

February 2, 2006

MEMORANDUM TO: Luis A. Reyes
Executive Director for Operations

FROM: Stephen D. Dingbaum/**RAI**
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: SYSTEM
EVALUATION OF THE AGENCYWIDE DOCUMENTS
ACCESS AND MANAGEMENT SYSTEM (OIG-04-A-21)

REFERENCE: DIRECTOR, OFFICE OF INFORMATION SERVICES,
MEMORANDUM DATED DECEMBER 28, 2005

Attached is the Office of the Inspector General (OIG) analysis and status of the recommendations as discussed in the agency's response dated December 28, 2005. Based on this response, recommendations 1, 2, 4, 5, and 6 remain in resolved status. Please provide an updated status of the resolved recommendations by October 6, 2006.

If you have questions or concerns, please call me at 415-5915.

Attachment: As stated

cc: W. Dean, OEDO
M. Malloy, OEDO
P. Tressler, OEDO

Audit Report

System Evaluation of the Agencywide Documents Access and Management System OIG-04-A-21

Status of Recommendations

Recommendation 1: Update the ADAMS Risk Assessment Report to be consistent with National Institute of Standards and Technology Special Publication 800-30, Risk Management Guide.

Response Dated
December 28, 2005:

The Agencywide Documents Access and Management System (ADAMS) Risk Assessment Report is being updated as part of the ADAMS security accreditation, and we are ensuring that it is consistent with the applicable National Institute of Standards and Technology (NIST) and NRC guidance. With the development of the OIS Security Tiger Team, a schedule was developed to address certification and accreditation for priority systems in which ADAMS was not identified as a priority system. In accordance with the current Tiger Team schedule, we expect to have the final risk assessment report completed by the end of February 2007. We will supply a status report no later than September 30, 2006.

OIG Analysis:

The proposed action addresses the issue discussed in the report and the intent of the recommendation. This recommendation will be closed when OIG verifies that the ADAMS Risk Assessment Report is consistent with National Institute of Standards and Technology Special Publication 800-30, *Risk Management Guide*.

Status:

Resolved.

Audit Report

System Evaluation of the Agencywide Documents Access and Management System OIG-04-A-21

Status of Recommendations

Recommendation 2: Update ADAMS Security Plan to describe all controls currently in place. In-place controls are those marked at least at Level 3 in the self-assessment and that were documented as passed in the last Security Test and Evaluation Plan and Report or in any test and evaluation on controls added since publication of that report.

Response Dated
December 28, 2005:

The ADAMS Security Plan is being updated as part of the ADAMS security accreditation, and we are ensuring that it describes all controls currently in place. The ADAMS Security Plan is dependent on a completed and approved Risk Assessment Report by the NRC Senior Information Technology Security Officer (SITSO). We expect to have the final report completed by the end of May 2007.

OIG Analysis:

The proposed action addresses the issue discussed in the report and the intent of the recommendation. This recommendation will be closed when OIG verifies that the final updated Plan describe all controls currently in place.

Status:

Resolved.

Audit Report

System Evaluation of the Agencywide Documents Access and Management System OIG-04-A-21

Status of Recommendations

Recommendation 4: Update the ADAMS Business Continuity Plan to include the following changes:

- Describe the methods used to notify recovery personnel during business and non-business hours for all scenarios.
- Incorporate all teams' roles and responsibilities and relevant points of contact information for team leaders, alternate team leaders, and team members for all scenarios.
- Include procedures for restoring system operations, with a focus on how to clean the alternate site of any equipment or other materials belonging to the organization.

Response Dated
December 28, 2005:

The ADAMS Business Continuity Plan is being updated as part of the ADAMS security accreditation, and we are ensuring that it includes the recommended changes. The Business Continuity Plan is dependent on a completed and approved Security Plan. We expect to have the final report completed by the end of August 2007.

OIG Analysis:

The proposed action addresses the issue discussed in the report and the intent of the recommendation. This recommendation will be closed when OIG verifies that the updated ADAMS Business Continuity Plan contains the recommended changes.

Status:

Resolved.

Audit Report

System Evaluation of the Agencywide Documents Access and Management System OIG-04-A-21

Status of Recommendations

Recommendation 5: Update ADAMS Security Plan and/or ADAMS self-assessment to consistently define the protection requirements (confidentiality, integrity, availability).

Response Dated
December 28, 2005:

The ADAMS Security Plan is being updated as part of the ADAMS security accreditation, and we are ensuring that it consistently defines the protection requirements. The ADAMS Security Plan is dependent on a completed and approved Risk Assessment Report by the NRC SITSO. We expect to have the final report completed by the end of May 2007.

OIG Analysis:

The proposed action addresses the issue discussed in the report and the intent of the recommendation. This recommendation will be closed when OIG verifies that the ADAMS Security Plan and/or ADAMS self-assessment consistently define the protection requirements.

Status:

Resolved.

Audit Report

System Evaluation of the Agencywide Documents Access and Management System OIG-04-A-21

Status of Recommendations

Recommendation 6: Track all actions items resulting from testing of the ADAMS security controls and contingency plan in either the agency's internal tracking system or in the agency's plan of action and milestones submitted to OMB.

Response Dated
December 28, 2005:

The response to this recommendation is included with the ADAMS system level action items in the FISMA plan of action and milestones (Item number ADAMS 05-8). The Information Technology Systems Security Tracking System data base will be updated to track all action items as results become available. We expect to have the final report completed by the end of August 2007.

OIG Analysis:

The proposed action addresses the issue discussed in the report and the intent of the recommendation. This recommendation will be closed when OIG verifies that all actions items resulting from testing of the ADAMS security controls and contingency plan reviews are tracked.

Status:

Resolved.