19-APR-06

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CC_NAME	NAME	DEPT	LOCATION
1 3 5 11 19 20 21 22 23 25 28 32 47 50 61 69 99 106 164 273 319 354 357 424 474 474 489 492 493 494 496 497 500 501 512 513	OPS PROCEDURE GROUP SUPV. PLANT MANAGER'S OFFICE CONTROL ROOM (FL) RES DEPARTMENT MANAGER STEWART ANN CHEMISTRY SUPERVISOR TSC (IP3) SHIFT MGR. (LUB-001-GEN) LIS SIMULATOR RESIDENT INSPECTOR EOF CHAPMAN N HAAS, CHERYL A. SIMULATOR CONROY PAT BARANSKI J (ALL) SIMULATOR INSTRUCT AREA CONTROL ROOM (FL) FAISON CHARLENE L.GRANT (LRQ-OPS TRAIN) L.GRANT (LRQ-OPS TRAIN) L.GRANT (LRQ-OPS/TRAIN) L.GRANT (LRQ-OPS TRAIN) L.GRANT (LRQ-OPS TRAIN)	OPS PROCEDURE GROUP UNIT 3 (UNIT 3/IPEC ONLY) OPS (3PT-D001/6 (IP3/IPEC) RES (UNIT 3/IPEC ONLY) LICENSING CHEMISTRY DEPARTMENT EEC BUILDING OPERATIONS (UNIT 3) LICENSING & INFO SERV TRAIN (UNIT 3/IPEC ONLY) US NRC 88' ELEVATION E-PLAN (ALL EP'S) BECHTEL WESTINGHOUSE ELECTRIC TRAIN (UNIT 3/IPEC ONLY) LICENSING/ROOM 205 ST. EMERG. MGMT. OFFICE TRG/3PT-D001/6 (IP3/IPEC) NUCLEAR LICENSING LRQ (UNIT 3/IPEC ONLY) LRQ (UNIT 3/IPEC ONLY) VRAINING - ILO CLASSES (UNIT 3/IPEC ONLY) TRAINING - ILO CLASSES (UNIT 3/IPEC ONLY) ENG., PLAN & MGMT INC PLANT SUPPORT TEAM OPERATIONS 72' ELEV 33 TURBIN DECK E-PLAN (EOP'S ONLY) LRQ (UNIT 3/IPEC ONLY)	IP2 IP2 IP3 45-4-A GSB-2D 45-4-A IP2 IP3 OFFSITE 48-2-A IP2 EOF OFFSITE 48-2-A GSB-2D OFFSITE 48-2-A GSB-2D OFFSITE 48-2-A GSB-2D OFFSITE 48-2-A GSB-2D OFFSITE 48-2-A GSB-2D OFFSITE 48-2-A GSB-2D OFFSITE 48-2-A GSB-2D OFFSITE 48-2-A GSB-2D OFFSITE 48-2-A GSB-2D OFFSITE 48-2-A H48 H48 H48 H48 H48 H48 H48 H48



Entergy IPEC SITE QUALITY RELATED MANAGEMENT ADMINISTRATIVE PROCEDURE				IP-SMM-AD-103 Revision 0		
	MANGAL	INFORMATIONAL US	E Page	13 of 21		
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ATTACHMENT 10.1	<u></u>	SMM CONTROLLED	DOCUMENT TRANSMITT	AL FORM		
SITE MANAGE	MENT MANUAL CONT	ROLLED DOCUMENT TR Page 1 of 1	ANSMITTAL FORM -	PROCEDURES		
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INDIAN POINT 3 TECHNICAL SPECIFICATION BASES

INSTRUCTIONS FOR UPDATE: 21-11/07/06

Pages are to be inserted into your controlled copy of the IP3 Technical Specifications Bases following the instructions listed below. The **TAB** notation indicates which section the pages are located.

Remove Page	Insert Page
TAB - List	Of Effective Sections
List of Effective Sections, Rev. 20	List of Effective Sections, Rev. 21
(5 pages)	(6 pages)
TAB 3.0 – LO	CO and SR Applicability
B 3.0, Rev. 2	B 3.0, Rev. 3
(16 pages)	(18 pages)

TECHNICAL SPECIFICATION BASES LIST OF EFFECTIVE SECTIONS

BASE	s	[NUMBER	EFFECTIVE		BASES		NUMBER	EFFECTIVE
SECTIO	DN R	EV	OF PAGES	DATE		SECTION	REV	OF PAGES	DATE
Thlof	Cnt	2	4	8/10/2005			B 3.6		
		B 2.0	SAFETY LIMITS	3		B 3.6.1	0	5	03/19/2001
B 2.1.1		1	4	06/03/2005		B 3.6.2	1	9	06/03/2005
B 2.1.2		1	4	06/03/2005		B 3.6.3	0	17	03/19/2001
<u> </u>	B 3.0 L	COA	ND SR APPLICA	BILITY		B 3.6.4	0	3	03/19/2001
B 3.0		3	18	11/07/2006		B 3.6.5	1	5	06/20/2003
E SAS	B 3	1.RE	ACTIVITY CONT	ROL	11	B 3.6.6	2	13	06/03/2005
B 3 1 1		1	6	06/03/2005	1	B 3.6.7	1	6	06/03/2005
B312		$\dot{0}$	7	03/19/2001		B 3.6.8	1	1	08/10/2005
B 3 1 3		1	7	10/27/2004		B 3.6 9	1	8	06/03/2005
B 3 1 4		$\dot{0}$	13	03/19/2001	1	B 3 6 10	2	12	00/16//2005
B 3 1 5		0	5	03/19/2001			<u> </u>		
B 3 1 6		0	6	03/19/2001	1	B371	2		
B 3 1 7	,	0	8	03/19/2001	1	B372	2	10	06/03/2005
B318	2	0	7	03/19/2001	1	B 3 7 2		7	05/19/2005
2000	B 3 2 P		RIDISTRIBUTION			B374	1		09/10/2001
B321	0.21		7	03/19/2001		D 3.7.4		4	08/10/2005
B 3 2 2	,	$\frac{1}{1}$	7	06/03/2005	1	D 3.7.5	3	9	06/10/2005
B 3 2 3		$\frac{1}{2}$	<u> </u>	03/19/2001	1	D 3.7.0	2	4	06/03/2005
B 3 2 4		0	7	03/19/2001	-	D 3.7.7		4 7	12/17/2004
D J.Z.7		2311		ON 637572001		B 3.7.0			06/03/2005
B331		<u>ວ:ວາ</u>	58	06/03/2005	8	B 3.7.9	2	9	06/03/2005
B 3 3 3	2	4	45	04/11/2005	-	B 3.7.10		3	06/03/2005
B 3 3 3	2	7	18	08/10/2005	┥	B 3.7.11	5	1	08/10/2005
B 3 3	<u></u>	1	6	08/10/2005	-	B 3.7.12		4	04/11/2005
B33F		1	6	10/27/2004	1	B 3.7.13	3	1	06/03/2005
B336		1	8	04/11/2005	-	D 3.7.14		5	04/11/2005
B 3 3	7	1	6	04/11/2005	-	B 3.7.15		5	03/19/2001
B 3 3 8	2	2	<u> </u>	06/03/2005	-	B 3.1.10		0	03/19/2001
0.0.0	B 3 4 B	2 EAC		SYSTEM	3				106/03/2005
B34	1	1	6		-	D 2 0 1			VER
B 3 4	2-1	0	3	03/19/2001	-	D 3.0.1	3	30	11/04/2005
B 3 4	3	2	<u> </u>	06/03/2005	-	D 3.0.2		1 12	03/19/2001
B 3 4	<u></u>	2	<u> </u>	03/19/2001	-	B 3.8.3		13	03/19/2001
B 3 / /	<u>-</u>	0	6	03/19/2001	-	B 3.8.4		11	01/22/2002
D 3.4.		1	6	06/03/2005	-	B 3.8.5	<u> </u>	4	03/19/2001
D 3.4.0	7	0	7	03/19/2003	-	B 3.8.6		8	03/19/2001
B 3 4	8	0	·····	03/19/2001	-	B 3.8.7		8	06/20/2003
D 3.4.		2	4 5	05/19/2001	-	B 3.8.8	$\frac{1}{2}$	4	06/20/2003
D 3.4.	3	0	5	03/19/2003	-	B 3.8.9	2	14	06/20/2003
B 3 4	11	1		08/10/2005	-	B 3.8.10			<u>103/19/2001</u>
B 3 4	12	2	10	08/10/2005	-		3.9 RE	FUELING OPERA	ATIONS
D 3.4.	12	2	6	06/03/2005	-	B 3.9.1		4	07/06/2006
D 3.4.	13	<u> </u>	10	03/10/2005	-	B 3.9.2		4	03/19/2001
D 3.4.	14	2		08/10/2001	-	B 3.9.3	$\frac{2}{2}$		06/03/2005
D 3.4.		<u> </u>	/ /	08/10/2005	-	B 3.9.4		4	03/19/2001
034	10	2			5	B 3.9.5		4	03/19/2001
D 2 C	4	4		10/07/0004	-	В 3.9.6	2	3	04/11/2005
B 3.5.		<u> </u>		10/27/2004	-				
B 3.5.	4	2	13	09/10/2005	-				
B 3.5.	3	- 1	4	08/10/2005	4				
1 15 3.5.	4	υ	1 9	103/19/2001					

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REVISION HISTORY FOR BASES

AFFECTED		EFFECTIVE	
SECTIONS	REV	DATE	DESCRIPTION
ALL	0	03/19/01	Initial issue of Bases derived from NUREG-1431, in conjunction with Technical Specification Amendment 205 for conversion of 'Current Technical Specifications' to 'Improved Technical Specifications'.
		BASES	UPDATE PACKAGE 01-031901
B 3.4.13 B 3.4.15	1	03/19/01	Changes regarding containment sump flow monitor per NSE 01-3-018 LWD Rev 0. Change issued concurrent with Rev 0.
		BASES	UPDATE PACKAGE 02-051801
Table of			
Contents	1	05/18/01	Title of Section B 3.7.3 revised per Tech Spec Amend 207
B 3.7.3	1	05/18/01	Implementation of Tech Spec Amend 207
		BASES	UPDATE PACKAGE 03-111901
B 3.3.2	1	11/19/01	Correction to statement regarding applicability of Function 5, to be consistent with the Technical Specification.
B 3.3.3	1	11/19/01	Changes to reflect reclassification of certain SG narrow range level instruments as QA Category M per NSE 97-3-439, Rev 1.
B 3.4.13 B 3.4.15	2	11/19/01	Changes to reflect installation of a new control room alarm for 'VC Sump Pump Running'. Changes per NSE 01-3- 018, Rev 1 and DCP 01-3-023 LWD.
			Clarification of allowable flowrate for CRVS in 'incident
<u>B 3.7.11</u>	<u> 1</u>	11/19/01	mode with outside air makeup."
	T	BASES	UPDATE PACKAGE 04-012202
В 3.3.2	2	01/22/02	Clarify starting logic of 32 ABEP per EVL-01-3-078 MULTI, Rev 0.
B 3.8,1	1	01/22/02	Provide additional guidance for SR 3.8.1.1 and Condition Statements A.1 and B.1 per EVL-01-3-078 MULTI, Rev 0.
B 3.8.4	1	01/22/02	Revision of battery design description per plant modification and to reflect Tech Spec Amendment 209.
B 3.8.9	1	01/22/02	Provide additional information regarding MCC in Table B 3.8.9-1 per EVL-01-3-078 MULTI, Rev 0.
		BASES	UPDATE PACKAGE 05-093002
B 3.0	1	09/30/02	Changes to reflect Tech Spec Amendment 212 regarding delay period for a missed surveillance. Changes adopt TSTF 358, Rev 6.
B 3.3.1	1	09/30/02	Changes regarding description of turbine runback feature per EVAL-99-3-063 NIS.
B 3.3.3	2	09/30/02	Changes to reflect Tech Spec Amendment 211 regarding CETs and other PAM instruments.
B 3.7.9	1	09/30/02	Changes regarding SWN -35-1 and -2 valves per EVAL-00-3-095 SWS, Rev 0.

AFFECTED		EFFECTIVE	
SECTIONS	REV	DATE	DESCRIPTION
		BASES	UPDATE PACKAGE 06-120402
B 3.3.2	3	12/04/02	Changes to reflect Tech Spec Amendment 213 regarding
B 3.6.6	1		1.4% power uprate.
B 3.7.1	1 .		
B 3.7.6	1		
	an de la	BASES	UPDATE PACKAGE 07-031703
B 3.3.8	1	03/17/2003	Changes to reflect Tech Spec Amendment 215 regarding
B 3.7.13	1		implementation of Alternate Source Term analysis
B 3.9.3	1		methodology to the Fuel Handling Accident.
		BASES	UPDATE PACKAGE 08-032803
B 3.4.9	1	03/28/2003	Changes to reflect Tech Spec Amendment 216 regarding
			relaxation of pressurizer level limits in MODE 3.
		BASES	UPDATE PACKAGE 09-062003
B 3.4.9	2	06/20/2003	Changes to reflect commitment for a dedicated operator
	ł		per Tech Spec Amendment 216.
B 3.6.5	1	06/20/2003	Implements Corrective Action 11 from CR-IP3-2002-
			02095: 4 FCUs should be in operation to assure
			representative measurement of containment air
			temperature.
B 3.7.11	2	06/20/2003	Correction to Background description regarding system
	_		response to Firestat detector actuation per ACT 02-62887.
B 3.7.13	2	06/20/2003	Revision to Background description of FSB air tempering
			units to reflect design change per DCP 95-3-142.
B 3.8.7	1	06/20/2003	Changes to reflect replacement of Inverter 34 per DCP-
B 3.8.8	1	06/20/2003	01-022
B 3.8.9	2	06/20/2003	
		BASES	UPDATE PACKAGE 10-102704
B 3.1.3	1	10/27/2004	Clarification of the surveillance requirements for TS 3.1.3
			per 50.59 screen.
B 3.3.5	1	10/27/2004	Clarify the requirements for performing a Trip Actuating
			Device Operational Test (TADOT) on the 480V degraded
			grid and undervoltage relays per 50.59 screen.
B 3.4.3	1	10/27/2004	Extension of the RCS pressure/temperature limits and
			corresponding OPS limits from 16.17 to 20 EFPY (TS
B 3.4.12	1		Amendment 220).
B 3.5.1	1	10/27/2004	Changes to reflect Tech Spec Amendment 222 regarding
			extension of completion time for Accumulators.
		BASES	UPDATE PACKAGE 11-121004
B 3.7.7	1	12/17/2004	Addition of valves CT-1300 and CT-1302 to Surveillance
			SR 3.7.7.2 to verify that all city water header supply
			isolation valves are open. Reflects Tech Spec
			Amendment 218.
		BASES	UPDATE PACKAGE 12-012405
B 3.7.11	3	01/24/2005	Temporary allowance for use of KI/SCBA for unfiltered
			inleakage above limit.

AFFECTED		EFFECTIVE	
SECTIONS	REV	DATE	DESCRIPTION
		BASES	UPDATE PACKAGE 13-022505
B 3.7.5	1	02/25/2005	Clarification on Surveillance Requirement 3.7.5.3 as it relates to plant condition/frequency of performance of Auxiliary Feedwater Pump full flow testing.
	24 24	BASES	UPDATE PACKAGE 14-030705
B 3.9.6	1	03/07/2005	Changes to reflect that the decay time prior to fuel movement is a minimum of 84 hours per Tech Spec Amendment 215.
		BASES	UPDATE PACKAGE 15-041105
B 3.3.2 B 3.3.6 B. 3.3.7 B 3.7.11 B 3.7.12 B 3.7.14 B 3.9.6	4 1 4 1 1 2	04/11/2005	Changes to reflect AST as per Tech Spec Amendment 224. NOTE: In addition to the AST changes to B. 3.7.11, the temporary allowance for use of KI/SCBA for unfiltered inleakage above limit is being removed. Tracer Gas testing is complete.
	et I	BASES	UPDATE PACKAGE 16-060305
B 2.1.1 B 2.1.2 B 3.1.1 B 3.2.2 B 3.3.1 B 3.3.8 B 3.4.1 B 3.4.3 B 3.4.6 B 3.4.9 B 3.4.6 B 3.4.9 B 3.4.16 B 3.4.16 B 3.5.2 B 3.6.2 B 3.6.2 B 3.6.2 B 3.6.2 B 3.6.7 B 3.6.9 B 3.6.10 B 3.7.1 B 3.7.2 B 3.7.5 B 3.7.5 B 3.7.6 B 3.7.8 B 3.7.10 B 3.7.10 B 3.7.17 B 3.9.3	1 1 1 2 2 1 2 1 3 3 1 1 1 2 1 1 2 1 2 1	06/03/2005	Changes to reflect SPU as per Tech Spec Amendment 225.

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AFFECTED		EFFECTIVE	
SECTIONS	REV	DATE	DESCRIPTION
		BASES	UPDATE PACKAGE 17-081005
TOC	2	08/10/2005	B 3.3.3, B 3.6.8 – Removal of Hydrogen Recombiners
B 3.0	2		from the bases as per Technical Specification Amendment 228. B 3.3.3 is also affected by Amendment 226.
В 3.3.3	3		B 3.7.11 - Add reference that if the primary coolant source of containment is in question, refer to ITS 5.5.2,
B 3.3.4	1		. ,
B 3.4.11	1		All other bases changes for this revision are associated with Technical Specification Amendment 226 regarding increase flexibility in Mode Restraints.
B 3.4.12	2		
D 2 4 15	2		
D 3.4.15	3		
B 3.4.16	2		
B353	1		
0.0.0			
B 3.6.8	1	t	
B 3.7.4	1		
0.075		1	
B 3.7.5	3		
B 3.7.11	5		
B381	2		
B 5.0.1	2		
		BASES	UPDATE PACKAGE 18-091605
B 3.5.2	2	09/16/2005	Reflect Implementation of ER-04-2-029 as part of Stretch Power Uprate (SPU) – HHSI Modification
B 3.6.10	2		
			Update LCO and Condition B to clarify required actions
	<u> </u>	DACES	Consistent with FSAR.
D 2 0 1	3	BASES	Include operability criteria for 129 W/ and 12 9 W/ offette
D 3.0.1	3	11/04/2005	circuits.
	1	BASES	UPDATE PACKAGE 20-070606
B 3.9.1	1	07/06/2006	Clarification on effective method for ensuring shutdown
	1		margin.



AFFECTED		EFFECTIVE	
SECTIONS	REV	DATE	DESCRIPTION
		BASES	UPDATE PACKAGE 21-110706
B 3.0	3	11/07/06	Reflect allowing a delay time for entering a supported system TS when the inoperability is due solely to an inoperable snubber, if risk is assessed and managed. Limiting Condition of Operation 3.0.8 is added to provide this allowance and define the requirements and limitations of its use. (Amendment 229)

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B 3.0 LIMITING CONDITION FOR OPERATION (LCO) APPLICABILITY

BASES	
LCOs	LCO 3.0.1 through LCO 3.0.8 establish the general requirements applicable to all Specifications and apply at all times, unless otherwise stated.
LCO 3.0.1	LCO 3.0.1 establishes the Applicability statement within each individual Specification as the requirement for when the LCO is required to be met (i.e., when the unit is in the MODES or other specified conditions of the Applicability statement of each Specification).
LCO 3.0.2	LCO 3.0.2 establishes that upon discovery of a failure to meet an LCO, the associated ACTIONS shall be met. The Completion Time of each Required Action for an ACTIONS Condition is applicable from the point in time that an ACTIONS Condition is entered. The Required Actions establish those remedial measures that must be taken within specified Completion Times when the requirements of an LCO are not met. This Specification establishes that:
	a. Completion of the Required Actions within the specified Completion Times constitutes compliance with a Specification; and
	b. Completion of the Required Actions is not required when an LCO is met within the specified Completion Time, unless otherwise specified.
	There are two basic types of Required Actions. The first type of Required Action specifies a time limit in which the LCO must be met. This time limit is the Completion Time to restore an inoperable system or component to OPERABLE status or to restore variables to within specified limits. If this type of Required Action is not completed within the specified Completion Time, a shutdown may be required to place the unit in a MODE or condition in which the Specification is not applicable. (Whether stated as a Required Action or not, correction of the entered Condition is an action that may always be considered upon entering ACTIONS.)

LCO 3.0.2 The second type of Required Action specifies the remedial (continued) measures that permit continued operation of the unit that is not further restricted by the Completion Time. In this case, compliance with the Required Actions provides an acceptable level of safety for continued operation.

> Completing the Required Actions is not required when an LCO is met or is no longer applicable, unless otherwise stated in the individual Specifications.

The nature of some Required Actions of some Conditions necessitates that, once the Condition is entered, the Required Actions must be completed even though the associated Conditions no longer exist. The individual LCO's ACTIONS specify the Required Actions where this is the case. An example of this is in LCO 3.4.3, "RCS Pressure and Temperature (P/T) Limits."

The Completion Times of the Required Actions are also applicable when a system or component is removed from service intentionally. The reasons for intentionally relying on the ACTIONS include, but are not limited to, performance of Surveillances, preventive maintenance, corrective maintenance, or investigation of operational problems. Entering ACTIONS for these reasons must be done in a manner that does not compromise safety. Intentional entry into ACTIONS should not be made for operational convenience. Additionally, if intentional entry into ACTIONS would result in redundant equipment being inoperable, alternatives should be used instead. Doing so limits the time both subsystems/trains of a safety function are inoperable and limits the time conditions exist which may result in LCO 3.0.3 being entered. Individual Specifications may specify a time limit for performing an SR when equipment is removed from service or bypassed for testing. In this case, the Completion Times of the Required Actions are applicable when this time limit expires, if the equipment remains removed from service or bypassed.

When a change in MODE or other specified condition is required to comply with Required Actions, the unit may enter a MODE or other specified condition in which another Specification becomes applicable.

- LCO 3.0.2 In this case, the Completion Times of the associated Required (continued) Actions would apply from the point in time that the new Specification becomes applicable, and the ACTIONS Condition(s) are entered.
- LCO 3.0.3 LCO 3.0.3 establishes the actions that must be implemented when an LCO is not met and:
 - a. An associated Required Action and Completion Time is not met and no other Condition applies; or
 - b. The condition of the unit is not specifically addressed by the associated ACTIONS. This means that no combination of Conditions stated in the ACTIONS can be made that exactly corresponds to the actual condition of the unit. Sometimes, possible combinations of Conditions are such that entering LCO 3.0.3 is warranted; in such cases, the ACTIONS specifically state a Condition corresponding to such combinations and also that LCO 3.0.3 be entered immediately.

This Specification delineates the time limits for placing the unit in a safe MODE or other specified condition when operation cannot be maintained within the limits for safe operation as defined by the LCO and its ACTIONS. It is not intended to be used as an operational convenience that permits routine voluntary removal of redundant systems or components from service in lieu of other alternatives that would not result in redundant systems or components being inoperable.

Upon entering LCO 3.0.3, 1 hour is allowed to prepare for an orderly shutdown before initiating a change in unit operation. This includes time to permit the operator to coordinate the reduction in electrical generation with the load dispatcher to ensure the stability and availability of the electrical grid. The time limits specified to reach lower MODES of operation permit the shutdown to proceed in a controlled and orderly manner that is well within the specified maximum cooldown rate and within the capabilities of the unit, assuming that only the minimum required equipment is OPERABLE.

LCO 3.0.3 This reduces thermal stresses on components of the Reactor (continued) Coolant System and the potential for a plant upset that could challenge safety systems under conditions to which this Specification applies. The use and interpretation of specified times to complete the actions of LCO 3.0.3 are consistent with the discussion of Section 1.3, Completion Times.

A unit shutdown required in accordance with LCO 3.0.3 may be terminated and LCO 3.0.3 exited if any of the following occurs:

- a. The LCO is now met.
- b. A Condition exists for which the Required Actions have now been performed.
- c. ACTIONS exist that do not have expired Completion Times. These Completion Times are applicable from the point in time that the Condition is initially entered and not from the time LCO 3.0.3 is exited.

The time limits of Specification 3.0.3 allow 37 hours for the unit to be in MODE 5 when a shutdown is required during MODE 1 operation. If the unit is in a lower MODE of operation when a shutdown is required, the time limit for reaching the next lower MODE applies. If a lower MODE is reached in less time than allowed, however, the total allowable time to reach MODE 5, or other applicable MODE, is not reduced. For example, if MODE 3 is reached in 2 hours, then the time allowed for reaching MODE 4 is the next 11 hours, because the total time for reaching MODE 4 is not reduced from the allowable limit of 13 hours. Therefore, if remedial measures are completed that would permit a return to MODE 1, a penalty is not incurred by having to reach a lower MODE of operation in less than the total time allowed.

In MODES 1, 2, 3, and 4, LCO 3.0.3 provides actions for Conditions not covered in other Specifications. The requirements of LCO 3.0.3 do not apply in MODES 5 and 6 because the unit is already in the most restrictive Condition required by LCO 3.0.3. The requirements of LCO 3.0.3 do not apply in other specified conditions of the Applicability (unless in MODE 1, 2, 3, or 4) because the ACTIONS of individual Specifications sufficiently define the remedial measures to be taken.



LCO 3.0.3 (continued) Exceptions to LCO 3.0.3 are provided in instances where requiring a unit shutdown, in accordance with LCO 3.0.3, would not provide appropriate remedial measures for the associated condition of the unit. An example of this is in LCO 3.7.14, "Spent Fuel Pit Water Level." LCO 3.7.14 has an Applicability of "During movement of irradiated fuel assemblies in the Spent Fuel Pit." Therefore, this LCO can be applicable in any or all MODES. If the LCO and the Required Actions of LCO 3.7.14 are not met while in MODE 1, 2, or 3, there is no safety benefit to be gained by placing the unit in a shutdown condition. The Required Action of LCO 3.7.14 of "Suspend movement of irradiated fuel assemblies in the Spent Fuel Pit" is the appropriate Required Action to complete in lieu of the actions of LCO 3.0.3. These exceptions are addressed in the individual Specifications.

LCO 3.0.4

LCO 3.0.4 establishes limitations on changes in MODES or other specified conditions in the Applicability when an LCO is not met. It allows placing the unit in a MODE or other specified condition stated in that Applicability (e.g., the Applicability desired to be entered) when unit conditions are such that the requirements of the LCO would not be met, in accordance with LCO 3.0.4.a, LCO 3.0.4.b, or LCO 3.0.4.c.

LCO 3.0.4.a allows entry into a MODE or other specified condition in the Applicability with the LCO not met when the associated ACTIONS to be entered permit continued operation in the MODE or other specified condition in the Applicability for an unlimited period of time. Compliance with Required Actions that permit continued operation of the unit for an unlimited period of time in a MODE or other specified condition provides an acceptable level of safety for continued operation. This is without regard to the status of the unit before or after the MODE change. Therefore, in such cases, entry into a MODE or other specified condition in the Applicability may be made in accordance with the provisions of the Required Actions.

LCO 3.0.4.b allows entry into a MODE or other specified condition in the Applicability with the LCO not met after performance of a risk assessment addressing inoperable systems and components, consideration of the results, determination of the acceptability of entering the MODE or other specified condition in the Applicability, and establishment of risk management actions, if appropriate.

LCO 3.0.4 (continued) The risk assessment may use quantitative, qualitative, or blended approaches, and the risk assessment will be conducted using the plant program, procedures, and criteria in place to implement 10 CFR 50.65(a)(4), which requires that risk impacts of maintenance activities to be assessed and managed. The risk assessment, for the purposes of LCO 3.0.4(b), must take into account all inoperable Technical Specification equipment regardless of whether the equipment is included in the normal 10 CFR 50.65(a)(4) risk assessment scope. The risk assessments will be conducted using the procedures and guidance endorsed by Regulatory guide 1.182, "Assessing and Managing Risk Before Maintenance Activities at Nuclear Power Plants." Regulatory Guide 1.182 endorses the guidance in Section 11 of NUMARC 93-01, "Industry Guideline for Monitoring the Effectiveness of Maintenance at Nuclear Power Plants." These documents address general guidance for conduct of the risk assessment, quantitative and qualitative guidelines for establishing risk management actions, and example risk management actions. These include actions to plan and conduct other activities in a manner that controls overall risk, increased risk awareness by shift and management personnel, actions to reduce the duration of the condition, actions to minimize the magnitude of risk increases (establishment of backup success paths or compensatory measures), and determination that the proposed MODE change is acceptable. Consideration should also be given to the probability of completing restoration such that the requirements of the LCO would be met prior to the expiration of ACTIONS Completion Times that would require exiting the Applicability.

LCO 3.0.4.b may be used with single, or multiple systems and components unavailable. NUMARC 93-01 provides guidance relative to consideration of simultaneous unavailability of multiple systems and components.

The results of the risk assessment shall be considered in determining the acceptability of entering the MODE or other specified condition in the Applicability, and any corresponding risk management actions. The LCO 3.0.4.b risk assessments do not have to be documented.

The Technical Specifications allow continued operation with equipment unavailable in MODE 1 for the duration of the Completion Time. Since this is allowable, and since in general the risk impact in that particular MODE bounds the risk of transitioning into and through the applicable MODES or other specified conditions in the Applicability of the LCO, the use of the LCO 3.0.4.b allowance

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LCO 3.0.4 should be generally acceptable, as long as the risk assessed and (continued) managed as stated above. However, there is a small subset of systems and components that have been determined to be more important to risk and the use of the LCO 3.0.4.b allowance is prohibited. The LCOs governing these systems and components contain Notes prohibiting the use of LCO 3.0.4.b by stating that LCO 3.0.4.b is not applicable.

LCO 3.0.4.c allows entry into a MODE or other specified condition in the Applicability with the LCO not met based on a Note in the Specification which states LCO 3.0.4.c is applicable. These specific allowances permit entry into MODES or other specified conditions in the Applicability when the associated ACTIONS to be entered do not provide for continued operation for an unlimited period of time and a risk assessment has not been performed. This allowance may apply to all the ACTIONS or to a specific Required Action of a Specification. The risk assessments performed to justify the use of LCO 3.0.4.b usually only consider systems and components. For this reason, LCO 3.0.4.c is typically applied to Specifications which describe values and parameters (e.g., RCS specific activity), and may be applied to other Specifications based on NRC plant-specific approval.

The provisions of this Specification should not be interpreted as endorsing the failure to exercise the good practice of restoring systems or components to OPERABLE status before entering an associated MODE or other specified condition in the Applicability.

The provisions of LCO 3.0.4 shall not prevent changes in MODES or other specified conditions in the Applicability that are required to comply with ACTIONS. In addition, the provisions of LCO 3.0.4 shall not prevent changes in MODES or other specified conditions in the Applicability that result from any unit shutdown. In this context, a unit shutdown is defined as a change in MODE or other specified condition in the Applicability associated with transitioning from MODE 1 to MODE 2, MODE 2 to MODE 3, MODE 3 to MODE 4, and MODE 4 to MODE 5.

Upon entry into a MODE or other specified condition in the Applicability with the LCO not met, LCO 3.0.1 and LCO 3.0.2 require entry in to the applicable Conditions and Required Actions until the Condition is resolved, until the LCO is met, or until the unit is not within the Applicability of the Technical Specification.

LCO 3.0.4 Surveillances do not have to be performed on the associated (continued) inoperable equipment (or on variables outside the specified limits), as permitted by SR 3.0.1. Therefore, utilizing LCO 3.0.4 is not a violation of SR 3.0.1 or SR 3.0.4 for any Surveillances that have not been performed on inoperable equipment. However, SRs must be met to ensure OPERABILITY prior to declaring the associated equipment OPERABLE (or variable within limits) and restoring compliance with the affected LCO.

LCO 3.0.5 LCO 3.0.5 establishes the allowance for restoring equipment to service under administrative controls when it has been removed from service or declared inoperable to comply with ACTIONS. The sole purpose of this Specification is to provide an exception to LCO 3.0.2 (e.g., to not comply with the applicable Required Action(s)) to allow the performance of required testing to demonstrate:

- a. The OPERABILITY of the equipment being returned to service; or
- b. The OPERABILITY of other equipment.

The administrative controls ensure the time the equipment is returned to service in conflict with the requirements of the ACTIONS is limited to the time absolutely necessary to perform the required testing to demonstrate OPERABILITY. This Specification does not provide time to perform any other preventive or corrective maintenance.

An example of demonstrating the OPERABILITY of the equipment being returned to service is reopening a containment isolation valve that has been closed to comply with Required Actions and must be reopened to perform the SRs.

An example of demonstrating the OPERABILITY of other equipment is taking an inoperable channel or trip system out of the tripped condition to prevent the trip function from occurring during the performance of required testing on another channel in the other trip system. A similar example of demonstrating the OPERABILITY of other equipment is taking an inoperable channel or trip system out of the tripped condition to permit the logic to function and indicate the appropriate response during the performance of required testing on another channel in the same trip system.

LCO 3.0.6 LCO 3.0.6 establishes an exception to LCO 3.0.2 for support systems that have an LCO specified in the Technical Specifications (TS). This exception is provided because LCO 3.0.2 would require that the Conditions and Required Actions of the associated inoperable supported system LCO be entered solely due to the inoperability of the support system. This exception is justified because the actions that are required to ensure the unit is maintained in a safe condition are specified in the support system LCO's Required Actions. These Required Actions may include entering the supported system's Conditions and Required Actions or may specify other Required Actions.

When a support system is inoperable and there is an LCO specified for it in the TS, the supported system(s) are required to be declared inoperable if determined to be inoperable as a result of the support system inoperability. However, it is not necessary to enter into the supported systems' Conditions and Required Actions unless directed to do so by the support system's Required Actions. The potential confusion and inconsistency of requirements related to the entry into multiple support and supported systems' LCOs' Conditions and Required Actions are eliminated by providing all the actions that are necessary to ensure the unit is maintained in a safe condition in the support system's Required Actions.

However, there are instances where a support system's Required Action may either direct a supported system to be declared inoperable or direct entry into Conditions and Required Actions for the supported system. This may occur immediately or after some specified delay to perform some other Required Action. Regardless of whether it is immediate or after some delay, when a support system's Required Action directs a supported system to be declared inoperable or directs entry into Conditions and Required Actions for a supported system, the applicable Conditions and Required Actions shall be entered in accordance with LCO 3.0.2.

Specification 5.5.14, "Safety Function Determination Program (SFDP)," ensures loss of safety function is detected and appropriate actions are taken. Upon entry into LCO 3.0.6, an evaluation shall be made to determine if loss of safety function exists. Additionally, other limitations, remedial actions, or compensatory actions may be identified as a result of the support system inoperability and corresponding exception to entering supported

LCO 3.0.6 system Conditions and Required Actions. The SFDP implements the requirements of LCO 3.0.6. Cross train checks to identify a loss of safety function for those support systems that support multiple and redundant safety systems are required. The cross train check verifies that the supported systems of the redundant OPERABLE support system are OPERABLE, thereby ensuring safety function is retained. If this evaluation determines that a loss of safety function exists, the appropriate Conditions and Required Actions of

be entered.

LCO 3.0.7 There are certain special tests and operations required to be performed at various times over the life of the unit. These special tests and operations are necessary to demonstrate select unit performance characteristics, to perform special maintenance activities, and to perform special evolutions. Test Exception LCOs, such as LCO 3.1.8, allow specified Technical Specification (TS) requirements to be changed to permit performances of these special tests and operations, which otherwise could not be performed if required to comply with the requirements of these TS. Unless otherwise specified, all the other TS requirements remain unchanged. This will ensure all appropriate requirements of the MODE or other specified condition not directly associated with or required to be changed to perform the special test or operation will remain in effect.

> The Applicability of a Test Exception LCO represents a condition not necessarily in compliance with the normal requirements of the TS. Compliance with Test Exception LCOs is optional. A special operation may be performed either under the provisions of the appropriate Test Exception LCO or under the other applicable TS requirements. If it is desired to perform the special operation under the provisions of the Test Exception LCO, the requirements of the Test Exception LCO shall be followed.

the LCO in which the loss of safety function exists are required to

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LCO 3.0.8 LCO 3.0.8 establishes conditions under which systems are considered to remain capable of performing their intended safety function when associated snubbers are not capable of providing their associated support function(s). This LCO states that the supported system is not considered to be inoperable solely due to one or more snubbers not capable of performing their associated support function(s). This is appropriate because a limited length of time is allowed for maintenance, testing, or repair of one or more snubbers not capable of performing their associated support function(s) and appropriate compensatory measures are specified in the snubber requirements, which are located outside of the Technical Specifications (TS) under licensee control. The snubber requirements do not meet the criteria in 10 CFR 50.36(c)(2)(ii), and, as such, are appropriate for control by the licensee.

If the allowed time expires and the snubber(s) are unable to perform their associated support function(s), the affected supported system's LCO(s) must be declared not met and the Conditions and Required Actions entered in accordance with LCO 3.0.2.

LCO 3.0.8.a applies when one or more snubbers are not capable of providing their associated support function(s) to a single train or subsystem of a multiple train or subsystem supported system or to a single train or subsystem supported system. LCO 3.0.8.a allows 72 hours to restore the snubber(s) before declaring the supported system inoperable. The 72 hour Completion Time is reasonable based on the low probability of a seismic event concurrent with an event that would require operation of the supported system occurring while the snubber(s) are not capable of performing their associated support function and due to the availability of the redundant train of the supported system.

LCO 3.0.8.b applies when one or more snubbers are not capable of providing their associated support function(s) to more than one train or subsystem of a multiple train or subsystem supported system. LCO 3.0.8.b allows 12 hours to restore the snubber(s) before declaring the supported system inoperable. The 12 hour Completion Time is reasonable based on the low probability of a seismic event concurrent with an event that would require operation of the supported system occurring while the snubber(s) are not capable of performing their associated support function.

LCO 3.0.8 requires that risk be assessed and managed. Industry and NRC guidance on the implementation of 10 CFR 50.65(a)(4) (the Maintenance Rule) does not address seismic risk. However, use of LCO 3.0.8 should be considered with respect to other plant maintenance activities, and

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LCO 3.0.8 integrated into the existing Maintenance Rule process to the extent (continued) possible so that maintenance on any unaffected train or subsystem is properly controlled, and emergent issues are properly addressed. The risk assessment need not be quantified, but may be a qualitative awareness of the vulnerability of systems and components when one or more snubbers are not able to perform their associated support function.

B 3.0 SURVEILLANCE REQUIREMENT (SR) APPLICABILITY

SRs	SR 3.0.1 through SR 3.0.4 establish the general requirements applicable to all Specifications and apply at all times, unless otherwise stated.
SR 3.0.1	SR 3.0.1 establishes the requirement that SRs must be met during the MODES or other specified conditions in the Applicability for which the requirements of the LCO apply, unless otherwise specified in the individual SRs. This Specification is to ensure that Surveillances are performed to verify the OPERABILITY of systems and components, and that variables are within specified limits. Failure to meet a Surveillance within the specified Frequency, in accordance with SR 3.0.2, constitutes a failure to meet an LCO.
	Systems and components are assumed to be OPERABLE when the associate SRs have been met. Nothing in this Specification, however, is to be construed as implying that systems or components are OPERABLE when:
	a. The systems or components are known to be inoperable, although still meeting the SRs; or
	b. The requirements of the Surveillance(s) are known not to be me between required Surveillance performances.
	Surveillances do not have to be performed when the unit is in a MOD or other specified condition for which the requirements of the associated LCO are not applicable, unless otherwise specified. The SRs associated with a test exception are only applicable when the test exception is used as an allowable exception to the requirement of a Specification.
	Unplanned events may satisfy the requirements (including applicable acceptance criteria) for a given SR. In this case, the unplanned event may be credited as fulfilling the performance of the SR.

SR 3.0.1 This allowance includes those SRs whose performance is normally (continued) precluded in a given MODE or other specified condition.

Surveillances, including Surveillances invoked by Required Actions, do not have to be performed on inoperable equipment because the ACTIONS define the remedial measures that apply. Surveillances have to be met and performed in accordance with SR 3.0.2, prior to returning equipment to OPERABLE status.

Upon completion of maintenance, appropriate post maintenance testing is required to declare equipment OPERABLE. This includes ensuring applicable Surveillances are not failed and their most recent performance is in accordance with SR 3.0.2. Post maintenance testing may not be possible in the current MODE or other specified conditions in the Applicability due to the necessary unit parameters not having been established. In these situations, the equipment may be considered OPERABLE provided testing has been satisfactorily completed to the extent possible and the equipment is not otherwise believed to be incapable of performing its function. This will allow operation to proceed to a MODE or other specified condition where other necessary post maintenance tests can be completed.

SR 3.0.2 SR 3.0.2 establishes the requirements for meeting the specified Frequency for Surveillances and any Required Action with a Completion Time that requires the periodic performance of the Required Action on a "once per . . ." interval.

SR 3.0.2 permits a 25% extension of the interval specified in the Frequency. This extension facilitates Surveillance scheduling and considers plant operating conditions that may not be suitable for conducting the Surveillance (e.g., transient conditions or other ongoing Surveillance or maintenance activities).

The 25% extension does not significantly degrade the reliability that results from performing the Surveillance at its specified Frequency. This is based on the recognition that the most probable result of any particular Surveillance being performed is the verification of conformance with the SRs.

SR 3.0.2 The exceptions to SR 3.0.2 are those Surveillances for which the (continued) 25% extension of the interval specified in the Frequency does not apply. These exceptions are stated in the individual Specifications. An example of where SR 3.0.2 does not apply is a Surveillance with a Frequency of "in accordance with 10 CFR 50, Appendix J, as modified by approved exemptions." The requirements of regulations take precedence over the TS. The TS cannot in and of themselves extend a test interval specified in the regulations. Therefore, there is a Note in the Frequency stating, "SR 3.0.2 is not applicable."

> As stated in SR 3.0.2, the 25% extension also does not apply to the initial portion of a periodic Completion Time that requires performance on a "once per ..." basis. The 25% extension applies to each performance after the initial performance. The initial performance of the Required Action, whether it is a particular Surveillance or some other remedial action, is considered a single action with a single Completion Time. One reason for not allowing the 25% extension to this Completion Time is that such an action usually verifies that no loss of function has occurred by checking the status of redundant or diverse components or accomplishes the function of the inoperable equipment in an alternative manner.

The provisions of SR 3.0.2 are not intended to be used repeatedly merely as an operational convenience to extend Surveillance intervals (other than those consistent with refueling intervals) or periodic Completion Time intervals beyond those specified.

SR 3.0.3 SR 3.0.3 establishes the flexibility to defer declaring affected equipment inoperable or an affected variable outside the specified limits when a Surveillance has not been completed within the specified Frequency. A delay period of up to 24 hours or up to the limit of the specified Frequency, whichever is greater, applies from the point in time that it is discovered that the Surveillance has not been performed in accordance with SR 3.0.2, and not at the time that the specified Frequency was not met.

This delay period provides adequate time to complete Surveillances that have been missed.

SR 3.0.3 (continued) This delay period permits the completion of a Surveillance before complying with Required Actions or other remedial measures that might preclude completion of the Surveillance.

The basis for this delay period includes consideration of unit conditions, adequate planning, availability of personnel, the time required to perform the Surveillance, the safety significance of the delay in completing the required Surveillance, and the recognition that the most probable result of any particular Surveillance being performed is the verification of conformance with the requirements. When a Surveillance with a Frequency based not on time intervals, but upon specified unit conditions, operating situations, or requirements of regulations (e.g., prior to entering MODE 1 after each fuel loading, or in accordance with 10 CFR 50, Appendix J, as modified by approved exemptions, etc.) is discovered to not have been performed when specified, SR 3.0.3 allows for the full delay period of up to the specified Frequency to perform the Surveillance. However, since there is not a time interval specified, the missed Surveillance should be performed at the first reasonable opportunity.

SR 3.0.3 provides a time limit for, and allowances for the performance of, Surveillances that become applicable as a consequence of MODE changes imposed by Required Actions.

Failure to comply with specified Frequencies for SRs is expected to be an infrequent occurrence. Use of the delay period established by SR 3.0.3 is a flexibility which is not intended to be used as an operational convenience to extend Surveillance intervals.

While up to 24 hours or the limit of the specified Frequency is provided to perform the missed Surveillance, it is expected that the missed Surveillance will be performed at the first reasonable opportunity. The determination of the first reasonable opportunity should include consideration of the impact on plant risk (from delaying the Surveillance as well as any plant configuration changes required or shutting the plant down to perform the Surveillance) and impact on any analysis assumptions, in addition to unit conditions, planning, availability of personnel, and the time required to perform the Surveillance. This risk impact should be managed through the program in place to implement 10 CFR 50.56(a)(4) and its implementation guidance, NRC Regulatory Guide 1.182, 'Assessing and Managing Risk Before Maintenance Activities at Nuclear Power Plants.' This Regulatory Guide addresses consideration of temporary and

SR 3.0.3 (continued) aggregate risk impacts, determination of risk management action thresholds, and risk management action up to and including plant shutdown. The missed Surveillance should be treated as an emergent condition as discussed in the Regulatory Guide. The risk evaluation may use quantitative, qualitative, or blended methods. The degree of depth and rigor of the evaluation should be commensurate with the importance of the component. Missed Surveillances for important components should be analyzed quantitatively. If the results of the risk evaluation determine the risk increase is significant, this evaluation should be used to determine the safest course of action. All missed Surveillances will be placed in the licensee's Corrective Action Program.

> If a Surveillance is not completed within the allowed delay period, then the equipment is considered inoperable or the variable is considered outside the specified limits and the Completion Times of the Required Actions for the applicable LCO Conditions begin immediately upon expiration of the delay period. If a Surveillance is failed within the delay period, then the equipment is inoperable, or the variable is outside the specified limits and the Completion Times of the Required Actions for the applicable LCO Conditions begin immediately upon the failure of the Surveillance.

Completion of the Surveillance within the delay period allowed by this Specification, or within the Completion Time of the ACTIONS, restores compliance with SR 3.0.1.

SR 3.0.4 SR 3.0.4 establishes the requirement that all applicable SRs must be met before entry into a MODE or other specified condition in the Applicability.

This Specification ensures that system and component OPERABILITY requirements and variable limits are met before entry into MODES or other specified conditions in the Applicability for which these systems and components ensure safe operation of the unit. The provisions of this Specification should not be interpreted as endorsing the failure to exercise the good practice of restoring systems or components to OPERABLE status before entering an associated MODE or other specified condition in the Applicability.

A provision is included to allow entry into a MODE or other specified condition in the Applicability when an LCO is not met due to Surveillance not being met in accordance with LCO 3.0.4.

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However, in certain circumstances, failing to meet an SR will not result in SR 3.0.4 restricting a MODE change or other specified condition change. When a system, subsystem, division, component, device, or variable is inoperable or outside its specified limits, the associated SR(s) are not required to be performed, per SR 3.0.1, which states that Surveillances do not have to be performed on inoperable equipment. When equipment is inoperable, SR 3.0.4 does not apply to the associated SR(s) since the requirement for the SR(s)to be performed is removed. Therefore, failing to perform the Surveillance(s) within the specified Frequency does not result in an SR 3.0.4 restriction to changing MODES or other specified conditions of the Applicability. However, since the LCO is not met in this instance, LCO 3.0.4 will govern any restrictions that my (or may not) apply to MODE or other specified condition changes. SR 3.0.4 does not restrict changing MODES or other specified conditions of the Applicability when a Surveillance has not been performed within the specified Frequency, provided the requirement to declare the LCO not met has been delayed in accordance with SR 3.0.3.

The provisions of SR 3.0.4 shall not prevent entry into MODES or other specified conditions in the Applicability that are required to comply with ACTIONS. In addition, the provisions of SR 3.0.4 shall not prevent changes in MODES or other specified conditions in the Applicability that result from any unit shutdown. In this context a unit shutdown is defined as a change in MODE or other specified condition in the Applicability associated with transitioning from MODE 1 to MODE 2, MODE 2 to MODE 3, MODE 3 to MODE 4, and MODE 4 to MODE 5.

The precise requirements for performance of SRs are specified such that exceptions to SR 3.0.4 are not necessary. The specific time frames and conditions necessary for meeting the SRs are specified in the Frequency, in the Surveillance, or both. This allows performance of Surveillances when the prerequisite condition(s) specified in a Surveillance procedure require entry in the MODE or other specified condition in the Applicability of the associated LCO prior to the performance or completion of a Surveillance. A Surveillance that could not be performed until after entering the LCO's Applicability, would have its Frequency specified such that it is not "due" until the specific conditions needed are met. Alternately, the Surveillance may be stated in the form of a Note, as not required (to be met or performed) until a particular event, condition, or time has been reached. Further discussion of the specific formats of SRs' annotation is found in Section 1.4, Frequency.

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