

**VARIOUS CHECKLISTS
FOR THE KEWAUNEE RETAKE
EXAMINATION-AUGUST 2006**

Facility: <u>Kewaunee</u>		Date of Examination: <u>August 9, 2006</u>
Developed by: Written - Facility <input checked="" type="checkbox"/> NRC <input type="checkbox"/> // Operating - N/A - Retake written only		
Target Date*	Task Description (Reference)	Chief Examiner's Initials
-180	1. Examination administration date confirmed (C.1.a; C.2.a and b)	dm
-120	2. NRC examiners and facility contact assigned (C.1.d; C.2.e)	dm
-120	3. Facility contact briefed on security and other requirements (C.2.c)	dm
-120	4. Corporate notification letter sent (C.2.d)	dm
[-90]	[5. Reference material due (C.1.e; C.3.c; Attachment 2)]	n/a
{-75}	6. Integrated examination outline(s) due, including Forms ES-201-2, ES-201-3, ES-301-1, ES-301-2, ES-301-5, ES-D-1's, ES-401-1/2, ES-401-3, and ES-401-4, as applicable (C.1.e and f; C.3.d)	dm
{-70}	{7. Examination outline(s) reviewed by NRC and feedback provided to facility licensee (C.2.h; C.3.e)}	dm
{-45}	8. Proposed examinations (including written, walk-through JPMs, and scenarios, as applicable), supporting documentation (including Forms ES-301-3, ES-301-4, ES-301-5, ES-301-6, and ES-401-6, and any Form ES-201-3 updates), and reference materials due (C.1.e, f, g and h; C.3.d)	dm
-30	9. Preliminary license applications (NRC Form 398's) due (C.1.i; C.2.g; ES-202)	dm
-14	10. Final license applications due and Form ES-201-4 prepared (C.1.i; C.2.i; ES-202)	dm
-14	11. Examination approved by NRC supervisor for facility licensee review (C.2.h; C.3.f)	dm
-14	12. Examinations reviewed with facility licensee (C.1.j; C.2.f and h; C.3.g)	dm
-7	13. Written examinations and operating tests approved by NRC supervisor (C.2.i; C.3.h)	dm
-7	14. Final applications reviewed; 1 or 2 (if >10) applications audited to confirm qualifications / eligibility; and examination approval and waiver letters sent (C.2.i; Attachment 4; ES-202, C.2.e; ES-204)	dm
-7	15. Proctoring/written exam administration guidelines reviewed with facility licensee (C.3.k)	dm
-7	16. Approved scenarios, job performance measures, and questions distributed to NRC examiners (C.3.i)	n/a
<p>* Target dates are generally based on facility-prepared examinations and are keyed to the examination date identified in the corporate notification letter. They are for planning purposes and may be adjusted on a case-by-case basis in coordination with the facility licensee. [Applies only] {Does not apply} to examinations prepared by the NRC.</p>		

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 8/7/06 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/7/06. From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. Stephen Johnson	Instructor / Exam Project Mgr	<i>Stephen Johnson</i>	2/20/06	<i>Stephen Johnson</i>	8/9/06	
2. Judson Bly	Exam Writer (Contract)	<i>Judson Bly</i>	2/20/06	<i>Judson Bly</i>	8/18/06	
3. MARK GOOLSBY	OPERATIONS SRO / REVIEWER	<i>Mark Goolsey</i>	4/13/06	<i>Mark Goolsey</i>	8/9/06	
4. Dave Fitzwater	Supervisor Nuclear Operations TRG	<i>Dave Fitzwater</i>	4/18/06	<i>Dave Fitzwater</i>	8/14/06	
5. Ross Galyan	OPS TRAINING	<i>Ross Galyan</i>	4-27-06	<i>Ross Galyan</i>	8-9-06	
6. Richard Reichert	Nuclear Quality Specialist	<i>Richard Reichert</i>	5/17/06	<i>Richard Reichert</i>	8/14/06	
7. Gregory Veith	Senior Nuclear Reactor Operator	<i>Gregory Veith</i>	5-23-06	<i>Gregory Veith</i>	8-20-06	CV-2-06-09-06
8. Kay Stangel	Process Assistant	<i>Kay Stangel</i>	5-23-06	<i>Kay Stangel</i>	8-9-06	
9. Alex Johnson	Operations Training Instructor	<i>Alex Johnson</i>	5/25/06	<i>Alex Johnson</i>	8/10/06	
10. Lee C. Bann	Instructor / Admin Review	<i>Lee C. Bann</i>	5/26/06	<i>Lee C. Bann</i>	8/9/06	
11. Randy Grese	US crew D	<i>Randy Grese</i>	5/26/06	<i>Randy Grese</i>	8/17/06	
12. Kevin Sings	RO crew A	<i>Kevin Sings</i>	5/26/06	<i>Kevin Sings</i>	8/17/06	
13. DAVID KAISER	STA CREW D	<i>David Kaiser</i>	5/26/06	<i>David Kaiser</i>	8/17/06	
14. William R Behrendt	WR Behrendt SM (Crew) WR Behrendt	<i>William R Behrendt</i>	5-26-06	<i>William R Behrendt</i>	8-18-06	
15. MARK LINDAHL	WCC SRO	<i>Mark Lindahl</i>	5-26-06	<i>Mark Lindahl</i>	8-14-06	

NOTES:

16. MARK JOHNSON	SCO CREW D	<i>Mark Johnson</i>	5-26-06	<i>Mark Johnson</i>	8-14-06	
17. CHRIS STANKE	NCO	<i>Chris Stanke</i>	26 May 2006	<i>Chris Stanke</i>	14 Aug 2006	

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PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. Roger Nicolai	Operations Training Supr	<i>Roger Nicolai</i>	6/8/06	<i>Roger Nicolai</i>	8/9/06	
2. Kris Shillin	Training Process Consultant	<i>Kris Shillin</i>	6/12/06	<i>Kris Shillin</i>	8/13/06	
3. Mike Meyer	OPS Training Instructor	<i>Mike Meyer</i>	6/12/06	<i>Mike Meyer</i>	8/9/06	
4. Frank Links	Mgr. Nuclear Trng	<i>Frank Links</i>	6/21/06	<i>Frank Links</i>	8/9/06	
5. Carl F. Irwin III	OPS trng instructor - SPS	<i>Carl F. Irwin III</i>	6/23/06			2
6. Phillip A. Short	Operations Training Supr	<i>Phillip A. Short</i>	6/26/06	<i>Phillip A. Short</i>	8/9/06	
7. Russell Joplin	ABMP OPS DRG	<i>Russell Joplin</i>	6/27/06			2
8. Randy Hastings	At-ops training instructor	<i>Randy Hastings</i>	7-9-6	<i>Randy Hastings</i>	8-9-6	
9. Bill Kirkpatrick	Ops Training	<i>Bill Kirkpatrick</i>	7-11-6	<i>Bill Kirkpatrick</i>	8-9-6	
10. Dave Nass	NCD Exam Validation	<i>Dave Nass</i>	7-20-6	<i>Dave Nass</i>	8-17-6	
11. Mike Hanrahan	NCO Exam Validation	<i>Mike Hanrahan</i>	7-20-6	<i>Mike Hanrahan</i>	8-17-6	
12. Tom Robb	STA Exam Validation	<i>Tom Robb</i>	7-20-6	<i>Tom Robb</i>	8-17-06	
13. DALE DYKSTRA	SM Exam Validation	<i>Dale Dykstra</i>	7-20-06	<i>Dale Dykstra</i>	8-17-06	
14. Mark Taylor	Ops Training	<i>Mark Taylor</i>	7/20/06	<i>Mark Taylor</i>	8/9/06	
15. Doug Schewinski	Ops Training	<i>Doug Schewinski</i>	7/22/06	<i>Doug Schewinski</i>	8/9/06	

NOTES:

1. Signoff is on attached facsimile sheet.

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 8/7/06 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

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PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. Roger Nicolai	Operations Training Supr	<i>Roger Nicolai</i>	8/9/06	<i>Roger Nicolai</i>	8/9/06
2. Rob Spillen	Training Bureau Assistant	<i>Rob Spillen</i>	8/12/06		
3. Mike Moya	Ops Training Instructor	<i>Mike Moya</i>	8/12/06	<i>Mike Moya</i>	8/12/06
4. Frank Links	Mgr. Nuclear Lab	<i>Frank Links</i>	8/21/06	<i>Frank Links</i>	8/21/06
5. Carl F. Irons III	Ops Trg instructor - SPS	<i>Carl F. Irons III</i>	8/23/06	<i>Carl F. Irons III</i>	8/17/06 1
6. Phillip A. Short	Operations Training Supervisor	<i>Phillip A. Short</i>	8/23/06	<i>Phillip A. Short</i>	8/19/06
7. Rudson Joplin	DAMP Ops mgr	<i>Rudson Joplin</i>	8/27/06		
8. Randy Hastings	Shift Ops training instructor	<i>Randy Hastings</i>	7-9-6	<i>Randy Hastings</i>	8-9-6
9. Bill Kirkpatrick	Ops Training	<i>Bill Kirkpatrick</i>	7-11-6	<i>Bill Kirkpatrick</i>	7-9-6
10. Dave Nass	NCI Exam validation	<i>Dave Nass</i>	7-20-6		
11. Mike Hawley	NCO Exam validation	<i>Mike Hawley</i>	7-20-6		
12. Tom Ross	STA Exam validation	<i>Tom Ross</i>	7-20-6		
13. Dale Dykstra	SM Exam validation	<i>Dale Dykstra</i>	7-20-06		
14. Mark Taylor	Ops Training	<i>Mark Taylor</i>	7/20/06	<i>Mark Taylor</i>	8/9/06
15. Steve Schumaker	Ops Training	<i>Steve Schumaker</i>	7/20/06	<i>Steve Schumaker</i>	8/9/06

NOTES:
 1. This is a facsimile for the post-exam signature for the individual on Line 5. This individual is a Surry Power station, and provided exam review during development. He was unable to return to Kewaunee Power Station to sign this sheet.

Examination Security Agreement

Form ES-201 (Rev. 11/05)

ES-201

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 8/21/06... I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC...

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 8/21/06... I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations...

Table with 6 columns: PRINTED NAME, JOB TITLE / RESPONSIBILITY, SIGNATURE (1), DATE, SIGNATURE (2), DATE NOTE. Contains 15 rows of handwritten entries for various staff members and their roles in the examination process.

NOTES:

- 1. Signoff is on attached facsimile sheet.
2. This is a facsimile for the post-exam signature for the person on Line 7.

1. Pre-Examination

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	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1.	Joe Smith	ILT (PA.) PROG ADMINISTRATOR <i>Exam Proctor</i>	<i>Joe Smith</i>	8/9/06	<i>Joe Smith</i>	8/9/06	
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NOTES:

Facility: Kewaunee Power Station		Date of Exam: 08/09/06		Exam Level: RO <input checked="" type="checkbox"/> SRO <input checked="" type="checkbox"/>		
Item Description	Initial			a	b*	c*
	a	b*	c*			
1. Questions and answers are technically accurate and applicable to the facility.				sf	MWB	sm
2. a. NRC K/As are referenced for all questions. b. Facility learning objectives are referenced as available.				sf	MWB	sm
3. SRO questions are appropriate in accordance with Section D.2.d of ES-401				sf	MWB	sm
4. The sampling process was random and systematic (If more than 4 RO or 2 SRO questions were repeated from the last 2 NRC licensing exams, consult the NRR OL program office).				sf	MWB	sm
5. Question duplication from the license screening/audit exam was controlled as indicated below (check the item that applies) and appears appropriate: ___ the audit exam was systematically and randomly developed; or ___ the audit exam was completed before the license exam was started; or ___ the examinations were developed independently; or <input checked="" type="checkbox"/> the licensee certifies that there is no duplication; or ___ other (explain)				sf	MWB	sm
6. Bank use meets limits (no more than 75 percent from the bank, at least 10 percent new, and the rest new or modified); enter the actual RO / SRO-only question distribution(s) at right.	Bank	Modified	New	sf	MWB	sm
	33/3	7/3	35/19			
7. Between 50 and 60 percent of the questions on the RO exam are written at the comprehension/ analysis level; the SRO exam may exceed 60 percent if the randomly selected K/As support the higher cognitive levels; enter the actual RO / SRO question distribution(s) at right.	Memory	C/A		sf	MWB	sm
	33/8	42/17				
8. References/handouts provided do not give away answers or aid in the elimination of distractors.				sf	MWB	sm
9. Question content conforms with specific K/A statements in the previously approved examination outline and is appropriate for the tier to which they are assigned; deviations are justified.				sf	MWB	sm
10. Question psychometric quality and format meet the guidelines in ES Appendix B.				sf	MWB	sm
11. The exam contains the required number of one-point, multiple choice items; the total is correct and agrees with the value on the cover sheet.				sf	MWB	sm
a. Author: Stephen Johnson / <u>Stephen Johnson</u> b. Facility Reviewer (*): Mark Goolsbey / <u>Mark Goolsbey</u> c. NRC Chief Examiner (#): <u>Dee McNeal</u> / <u>Dee McNeal</u> d. NRC Regional Supervisor: <u>BP for HP</u> / <u>Hirvoni Peterson</u>				Date: 6/19/06 6/19/06 6/22/06 8/2/06		
Note: * The facility reviewer's initials/signature are not applicable for NRC-developed examinations. # Independent NRC reviewer initial items in Column "c"; chief examiner concurrence required.						

Facility: Kewaunee Power Station Date of Exam: August 9, 2006 Exam Level: RO <input type="checkbox"/> SRO <input checked="" type="checkbox"/>			
Item Description	Initials		
	a	b	c
1. Clean answer sheets copied before grading	BP	n/a	bm
2. Answer key changes and question deletions justified and documented	BP	n/a	bm
3. Applicants' scores checked for addition errors (reviewers spot check > 25% of examinations)	BP	n/a	bm
4. Grading for all borderline cases (80 ±2% overall and 70 or 80, as applicable, ±4% on the SRO-only) reviewed in detail	BP	n/a	bm
5. All other failing examinations checked to ensure that grades are justified	BP	n/a	bm
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	BP	n/a	bm
	Printed Name/Signature		Date
a. Grader	B. Palagi/ <u><i>Bruce Palagi</i></u>		<u>9/25/06</u>
b. Facility Reviewer(*)	n/a		n/a
c. NRC Chief Examiner (*)	D. McNeil/ <u><i>D. McNeil</i></u>		<u>9/25/06</u>
d. NRC Supervisor (*)	H. Peterson/ <u><i>H. Peterson</i></u>		<u>9/25/06</u>
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.			