You submitted a request for information under the Freedom of Information Act on July 12, 2005 (FOIA-2005-0293). The scope of your original request was revised during a teleconference with NRC staff on July 18, 2005. Based on a record produced by NRC during that teleconference, it is our understanding that you are requesting the following information:

- (1) All incoming requests since May 1991 from any licensee for approval to dispose of licensed material under 10 CFR 20.2002;
- (2a) All approvals under 10 CFR 20.2002 since May 1991 and documentation of any 10 CFR 20.2002 requests that were either denied by NRC or withdrawn by the licensee or the entity submitting the request;
- (2b) Since 1992, any documentation on what is being released, type of material, what it is being used for, and under what guidance/documentation the material is being released;
- (2c) The identity of reactor or other licensees who have amended their licenses to incorporate the provisions of Regulatory Guide 1.86 and information on what has been released as a result of these amendments, where the material would go or was sent, what records are/were kept, and what requirements there are for recordkeeping; and
- (2d) Since 1991, are there any procedures other than Regulatory Guide 1.86 under which materials would be released for disposal or unrestricted use. If so, you request that we produce associated documentation, correspondence and information.

The NRC staff met to discuss ways to maximize our responsiveness to your request. We concluded there was no single source for obtaining this Information; however, electronic systems such as the Licensing Tracking System, the Waste Disposal Tracking System, the 10 CFR 20.2002 database, and the Technical Assistance Request database were identified as potential repositories for the information you requested. In addition, the Agencywide Document Access and Management System (ADAMS) was identified as a repository of documents responsive to your request that were generated largely since the system was developed in 2000. We have searched these systems and also consulted with staff who have typically been involved with these types of actions. The results of these efforts are attached.

Despite our efforts, it is likely that there have been other releases and disposals about which we are unable to provide you information. The difficulty is two-fold:

First, the authorization to release materials in accordance with established agency guidelines or criteria is frequently not readily apparent in the licenses themselves. Licensees often commit in their applications to use various parts of NRC licensing guides in the NUREG-1556 series, without specific mention of those commitments in the licenses (Appendix B of the Draft Generic Environmental Impact Statement (DGEIS) (ADAMS Accession No. ML050960312) (attached), prepared for a proposed rule on the radiological criteria for controlling the disposition of solid materials, describes NRC's current guidelines and includes Regulatory Guide 1.86¹.) For example, applicants for materials license are encouraged to use the NUREG-1556 series

¹A report by the National Academies ("The Disposition Dilemma; Controlling the Release of Solid Materials from Nuclear Regulatory Commission-Licensed Facilities," 2002) notes that the current NRC approach for the disposition of solid materials "is sufficiently protective of public health that it does not need immediate revamping."

(Consolidated Guidance About Materials Licenses) of guidance documents when designing a licensed program. An applicant for a broad scope research and development program would be directed to NUREG 1556, Volume 11, Program-Specific Guidance About Licenses of Broad Scope (ADAMS Accession No. ML10370193) (attached). In order to receive a license of broad scope, the applicant, in part, would need to describe its Radiation Safety Program. One area of the Radiation Safety Program that would require description is the licensee's survey program. Appendix S of NUREG 1556, Volume 11, describes a survey program that is acceptable to NRC for broad scope licensees. Many applicants for broad scope license commit to adopting the survey program described in Appendix S, rather than developing their own survey program. This commitment is incorporated by listing the date of the letter containing the commitment in the last condition on the license. Appendix S incorporates the guidance from Regulatory Guide 1.86 without specifically mentioning the Regulatory Guide and, as previously stated, there is no specific mention of Regulatory Guide 1.86 in the license document. This example may apply to other classes of licenses as well, such as medical institutions, manufacturers and distributors. and academic institutions involved with research and development. Accordingly, the staff's ability to readily identify licenses and licensing documents related to Regulatory Guide 1.86 among the 4000+ NRC licenses is limited.

Second, we do not require licensees to submit information on materials releases performed under Regulatory Guide 1.86 and other guidance documents. The licensee is required to maintain records of these releases. The adequacy of the licensee's implementation of their survey program is examined during routine inspections. There may be records related to the inspection of materials releases performed under Regulatory Guide 1.86 and other guidance documents in the NRC inspection records; however we do not track this information and cannot easily locate the records.

APPENDIX B CURRENT NRC APPROACH

Currently NRC generally addresses the release of solid material on a case-by-case basis using license conditions and existing regulatory guidance. In each case, material may be released from a licensed operation with the understanding and specific acknowledgment that the material may contain very low amounts of radioactivity, but that the concentration of radioactive material is so small that its control through licensing is no longer necessary.

The case-by-case approach includes guidance that is applicable to equipment and material with radioactivity located on the surface or within the material or equipment itself. However, there are differences in the application of this guidance between reactor licensees and materials licensees, which is explained below.

1. Release of Solid Materials with Surface Residual Radioactivity

1.1 All Licensees

Criteria which licensees must use in determining whether the material may be released are approved for use by the NRC during the initial licensing or license renewal of a facility, as part of the facility's license conditions or radiation safety program. The licensees' actions must be consistent with the requirements of 10 CFR Part 20 (see e.g., Subpart F of Part 20 (10 CFR 20.1501)). Thus, the licensee performs a survey of the material prior to its release.

1.2 Reactor Licensees

Reactor licensees typically follow a policy that was established by Office of Inspection and Enforcement Circular 81-07 and Information Notice 85-92. Under this approach, reactor licensees must survey equipment and material before its release. If the surveys indicate the presence of AEA material above natural background levels, then no release may occur. If the appropriate surveys have not detected licensable material above natural background levels, the solid material in question does not have to be treated as waste under the requirements of Part 20. The fact that no radioactive material above background is detected does not mean that none is present; there are limitations on detection capability. In practice, the actual detection capability of survey instruments are typically consistent with those contained in Regulatory Guide 1.86.

1.3 Materials Licensees

In the non-reactor materials license context, NRC usually authorizes the release of solid material through specific license conditions. One set of criteria that is used to evaluate solid materials before they are released is contained in Regulatory Guide 1.86, entitled "Termination of Operating Licenses for Nuclear Reactors." A similar guidance document is Fuel Cycle Policy and Guidance Directive FC 83-23, entitled "Guidelines for Decontamination of Facilities and Equipment Prior to Release for Unrestricted Use or Termination of Byproduct, Source or Special Nuclear Materials Licenses." Both documents contain a table of surface contamination criteria

which may be applied by licensees for use in demonstrating that solid material with surface contamination can be safely released with no further regulatory control.

Although Regulatory Guide 1.86 was originally developed for nuclear power plant licensees, the surface contamination criteria have been used in other contexts for all types of licensees for many years. By setting maximum allowable limits for surface contamination, Regulatory Guide 1.86 implicitly reflects the fact that materials with surface contamination below those limits may be released without adverse effects on the public health and safety.

2. Release of Solid Materials with Volumetric Residual Radioactivity

In the case of volumetrically contaminated materials, NRC has not provided guidance like that found in Reg Guide 1.86 for surface contamination. Instead, NRC has treated these situations on an individual basis, typically seeking to assure, by an evaluation of doses associated with the proposed release of the material, that maximum doses are a small percentage of the Part 20 dose limit for members of the public. Thus, the NRC practice over the years has been to allow the release of material with slight levels of volumetric contamination based on a case-by-case evaluation. These evaluations follow guidance discussed in the June 1999 Issues Paper (NRC 1999b) and in three All-Agreement States letters (STP-00-070, STP-01-081, STP-03-003), dated August 22, 2000, November 28, 2001, and January 15, 2003, respectively.

2.1 All Licensees

Licensees have used the specific process set out in 10 CFR 20.2002 to seek approval for alternate disposal methods of solid material. The release of material using the 10 CFR 20,2002 process is consistent with other disposition provisions in Part 20 that allow for the unrestricted release of material (e.g., 10 CFR 20,2003 and 10 CFR 20,2005). With regard to evaluation of 10 CFR 20.2002 requests, the guidance that NRC has used to evaluate these requests has evolved over time in response to increases in technical capabilities and changes in the regulations. In the mid-1980's. NRC used several documents including NUREG-1101, Vol. 2 (Onsite Disposal of Radioactive Waste - Methodology for Radiological Assessment of Disposal by Subsurface Burial), NUREG/CR-3332 (Radiological Assessment - A Textbook on Environmental Dose Analysis), and NUREG/CR-5512, Vol. 1 (Residual Radioactive Contamination From Decommissioning). Most of the alternate disposal requests involved burying the waste on-site or at off-site locations (e.g., landfills). In 1988, NRC promulgated the rule on "General Requirements for Decommissioning Nuclear Facilities" (Timeliness rule). In part, this rule required licensees to submit evaluations of inactive areas of sites including former burials under 10 CFR 20.302 and 20.304. Additional clarification was provided in Information Notice 96-47. In 1996, NRC developed a preliminary Screening Methodology for evaluating former burials (61 FR 56716). After issuance of requirements in Subpart E of 10 CFR 20 in the License Termination Rule (LTR) in July 1997, NRC concluded that the screening methodology did not always produce a dose below the unrestricted dose limit when a more rigorous methodology was used, and the screening methodology was never finalized.

The current guidance document that would be used to evaluate doses associated with 20.2002 requests is NUREG-1757, Volume 2 (Consolidated NMSS Decommissioning Guidance:

Characterization, Survey, and Determination of Radiological Criteria), dated September 2003. This NUREG provides guidance on complying with Subpart E of Part 20, and represents the current state of thinking for dose assessments. The guidance in NUREG 1757, Volume 2, was based substantially on guidance in NUREG-1727 (NMSS Decommissioning Standard Review Plan), dated September 2000. Prior to this NUREG being finalized, NRC issued interim guidance entitled "Preliminary Guidelines for Evaluating Dose Assessments in Support of Decommissioning," dated February 11, 1999. Thus, the standard practice over the years has been to allow the release of material with slight levels of volumetric contamination based on a case-by-case evaluation.

2.2 Reactor Licensees

For reactor licensees, the disposition of volumetrically contaminated materials is being implemented under the provisions of Information Notice No. 88-22: Disposal of Sludge from Onsite Sewage Treatment Facilities at Nuclear Power Stations. Certain materials may be surveyed using a representative sample and gamma spectrometry analytical methods. The provision requires that materials can be released if no licensed radioactive material above natural background levels is detected, provided the radiation survey used a detection level that is consistent with the lower limit of detection values used to evaluate environmental samples. NRC guidance states that the lower limit of detection (LLD) to be used for radiation surveys is the "operational state of the art" LLD values given in the Standard Radiological Effluent Technical Specifications (RETS) for environmental samples taken as part of the licensee's radiological environmental monitoring program.

The environmental LLDs are contained in Regulatory Guide 4.8, "Environmental Technical Specifications for Nuclear Power Plants," and in a Branch Technical Position (NRC 1979). They are also contained in NUREG-1301, "Offsite Dose Calculation Manual Guidance: Standard Radiological Effluent Controls for Pressurized Water Reactors," and NUREG-1302, "Offsite Dose Calculation Manual Guidance: Standard Radiological Effluent Controls for Boiling Water Reactors." There are several different acceptable survey applications of the environmental LLDs and applications have included a variety of environmental media including soils, sediments, liquids and slurries.

2.3 Materials Licensees

For materials licensees, the disposition of volumetrically contaminated materials is being implemented under the provisions of the December 27, 2002, NRC Memorandum "Update on Case-Specific Licensing Decisions on Controlled Release of Concrete from Licensed Facilities," (referenced in STP-03-003). This memorandum indicates that controlled releases of volumetrically contaminated concrete may be approved, pursuant to 10 CFR 20.2002, under an annual dose criterion of a "few mrem."

3. Agreement State Practices for Releasing Solid Materials

As part of the technical basis development for the control of solid materials, NRC obtained information from the Agreement States on their practices with respect to the release of surficially

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and/or volumetrically contaminated materials for unrestricted use. The responses indicate the States vary in their approaches. The types of criteria applied on a case-by-case basis include use of levels that are indistinguishable from background, use of guidelines similar or equivalent to Regulatory Guide 1.86, and use of dose-based analyses. The approaches listed below were identified by one or more Agreement States in their responses to the All Agreement States letter SP-99-074, dated November 2, 1999:

- Materials to be released must be indistinguishable from background. The level used for background could be based on NRC guidance such as Regulatory Guide 1.86.
- Radioactive material can only be transferred to persons licensed to receive it. Therefore, licensees cannot release either surficial or volumetric contaminated solid materials for unrestricted use.
- NRC guidance documents are used to determine, on a case-by-case basis, whether radioactive
 materials (e.g., dirt, resins, asphalt, concrete, metals) can be released for unrestricted use.
 This includes but is not limited to NRC guidance documents such as Regulatory Guide 1.86,
 Policy and Guidance PG-8-08, Policy and Guidance Directive FC 83-23, NUREG/CR 5849,
 and computer models such as RESRAD, and DandD.
- Regulatory Guide 1.86 is used but the contamination limits for the second group of nuclides in Table 1 are increased by a factor of ten.
- In addition to meeting specific surface contamination limits similar to Regulatory Guide 1.86, porous materials (e.g., concrete), which are to be released for unrestricted use, shall be evaluated to determine whether radioactive materials have penetrated to the interior of the material. If radioactive contamination has penetrated into the material, analysis of the average concentration, in picocuries per gram, shall be made. The material may be released for unrestricted use if the radionuclide concentrations do not exceed the limits specified for soil (which preclude a member of the public from receiving a total effective dose equivalent in excess of 25 mrem/year from all pathways (excluding radium and its decay products)).
- Volumetric releases are based upon a concentration equivalent to the 10 CFR Part 20 values for water converted to grams rather than volume.
- Releases can be based on a life-time fatal cancer risk of 1.0E-6. One State identified used a risk criterion of 1.0E-4.
- Use of maximum doses included 1, 10, 15 or 25 mrem/yr.
- Allowance of up to 8 pCi/gm of Co-60 in soil. Allowance of 5 pCi/gm of Cs-137 in flue dust.
- Requirements that no licensee may possess, receive, use, or transfer licensed radioactive material in such a manner as to cause contamination of soil or vegetation in unrestricted areas

that causes a member of the public to receive a total effective dose equivalent in excess of 25 mrem/year from all pathways (excluding radium and its decay products).

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