

March 2, 2006

MEMORANDUM TO: Luis A. Reyes
Executive Director for Operations

FROM: Stephen D. Dingbaum/**RA**/
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: SYSTEM
EVALUATION OF LISTED SYSTEMS THAT PROCESS
SAFEGUARDS AND/OR CLASSIFIED INFORMATION
(OIG-05-A-14)

REFERENCE: DIRECTOR, OFFICE OF INFORMATION SERVICES,
MEMORANDA DATED FEBRUARY 6 AND 23, 2006

Attached is the Office of the Inspector General (OIG) analysis of the updated status of Recommendations 8 and 9 as discussed in the agency's responses dated February 6, and 23, 2006. Based on these responses, recommendations 8 and 9 are closed.

If you have questions or concerns, please call me at 415-5915.

Attachment: As stated

cc: W. Dean, OEDO
M. Malloy, OEDO
P. Tressler, OEDO

Audit Report

SYSTEM EVALUATION OF LISTED SYSTEMS THAT PROCESS SAFEGUARDS AND/OR CLASSIFIED INFORMATION OIG-05-A-14

Status of Recommendations

Recommendation 8: Require listed systems that process safeguards and/or classified information to use operating systems that support the implementation of required security controls.

Response dated
February 23, 2006:

The security plan templates for listed systems that process safeguards and/or classified information have been modified to require specific IT security controls. Any deviations from the standard plans must be noted in the submitting memorandum. Operating systems that do not support required security controls are not approved. The current templates are as follows:

- Certification Package Transmittal Memo for Stand-alone Personal Computers and Laptops used for Safeguards Information Processing (ML053500402)
- Standard Listed System Security Plan for Stand-alone Personal Computers and Laptops used for Safeguards Information (SGI) Processing (ML053500405)
- Certification Package Transmittal Memo for Stand-alone Personal Computers and Laptops used for Classified Information Processing (ML053500391)
- Standard Listed System Security Plan for Stand-alone Personal Computers and Laptops used for Classified Information Processing (ML053500398)
- Certification Package Transmittal Memo for Removable Hard Drives Used as the Sole Hard Drive in Stand-alone Personal Computers and Laptops used for Safeguards Information (SGI) Processing (ML053500414)
- Standard Listed System Security Plan for Removable Hard Drives Used as the Sole Hard Drive in Stand-alone Personal Computers and Laptops used for Safeguards Information (SGI) Processing (ML053500410).

Audit Report

SYSTEM EVALUATION OF LISTED SYSTEMS THAT PROCESS SAFEGUARDS AND/OR CLASSIFIED INFORMATION OIG-05-A-14

Status of Recommendations

Recommendation 8, continued: The templates can also be found on the IT security web page:
http://www.internal.nrc.gov/ois/frontoffice/computer-security/ITsec_Guide_Security_Plans.htm.

OIG Response: The corrective action addresses the intent of OIG's recommendation. This recommendation is closed.

Status: **Closed.**

Audit Report

SYSTEM EVALUATION OF LISTED SYSTEMS THAT PROCESS SAFEGUARDS AND/OR CLASSIFIED INFORMATION OIG-05-A-14

Status of Recommendations

Recommendation 9: Require security plans to include documentation approving any exceptions to the required security controls.

Response Dated
February 6, 2006:

The security plan templates for listed systems that process safeguards and/or classified information have been modified to provide a mechanism for system owners to identify all exceptions to the required security controls. A listed system accreditation memorandum from the designated approving authority specifically addresses and either approves or disapproves the exceptions to the required security controls. The updated templates are as follows:

- Certification Package Transmittal Memo for Stand-alone Personal Computers and Laptops used for Safeguards Information Processing (ML053500402);
- Standard Listed System Security Plan for Stand-alone Personal Computers and Laptops used for Safeguards Information (SGI) Processing (ML053500405);
- Certification Package Transmittal Memo for Stand-alone Personal Computers and Laptops used for Classified Information Processing (ML053500391);
- Standard Listed System Security Plan for Stand-alone Personal Computers and Laptops used for Classified Information Processing (ML0535003980);
- Certification Package Transmittal Memo for Removable Hard Drives Used as the Sole Hard Drive in Stand-alone Personal Computers and Laptops used for Safeguards Information (SGI) Processing (ML053500414); and
- Standard Listed System Security Plan for Removable Hard Drives Used as the Sole Hard Drive in Stand-alone Personal Computers and Laptops used for Safeguards Information (SGI) Processing (ML053500410). The templates can also be found on the IT security web page:
http://www.internal.nrc.gov/ois/frontoffice/computer-security/ITsec_Guide_Security_Plans.htm.

Audit Report

**SYSTEM EVALUATION OF LISTED SYSTEMS THAT PROCESS SAFEGUARDS
AND/OR CLASSIFIED INFORMATION
OIG-05-A-14**

Status of Recommendations

Recommendation 9 continued:

OIG Response:

The corrective action addresses the intent of OIG's recommendation. This recommendation is closed.

Status:

Closed.