Reuse	0
6	Back Office Services	FEES supports the interchange of information between information systems	Data Management	Data Exchange			No Reuse	0
7	Back Office Services	FEES supports the manipulation and change of data	Data Management	Extraction and Transformation			No Reuse	0
8	Back Office Services	FEES relies on NRC staff time reporting to generate fee bills to the licensees	Human Resources	Time Reporting			No Reuse	0
9	Back Office Services	Fees data supports billing calculations and updates NRC core financial systems	Financial Management	Billing and Accounting			No Reuse	0
10	Back Office Services	Fees provides daily financial billing and collection data	Financial Management	Debt Collection			No Reuse	0
11	Support Services	FEES controls access to the	Security	Access Control			No Reuse	0

system

Management

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Information Retrieval	Service Platform and Infrastructure	Delivery Servers	Application Servers	CA Clipper
2	Information Sharing	Component Framework	Data Management	Database Connectivity	DB2 Connector
3	Knowledge Capture	Service Platform and Infrastructure	Database / Storage	Database	IBM DB2
4	Knowledge Distribution and Delivery	Service Platform and Infrastructure	Delivery Servers	Application Servers	CA Clipper
5	Data Exchange	Service Platform and Infrastructure	Database / Storage	Database	IBM DB2
6	Extraction and Transformation	Service Platform and Infrastructure	Database / Storage	Database	IBM DB2
7	Time Reporting	Service Platform and Infrastructure	Delivery Servers	Application Servers	CA Clipper
8	Billing and Accounting	Service Platform and Infrastructure	Delivery Servers	Application Servers	CA Clipper
9	Debt Collection	Service Platform and Infrastructure	Delivery Servers	Application Servers	CA Clipper
10	Access Control	Service Platform and Infrastructure	Support Platforms	Platform Dependent	RACF

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

6. Does this investment provide the public with access to a government automated information system?

no

PART THREE

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2004-04-01

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

COST & SCHEDULE

1. Was operational analysis conducted?

yes

1.a. If yes, provide the date the analysis was completed.

2006-08-25

What were the results of your operational analysis?

FEES is within 10% of its planned schedule and budget.

1.c. If no, please explain why it was not conducted and if there are any plans to conduct operational analysis in the future.

Several activities are conducted routinely on a regularly scheduled basis to determine the operational performance and functionality of the system. These activities include: monitoring project funding status, change control management, reviewing functional and reporting requirements against current needs, and monitoring cost effectiveness of activities.

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