FORM 1-V: Cover Letter Transmitting Inspection Report (including apparent security violations) and Confirming Predecisional Enforcement Conference ("Conference Letter")

SAFEGUARDS INFORMATION

EA-YY-XXX
NMED No. (If applicable)

(Name of licensee)
(Address)

SUBJECT: [Include FACILITY NAME for reactor cases] - NRC [include type of inspection, e.g., "INTEGRATED, SPECIAL"] INSPECTION REPORT NO(S). (XXXXXXXX/YYYYYNNN) [If applicable, add "AND INVESTIGATION REPORT NO(S). X-XXXX-XXX"]

Dear _____:

This refers to the inspection conducted on (date(s)) at the [Use (plant name) for reactor licensee; use (City, State) for materials licensee] facility. [May include purpose statement, e.g., "The purpose of the inspection was to follow up on the loss of main feedwater event that occurred on May 7."] The enclosed report presents the results of this inspection. [Any subsequent meetings and/or telephone discussions should be documented.]

[Routine inspections may use the following paragraph:

"This inspection examined activities conducted under your license as they relate to public health and safety, the common defense and security, and to compliance with the Commission's rules and regulations, orders, and with the conditions of your license. Within these areas, the inspection consisted of selected examination of procedures and representative records, observations of activities, and interviews with personnel."]

Based on the results of this inspection, (number) apparent violation(s) was (were) identified and is (are) being considered for escalated enforcement action in accordance with the NRC Enforcement Policy included on the NRC's Web site at www.nrc.gov; select **What We Do**, **Enforcement**, then **Enforcement Policy**." [The narrative that follows should briefly discuss the nature of the apparent violation(s).] Since the NRC has not made a final determination in this matter, no Notice of Violation is being issued for these inspection findings at this time. In addition, please be advised that the number and characterization of apparent violations described in the enclosed inspection report may change as a result of further NRC review.

Enclosure contains Safeguards Information. When separated from enclosure, this document is Proprietary Information.

SAFEGUARDS INFORMATION

A closed predecisional enforcement conference to discuss this (these) apparent violation(s) has been scheduled for (date). The decision to hold a predecisional enforcement conference does not mean that the NRC has determined that a violation has occurred or that enforcement action will be taken. This conference is being held to obtain information to assist the NRC in making an enforcement decision. This may include information to determine whether a violation occurred, information to determine the significance of a violation, information related to the identification of a violation, and information related to any corrective actions taken or planned. The conference will provide an opportunity for you to provide your perspective on these matters and any other information that you believe the NRC should take into consideration in making an enforcement decision. [Add the following discussion for material and fuel cycle licensees: "In presenting your corrective action, you should be aware that the promptness and comprehensiveness of your actions will be considered in assessing any civil penalty for the apparent violations. The guidance in the enclosed excerpt from NRC Information Notice 96-28, "Suggested Guidance Relating to Development and Implementation of Corrective Action," may be helpful."]

You will be advised by separate correspondence of the results of our deliberations on this matter. No response regarding the(se) apparent violation(s) is required at this time.

The material enclosed herewith contains Safeguards Information as defined by 10 CFR 73.21 and its disclosure to unauthorized individuals is prohibited by Section 147 of the Atomic Energy Act of 1954, as amended. Therefore, the material will not be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS), accessible from the NRC Web site at http://www.nrc.gov/reading-rm/adams.html.

Sincerely,

_____
Regional Administrator
(or designee)

Docket No. _____
License No. _____

Enclosure(s): Inspection Report **(Safeguards Information)**
[for materials and fuel cycle licensees add, "Excerpt from NRC Information Notice 96-28."]

Enclosure contains Safeguards Information. When separated from enclosure, this document is Proprietary Information.

[For inspection reports that include security-related information, replace the last paragraph of the "conference letter" with the following paragraph and make appropriate document marking changes:

"The material enclosed herewith contains Security-Related Information in accordance with 10 CFR 2.390(d). Its disclosure to unauthorized individuals could present a security vulnerability; therefore, the material will not be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS), accessible from the NRC Web site at http://www.nrc.gov/reading-rm/adams.html.

[For inspection reports that include Proprietary Information, replace the last paragraph of the "conference letter" with the following paragraph and make appropriate document marking changes.]

The material enclosed herewith contains Proprietary Information in accordance with 10 CFR 2.390. Therefore, the material will not be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS), accessible from the NRC Web site at http://www.nrc.gov/reading-rm/adams.html.

Sincerely,

_____
Regional Administrator
(or designee)

Docket No. _____
License No. _____

Enclosure(s): Inspection Report **(Proprietary Information)**
[for materials and fuel cycle licensees add, "Excerpt from NRC Information Notice 96-28."]

CLASSIFIED INFORMATION

[For inspection reports that include Classified Information, replace the last paragraph of the "conference letter" with the following paragraph and make appropriate document marking changes.]

The material enclosed herewith contains Classified Information as defined by 10 CFR Part 95 and its disclosure to unauthorized individuals is prohibited by the Atomic Energy Act of 1954, as amended. Therefore, the material will not be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS), accessible from the NRC Web site at http://www.nrc.gov/reading-rm/adams.html.

Sincerely,

_____
Regional Administrator
(or designee)

Docket No. _____
License No. _____

Enclosure(s): Inspection Report **(Classified Information)**
[for materials and fuel cycle licensees add, "Excerpt from NRC Information Notice 96-28."]

Enclosure contains Classified Information.
When separated from enclosure, this document is Proprietary Information.

CLASSIFIED INFORMATION

For inspection reports that include Classified Information (e.g., Secret National Security Information), replace the last paragraph of the "conference letter" with the following paragraph and make appropriate document marking changes.]

The material enclosed herewith contains Secret National Security Information as defined by Executive Order 12958, as amended, and its disclosures to unauthorized individuals is prohibited by Executive Order 12958, as amended. Therefore, the material will not be made available electronically for public inspection in the NRC Public Document Room or from the NRC's document system (ADAMS).

Sincerely,

_____
Regional Administrator
(or designee)

Docket No. _____
License No. _____

Enclosure(s): Inspection Report (**Secret National Security Information**)
[for materials and fuel cycle licensees add "Excerpt from NRC Information Notice 96-28."]

Enclosure contains Secret National Security Information. When separated from enclosure, this document is Proprietary Information.