

December 23, 2005

MEMORANDUM TO: Luis A. Reyes  
Executive Director for Operations

FROM: Stephen D. Dingbaum/**RA**/  
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: EVALUATION OF  
NRC'S AUTOMATED INFORMATION SYSTEM (AIS)  
INVENTORY PROCESS (OIG-05-A-22)

REFERENCE: DEPUTY EXECUTIVE DIRECTOR FOR INFORMATION  
SERVICES AND ADMINISTRATIONS AND CHIEF  
INFORMATION OFFICER, OFFICE OF EXECUTIVE  
DIRECTOR FOR OPERATIONS, MEMORANDUM DATED  
DECEMBER 7, 2005

Attached is the Office of the Inspector General's analysis and status of recommendations as discussed in the agency's response dated December 7, 2005. Based on these responses, recommendations 1 through 7 are resolved. Please provide an update on all resolved recommendations by July 3, 2006.

If you have any questions or concerns, please call me at 415-5915.

Attachment: Status of Recommendations

cc: W. Dean, OEDO  
M. Malloy, OEDO  
P. Tressler, OEDO

## Audit Report

### Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

#### Status of Recommendations

Recommendation 1: Correct the inaccuracies in the AIS inventories.

Response Dated  
December 7, 2005: The Office of Information Services (OIS) will verify the AIS inventory information and correct all inaccuracies.

OIG Analysis: The proposed corrective action addresses the intent of OIG's recommendation. Recommendation 1 will be closed once OIG has received verification that the AIS inventory information has been updated.

**Status:** Resolved.

## Audit Report

### Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

#### Status of Recommendations

Recommendation 2: Validate the information in the AIS inventories annually.

Response Dated  
December 7, 2005:

OIS will develop and implement a process to validate the information in the AIS inventories annually.

OIG Analysis:

The proposed corrective action addresses the intent of OIG's recommendation. Recommendation 2 will be closed once OIG has received documentation that the process to validate the AIS inventories has been incorporated into office procedures.

**Status:**

Resolved.

## Audit Report

### Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

#### Status of Recommendations

<u>Recommendation 3:</u>	Provide guidance on the type of information required from the office directors, regional administrators, and system owners/sponsors when providing AIS inventory updates.
Response Dated December 7, 2005:	OIS will develop guidance on the type of information required and will provide this guidance when obtaining AIS inventory updates.
OIG Analysis:	The proposed corrective actions address the intent of OIG's recommendation. Recommendation 3 will be closed once OIG has received a copy of the guidance.
<b>Status:</b>	Resolved.

## Audit Report

### Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

#### Status of Recommendations

Recommendation 4: Develop and implement procedures for adding new systems to the AIS inventories.

Response Dated  
December 7, 2005:

OIS will develop and implement procedures for adding new systems to the AIS inventories.

OIG Analysis:

The corrective action addresses the intent of OIG's recommendation. Recommendation 4 will be closed once OIG has received documentation that the process for adding new systems to the AIS inventories has been incorporated into office procedures.

**Status:**

Resolved.

## Audit Report

### Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

#### Status of Recommendations

Recommendation 5: Develop and implement procedures for notifying OIS of changes in system information in the AIS inventories.

Response Dated  
December 7, 2005:

OIS will develop and implement procedures for system owners to notify OIS of changes in system information in the AIS inventories.

OIG Analysis:

The corrective action addresses the intent of OIG's recommendation. Recommendation 5 will be closed once OIG has received documentation that the procedure for system owners to notify OIS of changes to system information in the AIS inventories has been implemented.

**Status:**

Resolved.

## Audit Report

### Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

#### Status of Recommendations

Recommendation 6: Develop and implement procedures for recording system information for systems that are composed of multiple components.

Response Dated  
December 7, 2005:

OIS will develop and implement procedures for recording system information for systems that are composed of multiple components.

OIG Analysis:

The corrective actions address the intent of OIG's recommendation. Recommendation 6 will be closed once OIG has received documentation that the procedure for recording system information for systems that are composed of multiple components has been incorporated into office procedures.

**Status:**

Resolved.

## Audit Report

### Evaluation of NRC's Automated Information System (AIS) Inventory Process OIG-05-A-22

#### Status of Recommendations

Recommendation 7: Modify the AIS system inventory systems to capture all of the data needed to meet FISMA requirements.

Response Dated  
December 7, 2005:

OIS will identify all required information to meet Federal Information Security Management Act (FISMA) requirements and will modify the appropriate systems to capture this information.

OIG Analysis:

The proposed corrective action addresses the intent of OIG's recommendation. Recommendation 7 will be closed once OIG has received documentation the AIS system inventory systems have been modified.

**Status:**

Resolved.