Facility: Indian Point 3 Date of Examination: 11/6-10/06 11/17/06

Developed by: Written - Facility ☒ NRC ☐ // Operating - Facility ☒ NRC ☐

Target Date*	Task Description (Reference)	Chief Examiner's Initials
-180	1. Examination administration date confirmed (C.1.a; C.2.a and b)	
-120	2. NRC examiners and facility contact assigned (C.1.d; C.2.e)	
-120	3. Facility contact briefed on security and other requirements (C.2.c)	
-120	4. Corporate notification letter sent (C.2.d)	
[-90]	[5. Reference material due (C.1.e; C.3.c; Attachment 2)]	
{-75}	6. Integrated examination outline(s) due, including Forms ES-201-2, ES-201-3, ES-301-1, ES-301-2, ES-301-5, ES-D-1's, ES-401-1/2, ES-401-3, and ES-401-4, as applicable (C.1.e and f; C.3.d)	
{-70}	{7. Examination outline(s) reviewed by NRC and feedback provided to facility licensee (C.2.h; C.3.e)}	
{-45}	8. Proposed examinations (including written, walk-through JPMs, and scenarios, as applicable), supporting documentation (including Forms ES-301-3, ES-301-4, ES-301-5, ES-301-6, and ES-401-6, and any Form ES-201-3 updates), and reference materials due (C.1.e, f, g and h; C.3.d)	
-30	9. Preliminary license applications (NRC Form 398's) due (C.1.l; C.2.g; ES-202)	
-14	10. Final license applications due and Form ES-201-4 prepared (C.1.l; C.2.i; ES-202)	
-14	11. Examination approved by NRC supervisor for facility licensee review (C.2.h; C.3.f)	
-14	12. Examinations reviewed with facility licensee (C.1.j; C.2.f and h; C.3.g)	
-7	13. Written examinations and operating tests approved by NRC supervisor (C.2.i; C.3.h)	
-7	14. Final applications reviewed; 1 or 2 (if >10) applications audited to confirm qualifications / eligibility; and examination approval and waiver letters sent (C.2.i; Attachment 4; ES-202, C.2.e; ES-204)	
-7	15. Proctoring/written exam administration guidelines reviewed with facility licensee (C.3.k)	
-7	16. Approved scenarios, job performance measures, and questions distributed to NRC examiners (C.3.i)	

* Target dates are generally based on facility-prepared examinations and are keyed to the examination date identified in the corporate notification letter. They are for planning purposes and may be adjusted on a case-by-case basis in coordination with the facility licensee.
[Applies only] {Does not apply} to examinations prepared by the NRC.

Facility:		Date of Examination:		
Item	Task Description	Initials a	b*	c#
1. WRITTEN	a. Verify that the outline(s) fit(s) the appropriate model, in accordance with ES-401.	DEA	SD	LG
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	DEA	SD	LG
	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	DEA	SD	LG
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	DEA	SD	LG
2. SIMULATOR	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.	a	SD	LG
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.	a	SD	LG
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.	a	SD	LG
3. W/T	a. Verify that the systems walk-through outline meets the criteria specified on Form ES-301-2: (1) the outline(s) contain(s) the required number of control room and in-plant tasks distributed among the safety functions as specified on the form (2) task repetition from the last two NRC examinations is within the limits specified on the form (3) no tasks are duplicated from the applicants' audit test(s) (4) the number of new or modified tasks meets or exceeds the minimums specified on the form (5) the number of alternate path, low-power, emergency, and RCA tasks meet the criteria on the form.	a	SD	LG
	b. Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) the tasks are distributed among the topics as specified on the form (2) at least one task is new or significantly modified (3) no more than one task is repeated from the last two NRC licensing examinations	a	SD	LG
	c. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.	a	SD	LG
4. GENERAL	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam sections.	DEA	SD	LG
	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate.	DEA	SD	LG
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	DEA	SD	LG
	d. Check for duplication and overlap among exam sections.	DEA	SD	LG
	e. Check the entire exam for balance of coverage.	DEA	SD	LG
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	DEA	SD	LG

	Printed Name/Signature	Date
a. Author	W. S. ALTIC / Douglas HUNTINGTON / Douglas E. Huntington	11-6-06 / 11/3/06
b. Facility Reviewer (*)	Stephen Davis / S. Davis	11-6-2006
c. NRC Chief Examiner (#)	David SILK / David Silk	11/15/06
d. NRC Supervisor	MARVIN D. SYKES / Marvin D.	11/15/06

Note: # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.

Form resubmitted after 25 questions were replace

Facility: Date of Examination:

Item	Task Description	Initials a	Initials b*	Initials c#
1. WRITTEN	a. Verify that the outline(s) fit(s) the appropriate model, in accordance with ES-401.	DEH		
	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 and whether all K/A categories are appropriately sampled.	DEH		
	c. Assess whether the outline over-emphasizes any systems, evolutions, or generic topics.	DEH		
	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	DEH		
2. SIMULATOR	a. Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.			
	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.			
	c. To the extent possible, assess whether the outline(s) conform(s) with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D.			
3. W/T	a. Verify that the systems walk-through outline meets the criteria specified on Form ES-301-2: (1) the outline(s) contain(s) the required number of control room and in-plant tasks distributed among the safety functions as specified on the form (2) task repetition from the last two NRC examinations is within the limits specified on the form (3) no tasks are duplicated from the applicants' audit test(s) (4) the number of new or modified tasks meets or exceeds the minimums specified on the form (5) the number of alternate path, low-power, emergency, and RCA tasks meet the criteria on the form.			*
	b. Verify that the administrative outline meets the criteria specified on Form ES-301-1: (1) the tasks are distributed among the topics as specified on the form (2) at least one task is new or significantly modified (3) no more than one task is repeated from the last two NRC licensing examinations			
	c. Determine if there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.			
4. GENERAL	a. Assess whether plant-specific priorities (including PRA and IPE insights) are covered in the appropriate exam sections. DEH			
	b. Assess whether the 10 CFR 55.41/43 and 55.45 sampling is appropriate. DEH	—		
	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5. DEH			
	d. Check for duplication and overlap among exam sections. DEH			
	e. Check the entire exam for balance of coverage. DEH			
	f. Assess whether the exam fits the appropriate job level (RO or SRO). DEH			

	Printed Name/Signature	Date
a. Author	W. ALTIC / Douglas Huntington	8/2/06 OH 8-1-06 WA
b. Facility Reviewer (*)	STEPHEN JOUBERT	8/3/06
c. NRC Chief Examiner (#)	David Silk	8/24/06
d. NRC Supervisor	MARVIN SYKES	8/24/06

Note: # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.

ALTIC FOR OPERATING EXAM
HUNTINGTON FOR WRITTEN EXAM.

* See Next Sheet for comments on original outline submittal

IP3 Exam Outline Comments (8/9/06)

1. The Conducts of Ops JPM which uses attachments from FR-I.3 does not seem to fit this exam category. Conduct of Ops items are, according to the ES, to be centered around daily plant operations. Although the task in this JPM would be a legitimate test item in the control room/plant sytems part of the op exam, it does not seem to belong in the Admin Section.

2. Two of the SRO admin JMPs appear to be the same as in the RO admin section. There should be a distinction between RO & SRO test items.

3. Scenario 3 contains nine events. Event 9 does not appear on the ES-301-5 forms.

4. On the RO written exam outline, it specifies 28 questions for Tier 2 Group 1. However, the ES-401-2 form for Tier 2 Group 1 questions only contains 27 items (even though the total says 28).

Licensee Response (8/17/06)

1. New exam items was selected by licensee.

2. Issue addressed. Distinction now exists between RO/SRO items.

3. Outline was not changed because Event 9 would impact a surrogate.

4. One additional item added to Tier 2 Group 1 to make a total of 28 items.

P 1/7

11/17/06
& ~~11/2/06~~ DEA

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 10/23/06 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 10/23 - 11/2/06 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1.	Douglas Huntington	SR INSTRUCTOR / AUTHOR	[signature]	3/4/06	[signature]	11/17/06 1440
2.	W.S. ALTIC	SR INSTRUCTOR / AUTHOR	[signature]	3-6-06	[signature]	11-17-06
3.	BOB COOLIDGE	OPS TRG. INST. / PILGRIM	[signature]	3-29-06		SEE P 5/7
4.	DON VINCHKOSKI	OPS TRG INST.	[signature]	6-26-06	[signature]	11-17-06
5.	Denis Celentano	SR. Instructor / LRQ	[signature]	7-19-06	[signature]	11/17/06
6.	STEVE JOUBERT	OPS TRAINING SUPERVISOR	[signature]	7/20/06	[signature]	11/20/06
7.	Lech Grant	Admin Asst.	[signature]	7/31/06	[signature]	11/17/06
8.	Ed Patrucco	IUST	[signature]	8/2/06	[signature]	11/20/06
9.	RICHARD Ruzicka	Instructor	[signature]	08-04-06	[signature]	11/21/06
10.	RT Thomas	NRQA	[signature]	8/8/06	[signature]	11/20/06
11.	Chris Cassidy	NRQA	[signature]	8/8/06	[signature]	11/20/06
12.	JACK SEABOLDT	SM / VALIDATOR	[signature]	8/8/06	[signature]	11/20/06
13.	Ron Carpino	CRS	[signature]	8/8/06	[signature]	11/21/06
14.	Vin DeClemente	STA	[signature]	8/21/06	[signature]	11/20/06
15.	MIKE CARROLL	CRS	[signature]	8/21/06	for MCARROLL [signature]	11/27/06 PER TELECON 5:38pm 11/27/06

NOTES:

Do Not Remove Per EN-TQ-105

P2/7

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of ~~11/3/06~~ 10/23/06 - 11/17/06 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 10/18-11/3/06 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1.	Christopher M. Hron	NROA / Validator	[signature]	8-29-06	[signature]	11-21-06
2.	Jeffrey A Crosher	NROA / Validator	[signature]	8/29/06	[signature]	11/21/06
3.	Chee Yun	NROA / Validator	[signature]	9/25/06	[signature]	11/20/06
4.	CHARLIE KOCSIS	INSTRUCTOR/SIM OPERATOR	[signature]	9/25/06	[signature]	11/20/06
5.	Russ WARREN	NROA / VALIDATOR	[signature]	9/26/06	[signature]	11/28/06
6.	Stephen Davis	Operations Training Supt	[signature]	10/2/06	[signature]	11/17/2006
7.	Michael A. Romeo	Ops Trng Supt	[signature]	10-10-06		PER E-MAIL ATTACHED
8.	ROBERT CHRISTMAN	MANAGER TRAINING	[signature]	10-10-06	[signature]	11/27/06
9.	TIMOTHY P. MATTHEWS	ATTORNEY	[signature]	10-11-06		SEE P 6/7
10.	Fred R. Dacimo	VP - IPEC	[signature]	10/16/06		SEE P 7/7
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NOTES:

Do Not Remove Per EN-TO-105

P 3/7

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 11-06-06 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of _____. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
*1	1. RICHARD BURNS	INSTRUCTOR	[signature]	11/6	[signature]	11/27/6	
*1	2. Jim Jenkins	Instructor	[signature]	11/6/6	[signature]	11/13/06	
*1	3. ERNIE GURINA	CRS / INSTRUCTOR	[signature]	11/6/06	[signature]	11/13/06	
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*1 - Operating Exam portion only.

Do Not Remove Per EN-TQ-106

P4/7

ES-201 **Examination Security Agreement** **Form ES-201-3**

1. **Pre-Examination**

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of ~~11/3/06~~ 10/23/06 - 11/17/06 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. **Post-Examination**

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 10/23 - 11/3/06 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1.	Christopher M. Hron	NROA / Validator	[signature]	8-29-06			
2.	Jeffrey A Crosher	NROA / Validator	[signature]	8/29/06			
3.	Cheal Yun	NROA / Validator	[signature]	9/25/06			
4.	CHARLIE KOCSIS	INSTRUCTOR/SIM OPERATOR	[signature]	9/25/06			
5.	Russ Warren	NROA / VALIDATOR	[signature]	9/26/06			
6.	Stephen Davis	Operations Training Supt	[signature]	10/2/06			
7.	Michael B. Romeo	Ops Trnce Supt	[signature]	10-10-06			
8.	ROBERT CHRISTMAN	MANAGER TRAINING	[signature]	10-10-06			
9.	TINISHA R. MATTHEWS	ATTORNEY	[signature]	10-11-06			
10.	Fred R. Dacimo	VP IPEC	[signature]	10/11/06			
11.	JOSEPH G ARSENAULT	CONSULTANT	[signature]	10/20/06	[signature] for J. ARSENAULT		PER TELECON. 8:36am 11/29/06
12.							
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NOTES:

Do Not Remove Per EN-TQ-[illegible]

P5/7

11/17/06

1. **Pre-Examination**

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 10/23/06 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. **Post-Examination**

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 10/23-11/2/06 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. Douglas Huntington	SR INSTRUCTOR / AUTHOR	[signature]	3/4/06	[signature]	11/17/06 1440
2. W. S. ALTIC	SR INSTRUCTOR / AUTHOR	[signature]	3-6-06	[signature]	11-17-06
3. BOB COOLIDGE	OPS TRG INST / PILGRIM	[signature]	5-29-06	[signature]	11-27-06
4. DON VINCHKOSKI	OPS TRG INST.	[signature]	6-26-06	[signature]	11-17-06
5. Dennis Celentano	SR Instructor / LEGAL	[signature]	7-19-06	[signature]	11/17/06
6. STEVE JOUBERT	OPS TRAINING SUPERVISOR	[signature]	7/20/06	[signature]	11/20/06
7. Leslie Grant	Admin	[signature]	7/31/06	[signature]	11/17/06
8. Ed Patyczko	UNSI	[signature]	8/2/06	[signature]	11/20/06
9. Richard Kubicka	Instructor	[signature]	08-04-06	[signature]	11/21/06
10. RT Thomas	NRC	[signature]	8/8/06	[signature]	11/20/06
11. Chris Cassidy	NRC	[signature]	8/8/06	[signature]	11/20/06
12. Jack Seabold	SM / VALIDATOR	[signature]	8/8/06	[signature]	11/20/06
13. Ron Carpino	CRS	[signature]	8/8/06	[signature]	11/21/06
14. Vin DeClemente	STA	[signature]	8/21/06	[signature]	11/20/06
15. MIKE GATRELL	CRS	[signature]	8/21/06		

NOTES:

Do Not Remove Per EN-TQ-105

P6/7

1. **Pre-Examination**

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 10/23/06 - 11/17/06 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. **Post-Examination**

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 10/23 - 11/3/06 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1.	Christopher M Hess	NRCA / Validator	[signature]	8-29-06	[signature]	11-21-06
2.	Jeffrey A Crosby	NRCA / Validator	[signature]	9/29/06	[signature]	11/21/06
3.	Chad Yun	NRCA / Validator	[signature]	9/25/06	[signature]	11/20/06
4.	Charlie Rocsis	Instructor/Sim Operator	[signature]	9/23/06	[signature]	11/20/06
5.	Bob Warren	NRCA / Validator	[signature]	11/3/06		
6.	Stephen Davis	Operation Training Dept	[signature]	10/2/06	[signature]	[illegible]
7.	Michael A. Romeo	Ops Trng [illegible]	[signature]	10-10-06		
8.	Robert Christman	Manager [illegible]	[signature]	10-10-06	[signature]	11/27/06
9.	Timothy P Matthews	Attorney	[signature]	10-11-06	[signature]	11/27/06
10.	Fred R. Dacimo	VP & CPEC	[signature]	10/11/06		
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NOTES:

Do Not Remove Per EN-TO-[illegible]

P7/7

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of ~~11/13/06~~ 10/23/06 - 11/17/06 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

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1.	Christopher M. Hrony	NROA / Validator		8-29-06			
2.	Jeffrey A Crosby	NROA / Validator		8/29/06			
3.	Cheol Yun	NROA / Validator		9/25/06			
4.	CHARLIE KOKSIS	INSTRUCTOR/SIM OPERATOR		9/27/06		11/20/06	
5.	RUSS WARREN	NROA / VALIDATOR		9/26/06			
6.	Stephen Davis	Operations Training Supt		10/2/06		11/17/2006	
7.	Michael A. Romeo	Ops Training Supt		10-12-06			
8.	ROBERT CHRISTMAN	MANAGER TRAINING		10-10-06		11/27/06	
9.	TIMOTHY P. MATTHEWS	ATTORNEY		10-11-06			
10.	Theo R. Placino	VP IPEC		10/16/06		11/28/06	
11.							
12.							
13.							
14.							
15.							

NOTES:

Do Not Remove Per EN-TQ-106

Joubert, Stephen P
From: Davis, Stephen V
Sent: Tuesday, November 28, 2006 9:54 AM
To: Joubert, Stephen P
Subject: FW: IPEC UNIT 3 POST NRC EXAM SECURITY AGREEMENT

Importance: High

Attachments: Romeo.pdf

Steve Davis
Operations Training Superintendent
Indian Point Energy Center
914/788-2904
sdavi14@entergy.com

From: Romeo, Mike
Sent: Tuesday, November 28, 2006 8:39 AM
To: Davis, Stephen V
Cc: Emery, Dodi M
Subject: FW: IPEC UNIT 3 POST NRC EXAM SECURITY AGREEMENT
Importance: High

Steve, you can sign it via Telephone or Email.

later

From: Emery, Dodi M
Sent: Tuesday, November 28, 2006 7:58 AM
To: Romeo, Mike
Subject: FW: IPEC UNIT 3 POST NRC EXAM SECURITY AGREEMENT
Importance: High

From: Joubert, Stephen P
Sent: Tuesday, November 28, 2006 7:33 AM
To: Emery, Dodi M
Subject: FW: IPEC UNIT 3 POST NRC EXAM SECURITY AGREEMENT
Importance: High

Hi Dodi,

Need to speak with Mike Romeo to get his OK to sign off on the above security agreement - understand he is on vacation. Can do by telephone. Tried his cell and does not ring. Do you have his home number or can you ask him to call me.

Thanks,

Steve
914-788-2973

From: Joubert, Stephen P
Sent: Tuesday, November 28, 2006 7:26 AM
To: Romeo, Mike
Subject: IPEC UNIT 3 POST NRC EXAM SECURITY AGREEMENT
Importance: High

Please review, sign and return attached Security Agreement.

Thanks,
Steve

Romeo.pdf (97 KB)

Facility: Indian Point Unit 3 Date of Examination: 10/23/06 Operating Test Number: 1

	1. General Criteria	Initials a	Initials b*	Initials c#
a.	The operating test conforms with the previously approved outline; changes are consistent with sampling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	a	SD	SL
b.	There is no day-to-day repetition between this and other operating tests to be administered during this examination.	a	SD	SL
c.	The operating test shall not duplicate items from the applicants' audit test(s). (see Section D.1.a.)	a	SD	SL
d.	Overlap with the written examination and between different parts of the operating test is within acceptable limits.	a	SD	SL
e.	It appears that the operating test will differentiate between competent and less-than-competent applicants at the designated license level.	a	SD	SL
	2. Walk-Through Criteria	--	--	--
a.	Each JPM includes the following, as applicable: • initial conditions • initiating cues • references and tools, including associated procedures • reasonable and validated time limits (average time allowed for completion) and specific designation if deemed to be time-critical by the facility licensee • operationally important specific performance criteria that include: – detailed expected actions with exact criteria and nomenclature – system response and other examiner cues – statements describing important observations to be made by the applicant – criteria for successful completion of the task – identification of critical steps and their associated performance standards – restrictions on the sequence of steps, if applicable	a	SD	SL
b.	Ensure that any changes from the previously approved systems and administrative walk-through outlines (Forms ES-301-1 and 2) have not caused the test to deviate from any of the acceptance criteria (e.g., item distribution, bank use, repetition from the last 2 NRC examinations) specified on those forms and Form ES-201-2.	a	SD	SL
	3. Simulator Criteria	--	--	--
	The associated simulator operating tests (scenario sets) have been reviewed in accordance with Form ES-301-4 and a copy is attached.	a	SD	SL

	Printed Name / Signature	Date
a. Author	WILLIAM S. ATTK	10-10-06
b. Facility Reviewer(*)	STEPHEN DAVIS /	10-11-2006
c. NRC Chief Examiner (#)	David SILK /	10/23/06
d. NRC Supervisor	MARVIN SYKES /	11/3/06

NOTE: * The facility signature is not applicable for NRC-developed tests.
Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.

Facility: Indian Point 3 (rev 1) Date of Exam: 10/23/06 Scenario Numbers: 1 / 2 / 3 Operating Test No.: 1

	QUALITATIVE ATTRIBUTES	Initials a	Initials b*	Initials c#
1.	The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	a	SD	AL
2.	The scenarios consist mostly of related events.	a	SD	AL
3.	Each event description consists of • the point in the scenario when it is to be initiated • the malfunction(s) that are entered to initiate the event • the symptoms/cues that will be visible to the crew • the expected operator actions (by shift position) • the event termination point (if applicable)	a	SD	AL
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.	a	SD	AL
5.	The events are valid with regard to physics and thermodynamics.	a	SD	AL
6.	Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	a	SD	AL
7.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	a	SD	AL
8.	The simulator modeling is not altered.	a	SD	AL
9.	The scenarios have been validated. Pursuant to 10 CFR 55.46(d), any open simulator performance deficiencies or deviations from the referenced plant have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	a	SD	AL
10.	Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.5 of ES-301.	a	SD	AL
11.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	a	SD	AL
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	a	SD	AL
13.	The level of difficulty is appropriate to support licensing decisions for each crew position.	a	SD	AL

	Target Quantitative Attributes (Per Scenario; See Section D.5.d)	Actual Attributes	--	--	--
1.	Total malfunctions (5–8)	6 / 6 / 8	a	SD	AL
2.	Malfunctions after EOP entry (1–2)	2 / 2 / 2	a	SD	AL
3.	Abnormal events (2–4)	2 / 2 / 4	a	SD	AL
4.	Major transients (1–2)	1 / 1 / 1	a	SD	AL
5.	EOPs entered/requiring substantive actions (1–2)	2 / 1 / 3	a	SD	AL
6.	EOP contingencies requiring substantive actions (0–2)	1 / 1 / 1	a	SD	AL
7.	Critical tasks (2–3)	3 / 3 / 3	a	SD	AL

Facility: Indian Point 3 (rev.1) Date of Exam: 10/23/06 Scenario Numbers: 4 / / Operating Test No.: 1

	QUALITATIVE ATTRIBUTES	Initials a	Initials b*	Initials c#
1.	The initial conditions are realistic, in that some equipment and/or instrumentation may be out of service, but it does not cue the operators into expected events.	a	SD	[illegible]
2.	The scenarios consist mostly of related events.	a	SD	[illegible]
3.	Each event description consists of • the point in the scenario when it is to be initiated • the malfunction(s) that are entered to initiate the event • the symptoms/cues that will be visible to the crew • the expected operator actions (by shift position) • the event termination point (if applicable)	a	SD	[illegible]
4.	No more than one non-mechanistic failure (e.g., pipe break) is incorporated into the scenario without a credible preceding incident such as a seismic event.	a	SD	[illegible]
5.	The events are valid with regard to physics and thermodynamics.	a	SD	[illegible]
6.	Sequencing and timing of events is reasonable, and allows the examination team to obtain complete evaluation results commensurate with the scenario objectives.	a	SD	[illegible]
7.	If time compression techniques are used, the scenario summary clearly so indicates. Operators have sufficient time to carry out expected activities without undue time constraints. Cues are given.	a	SD	[illegible]
8.	The simulator modeling is not altered.	a	SD	[illegible]
9.	The scenarios have been validated. Pursuant to 10 CFR 55.46(d), any open simulator performance deficiencies or deviations from the referenced plant have been evaluated to ensure that functional fidelity is maintained while running the planned scenarios.	a	SD	[illegible]
10.	Every operator will be evaluated using at least one new or significantly modified scenario. All other scenarios have been altered in accordance with Section D.5 of ES-301.	a	SD	[illegible]
11.	All individual operator competencies can be evaluated, as verified using Form ES-301-6 (submit the form along with the simulator scenarios).	a	SD	[illegible]
12.	Each applicant will be significantly involved in the minimum number of transients and events specified on Form ES-301-5 (submit the form with the simulator scenarios).	a	SD	[illegible]
13.	The level of difficulty is appropriate to support licensing decisions for each crew position.	a	SD	[illegible]

	Target Quantitative Attributes (Per Scenario; See Section D.5.d)	Actual Attributes	--	--	--
1.	Total malfunctions (5–8)	7 / /	a	SD	[illegible]
2.	Malfunctions after EOP entry (1–2)	2 / /	a	SD	[illegible]
3.	Abnormal events (2–4)	3 / /	a	SD	[illegible]
4.	Major transients (1–2)	1 / /	a	SD	[illegible]
5.	EOPs entered/requiring substantive actions (1–2)	1 / /	a	SD	[illegible]
6.	EOP contingencies requiring substantive actions (0–2)	1 / /	a	SD	[illegible]
7.	Critical tasks (2–3)	3 / /	a	SD	[illegible]

Facility: Indian Point Unit 3 Date of Exam: 10/23/06 Operating Test No.: 1

APPLICANT	EVENT TYPE	Scenarios 1 CREW POSITION SRO	1 ATC	1 BOP	2 CREW POSITION SRO	2 ATC	2 BOP	3 CREW POSITION SRO	3 ATC	3 BOP	4 CREW POSITION SRO	4 ATC	4 BOP	TOTAL	MINIMUM(*) R	I	U
RO ☐ SRO-I ☑ SRO-U ☐	RX				3				3		2,3			4	1	1	0
	NOR				3						2			2	1	1	1
	I/C				2				1,2,6		1,3			6	4	4	2
	MAJ				4				7		4			3	2	2	1
	TS				2						1			2	0	2	2
RO ☐ SRO-I ☑ SRO-U ☐	RX				3			4				2,3		3	1	1	0
	NOR				3			4						2	1	1	1
	I/C				2			1,2,3				1,2,3,5		8	4	4	2
	MAJ				4			7				4		3	2	2	1
	TS				2			1,2,3						4	0	2	2
RO ☐ SRO-I ☐ SRO-U ☑	RX				3						2,3			3	1	1	0
	NOR				3						2			2	1	1	1
	I/C				2						1,3			3	4	4	2
	MAJ				4						4			2	2	2	1
	TS				2						1			2	0	2	2
RO ☐ SRO-I ☐ SRO-U ☐	RX														1	1	0
	NOR														1	1	1
	I/C														4	4	2
	MAJ														2	2	1
	TS														0	2	2

Instructions:

1. Check the applicant level and enter the operating test number and Form ES-D-1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the "at-the-controls (ATC)" and "balance-of-plant (BOP)" positions; Instant SROs must do one scenario, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position.

2. Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (*) Reactivity and normal evolutions may be replaced with additional instrument or component malfunctions on a 1-for-1 basis.

3. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right-hand columns.

Facility: Indian Point Unit 3 Date of Exam: 10/23/06 Operating Test No.: 1

APPLICANT	EVENT TYPE	Scenarios 1 CREW POSITION SRO	1 ATC	1 BOP	2 CREW POSITION SRO	2 ATC	2 BOP	3 CREW POSITION SRO	3 ATC	3 BOP	4 CREW POSITION SRO	4 ATC	4 BOP	TOTAL	MINIMUM(*) R	I	U
RO ☑ SRO-I ☐ SRO-U ☐	RX								3					1	1	1	0
	NOR												2	1	1	1	1
	I/C								1,2,6				3,6	5	4	4	2
	MAJ								7				4	2	2	2	1
	TS													0	0	2	2
RO ☑ SRO-I ☐ SRO-U ☐	RX											2,3		2	1	1	0
	NOR									4				1	1	1	1
	I/C									9,9		1,2,3,5		6	4	4	2
	MAJ									7		4		2	2	2	1
	TS													0	0	2	2
RO ☑ SRO-I ☐ SRO-U ☐	RX					3								1	1	1	0
	NOR												2	1	1	1	1
	I/C					2,6							3,6	4	4	4	2
	MAJ					4							4	2	2	2	1
	TS													0	0	2	2
RO ☐ SRO-I ☑ SRO-U ☐	RX					3		4			2,3			4	1	1	0
	NOR							4			2			2	1	1	1
	I/C					2,6		1,2,3			1,3			7	4	4	2
	MAJ					4		7			4			3	2	2	1
	TS							1,2,3			1			4	0	2	2

Instructions:

1. Check the applicant level and enter the operating test number and Form ES-D-1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the "at-the-controls (ATC)" and "balance-of-plant (BOP)" positions; Instant SROs must do one scenario, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position.

2. Reactivity manipulations may be conducted under normal or *controlled* abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (*) Reactivity and normal evolutions may be replaced with additional instrument or component malfunctions on a 1-for-1 basis.

3. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right-hand columns.

Facility: Indian Point 3 Date of Examination: 10/23/06 Operating Test No.: 1

Competencies	APPLICANTS															
	RO ☑ SRO-I ☐ SRO-U ☐				RO ☑ SRO-I ☐ SRO-U ☐				RO ☑ SRO-I ☐ SRO-U ☐				RO ☐ SRO-I ☑ SRO-U ☐			
	SCENARIO				SCENARIO				SCENARIO				SCENARIO			
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Interpret/Diagnose Events and Conditions			1,2,6, 7,8	1,2,3, 4,6,7		2,4,6		1,2,3 ,4,6, 7			7,9	1,2 ,3, 4,5		2,4,6	1,2,3, 4,5,7, 9	1,2 ,3, 4,5
Comply With and Use Procedures (1)			1,2,4, ,6,7,8	1,2,3, 4,6,7		2,3,4, 6		1,2,3 ,4,6, 7			1,4,5, 7,9	1,2 ,3, 4,5		2,3,4, 6	1,2,3 ,4,5, 7,8,9	1,2 ,3, 4,5
Operate Control Boards (2)			1,2,4, 6,7,8	2,3,4, 6,7		2,3,4, 6		2,3,4 ,6,7			1,4,7, 9	1,2 ,3, 4,5		2,3,4, 6		
Communicate and Interact			1,2,4, 5,6,7, 8	1,2,3, 4,6,7		2,3,4, 5,6,7		1,2,3 ,4,6, 7			1,2,3, 4,5,7, 9	1,2 ,3, 4,5		2,3,4, 5,6,7	1,2,3, 4,5,6, 7,8,9	1,2 ,3, 4,5
Demonstrate Supervisory Ability (3)															1,2,3, 4,5,6, 7,8,	1,2 ,3, 4,7
Comply With and Use Tech. Specs. (3)															1,2,3	1

Notes:
(1) Includes Technical Specification compliance for an RO.
(2) Optional for an SRO-U.
(3) Only applicable to SROs.

Instructions:

Check the applicants' license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Facility: Indian Point 3 Date of Examination: 10/23/06 Operating Test No.: 1

Competencies	APPLICANTS															
	RO ☐ SRO-I ☑ SRO-U ☐				RO ☐ SRO-I ☑ SRO-U ☐				RO ☐ SRO-I ☐ SRO-U ☑				RO ☐ SRO-I ☐ SRO-U ☐			
	SCENARIO				SCENARIO				SCENARIO				SCENARIO			
	1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4
Interpret/Diagnose Events and Conditions		1,2,3, 4,5,7	1,2,6, 7,8	1,2,3, 4,5,7		1,2,3, 4,5,7	1,2,3, 4,5,7, 9	1,2,3 ,4,5, 7		1,2, 3,4, 5,7		1,2 ,3, 4,5 7				
Comply With and Use Procedures (1)		2,3,4, 5,6,7	1,2,4, ,6,7,8	1,2,3, 4,5,6, 7		2,3,4, 5,6,7	1,2,3, 4,5,7, 8,9	,2,3, 4,5,7		2,3, 4,5, 6,7		1,2 ,3, 4,5 6				
Operate Control Boards (2)			1,2,4, 6,7,8					1,2,3 ,4,5, 7								
Communicate and Interact		1,2,3, 4,5,6, 7	1,2,4, 5,6,7, 8	1,2,3, 4,5,6, 7		1,2,3, 4,5,6, 7	1,2,3, 4,5,6, 7,8,9	1,2,3 ,4,5, 6,7		1,2, 3,4, 5,6, 7		1,2 ,3, 4,5 6				
Demonstrate Supervisory Ability (3)		1,2,3, 4,5,6, 7		1,2,3, 4,7		1,2,3, 4,5,6, 7	1,2,3, 4,5,6, 7,8,			1,2, 3,4, 5,6, 7		1,2 ,3, 4,7				
Comply With and Use Tech. Specs. (3)		2,3		1		2,3	1,2,3			2,3		1				

Notes:
(1) Includes Technical Specification compliance for an RO.
(2) Optional for an SRO-U.
(3) Only applicable to SROs.

Instructions:

Check the applicants' license type and enter one or more event numbers that will allow the examiners to evaluate every applicable competency for every applicant.

Facility: IP3 Date of Exam: 11/17/06 Exam Level: RO ☑ SRO ☒

	Item Description	Initial a	Initial b*	Initial c#
1.	Questions and answers are technically accurate and applicable to the facility.	DEH	SD	LG
2.	a. NRC K/As are referenced for all questions. b. Facility learning objectives are referenced as available.	DEH	SD	LG
3.	SRO questions are appropriate in accordance with Section D.2.d of ES-401	DEH	SD	LG
4.	The sampling process was random and systematic (If more than 4 RO or 2 SRO questions were repeated from the last 2 NRC licensing exams, consult the NRR OL program office).			LG
5.	Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate: __ the audit exam was systematically and randomly developed; or __ the audit exam was completed before the license exam was started; or __ the examinations were developed independently; or ✓ the licensee certifies that there is no duplication; or __ other (explain)	DEH	SD	LG
6.	Bank use meets limits (no more than 75 percent from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right. Bank: 36 / 4; Modified: 8 / 4; New: 31 / 17	DEH	SD	LG
7.	Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right. Memory: 48% / 4% 11% / 4%; C/A: 41% / 17% Memory: 30 / 3; C/A: 45 / 22	DEH	SD	LG
8.	References/handouts provided do not give away answers or aid in the elimination of distractors. 60% / 67%	DEH	SD	LG
9.	Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.	DEH	SD	LG
10.	Question psychometric quality and format meet the guidelines in ES Appendix B.	DEH	SD	LG
11.	The exam contains the required number of one-point, multiple choice items; the total is correct and agrees with the value on the cover sheet.	DEH	SD	LG

	Printed Name / Signature	Date
a. Author	Douglas Huntington [signature]	11/6/06
b. Facility Reviewer (*)	Stephen Davis / [signature]	11/6/06
c. NRC Chief Examiner (#)	David Silk / [signature]	11/15/06
d. NRC Regional Supervisor	MARVIN SYKES / [signature]	11/15/06

Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations.
Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.

Facility: Indian Point 3 Date of Exam: 11-17-2006 Exam Level: RO [X] SRO [ ]

	Item Description	Initials a	Initials b	Initials c
1.	Clean answer sheets copied before grading	DH	SD	DS
2.	Answer key changes and question deletions justified and documented	DH	SD	DS
3.	Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	DH	SD	DS
4.	Grading for all borderline cases (80 ±2% overall and 70 or 80, as applicable, ±4% on the SRO-only) reviewed in detail	DH	SD	DS
5.	All other failing examinations checked to ensure that grades are justified	DH	SD	DS
6.	Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	DH	SD	DS

	Printed Name/Signature	Date
a. Grader	Douglas Huntington / Douglas Huntington	11/28/06
b. Facility Reviewer(*)	Stephen Davis / Stephen Davis	11/28/06
c. NRC Chief Examiner (*)	David Silk / David Silk	11/30/06
d. NRC Supervisor (*)	Marvin D. Sykes / Marvin D. Sykes	1/30/07

(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.

Facility: INDIAN POINT 3 Date of Exam: 11/17/06 Exam Level: RO☐ SRO☒

Item Description	Initials a	Initials b	Initials c
1. Clean answer sheets copied before grading	DGH	SD	SD
2. Answer key changes and question deletions justified and documented	DGH	SD	SD
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	DGH	SD	SD
4. Grading for all borderline cases (80 ±2% overall and 70 or 80, as applicable, ±4% on the SRO-only) reviewed in detail	DGH	SD	SD
5. All other failing examinations checked to ensure that grades are justified	DGH	SD	SD
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	DGH	SD	SD

	Printed Name/Signature	Date
a. Grader	Douglas Huntington / Douglas C Huntington	11/28/06
b. Facility Reviewer(*)	Stephen Davis / Stephen Davis	11/28/06
c. NRC Chief Examiner (*)	David Silk / David Silk	11/30/06
d. NRC Supervisor (*)	Marvin D. Sykes / Marvin D. Sykes	11/30/06

(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.