

NRC FORM 7
(6-2006)
10 CFR 110

U.S. NUCLEAR REGULATORY COMMISSION

APPROVED BY OMB: NO. 3150-0027

EXPIRES: 06/30/2009

APPLICATION FOR NRC EXPORT/IMPORT LICENSE, AMENDMENT, OR RENEWAL

(See Instructions on Page 5)

Estimated burden per response to comply with this mandatory collection request: 2.4 hours. This submittal is reviewed to ensure that the applicable statutory, regulatory, and policy considerations are satisfied. Send comments regarding burden estimate to the Records and FOIA/Privacy Services Branch (T-5 F52), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by internet e-mail to infocollect@nrc.gov, and to the Desk Officer, Office of Information and Regulatory Affairs, NE08-10202, (3150-0027), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

PART A. FOR NRC USE ONLY		<input type="checkbox"/> PUBLIC OR <input type="checkbox"/> NON-PUBLIC	DATE RECEIVED 10-16-06
LICENSE NUMBER XMAT0401-02	DOCKET NUMBER 11005266	ADAMS ACCESSION NUMBER	

PART B. TO BE COMPLETED FOR ALL LICENSES, AMENDMENTS, OR RENEWALS

(If more space is needed to complete any of the items, use Pages 3-4 first, and then attach additional sheets, if necessary.)

1. NAME AND ADDRESS OF APPLICANT/LICENSEE Sigma-Aldrich Corp. and subsidiaries 6000 N. Teutonia Avenue Milwaukee, WI 53209 Attn: James A Sanders	1a. NAME OF APPLICANT'S CONTACT James A Sanders	1b. APPLICANT'S REFERENCE NUMBER Appl. Dtd. 10-16-06
	1c. PHONE NUMBER (414) 438-3850	1d. FAX NUMBER (414) 438-4235
	1e. E-MAIL ADDRESS jsanders@sial.com	

2. TYPE OF NRC LICENSE REQUESTED (Check One)

☐ EXPORT (Parts B, C, E)
 ☐ IMPORT (Parts B, D, E)
 ☐ COMBINED EXPORT/IMPORT (Parts B, C, D, E)
 ☒ AMENDMENT/RENEWAL
 Existing License Number: **XMAT0401**

3. CONTRACT NUMBER(S)	4. FIRST SHIPMENT DATE 01/02/2007	5. LAST SHIPMENT DATE 01/02/2008	6. PROPOSED EXPIRATION DATE 02/28/2008
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PART C. TO BE COMPLETED FOR EXPORT ONLY OR COMBINED LICENSES, AMENDMENTS, OR RENEWALS

(If more space is needed to complete any of the items, use Pages 3-4 first, and then attach additional sheets, if necessary.)

7. NAME(S) / ADDRESS(ES) OF SUPPLIERS AND/OR OTHER PARTIES TO THE EXPORT	8. NAME(S) / ADDRESS(ES) OF INTERMEDIATE FOREIGN CONSIGNEE(S)	9. NAME(S) / ADDRESS(ES) OF ULTIMATE FOREIGN CONSIGNEE(S) Distributors: Sigma-Aldrich Japan K.K. 2-2-24 Higashi-Shinagawa Shinagawa-Ku 140002 Japan Sigma-Aldrich Japan K.K. 368 Oomachi Chiba-Ken 272 Ichikawa, Japan Also, refer to page 3
7a. LIST FUNCTIONS PERFORMED/SERVICE PROVIDED	8a. INTERMEDIATE USE(S)	9a. ULTIMATE END USE(S) Non-nuclear end use. Refer to page 3.

10. DESCRIPTION OF RADIOACTIVE MATERIALS, SEALED SOURCES, NUCLEAR FACILITIES, EQUIPMENT, OR COMPONENTS Deuterium gas Deuterium oxide Deuterium compounds	10a. MAX TOTAL VOLUME / ELEMENT WGT (KG), OR TOTAL ACTIVITY (TBq) 2500	10b. MAX ENRICHMENT OR WGT %	10c. MAX ISOTOPE WGT (KG) kg
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11. FOREIGN OBLIGATIONS (BY COUNTRY AND BY PERCENTAGE OF MAXIMUM TOTAL VOLUME)
Deuterium oxide is sourced from Canada

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U.S. NUCLEAR REGULATORY COMMISSION

APPLICATION FOR NRC EXPORT/IMPORT
LICENSE, AMENDMENT, OR RENEWAL (Continued)

LICENSE NUMBER <i>XMA70701-02</i>	DOCKET NUMBER <i>11005466</i>	ADAMS ACCESSION NUMBER	<input checked="" type="checkbox"/> PUBLIC OR <input type="checkbox"/> NON-PUBLIC
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PART D. TO BE COMPLETED FOR IMPORT ONLY, OR COMBINED LICENSES, AMENDMENTS, OR RENEWALS
(If more space is needed to complete any of the items, use Pages 3-4 first, and then attach additional sheets, if necessary.)

12. NAME(S) / ADDRESS(ES) OF FOREIGN SUPPLIERS AND/OR OTHER PARTIES TO IMPORT	13. NAME(S) / ADDRESS(ES) OF INTERMEDIATE CONSIGNEE(S)	14. NAME(S) / ADDRESS(ES) OF ULTIMATE CONSIGNEE(S)	
12a. NRC EXPORT LICENSE NUMBER(S) (if applicable)	13a. LICENSE NUMBER(S) / EXPIRATION DATE(S)	14a. LICENSE NUMBER(S) / EXPIRATION DATE(S)	
	13b. INTERMEDIATE USE(S)	14b. INTERMEDIATE USE(S)	
15. DESCRIPTION OF RADIOACTIVE MATERIALS, SEALED SOURCES, NUCLEAR FACILITIES	15a. MAX TOTAL VOLUME / ELEMENT WGT (KG), OR TOTAL ACTIVITY (TBq)	15b. MAX ENRICHMENT OR WGT %	15c. MAX ISOTOPE WGT (KG)
16. FOREIGN OBLIGATIONS (BY COUNTRY AND BY PERCENTAGE OF MAXIMUM TOTAL VOLUME)			

PART E. TO BE COMPLETED FOR ALL LICENSES, AMENDMENTS, OR RENEWALS

17. ADDITIONAL INFORMATION PROVIDED ON PAGES 3, 4, AND/OR ON SEPARATE SHEETS? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	17a. COPIES OF RECIPIENTS' AUTHORIZATIONS PROVIDED? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
18. CERTIFICATION: I, the applicant's authorized official, hereby certify that this application is prepared in conformity with Title 10, Code of Federal Regulations, and that all information provided is correct to the best of my knowledge.		
18a. PRINT NAME AND TITLE OF AUTHORIZED OFFICIAL James A. Sanders Supervisor-Technical Compliance Environmental, Safety, Health, and Regulatory Affairs	18b. SIGNATURE - AUTHORIZED OFFICIAL <i>James A. Sanders</i>	18c. DATE 10/16/2006

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U.S. NUCLEAR REGULATORY COMMISSION

APPLICATION FOR NRC EXPORT/IMPORT LICENSE, AMENDMENT, OR RENEWAL (Continued)

LICENSE NUMBER <i>XMAT0401-02</i>	DOCKET NUMBER <i>11005266</i>	ADAMS ACCESSION NUMBER	<input type="checkbox"/> PUBLIC OR <input type="checkbox"/> NON-PUBLIC
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ADDITIONAL INFORMATION (Reference applicable block numbers from page 1 and/or page 2 for each entry)

NRC Form 7. Part C. 9. Name(s)/Addresses of Ultimate Foreign Consignee(s)

Taiyo Nippon Sanso Corporation
Toyo Bldg, 1-3-26 Koyama
Shinagawa-ku, Tokyo, 1428558
Japan

Note: All consignees listed in Part C.9 have warehouses in which the products are stored. The facilities are secured and monitored.

NRC Form 7. Part C. 9a. Ultimate end use(s)

Sigma-Aldrich plans to sell the various deuterium compounds to the ultimate consignees (listed in Part C. 9.) for further distribution into the Japanese medical, pharmaceutical, chemical and industrial markets. Their customers in Japan typically use these materials in relatively small quantities (i.e., less than 1 Kg) for scientific research. This type of research may include identification of chemicals in reaction pathways, metabolic studies, or environmental analysis.

Most of the products are sold in prepackaged units, however, some heavy water is sold to Nippon Sanso Corporation for further labeling of compounds for distribution throughout Japan. No material will be used in any activity related to isotope separation, heavy water production, or in the fabrication of nuclear fuel.

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SIGMA-ALDRICH

6000 N. Teutonia Ave.
Milwaukee, WI 53209 USA
Tel: (800) 558-9160 * (414) 438-3580
Fax: (800) 962-9591 * (414) 438-4979

Margaret Doane
Deputy Director, Office of International Programs
U.S. Nuclear Regulatory Commission
One White Flint North
11555 Rockville Pike
Rockville, MD, 20852-2738

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11005266

October 9, 2006

Regarding License XMAT0401

Dear Ms. Doane,

We are sending this packet to amend our existing license to distribute deuterium oxide and deuterium compounds to Japan, under license number XMAT0401. At this point we have shipped ~ 2270 kg of the 2500 kg authorized under the existing license. The existing license expires 12/31/2006.

We would like to make the following changes to the license:

- 1) Extend the expiration date to 02/28/2008. (Given our distribution pattern under the current license, we expect we will have used up the remaining amount covered by the existing license by that time)
- 2) Change the contact person from L. R. Clarke to James A Sanders
- 3) Make the first shipment date under the new license: 01/02/2007
- 4) Make the last shipment date under the amended license: 01/02/2008
- 5) Change the address of licensee from:
Sigma-Aldrich Corp. and subsidiaries
3050 Spruce Street
St. Louis, MO 63103

Change the address to:

Sigma-Aldrich Corp and subsidiaries
6000 North Teutonia Avenue
Milwaukee, WI 53209

(Please Note: This change is the same corporation but a different site, in line with the new contact person.)

- 6) Change one of the "ultimate consignee(s)" from:
Nippon Sanso Corporation
4-320-1 Tsukagoshi
Saiwai-Ku
Kawasaki 210-8509
Japan

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SIGMA-ALDRICH

6000 N. Teutonia Ave.
Milwaukee, WI 53209 USA
Tel: (800) 558-9160 * (414) 438-3580
Fax: (800) 962-9591 * (414) 438-4979

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Change the consignee to:
Taiyo Nippon Sanso Corporation
Toyo Bldg, 1-3-26 Koyama
Shinagawa-Ku
Tokyo, 1428558
Japan

(Please Note: This is, in reality, the same corporation as before, but they have modified their name slightly and have moved their headquarters operations.)

Since it is our understanding that these changes constitute only minor changes to the license, we are including a payment of ~~\$300.00~~ \$320.00

If there is any other information or certifications needed, please call me.

Sincerely,

James A. Sanders
Supervisor, Technical Compliance
Sigma-Aldrich, Milwaukee
(414) 438-3850 extension 5307
jsanders@sial.com

Attachments:

- 1) Copy of existing license with modifications noted
- 2) Application for NRC Export/Import License, Amendment or Renewal
- 3) Check for license amendment fee

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EXPORT LICENSE

NRC FORM 250
(6-94)THIS LICENSE EXPIRES December 31, 2006United States of America
Nuclear Regulatory Commission

NRC LICENSE NO.

XMAT0401/02
11005266XMAT0401
Amendment No. 01-02
Page 1 of 3

Pursuant to the Atomic Energy Act of 1954, as amended, and the Energy Reorganization Act of 1974 and the regulations of the Nuclear Regulatory Commission issued pursuant thereto, and in reliance on statements and representations heretofore made by the licensee, a license is hereby issued

to the licensee authorizing the export of the materials and/or production or utilization facilities listed below, subject to the terms and conditions herein.

LICENSEE

Sigma-Aldrich Corp. and subsidiaries
3050 Spruce Street
St. Louis, MO 63103
6000 N. Tentoma Ave
Milwaukee, WI 53209

Attn: ~~L. R. Clarke~~

James A. Sanders

ULTIMATE CONSIGNEE IN FOREIGN COUNTRY

See Page 2 for list of Ultimate Foreign Consignees

(For distribution into the medical, pharmaceutical, chemical and industrial markets for non-nuclear end use).

INTERMEDIATE CONSIGNEE IN FOREIGN COUNTRY

NONE

OTHER PARTIES TO EXPORT

NONE

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APPLICANT'S REF. NO.

Lic. Dtd. 7/19/04

COUNTRY OF ULTIMATE DESTINATION

Japan

QUANTITY

DESCRIPTION OF MATERIALS OR FACILITIES

The licensee is authorized to export 2,500.0 kilograms deuterium oxide (heavy water) in the form of gas and other deuterium compounds.

The shipment of Canadian material is obligated.

License re-issued to include Sigma-Aldrich's Ichikawa, Japan location as an ultimate consignee.

XMAT0401, Amendment No. 01, continued on Page 3

Neither this license nor any right under this license shall be assigned or otherwise transferred in violation of the provisions of the Atomic Energy Act of 1954, as amended and the Energy Reorganization Act of 1974.

This license is subject to the right of recapture or control by Section 106 of the Atomic Energy Act of 1954, as amended and to all of the other provisions of said Acts, now or hereafter in effect and to all valid rules and regulations of the Nuclear Regulatory Commission.

THIS LICENSE IS INVALID UNLESS SIGNED BELOW
BY AUTHORIZED NRC REPRESENTATIVE

Edward T. Baker
Edward T. Baker, Deputy Director
Office of International Programs

DATE OF ISSUANCE August 12, 2004

EXPORT LICENSE

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Amendment No. 01-02
Page 2 of 3

ULTIMATE FOREIGN CONSIGNEES:

1. Sigma-Aldrich Japan KK
2-2-24 Higashi-Shinagawa
Shinagawa-Ku 140002
Japan
2. Nippon Sanso Corporation
4-320-1 Tsukagoshi
Saiwai-Ku
Kawasaki 210-8509
Japan
3. Sigma-Aldrich Japan KK
368 Oomachi
Chiba-Ken 272
Ichikawa
Japan

Taiyo Nippon Sanso Corporation
Toyo Bldg 1-3-26 Koyama
Shinagawa-Ku, Tokyo, 142-8558
Japan

(For distribution into the medical, pharmaceutical, chemical and industrial markets for non-nuclear end use).

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Continued from Page 1

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Amendment No. 01- 02
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DESCRIPTION OF MATERIALS OR FACILITIES:

The license is amended to: 1) extend the expiration date from July 31, 2004 to December 31, 2006; 2) change ultimate foreign consignee address from Sigma-Aldrich Japan K.K., Higashi Nihonbashi Sky Bldg., 1-1-7 Higashi-Nihonbashi Chuo Ku, Tokyo 103-0004 Japan, to Sigma-Aldrich Japan KK, 2-2-24 Higashi-Shinagawa, Shinagawa-Ku 140002 Japan; and 3) add Sigma-Aldrich Japan KK, 368 Oomachi, Chiba-Ken 272, Ichikawa, Japan as an additional location as an ultimate consignee.

All other conditions remain unchanged.

////////////////////////////////////END////////////////////////////////////

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XMAT 040/a
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U.S. NUCLEAR REGULATORY COMMISSION

**INSTRUCTIONS FOR PREPARATION OF NRC FORM 7, APPLICATION FOR
NRC EXPORT/IMPORT LICENSE, AMENDMENT OR RENEWAL**

General Instructions: A completed, signed original NRC Form 7 may be mailed to the Deputy Director, Office of International Programs, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or hand-delivered to the Deputy Director at 11555 Rockville Pike, Rockville, Maryland 20852.

Refer to Title 10 of the Code of Federal Regulations, Part 110, for specific NRC export/import licensing requirements. For each license, amendment or renewal application, identify all foreign and domestic locations where exports or imports will be handled, sorted, repackaged and/or processed in any way. Provide information on quantities, forms, and other characteristics of the radioactive materials, sealed sources, nuclear facilities and equipment to be exported or imported and indicate how they will be used by each of the parties listed. If more space is needed to complete any of the items on this form, use the continuation pages first, and then attach additional sheets. Reference appropriate BLOCK number(s) for each entry on all additional sheets. All applicants should avoid submitting proprietary information unless absolutely necessary, as NRC is required to make copies of all applications received available to the public. If proprietary information must be submitted, follow procedures in 10 CFR 2.390, otherwise, such information could be publicly disclosed and/or processing of an application could be significantly delayed.

PART A. FOR NRC USE ONLY

PART B. TO BE COMPLETED BY ALL APPLICANTS

BLOCK 1. Enter name and complete physical address of the corporation or other entity with direct control over distribution of proposed export(s) or import(s), and where required records will be maintained and can be inspected. Post Office Box Numbers or names of divisions or departments within a legal entity are NOT acceptable entries for this BLOCK.

BLOCKS 1a-e. Provide name and contact information identifying the best party to answer questions about an application. Applicants should use BLOCK 1b to assign their own reference number to identify application documents.

BLOCK 2. Indicate the TYPE OF NRC LICENSING ACTION requested by checking:

- EXPORT if applying for a new export license.
- IMPORT if applying for a new import license.
- COMBINED EXPORT/IMPORT if applying for a new license to export one or more radioactive materials listed in 10 CFR 110, Appendix P, which will need to allow for the return of one or more of these materials to appropriate U.S. recipients.
- AMENDMENT/RENEWAL if applying to modify or extend an export, import or combined license previously issued by NRC (and not yet expired), include NRC license number in space provided. If NRC receives an amendment/ renewal application at least 30 days or more before the license expires, its terms will remain valid and, if circumstances permit, it can continue to be used until NRC completes action on the amendment/ renewal application.

BLOCK 3. If known, provide contract number(s), which may be useful references for example, if reviewers need to contact recipients or other parties listed on the application.

BLOCK 4. Enter the anticipated date of the first shipment (MM/DD/YYYY).

BLOCK 5. Enter the anticipated date of last shipment (MM/DD/YYYY).

BLOCK 6. Enter a proposed license expiration date (MM/DD/YYYY), to accommodate export activities. Note that NRC licenses are typically issued for 1-5 years.

NOTE: For amendments and renewals, provide only the new or changed information, as appropriate, in PART C and/or PART D. (For example, enter names and addresses of parties or consignees, only if they need to be added to, deleted from, or their information needs to be changed. If seeking to increase the volume or quantity of material or equipment, specify the additional increment, and, if applicable, indicate what the new, combined cumulative total will be.)

PART C. TO BE COMPLETED BY APPLICANTS FOR EXPORT OR COMBINED LICENSES, AMENDMENTS OR RENEWALS

BLOCK 7. Enter names of supplier(s) and other parties to export not listed in BLOCKS 1, 8, OR 9. Provide complete physical address(es) where correspondence should be sent and where export(s) can be inspected. Post Office Box Numbers are NOT acceptable entries for this BLOCK.

BLOCK 7a. Describe functions to be performed and/or services to be provided by any parties listed in BLOCK 7.

BLOCKS 8-9. Enter names and physical address(es) for each intermediate and each ultimate foreign location. Post Office Box Numbers are NOT acceptable entries for these BLOCKS.

BLOCKS 8a and 9a. Describe how each consignee will use export(s), including functions to be performed and/or services to be provided.

BLOCK 10. Describe key characteristics including physical and chemical forms, etc., of radioactive materials (i.e., source, special nuclear or byproduct materials including sealed sources or devices and radioactive waste), nuclear facilities and equipment. Identify source material as natural or depleted uranium, or thorium, and provide chemical form (e.g., U3O8, Th-227). Identify and provide total volume in kilograms of ores containing 0.05% or more of source material (e.g., tantalum, niobium) and specify source material contained. Identify special nuclear material as plutonium, high enriched uranium (> 20% U-235) or low enriched uranium (<20% U-235) and specify chemical form.

Identify Appendix L byproduct materials by name and form, including maximum total quantities and types of sealed sources and/or devices to be exported over the license life. Identify Appendix P materials by name and form, including maximum total quantities and types of sealed sources and/or devices to be exported on a per shipment basis. For radioactive waste, identify and provide maximum total volume

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in kilograms for each non-radioactive material involved, and physical and chemical forms for each radioactive material, along with the overall waste classification (see 10 CFR 61.55); route(s) of transit, forms of management and ultimate disposition. For nuclear facilities, provide total quantity; types; names, design power or annual capacity rating; and the estimated combined total dollar value. For nuclear equipment, identify all items by name, total quantity and function and provide the estimated combined total dollar value.

BLOCK 10a. For source material, provide maximum total volume/element weight over license life in kilograms. For special nuclear material, provide maximum total element weight over license life in kilograms. For each Appendix L byproduct material listed, provide maximum total activity over license life in Terabequerels (TBq). For each Appendix P material listed, provide maximum total activity per shipment in Tbq.

BLOCKS 10b-c. For special nuclear material including as radioactive waste, provide maximum weight % (enrichment) and total isotope weight over license life in kilograms.

BLOCK 11. Identify each country and the percentage of the maximum total volume that may be obligated to them (requires U.S. Government action, e.g., prior notification).

PART D. TO BE COMPLETED BY APPLICANTS FOR IMPORT OR COMBINED LICENSES, AMENDMENTS OR RENEWALS

BLOCK 12. Enter name(s) and address(es) of foreign supplier(s) or exporter(s).

BLOCK 12a. For import(s) originally exported under a specific NRC license, enter that license number.

BLOCKS 13 and 14. Complete if applicant in BLOCK 1 is not the sole intermediate or sole ultimate recipient. Provide complete physical mailing address(es) where correspondence should be sent and import(s) could be inspected. Post Office Box Numbers are NOT acceptable entries for this block.

BLOCKS 13a and 14a. Enter NRC or Agreement State Materials License number(s) including expiration date(s) (MM/DD/YYYY) for each U.S. consignee, authorizing receipt, possession, use or distribution.

BLOCKS 13b and 14b. Describe how each consignee listed will use proposed import(s), including functions to be performed and/or services to be provided.

BLOCK 15. Describe key characteristics including physical and chemical forms, etc., of radioactive materials (i.e., source, special nuclear or byproduct materials including sealed sources or devices and radioactive waste), and nuclear facilities. For radioactive waste, identify and provide maximum total volume in kilograms for each non-radioactive material (e.g., contaminated steel) involved, and physical and chemical forms for each radioactive source, special nuclear or byproduct material. Provide overall waste classification (see 10 CFR 61.55); responsible industrial process, route(s) of transit, and the status of arrangements for disposition in the U.S., e.g., any agreement by a low-level waste compact or State to accept for management or disposal. For Appendix P materials, identify by name and form, including maximum total quantities of any bulk materials and/or the maximum total quantities and types of sealed sources and/or devices per shipment. For nuclear facilities, provide total quantities; types; names, design power or annual capacity rating; and the estimated combined total dollar value.

BLOCK 15a. For source and/or special nuclear material, including that which is in the form of radioactive waste, provide maximum total volume/element weight over license life in kilograms. For Appendix L byproduct material, including that which is in the form of radioactive waste, provide maximum total activity over license life in TBq. For Appendix P radioactive materials, provide maximum total activity per shipment in Tbq for each material whether in bulk form or in a sealed source or device.

BLOCKS 15b-c. For special nuclear material including that which is in the form of radioactive waste, provide maximum enrichment/weight % and maximum total isotope weight over license life in kilograms.

BLOCK 16. Identify each country and the percentage of the maximum total volume that may be obligated to them (require U.S. Government action, e.g., prior notification).

PART E. TO BE COMPLETED BY ALL APPLICANTS

BLOCK 17. Check YES or NO to verify whether or not additional information is being provided using the space provided on the continuation pages and separate sheets.

BLOCK 17a. Check YES or NO to verify whether or not copies of domestic recipients' authorizations required for Appendix P materials (see Part 110.32 requirements) are included with the application.

BLOCKS 18a-c. An authorized official of the legal entity applying for the License, Amendment or Renewal, must certify the information provided, by signing the document, providing his/her title and the date of the application (MM/DD/YYYY).

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