

June 30, 2006

MEMORANDUM FOR: William M. Dean
Assistant for Operations
Office of the Executive Director for Operations

FROM: Stephen D. Dingbaum */RA/*
Assistant Inspector General for Audits
Office of the Inspector General

SUBJECT: STATUS OF OPEN AUDIT RECOMMENDATIONS

Attached is the OIG status of audit recommendations as of the end of June 2006.

Audits Closed. During the period from June 1 - 30, 2006, we closed no audit reports.

Agency Responses Overdue. During June, agency responses for recommendations in one report became past due: OIG-05-A-13, *Audit of NRC's Telecommunications Program*, Recommendation 7. During the month, responses to recommendations in two reports remained past due: OIG-00-E-09, *Special Evaluation of the Role and Structure of NRC's Executive Council*, Recommendations 1 and 2; OIG-06-A-05, *Memorandum Report: Audit of NRC's Controls Over Video News Releases*, Recommendations 1 and 2.

Unresolved Recommendations. Recommendations contained in recently released reports remained unresolved due to OIG currently performing analysis on the agency responses to those recommendations or awaiting a Commission position on the recommendations based on agency staff referral of the recommendations to the Commission.

The up-to-date status of all open recommendations is contained in the attachment to this document.

Attachment: As stated

cc: M. Malloy, OEDO
P. Tressler, OEDO

Status of Audit Recommendations - Open Audits

| Report Number & Title | Unresolved | Resolved | Closed | Total |
|--|------------|----------|--------|-------|
| OIG-00-E-09 Special Evaluation of the Role and Structure of NRC's Executive Council | 0 | 2 | 0 | 2 |
| OIG-01-A-03 Government Performance and Results Act: Review of the Fiscal Year 1999 Performance Report | 0 | 2 | 0 | 2 |
| OIG-02-A-12 Review of ADAMS | 0 | 1 | 0 | 1 |
| OIG-03-A-01 Review of NRC's Handling and Marking of Sensitive Unclassified Information | 0 | 2 | 0 | 2 |
| OIG-03-A-11 Use of E-Mail at NRC | 0 | 1 | 0 | 1 |
| OIG-03-A-15 Audit of NRC's Regulatory Oversight of Special Nuclear Materials | 0 | 3 | 5 | 8 |
| OIG-04-A-11 Review of NRC's Personnel Security Program | 0 | 1 | 11 | 12 |
| OIG-04-A-13 Memorandum Report: Review of NRC's Reactor Operating Experience Taks Force Report | 0 | 2 | 4 | 6 |
| OIG-04-A-15 Review of NRC's Drug-Free Workplace Plan | 0 | 3 | 0 | 3 |
| OIG-04-A-20 Audit of NRC's Incident Response Program | 0 | 11 | 6 | 17 |
| OIG-04-A-21 System Evaluation of the Agencywide Documents Access and Management System | 0 | 5 | 1 | 6 |
| OIG-04-A-22 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2004 | 0 | 6 | 10 | 16 |
| OIG-04-A-23 System Evaluation of the FEE Systems | 0 | 2 | 4 | 6 |
| OIG-04-A-24 Systems Evluation of the GeneralLicense Tracking System | 0 | 4 | 1 | 5 |
| OIG-05-A-05 Audit of NRC's Drug Testing Program | 0 | 3 | 9 | 12 |
| OIG-05-A-06 Audit of NRC's Baseline Inspection Program | 0 | 1 | 9 | 10 |
| OIG-05-A-08 System Evaluation of the Integrated Personnel Security System (IPSS) | 0 | 7 | 1 | 8 |
| OIG-05-A-09 Audit of the Budget Formulation Process | 0 | 3 | 1 | 4 |
| OIG-05-A-13 Audit of NRC's Telecommunications Program | 0 | 6 | 7 | 13 |
| OIG-05-A-14 System Evaluation of Listed Systems that Process Safeguards and/or Classified Information | 0 | 5 | 5 | 10 |
| OIG-05-A-17 Audit of NRC's Decommissioning Program | 0 | 1 | 3 | 4 |
| OIG-05-A-18 Security Controls Over Personal Computers and Laptops - FISMA | 0 | 8 | 0 | 8 |
| OIG-05-A-19 Audit of NRC's Generic Communications Program | 0 | 4 | 0 | 4 |
| OIG-05-A-20 Evaluation of NRC's Certification and Accreditation Efforts | 0 | 2 | 0 | 2 |
| OIG-05-A-21 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2005 | 0 | 10 | 0 | 10 |
| OIG-05-A-22 Evaluation of NRC's Automated Information System Inventory Process | 0 | 7 | 0 | 7 |
| OIG-06-A-01 Audit of NRC's FY 2005 Financial Statements | 0 | 10 | 1 | 11 |
| OIG-06-A-05 Memorandum report: Audit of NRC's Controls Over Video News Releases | 0 | 2 | 0 | 2 |
| OIG-06-A-06 Audit of NRC's Integrated Personnel Security System | 0 | 15 | 2 | 17 |
| OIG-06-A-07 Follow-Up Audit of the Nuclear Regulatory Commission's Decommissioning Fund Program | 2 | 3 | 0 | 5 |
| OIG-06-A-09 Audit of NRC's Office of Nuclear Security and Incident Response | 0 | 6 | 0 | 6 |

Status of Audit Recommendations - Open Audits

| Report Number & Title | Unresolved | Resolved | Closed | Total |
|---|------------|------------|-----------|------------|
| OIG-06-A-10 Audit of the Development of the National Source Tracking System | 2 | 0 | 0 | 2 |
| OIG-06-A-11 Audit of the NRC Byproduct materials License Application and Review Process | 2 | 0 | 0 | 2 |
| OIG-06-A-12 Audit of NRC's Oversight of Agreement States' Licensing Actions | 0 | 2 | 0 | 2 |
| OIG-06-A-13 MEMORANDUM REPORT: AUDIT OF NMSS' PROCEDURES FOR PROCESSING INSPECTION GUIDANCE | 2 | 0 | 0 | 2 |
| OIG-99-A-01 NRC'S License Fee Development Process Needs Improvement | 0 | 2 | 0 | 2 |
| Grand Total | 8 | 142 | 80 | 230 |

Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|--------------------|-------------------------|---------------------|--------------------------|----------|
| ☐ OIG-00-E-09 Special Evaluation of the Role and Structure of NRC's Executive Council (08/31/2000) | | | | | | |
| 1 | Update NRC's Management Directives | EDO | 10/05/2005 | 11/30/2005 | | Resolved |
| 1 | Update NRC's Management Directives | EDO | 10/24/2005 | 03/31/2006 | | Resolved |
| 2 | Communication - CIO and CFO | EDO | 10/05/2005 | 11/30/2005 | | Resolved |
| ☐ OIG-01-A-03 Government Performance and Results Act: Review of the Fiscal Year 1999 Performance Report (02/23/2001) | | | | | | |
| 1 | Develop a Management Directive | OCFO | 03/16/2006 | 10/31/2006 | | Resolved |
| 3 | Include guidance on reporting unmet goals | OCFO | 03/16/2006 | 10/31/2006 | | Resolved |
| ☐ OIG-02-A-12 Review of ADAMS (06/12/2002) | | | | | | |
| 1 | Finalize and issue MD 2.5 | EDO | 05/12/2006 | 01/31/2007 | | Resolved |
| ☐ OIG-03-A-01 Review of NRC's Handling and Marking of Sensitive Unclassified Information (10/16/2002) | | | | | | |
| 1 | Update OUO Guidance | EDO | 09/30/2005 | 06/30/2006 | | Resolved |
| 2 | Mandate defined markings | EDO | 10/03/2005 | 06/30/2006 | | Resolved |
| ☐ OIG-03-A-11 Use of E-Mail at NRC (03/21/2003) | | | | | | |
| 1 | Revise MD 3.53 | EDO,OIS | 04/20/2006 | 10/13/2006 | | Resolved |
| ☐ OIG-03-A-15 Audit of NRC's Regulatory Oversight of Special Nuclear Materials (05/23/2003) | | | | | | |
| 1 | Conduct periodic inspections | EDO | | | 06/27/2006 | Resolved |
| 3 | Document risk informed approach | EDO | | | 06/27/2006 | Resolved |
| ☐ OIG-04-A-11 Review of NRC's Personnel Security Program (03/25/2004) | | | | | | |
| 12 | Cancel OPM investigations when intern terminates. | ADM | 03/14/2006 | 01/02/2007 | | Resolved |
| ☐ OIG-04-A-13 Memorandum Report: Review of NRC's Reactor Operating Experience Taks Force Report (03/30/2004) | | | | | | |
| 1 | Revise program objectives | NRR | 03/23/2006 | 12/31/2006 | | Resolved |
| 3 | Establish an independent operating experience function | NRR | 03/23/2006 | 12/31/2006 | | Resolved |
| ☐ OIG-04-A-15 Review of NRC's Drug-Free Workplace Plan (05/24/2004) | | | | | | |
| 1 | Revise Plan to Include Deferral of Testing Clause | ADM,HR | 06/26/2006 | 08/15/2006 | | Resolved |
| 2 | Obtain HHS Approval of Drug-Free Workplace Plan Prior to Implementation | ADM,HR | 06/26/2006 | 08/15/2006 | | Resolved |
| 3 | Drug-Testing Plan Revisions Must Receive Approval from HHS | ADM,HR | 06/26/2006 | 08/15/2006 | | Resolved |
| ☐ OIG-04-A-20 Audit of NRC's Incident Response Program (09/16/2004) | | | | | | |
| 1 | Establish agency IRP with Performance Standards & Evaluation Mechanism | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| 3 | Update NUREG 0845 | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| 4 | Conduct Periodic Reviews of Region's IRPs | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| 6 | Exercise Deployment of Response Staff | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| 7 | Establish Procedures for Handling Events at Multiple Sites | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| 8 | Conduct Exercises Involving Multiple Sites | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| 11 | Revise IRP to Address Incidents Involving Materials/Fuel Cycle Facilities | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| 13 | Update Response Technical Manual for GDPs | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |

Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|--|---|--------------------|-------------------------|---------------------|--------------------------|----------|
| 14 | Improve IRP Outreach with Licensees | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| 16 | Develop & Implement Well-defined Training Program | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| 17 | Establish a centralized tracking system for IRO training | NSIR | 04/24/2006 | 08/31/2006 | | Resolved |
| ☐ OIG-04-A-21 System Evaluation of the Agencywide Documents Access and Management System (09/30/2004) | | | | | | |
| 1 | Update the ADAMS Risk Assessment Report | OCIO | 02/02/2006 | 10/06/2006 | | Resolved |
| 2 | Update the ADAMS Security Plan | OCIO | 02/02/2006 | 10/06/2006 | | Resolved |
| 4 | Update the ADAMS Business Continuity Plan | OCIO | 02/02/2006 | 10/06/2006 | | Resolved |
| 5 | Consistently define the protection requirements | OCIO | 02/02/2006 | 10/06/2006 | | Resolved |
| 6 | Track all actions items resulting from testing of the ADAMS security controls | OCIO | 02/02/2006 | 10/06/2006 | | Resolved |
| ☐ OIG-04-A-22 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2004 (09/30/2004) | | | | | | |
| 5 | Re-certify and re-accredit the NRC Data Center/Telecommunications System. | OCIO | 03/17/2006 | 07/28/2006 | | Resolved |
| 6 | Re-certify and re-accredit the NRC Local Area Network/Wide Area Network | OCIO | 03/17/2006 | 07/28/2006 | | Resolved |
| 7 | Re-certify and re-accredit the Emergency Response Data System. | OCIO | 03/17/2006 | 07/28/2006 | | Resolved |
| 8 | Re-certify and re-accredit the Emergency Telecommunications System | OCIO | 03/17/2006 | 07/28/2006 | | Resolved |
| 9 | Update the NRC LAN/WAN Risk Assessment. | OCIO | 03/17/2006 | 07/28/2006 | | Resolved |
| 10 | Update the NRC LAN/WAN Business Continuity Plan. | OCIO | 03/17/2006 | 07/28/2006 | | Resolved |
| ☐ OIG-04-A-23 System Evaluation of the FEE Systems (09/30/2004) | | | | | | |
| 1 | Update the Fee Systems Security Plan | OCFO | 06/29/2006 | 12/15/2006 | | Resolved |
| 4 | Update the Fee Systems Business Continuity Plan | OCFO | 06/29/2006 | 12/15/2006 | | Resolved |
| ☐ OIG-04-A-24 Systems Evaluation of the General License Tracking System (09/30/2004) | | | | | | |
| 1 | Update the GLTS Security Plan | NMSS,OCIO | 05/11/2006 | 08/31/2006 | | Resolved |
| 3 | Update the GLTS Business Continuity Plan | NMSS,OCIO | 05/11/2006 | 08/31/2006 | | Resolved |
| 4 | Update the GLTS Security Plan | NMSS,OCIO | 05/11/2006 | 08/31/2006 | | Resolved |
| 5 | Track testing of GLTS security controls | NMSS,OCIO | 05/11/2006 | 08/31/2006 | | Resolved |
| ☐ OIG-05-A-05 Audit of NRC's Drug Testing Program (12/20/2004) | | | | | | |
| 4 | Revise categories of testing designated positions | ADM | 04/26/2006 | 08/11/2006 | | Resolved |
| 5 | Re-evaluate categories of testing designated positions | ADM | 04/26/2006 | 08/11/2006 | | Resolved |
| 12 | Update the Management Directive System to include drug testing policy | ADM | 04/26/2006 | 08/11/2006 | | Resolved |
| ☐ OIG-05-A-06 Audit of NRC's Baseline Inspection Program (12/22/2004) | | | | | | |
| 2 | human performance trending | NRR | 06/15/2006 | 09/15/2006 | | Resolved |
| ☐ OIG-05-A-08 System Evaluation of the Integrated Personnel Security System (IPSS) (01/14/2005) | | | | | | |
| 1 | Re-certify and re-accredit IPSS | ADM | 04/24/2006 | 07/28/2006 | | Resolved |
| 2 | Update risk assessment risk levels | ADM | 04/24/2006 | 07/28/2006 | | Resolved |
| 3 | Update plan contact info | ADM | 04/24/2006 | 07/28/2006 | | Resolved |
| 4 | Update plan life cycle & IRO capability. | ADM | 04/24/2006 | 07/28/2006 | | Resolved |

Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|--------------------|-------------------------|---------------------|--------------------------|----------|
| 5 | Update plan control descriptions. | ADM | 04/24/2006 | 07/28/2006 | | Resolved |
| 7 | Update contingency plan | ADM | 04/24/2006 | 07/28/2006 | | Resolved |
| 8 | Consistently define plan and assessment protection requirements | ADM | 04/28/2006 | 07/28/2006 | | Resolved |
| ☐ OIG-05-A-09 Audit of the Budget Formulation Process (01/31/2005) | | | | | | |
| 1 | EDO, CFO Roles | OCFO | 02/02/2006 | 10/31/2006 | | Resolved |
| 2 | PRC Role | OCFO | 02/02/2006 | 10/31/2006 | | Resolved |
| 3 | Document Process | OCFO | 02/02/2006 | 10/31/2006 | | Resolved |
| ☐ OIG-05-A-13 Audit of NRC's Telecommunications Program (06/07/2005) | | | | | | |
| 1 | Purchase and implement billing review software. | OCIO | | | 06/22/2006 | Resolved |
| 2 | Establish benchmarks. | OCIO | | | 06/22/2006 | Resolved |
| 3 | Revise MD 2.3. | OCIO | | | 06/22/2006 | Resolved |
| 4 | Establish telephone line and circuit inventory requirements. | OCIO | | | 06/22/2006 | Resolved |
| 5 | Enforce toll-free number requirements. | OCIO | | | 06/22/2006 | Resolved |
| 7 | Discontinue \$4 per day option. | OCFO | 02/24/2006 | 06/05/2006 | | Resolved |
| ☐ OIG-05-A-14 System Evaluation of Listed Systems that Process Safeguards and/or Classified Information (08/11/2005) | | | | | | |
| 1 | Correct the inaccuracies | NSIR,OIS | 05/11/2006 | 07/28/2006 | | Resolved |
| 2 | Validate the inventory | NSIR,OIS | 05/11/2006 | 07/28/2006 | | Resolved |
| 5 | Develop procedures approved security plan | NSIR,OIS | 05/11/2006 | 07/28/2006 | | Resolved |
| 6 | Develop procedures for owners respond to OIS requests | NSIR,OIS | 05/11/2006 | 07/28/2006 | | Resolved |
| 7 | Develop procedures for verifying all required security controls | NSIR,OIS | 05/11/2006 | 07/28/2006 | | Resolved |
| ☐ OIG-05-A-17 Audit of NRC's Decommissioning Program (09/21/2005) | | | | | | |
| 1 | Retain Supporting Documentation | NMSS,OCFO | 04/04/2006 | 10/31/2006 | | Resolved |
| ☐ OIG-05-A-18 Security Controls Over Personal Computers and Laptops - FISMA (09/22/2005) | | | | | | |
| 1 | Security controls on laptops | OIS | 03/08/2006 | 09/30/2006 | | Resolved |
| 2 | Rules of behavior agreement for security controls | OIS | 03/08/2006 | 09/30/2006 | | Resolved |
| 3 | Verify required security controls | OIS | 03/08/2006 | 09/30/2006 | | Resolved |
| 4 | Provide guidance on Executive Order 13103 | OIS | 03/08/2006 | 09/30/2006 | | Resolved |
| 5 | Rules of behavior agreement EO 13103 | OIS | 03/08/2006 | 09/30/2006 | | Resolved |
| 6 | Develop procedures for monitoring compliance with Executive Order 13103 | OIS | 03/08/2006 | 09/30/2006 | | Resolved |
| 7 | Develop procedures in the Management Directives for disposal of equipment | OIS | 03/08/2006 | 09/30/2006 | | Resolved |
| 8 | Include procedures for disposal of equipment in security plan. | OIS | 03/08/2006 | 09/30/2006 | | Resolved |
| ☐ OIG-05-A-19 Audit of NRC's Generic Communications Program (09/30/2005) | | | | | | |
| 1 | Security Advisories in GC Program | NRR,NSIR | 01/11/2006 | 06/30/2006 | | Resolved |
| 2 | OMB Control Numbers | NRR,NSIR | 01/11/2006 | 06/30/2006 | | Resolved |
| 3 | Consistent Tracking Methodology | NRR,NSIR | 01/11/2006 | 06/30/2006 | | Resolved |

Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|--|--|--------------------|-------------------------|---------------------|--------------------------|----------|
| 4 | Effectiveness of GC Program | NRR | 01/11/2006 | 06/30/2006 | | Resolved |
| ☐ OIG-05-A-20 Evaluation of NRC's Certification and Accreditation Efforts (09/30/2005) | | | | | | |
| 1 | Develop and implement procedures for monitoring C&As | OIS | 04/20/2006 | 07/28/2006 | | Resolved |
| 2 | Develop and implement a mechanism for holding system sponsors/owners accountable for C&As. | OIS | 04/20/2006 | 07/28/2006 | | Resolved |
| ☐ OIG-05-A-21 Independent Evaluation of NRC's Implementation of the Federal Information Security Management Act (FISMA) for Fiscal Year 2005 (09/30/2005) | | | | | | |
| 1 | Categorize all NRC information systems | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| 2 | Complete self-assessments August 1, 2006. | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| 3 | Contingency Plan Test Procedures | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| 4 | Maintain other agency C&As. | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| 5 | Maintain other agency's self-assessments. | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| 6 | Maintain annual contingency plan test results other agencies. | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| 7 | Procedures for contractor oversight | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| 8 | Review and update the six completed e-authentication risk assessments. | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| 9 | Develop and implement plan for completing e-authentication risk assessments. | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| 10 | Develop and implement procedures for security awareness and training. | OIS | 03/15/2006 | 07/20/2006 | | Resolved |
| ☐ OIG-05-A-22 Evaluation of NRC's Automated Information System Inventory Process (09/30/2005) | | | | | | |
| 1 | Correct the inaccuracies in the AIS inventories | OIS | 12/23/2005 | 07/03/2006 | | Resolved |
| 2 | Validate information in the AIS inventories annually. | OIS | 12/23/2005 | 07/03/2006 | | Resolved |
| 3 | Provide guidance to office directors, regional administrators, and system owners/sponsors | OIS | 12/23/2005 | 07/03/2006 | | Resolved |
| 4 | Develop procedures adding new systems | OIS | 12/23/2005 | 07/03/2006 | | Resolved |
| 5 | Develop procedures notifying of changes | OIS | 12/23/2005 | 07/03/2006 | | Resolved |
| 6 | Develop procedures for recording system information for multiple components | OIS | 12/23/2005 | 07/03/2006 | | Resolved |
| 7 | Modify AIS inventories to support requirements of FISMA | OIS | 12/23/2005 | 07/03/2006 | | Resolved |
| ☐ OIG-06-A-01 Audit of NRC's FY 2005 Financial Statements (11/10/2005) | | | | | | |
| 1 | Rec. 01 - Update Remediation Plan | OCFO | 02/02/2006 | 11/30/2006 | | Resolved |
| 2 | Rec. 02 - Implement Compensating Controls | OCFO | 02/02/2006 | 11/30/2006 | | Resolved |
| 3 | Rec. 03 - Improve Internal Controls | OCFO | 02/02/2006 | 11/30/2006 | | Resolved |
| 4 | Rec. 04 - Strengthen Internal Use Software Practices | OCFO | 02/02/2006 | 11/30/2006 | | Resolved |
| 5 | Rec. 05 - Coordinate with OIS and EDO | OCFO | 02/02/2006 | 11/30/2006 | | Resolved |
| 6 | Rec. 06 - Devise a Communication Process | OCFO | 02/02/2006 | 11/30/2006 | | Resolved |
| 7 | Rec. 07 - Implement Second Party Verification of the Accuracy of Vendor Tables | OCFO | 02/09/2006 | 11/30/2006 | | Resolved |
| 8 | Rec. 08 - Periodic Assessment of CCR Data | OCFO | 02/02/2006 | 11/30/2006 | | Resolved |
| 9 | Rec. 09 - Secondary Review of High Value Payments | OCFO | 02/09/2006 | 11/30/2006 | | Resolved |

Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|--------------------|-------------------------|---------------------|--------------------------|------------|
| 11 | Rec. 11 - Develop Rates in Compliance with OMB Circular A-25 | OCFO | 02/02/2006 | 11/30/2006 | | Resolved |
| ☐ OIG-06-A-05 Memorandum report: Audit of NRC's Controls Over Video News Releases (12/22/2005) | | | | | | |
| 1 | Establish a written NRC policy concerning video news releases | OPA | 02/17/2006 | 05/22/2006 | | Resolved |
| 2 | Communicate the Federal video news release policy | OPA | 02/17/2006 | 05/22/2006 | | Resolved |
| ☐ OIG-06-A-06 Audit of NRC's Integrated Personnel Security System (01/09/2006) | | | | | | |
| 2 | Reinvestigations notifications report | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 3 | IPSS user's guide | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 4 | IPSS reinvestigation data clean-up | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 5 | duplicate system data | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 6 | Correct access vs. clearance data | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 7 | Top-to-bottom cleanup of IPSS data | ADM | 04/20/2006 | 09/15/2006 | | Resolved |
| 8 | Overall IPSS data QC procedures | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 9 | Correct checks for badge issuance | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 10 | Add date logic controls | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 12 | Review IPSS role assignments | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 13 | IPSS audit trails | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 14 | IPSS audit trail reports | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 15 | Integrity Statement | ADM | 04/20/2006 | 09/15/2006 | | Resolved |
| 16 | Records retention plan | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| 17 | Cost-benefit analysis | ADM | 03/09/2006 | 09/15/2006 | | Resolved |
| ☐ OIG-06-A-07 Follow-Up Audit of the Nuclear Regulatory Commission's Decommissioning Fund Program (02/06/2006) | | | | | | |
| 1 | Rec. 1 - Require verification of trust fund balances from trustees. | EDO,NRR | | | 06/16/2006 | Unresolved |
| 2 | Rec. 2 - Require specific prudent investment restrictions. | EDO,NRR | | | 06/16/2006 | Unresolved |
| 3 | Rec. 3 - Prescribe and implement a QA timeframe | EDO,NRR | | | 06/16/2006 | Resolved |
| 4 | Rec. 4 - Document QA work performed. | EDO,NRR | | | 06/16/2006 | Resolved |
| 5 | Rec. 5 - Update NRC's decommissioning formula. | EDO,NRR | | | 06/16/2006 | Resolved |
| ☐ OIG-06-A-09 Audit of NRC's Office of Nuclear Security and Incident Response (02/16/2006) | | | | | | |
| 1 | Prioritizing Assignments | EDO,NSIR | 04/26/2006 | 07/28/2006 | | Resolved |
| 2 | Documenting Emergent Work | EDO,NSIR | 04/26/2006 | 07/28/2006 | | Resolved |
| 3 | Emergent Work Log | EDO,NSIR | 04/26/2006 | 07/28/2006 | | Resolved |
| 4 | Communication Policies and Procedures | EDO,NSIR | 04/26/2006 | 07/28/2006 | | Resolved |
| 5 | Measure Communication Effectiveness | EDO,NSIR | 04/26/2004 | 07/28/2006 | | Resolved |
| 6 | Assessment Follow-Up | EDO,NSIR | 04/26/2006 | 07/28/2006 | | Resolved |
| ☐ OIG-06-A-10 Audit of the Development of the National Source Tracking System (02/23/2006) | | | | | | |
| 1 | 1. Conduct Regulatory Analysis | NMSS | 05/12/2006 | 06/04/2006 | | Unresolved |

Status of OIG Audit Recommendations

| Rec # | Description | Responsible Office | Last OIG Correspondence | Agency Response Due | Agency Response Received | Status |
|---|---|--------------------|-------------------------|---------------------|--------------------------|------------|
| 2 | 2. Validate IDB data | NMSS | 05/12/2006 | 06/04/2006 | | Unresolved |
| ☐ OIG-06-A-11 Audit of the NRC Byproduct materials License Application and Review Process (03/10/2006) | | | | | | |
| 1 | Conduct a materials process vulnerability assessment | EDO,NMSS | 05/12/2006 | 06/04/2006 | | Unresolved |
| 2 | Modify licensing process to address identified risks | EDO,NMSS | 05/12/2006 | 06/04/2006 | | Unresolved |
| ☐ OIG-06-A-12 Audit of NRC's Oversight of Agreement States' Licensing Actions (04/14/2006) | | | | | | |
| 1 | Seek and apply guidance on statistical random sampling | STP | 06/08/2006 | 12/31/2006 | | Resolved |
| 2 | Document the rationale and basis for sampling methodology | STP | 06/08/2006 | 12/31/2006 | | Resolved |
| ☐ OIG-99-A-01 NRC'S License Fee Development Process Needs Improvement (12/14/1999) | | | | | | |
| 2 | 2a - OMB Circular A-25 and SFFAS No. 4 | OCFO | 06/22/2006 | 09/30/2006 | | Resolved |
| 4 | 2c - OMB Circular A-25 and SFFA No. 4 | OCFO | 06/22/2006 | 09/30/2006 | | Resolved |