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AUTHORIZED FOR LOCAL REPRODUCTION SISP REVIEW COMPLETE

OPTIONAL FORM 347 (REV. 3/2005)
PRESCRIBED BY GSA/FAR 48 CFR 83.213(e)

ADDITIONAL SIMPLIFIED ACQUISITION TERMS AND CONDITIONS

A.1 NOTICE LISTING CLAUSES INCORPORATED BY REFERENCE

The following clauses are hereby incorporated by reference (by Citation Number, Title, and Date) in accordance with the clause at FAR "52.252-2 CLAUSES INCORPORATED BY REFERENCE" contained in this document. FAR 52.252-2 contains the internet address for electronic access to the full text of a clause.

NUMBER	TITLE	DATE
	FEDERAL ACQUISITION REGULATION (48 CFR C	hapter 1)
52.222-42	STATEMENT OF EQUIVALENT RATES FOR FEDERAL HIRES	MAY 1989
52.222-47	SERVICE CONTRACTS ACT (SCA) MINIMUM WAGES AND FRINGE BENEFITS	MAY 1989
52.223-6	DRUG-FREE WORKPLACE	MAY 2001
52.233-4	Applicable Law for Breach of Contract	OCT 2004
	Claim	
52.237-1	SITE VISIT	APR 1984
52.237-2	PROTECTION OF GOVERNMENT BUILDINGS, EQUIPMENT, AND VEGETATION	APR 1984
52.242-10	F.O.B. ORIGINGOVERNMENT BILLS OF LADING OR PREPAID POSTAGE	APR 1984
52.243-1	CHANGESFIXED PRICE ALTERNATE I (APR 1984)	AUG 1987

A.2 52.204-8 ANNUAL REPRESENTATIONS AND CERTIFICATIONS (JAN 2005)

- (a)(1) If the clause at 52.204-7, Central Contractor Registration, is included in this solicitation, paragraph (b) of this provision applies. (2) If the clause at 52.204-7 is not included in this solicitation, and the offeror is currently registered in CCR, and has completed the ORCA electronically, the offeror may choose to use paragraph (b) of this provision instead of completing the corresponding individual representations and certifications in the solicitation. The offeror shall indicate which option applies by checking one of the following boxes: [] (i) Paragraph (b) applies. [] (ii) Paragraph (b) does not apply and the offeror has completed the individual representations and certifications in the solicitation.
- (b) The offeror has completed the annual representations and certifications electronically via the Online Representations and Certifications Application (ORCA) website at http://orca.bpn.gov. After reviewing the ORCA database information, the offeror verifies by submission of the offer that the representations and certifications currently posted electronically have been entered or updated within the last 12 months, are current, accurate, complete, and applicable to this solicitation (including the business size standard applicable to the NAICS code referenced for this solicitation), as of the date of this offer and are incorporated in this offer by reference (see FAR 4.1201); except for the changes identified below [offeror to insert changes, identifying change by clause number, title, date]. These amended representation(s) and/or certification(s) are also incorporated in this offer and are current, accurate, and complete as of the date of this offer.

FAR Clause #	Title	Date	Change

Any changes provided by the offeror are applicable to this solicitation only, and do not result in an update to the representations and certifications posted on ORCA.

52.213-3 NOTICE TO SUPPLIER

APR 1984

A.3 52.213-4 TERMS AND CONDITIONS - SIMPLIFIED ACQUISITIONS (OTHER THAN COMMERCIAL ITEMS) (JUL 2005)

- (a) The Contractor shall comply with the following Federal Acquisition Regulation (FAR) clauses that are incorporated by reference:
 - (1) The clauses listed below implement provisions of law or Executive order:
 - (i) 52.222-3, Convict Labor (June 2003) (E.O. 11755).
 - (ii) 52.222-21, Prohibition of Segregated Facilities (Feb 1999) (E.O. 11246).
 - (iii) 52.222-26, Equal Opportunity (Apr 2002) (E.O. 11246).
- (iv) 52.225-13, Restrictions on Certain Foreign Purchases (MAR 2005) (E.o.s, proclamations, and statutes administered by the Office of Foreign Assets Control of the Department of the Treasury).
 - (v) 52.233-3, Protest After Award (Aug 1996) (31 U.S.C. 3553).
 - (vi) 52.233-4, Applicable Law for Breach of Contract Claim (OCT 2004) (Pub. L. 108-77, 108-78).
 - (2) Listed below are additional clauses that apply:
 - (i) 52.232-1, Payments (Apr 1984).
 - (ii) 52.232-8, Discounts for Prompt Payment (Feb 2002).
 - (iii) 52.232-11, Extras (Apr 1984).
 - (iv) 52.232-25, Prompt Payment (Oct 2003).
 - (v) 52.233-1, Disputes (Jul 2002).
 - (vi) 52.244-6, Subcontracts for Commercial Items (Dec 2004).
 - (vii) 52.253-1, Computer Generated Forms (Jan 1991).
- (b) The Contractor shall comply with the following FAR clauses, incorporated by reference, unless the circumstances do not apply:
 - (1) The clauses listed below implement provisions of law or Executive order:
- (i) 52.222-19, Child Labor--Cooperation with Authorities and Remedies (Jun 2004) (E.O. 13126). (Applies to contracts for supplies exceeding the micro-purchase threshold.)
- (ii) 52.222-20, Walsh-Healey Public Contracts Act (Dec 1996) (41 U.S.C. 35-45) (Applies to supply contracts over \$10,000 in the United States, Puerto Rico, or the U.S. Virgin Islands).

- (iii) 52.222-35, Equal Opportunity for Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans (DEC 2001) (38 U.S.C. 4212) (Applies to contracts of \$25,000 or more).
- (iv) 52.222-36, Affirmative Action for Workers with Disabilities (June 1998) (29 U.S.C. 793). (Applies to contracts over \$10,000, unless the work is to be performed outside the United States by employees recruited outside the United States.) (For purposes of this clause, United States includes the 50 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, and Wake Island.)
- (v) 52.222-37, Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans (DEC 2001) (38 U.S.C. 4212) (Applies to contracts of \$25,000 or more).
- (vi) 52.222-41, Service Contract Act of 1965, As Amended (JUL 2005) (41 U.S.C. 351, et seq.) (Applies to service contracts over \$2,500 that are subject to the Service Contract Act and will be performed in the United States, District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, Johnston Island, Wake Island, or the outer continental shelf lands).
- (vii) 52.223-5, Pollution Prevention and Right-to-Know Information (AUG 2003) (E.O. 13148) (Applies to services performed on Federal facilities).
- (viii) 52.225-1, Buy American Act--Supplies (June 2003) (41 U.S.C. 10a-10d) (Applies to contracts for supplies, and to contracts for services involving the furnishing of supplies, for use in the United States or its outlying areas, if the value of the supply contract or supply portion of a service contract exceeds the micropurchase threshold and the acquisition--
 - (A) Is set aside for small business concerns; or
 - (B) Cannot be set aside for small business concerns (see 19.502-2), and does not exceed \$25,000.)
- (ix) 52.232-33, Payment by Electronic Funds Transfer--Central Contractor Registration (OCT 2003). (Applies when the payment will be made by electronic funds transfer (EFT) and the payment office uses the Central Contractor Registration (CCR) database as its source of EFT information.)
- (x) 52.232-34, Payment by Electronic Funds Transfer--Other than Central Contractor Registration (May 1999). (Applies when the payment will be made by EFT and the payment office does not use the CCR database as its source of EFT information.)
- (xi) 52.247-64, Preference for Privately Owned U.S.-Flag Commercial Vessels (APR 2003) (46 U.S.C. Appx 1241). (Applies to supplies transported by ocean vessels (except for the types of subcontracts listed at 47.504(d).)
 - (2) Listed below are additional clauses that may apply:
- (i) 52.209-6, Protecting the Government's Interest When Subcontracting with Contractors Debarred, Suspended, or Proposed for Debarment (JAN 2005) (Applies to contracts over \$25,000).
 - (ii) 52.211-17, Delivery of Excess Quantities (SEPT 1989) (Applies to fixed-price supplies).
 - (iii) 52,247-29, F.o.b. Origin (JUN 1988) (Applies to supplies if delivery is f.o.b. origin).
 - (iv) 52.247-34, F.o.b. Destination (NOV 1991) (Applies to supplies if delivery is f.o.b. destination).
- (c) FAR 52.252-2, Clauses Incorporated by Reference (FEB 1998). This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the

Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at this/these address(es):

http://www.arnet.gov/far

- (d) Inspection/Acceptance. The Contractor shall tender for acceptance only those items that conform to the requirements of this contract. The Government reserves the right to inspect or test any supplies or services that have been tendered for acceptance. The Government may require repair or replacement of nonconforming supplies or reperformance of nonconforming services at no increase in contract price. The Government must exercise its postacceptance rights--
 - (1) Within a reasonable period of time after the defect was discovered or should have been discovered; and
- (2) Before any substantial change occurs in the condition of the item, unless the change is due to the defect in the item.
- (e) Excusable delays. The Contractor shall be liable for default unless nonperformance is caused by an occurrence beyond the reasonable control of the Contractor and without its fault or negligence, such as acts of God or the public enemy, acts of the Government in either its sovereign or contractual capacity, fires, floods, epidemics, quarantine restrictions, strikes, unusually severe weather, and delays of common carriers. The Contractor shall notify the Contracting Officer in writing as soon as it is reasonably possible after the commencement of any excusable delay, setting forth the full particulars in connection therewith, shall remedy such occurrence with all reasonable dispatch, and shall promptly give written notice to the Contracting Officer of the cessation of such occurrence.
- (f) Termination for the Government's convenience. The Government reserves the right to terminate this contract, or any part hereof, for its sole convenience. In the event of such termination, the Contractor shall immediately stop all work hereunder and shall immediately cause any and all of its suppliers and subcontractors to cease work. Subject to the terms of this contract, the Contractor shall be paid a percentage of the contract price reflecting the percentage of the work performed prior to the notice of termination, plus reasonable charges that the Contractor can demonstrate to the satisfaction of the Government, using its standard record keeping system, have resulted from the termination. The Contractor shall not be required to comply with the cost accounting standards or contract cost principles for this purpose. This paragraph does not give the Government any right to audit the Contractor's records. The Contractor shall not be paid for any work performed or costs incurred that reasonably could have been avoided.
- (g) Termination for cause. The Government may terminate this contract, or any part hereof, for cause in the event of any default by the Contractor, or if the Contractor fails to comply with any contract terms and conditions, or fails to provide the Government, upon request, with adequate assurances of future performance. In the event of termination for cause, the Government shall not be liable to the Contractor for any amount for supplies or services not accepted, and the Contractor shall be liable to the Government for any and all rights and remedies provided by law. If it is determined that the Government improperly terminated this contract for default, such termination shall be deemed a termination for convenience.
- (h) Warranty. The Contractor warrants and implies that the items delivered hereunder are merchantable and fit for use for the particular purpose described in this contract.

A.4 NRC Acquisition Clauses - (NRCAR) 48 CFR Ch. 20 A.5 Other Applicable Clauses

[] See Addendum for the following in full text (if checked)

[] 52.216-18, Ordering

- [] 52.216-19, Order Limitations
- [] 52.216-22, Indefinite Quantity
- [] 52.217-6, Option for Increased Quantity
- [] 52.217-7, Option for Increased Quantity Separately Priced Line Item
- [] 52.217-8, Option to Extend Services
- [] 52.217-9, Option to Extend the Term of the Contract

A.6 2052.204.70 SECURITY (MAR 2004)

- (a) Contract Security and/or Classification Requirements (NRC Form 187). The policies, procedures, and criteria of the NRC Security Program, NRC Management Directive (MD) 12 (including MD 12.1, "NRC Facility Security Program;" MD 12.2, "NRC Classified Information Security Program;" MD 12.3, "NRC Personnel Security Program;" MD 12.4, "NRC Telecommunications Systems Security Program;" MD 12.5, "NRC Automated Information Systems Security Program;" and MD 12.6, "NRC Sensitive Unclassified Information Security Program"), apply to performance of this contract, subcontract or other activity. This MD is incorporated into this contract by reference as though fully set forth herein. The attached NRC Form 187 (See List of Attachments) furnishes the basis for providing security and classification requirements to prime contractors, subcontractors, or others (e.g., bidders) who have or may have an NRC contractual relationship that requires access to classified Restricted Data or National Security Information or matter, access to sensitive unclassified information (e.g., Safeguards), access to sensitive Information Technology (IT) systems or data, unescorted access to NRC controlled buildings/space, or unescorted access to protected and vital areas of nuclear power plants.
- (b) It is the contractor's duty to protect National Security Information, Restricted Data, and Formerly Restricted Data. The contractor shall, in accordance with the Commission's security regulations and requirements, be responsible for protecting National Security Information, Restricted Data, and Formerly Restricted Data, and for protecting against sabotage, espionage, loss, and theft, the classified documents and material in the contractor's possession in connection with the performance of work under this contract. Except as otherwise expressly provided in this contract, the contractor shall, upon completion or termination of this contract, transmit to the Commission any classified matter in the possession of the contractor or any person under the contractor's control in connection with performance of this contract. If retention by the contractor of any classified matter is required after the completion or termination of the contract and the retention is approved by the contracting officer, the contractor shall complete a certificate of possession to be furnished to the Commission specifying the classified matter to be retained. The certification must identify the items and types or categories of matter retained, the conditions governing the retention of the matter and their period of retention, if known. If the retention is approved by the contracting officer, the security provisions of the contract continue to be applicable to the matter retained.
- (c) In connection with the performance of the work under this contract, the contractor may be furnished, or may develop or acquire, safeguards information, or confidential or privileged technical, business, or financial information, including Commission plans, policies, reports, financial plans, internal data protected by the Privacy Act of 1974 (Pub. L. 93.579), or other information which has not been released to the public or has been determined by the Commission to be otherwise exempt from disclosure to the public. The contractor shall ensure that information protected from public disclosure is maintained as required by NRC regulations and policies, as cited in this contract or as otherwise provided by the NRC. The contractor will not directly or indirectly duplicate, disseminate, or disclose the information in whole or in part to any other person or organization except as may be necessary to perform the work under this contract. The contractor agrees to

return the information to the Commission or otherwise dispose of it at the direction of the contracting officer. Failure to comply with this clause is grounds for termination of this contract.

(d) Regulations. The contractor agrees to conform to all security regulations and requirements of the Commission which are subject to change as directed by the NRC Division of Facilities and Security (DFS) and the Contracting Officer. These changes will be under the authority of the FAR Changes clause referenced in this document.

The contractor agrees to comply with the security requirements set forth in NRC Management Directive 12.1, NRC Facility Security Program which is incorporated into this contract by reference as though fully set forth herein. Attention is directed specifically to the section titled "Infractions and Violations," including "Administrative Actions" and "Reporting Infractions."

- (e) Definition of National Security Information. The term National Security Information, as used in this clause, means information that has been determined pursuant to Executive Order 12958 or any predecessor order to require protection against unauthorized disclosure and that is so designated.
- (f) Definition of Restricted Data. The term Restricted Data, as used in this clause, means all data concerning design, manufacture, or utilization of atomic weapons; the production of special nuclear material; or the use of special nuclear material in the production of energy, but does not include data declassified or removed from the Restricted Data category pursuant to Section 142 of the Atomic Energy Act of 1954, as amended.
- (g) Definition of Formerly Restricted Data. The term Formerly Restricted Data, as used in this clause, means all data removed from the Restricted Data category under Section 142-d of the Atomic Energy Act of 1954, as amended.
- (h) Definition of Safeguards Information. Sensitive unclassified information that specifically identifies the detailed security measures of a licensee or an applicant for the physical protection of special nuclear material; or security measures for the physical protection and location of certain plant equipment vital to the safety of production of utilization facilities. Protection of this information is required pursuant to Section 147 of the Atomic Energy Act of 1954, as amended.
- (i) Security Clearance. The contractor may not permit any individual to have access to Restricted Data, Formerly Restricted Data, or other classified information, except in accordance with the Atomic Energy Act of 1954, as amended, and the Commission's regulations or requirements applicable to the particular type or category of classified information to which access is required. The contractor shall also execute a Standard Form 312, Classified Information Nondisclosure Agreement, when access to classified information is required.
- (j) Criminal Liabilities. It is understood that disclosure of National Security Information, Restricted Data, and Formerly Restricted Data relating to the work or services ordered hereunder to any person not entitled to receive it, or failure to safeguard any Restricted Data, Formerly Restricted Data, or any other classified matter that may come to the contractor or any person under the contractor's control in connection with work under this contract, may subject the contractor, its agents, employees, or subcontractors to criminal liability under the laws of the United States. (See the Atomic Energy Act of 1954, as amended, 42 U.S.C. 2011 et seq.; 18 U.S.C. 793 and 794; and Executive Order 12958.)
- (k) Subcontracts and Purchase Orders. Except as otherwise authorized in writing by the contracting officer, the contractor shall insert provisions similar to the foregoing in all subcontracts and purchase orders under this contract.
- (I) In performing the contract work, the contractor shall classify all documents, material, and equipment originated or generated by the contractor in accordance with guidance issued by the Commission. Every subcontract and purchase order issued hereunder involving the origination or generation of classified

documents, material, and equipment must provide that the subcontractor or supplier assign classification to all documents, material, and equipment in accordance with guidance furnished by the contractor.

A.7 Badge Requirements for Unescorted Building Access to NRC

Facilities (FEB 2004)

During the life of this contract, the rights of ingress and egress for contractor personnel must be made available, as required, provided that the individual has been approved for unescorted access after a favorable adjudication from the Security Branch, Division of Facilities and Security (SB/DFS). In this regard, all contractor personnel whose duties under this contract require their presence on site shall be clearly identifiable by a distinctive badge furnished by the NRC. The Project Officer shall assist the contractor in obtaining badges for the contractor personnel. It is the sole responsibility of the contractor to ensure that each employee has a proper NRC-issued identification/badge at all times. All photo-identification badges must be immediately (no later than three days) delivered to SB/DFS for cancellation or disposition upon the termination of employment of any contractor personnel. Contractor personnel must display any NRC issued badge in clear view at all times during on site performance under this contract. It is the contractor's duty to assure that contractor personnel enter only those work areas necessary for performance of contract work, and to assure the protection of any Government records or data that contractor personnel may come into contact with.

A.8 SECURITY REQUIREMENTS FOR BUILDING ACCESS APPROVAL (FEB 2004)

The contractor shall ensure that all its employees, including any subcontractor employees and any subsequent new employees who are assigned to perform the work herein, are approved by the Government for building access. Timely receipt of properly completed security applications is a contract requirement. Failure of the contractor to comply with this condition within the ten work.day period may be a basis to void the notice of selection. In that event, the Government may select another firm for award.

A contractor employee shall not have access to NRC facilities until he/she is approved by Security Branch, Division of Facilities and Security (SB/DFS). Temporary access may be approved based on a favorable adjudication of their security forms. Final access will be approved based on favorably adjudicated background checks by General Services Administration in accordance with the procedures found in NRC Management Directive 12.3, Part I. However, temporary access authorization approval will be revoked and the employee may subsequently be removed from the contract in the event the employee's investigation cannot be favorably adjudicated. Such employee will not be authorized to work under any NRC contract without the approval of SB/DFS. When an individual receives final access, the individual will be subject to a reinvestigation every five years.

The Government shall have and exercise full and complete control over granting, denying, withholding, or terminating building access approvals for individuals performing work under this contract. Individuals performing work under this contract shall be required to complete and submit to the contractor representative an acceptable GSA Form 176 (Statement of Personal History), and two FD.258 (Fingerprint Charts). Non-U.S. citizens must provide official documentation to the DFS/SB, as proof of their legal residency. This documentation can be a Permanent Resident Card, Temporary Work Visa, Employment Authorization Card, or other official documentation issued by the U. S. Citizenship and Immigration Services. Any applicant with less than two years residency in the U. S. will not be approved for building access. The contractor representative will submit the documents to the Project Officer who will give them to the SB/DFS. SB/DFS may, among other things, grant or deny temporary unescorted building access approval to an individual based upon its review of the information contained in the GSA Form 176. Also, in the exercise of its authority, GSA may, among other things, grant or deny permanent building access approval based on the results of its investigation and adjudication guidelines. This submittal requirement also applies to the officers of the firm who, for any reason, may visit the work sites for an extended period of time during the term of the contract. In the event that

SB/DFS and GSA are unable to grant a temporary or permanent building access approval, to any individual performing work under this contract, the contractor is responsible for assigning another individual to perform the necessary function without any delay in the contract's performance schedule, or without adverse impact to any other terms or conditions of the contract. The contractor is responsible for informing those affected by this procedure of the required building access approval process (i.e., temporary and permanent determinations), and the possibility that individuals may be required to wait until permanent building access approvals are granted before beginning work in NRC's buildings.

The contractor will immediately notify the Project Officer when a contractor employee terminates. The Project Officer will immediately notify SB/DFS (via e-mail) when a contractor employee no longer requires building access and return any NRC issued badges to the SB/DFS within three days after their termination.

A.9 SECURITY REQUIREMENTS FOR INFORMATION TECHNOLOGY ACCESS APPROVAL (FEB 2004)

The proposer/contractor must identify all individuals and propose the level of Information Technology (IT) approval for each, using the following guidance. The NRC sponsoring office shall make the final determination of the level, if any, of IT approval required for all individuals working under this contract.

The Government shall have and exercise full and complete control over granting, denying, withholding, or terminating building access approvals for individuals performing work under this contract.

SECURITY REQUIREMENTS FOR LEVEL I

Performance under this contract will involve prime contractor personnel, subcontractors or others who perform services requiring direct access to or operate agency sensitive information technology systems or data (IT Level I).

The IT Level I involves responsibility for the planning, direction, and implementation of a computer security program; major responsibility for the direction, planning, and design of a computer system, including hardware and software; or the capability to access a computer system during its operation or maintenance in such a way that could cause or that has a relatively high risk of causing grave damage; or the capability to realize a significant personal gain from computer access. Such contractor personnel shall be subject to the NRC contractor personnel security requirements of NRC Management Directive (MD) 12.3, Part I and will require a favorably adjudicated Limited Background Investigation (LBI).

A contractor employee shall not have access to sensitive information technology systems or data until he/she is approved by Security Branch, Division of Facilities and Security (SB/DFS). Temporary access may be approved based on a favorable adjudication of their security forms and checks. Final access will be approved based on a favorably adjudicated LBI in accordance with the procedures found in NRC MD 12.3, Part I. However, temporary access authorization approval will be revoked and the employee may subsequently be removed from the contract in the event the employee's investigation cannot be favorably adjudicated. Such employee will not be authorized to work under any NRC contract without the approval of SB/DFS. Timely receipt of properly completed security applications is a contract requirement. Failure of the contractor to comply with this condition within the ten work.day period may be a basis to void the notice of selection. In that event, the Government may select another firm for award. When an individual receives final access, the individual will be subject to a reinvestigation every 10 years.

The contractor shall submit a completed security forms packet, including the SF-86, "Questionnaire for National Security Positions," and fingerprint charts, through the Project Officer to SB/ DFS for review and favorable adjudication, prior to the individual performing work under this contract. The contractor shall assure that all forms are accurate, complete, and legible (except for Part 2 of the questionnaire, which is required to

be completed in private and submitted by the individual to the contractor in a sealed envelope), as set forth in MD 12.3 which is incorporated into this contract by reference as though fully set forth herein. Based on SB review of the applicant's security forms and/or the receipt of adverse information by NRC, the individual may be denied access to NRC facilities, sensitive information technology systems or data until a final determination is made of his/her eligibility under the provisions of MD 12.3. Any questions regarding the individual's eligibility for IT Level I approval will be resolved in accordance with the due process procedures set forth in MD 12.3 and E. O. 12968.

In accordance with NRCAR 2052.204.70 "Security," IT Level I contractors shall be subject to the attached NRC Form 187 (See Section J for List of Attachments) which furnishes the basis for providing security requirements to prime contractors, subcontractors or others (e.g., bidders) who have or may have an NRC contractual relationship which requires access to or operation of agency sensitive information technology systems or remote development and/or analysis of sensitive information technology systems or data or other access to such systems and data; access on a continuing basis (in excess of 30 days) to NRC Headquarters controlled buildings; or otherwise requires issuance of an NRC badge.

SECURITY REQUIREMENTS FOR LEVEL II

Performance under this contract will involve contractor personnel that develop and/or analyze sensitive information technology systems or data or otherwise have access to such systems or data (IT Level II).

The IT Level II involves responsibility for the planning, design, operation, or maintenance of a computer system and all other computer or IT positions. Such contractor personnel shall be subject to the NRC contractor personnel requirements of MD 12.3, Part I, which is hereby incorporated by reference and made a part of this contract as though fully set forth herein, and will require a favorably adjudicated Access National Agency Check with Inquiries (ANACI).

A contractor employee shall not have access to sensitive information technology systems or data until he/she is approved by SB/DFS. Temporary access may be approved based on a favorable review of their security forms and checks. Final access will be approved based on a favorably adjudicated ANACI in accordance with the procedures found in MD 12.3, Part I. However, temporary access authorization approval will be revoked and the employee may subsequently be removed from the contract in the event the employee's investigation cannot be favorably adjudicated. Such employee will not be authorized to work under any NRC contract without the approval of SB/DFS. Timely receipt of properly completed security applications is a contract requirement. Failure of the contractor to comply with this condition within the ten work.day period may be a basis to void the notice of selection. In that event, the Government may select another firm for award. When an individual receives final access, the individual will be subject to a reinvestigation every 10 years.

The contractor shall submit a completed security forms packet, including the SF-86, "Questionnaire for National Security Positions," and fingerprint charts, through the Project Officer to the NRC SB/DFS for review and favorable adjudication, prior to the individual performing work under this contract. The contractor shall assure that all forms are accurate, complete, and legible (except for Part 2 of the questionnaire, which is required to be completed in private and submitted by the individual to the contractor in a sealed envelope), as set forth in MD 12.3. Based on SB review of the applicant's security forms and/or the receipt of adverse information by NRC, the individual may be denied access to NRC facilities, sensitive information technology systems or data until a final determination is made of his/her eligibility under the provisions of MD 12.3. Any questions regarding the individual's eligibility for IT Level II approval will be resolved in accordance with the due process procedures set forth in MD 12.3 and E.O. 12968.

In accordance with NRCAR 2052.204.70 "Security," IT Level II contractors shall be subject to the attached NRC Form 187 (See Section J for List of Attachments) which furnishes the basis for providing security requirements to prime contractors, subcontractors or others (e.g. bidders) who have or may have an NRC contractual relationship which requires access to or operation of agency sensitive information technology systems or data or other

access to such systems or data; access on a continuing basis (in excess of 30 days) to NRC Headquarters controlled buildings; or otherwise requires issuance of an NRC badge.

CANCELLATION OR TERMINATION OF IT ACCESS/REQUEST

When a request for investigation is to be withdrawn or canceled, the contractor shall immediately notify the Project Officer by telephone in order that he/she will immediately contact the SB/DFS so that the investigation may be promptly discontinued. The notification shall contain the full name of the individual, and the date of the request. Telephone notifications must be promptly confirmed in writing to the Project Officer who will forward the confirmation via email to the SB/DFS. Additionally, SB/DFS must be immediately notified when an individual no longer requires access to NRC sensitive automated information technology systems or data, including the voluntary or involuntary separation of employment of an individual who has been approved for or is being processed for access under the NRC "Personnel Security Program."

A.10 SEAT BELTS

Contractors, subcontractors, and grantees, are encouraged to adopt and enforce on-the-job seat belt policies and programs for their employees when operating company-owned, rented, or personally owned vehicles.

A.11 Compliance with U.S. Immigration Laws and Regulations

NRC contractors are responsible to ensure that their alien personnel are not in violation of United States Immigration and Naturalization (INS) laws and regulations, including employment authorization documents and visa requirements. Each alien employee of the Contractor must be lawfully admitted for permanent residence as evidenced by Alien Registration Receipt Card Form 1-151 or must present other evidence from the Immigration and Naturalization Services that employment will not affect his/her immigration status. The INS Office of Business Liaison (OBL) provides information to contractors to help them understand the employment eligibility verification process for non-US citizens. This information can be found on the INS website, http://www.ins.usdoj.gov/graphics/services/employerinfo/index.htm#obl.

The NRC reserves the right to deny or withdraw Contractor use or access to NRC facilities or its equipment/services, and/or take any number of contract administrative actions (e.g., disallow costs, terminate for cause) should the Contractor violate the Contractor's responsibility under this clause.

(End of Clause)

A.12 Safety of On-Site Contractor Personnel

Ensuring the safety of occupants of Federal buildings is a responsibility shared by the professionals implementing our security and safety programs and the persons being protected. The NRC's Office of Administration (ADM) Division of Facilities and Security (DFS) has coordinated an Occupant Emergency Plan (OEP) for NRC Headquarters buildings with local authorities. The OEP has been approved by the Montgomery County Fire and Rescue Service. It is designed to improve building occupants' chances of survival, minimize damage to property, and promptly account for building occupants when necessary.

The contractor's Project Director shall ensure that all personnel working full time on-site at NRC Headquarters read the NRC's OEP, provided electronically on the NRC Intranet at http://www.internal.nrc.gov/ADM/OEP.pdf The contractor's Project Director also shall emphasize to each staff member that they are to be familiar with and guided by the OEP, as well as by instructions given by emergency response personnel in situations which pose an immediate health or safety threat to building occupants.

The NRC Project Officer shall ensure that the contractor's Project Director has communicated the requirement for on-site contractor staff to follow the guidance in the OEP. The NRC Project Officer also will assist in accounting for on-site contract persons in the event of a major emergency (e.g., explosion occurs and

casualties or injuries are suspected) during which a full evacuation will be required, including the assembly and accountability of occupants. The NRC DFS will conduct drills periodically to train occupants and assess these procedures.

A. STATEMENT OF WORK

A.1. BACKGROUND

The Nuclear Regulatory Commission's (NRC's) Office of Human Resources (HR) is responsible for human capital management and strategic workforce planning at the agency. HR exercises an active leadership role in the development of new initiatives in response to changes in human resources laws, rules, and regulations, and serves as the agency-wide source of HR policy. These policies are published in the NRC Management Directive (MD) system. HR develops policy and establishes programs in response to new or changing initiatives, and conducts reviews of programs and policies to improve existing procedures. HR also conducts ongoing workforce analyses.

In addition, HR specialists classify position descriptions in accordance with NRC rules and procedures, provide position allocation and management guidance to managers, and prepare organizational surveys and reports.

The NRC plans to establish a contract with an organization that has demonstrated the ability to deliver position classification and position management services in a Federal government setting.

A.2. BRIEF DESCRIPTION OF WORK

The contractor will deliver general position classification and evaluation services.

A.3. SCOPE OF WORK

The contractor will provide specialized services in the area of position classification, which includes position evaluation, advice on position management and organizational structure, classification audits, and classification appeals.

The contractor shall have expertise not only in the composition and presentation of written documents related to position classification and management but also in the technical substance relevant to classification, position evaluation and position management within the Federal Government. The contractor must be capable of producing written guidance that is technically correct, understandable, and in an acceptable format.

A.3.2. POSITION CLASSIFICATION AND EVALUATION

The contractor will work directly with NRC managers to provide classification services in one or more of the following areas: conducting position and organizational management studies; developing and/or classifying position descriptions in accordance with the NRC's six-factor evaluation system as described in MD 10.37, for a variety of federal occupations; conducting desk audits with employees; interviewing first-line supervisors to verify duties and responsibilities; preparing written evaluation statements in accordance with NRC standards; and/or compiling position management allocation and organizational surveys and reports.

The work required is to perform position evaluation analysis, in accordance with NRC position evaluation guidelines, of various position descriptions, of which the following are representative but not exclusive:

- 1. Reactor Systems Engineer, GG-14
- 2. Reliability & Risk Analyst, GG-14
- 3. Fire Protection Engineer, GG-13
- 4. Senior Health Physicist, GG-15
- 5. Program Manager/Security Specialist, GG-13/14
- 6. Emergency Preparedness Specialist, GG-13/14
- 7. IT Specialist, GG-14/15

The contractor will review each position description and any supporting documentation as well as applicable NRC position evaluation guidelines. The contractor will exercise judgment to determine what, if any additional information is necessary. The contractor will contact supervisors and/or incumbent(s), if any, of positions, perform desk audits, compare the position under evaluation to other NRC positions, review paperwork such as work products, and/or talk with OHR staff, as deemed necessary to gain a full understanding of the requirements of the position, and to reach a decision as to its proper occupational series, grade, and status under the Fair Labor Standards Act.

For each position evaluated, the contractor will recommend appropriate classification and will prepare a position evaluation statement similar in level of detail and content to the attached sample. An Office of Human Resources specialist will provide instructions and copies of policy and procedure regarding NRC position evaluation, documentation and preparation of evaluation statements.

The contractor assigned to this task should be a fully qualified classification and position evaluation specialist with experience in performing position evaluation reviews and analyses for various Federal positions including but not limited to engineering, security and IT positions at higher grade levels.

The work will be performed on-site. The contractor will not have access to any NRC databases or other electronic information systems but will have access to WordPerfect and GroupWise email systems.

The period of performance for this task order will commence on the first business day after the day in which IT and facilities access is granted to the proposed contract personnel who will perform the work hereunder. The deliverable dates for each position evaluation will be determined in each case by consultation between the cognizant HR specialist and the contractor assigned. The estimated average time for completion of each position evaluation is 8 hours. The contractor's services are estimated to be needed for up to 1,000 hours at an average of three days per week through December 31, 2006.

A.3.3 Government Furnished Equipment and Space

The contractor will be provided with government furnished tools/equipment such as: a desk, telephone, access to a copy machine and a fax machine, a computer with appropriate software, Internet access and the reference material necessary for the contractor to be able to perform the assigned tasks. Some work may be performed off-site.

A.3.4. Reporting Requirements

The contractor shall issue monthly progress reports on the status of expenditures and individual efforts. Monthly reports shall contain the following information and will be due on the 15th of every month or if the 15th falls on a weekend, the report will be due on the following Monday:

- 1. The number of staff hours expended on each project. (not needed if task order is firm fixed priced)
- 2. The number of hours remaining to complete each project. (not needed if task order is firm fixed priced)
- 3. Progress updates on the conversion/revision of each directive under review;

Within 60 days after the end of the calendar year, the contractor shall provide the HR Project Officer with a final report containing the information listed above and any additional information required by the NRC.

A.3.5. Hours of Service

Services will be conducted as outlined in individual task orders, but it is anticipated that this will normally be 7:30am to 4:15pm, Monday thru Friday except on Federal holidays.

A.3.6. CONTRACT PERIOD

The term of this contract will be one year.

POSITION EVALUATION

IT Assistant, GG-335-6 Information Resources Branch Division of Resource Management and Administration

Background:

The Region has established an information technology (IT) help desk to provide customer assistance to employees who are experiencing IT software and hardware problems. The help desk troubleshoots and resolves problems in response to customer reported incidents. The incumbent of this position is a member of the help desk staff. Her position was previously classified as an Office Automation Assistant, GG-326-05. The position description was updated to show what current duties and responsibilities are assigned. Audits of the incumbent and the supervisor were conducted to gather and clarify facts about the position. The position was therefore evaluated to ensure proper title, series, and grade.

Reference:

a. OPM Handbook of Occupational Groups and Families, GS-0326 -Office Automation Clerical and Assistance Series, dated January 1999.
b. OPM Handbook of Occupational Groups and Families, GS-0335 -Computer Assistant Series, dated January 1999.

c. OPM Handbook of Occupational Groups and Families, GS-0303 – Miscellaneous Clerk and Assistant Series, dated January 1999.

d. NRC Management Directive 10.37, NRC Appendix 4130-A, Pay Administration - Evaluation of Positions, GS- 1-15, dated April 30, 1980.

Series and Title Determination:

The primary purpose of this position is to provide first-line help desk support to the regional staff. This involves receiving first-line help desk calls, categorizing the nature of calls, tracking them, and solving those that are basic and clear-cut. Help desk calls of higher complexity are referred to technicians to handle.

In addition to the above duties, the incumbent also coordinates all regional video conference scheduling and set up which entails ensuring equipment availability, scheduling conference rooms for use in video conferencing, notifying participants of location of conference, and maintaining a schedule. The incumbent also performs some duties incidental to the information resources function such as assisting in maintaining time and attendance records and composing correspondence of a non-technical nature.

The position's current series, GS-326, is no longer appropriate. This series includes all positions which perform office automation work such as word processing either solely or in combination with general office clerical work. The primary duties of this position are more appropriately covered by the GS-335 series. This series includes positions that perform data processing support and services functions for users of digital computer systems or perform other support functions. The GS-303 series is not appropriate. This series is primarily used when no other series is appropriate.

The title *IT Assistant* is assigned to reflect the clerical work performed in the IT field. The term *Assistant* versus *Clerk* is assigned because of the position's grade level. Positions titled *Assistant* typically function at the GG-6 level and higher.

Grade Determination:

Basic Skills:

Degree 4, 180 Points

This factor measures the minimum amount of knowledge, mental ability, and manual skill required to perform the duties assigned to the position.

The incumbent of this position is required to have a basic knowledge of IT sufficient to provide simple, clear-cut, and basic first-line help desk support. They must have the ability to comprehend and follow general instructions and relay oral instructions accurately, and skill in meeting and dealing with persons at all levels within the organization.

The basic skills required by this position fall within the Degree 4 (180 - 225 points) range. The incumbent of the Regional position serves as a member of the IT help desk team and as such trouble shoots basic IT problems. The work requires the incumbent to understand the nature and cause of the user's problem and use judgment to determine the appropriate corrective action. The incumbent must also use judgment in deciding which problems are outside their scope of expertise and require referral to a higher graded IT Assistant or Specialist. At Degree 4, work requires knowledge of the principal techniques, work methods, or procedures involved in non-repetitive work. Activities are not controlled by well-defined procedures, and judgment is involved in getting the work done. This is the degree at which considerable clerical/technician judgment is required to carry out independently duties in a clerical or administrative field involving a wide body of instructions, procedures, and processes.

The basic skills of this position are comparable to those found in Benchmark S&C-130, Secretary, GS-318-6, 180 points. The incumbent in this benchmark, like the incumbent in the Regional position, must have organizational and subject matter knowledge sufficient to respond to staff inquiries. Because of the customer support aspect of the job, the incumbents in both positions must deal tactfully and effectively with people within and outside the organization. They must be able to follow general instructions and relay oral instructions accurately. In both positions, the incumbents must be cognizant as to when problems need to be referred to another person to deal with. Therefore, 180 points are assigned.

The basic skills of this position are not comparable to those found in Benchmark BAF-40, Voucher Examiner (Commercial Accounts), GG-540-6, 185 points. In this benchmark, the incumbent is required to know the statutes, Comptroller General Decisions, regulations, and policies governing their field of work and have the ability to apply them with judgment and discretion. By comparison, the incumbent in this position relies on rot memory, precedents, oral instructions and guidance to accomplish their work. In this benchmark, the incumbent handles unusual problems resulting from processing invoices. By comparison, the incumbent in this position will refer unusual problems to a higher graded employee for resolution.

Contacts: Degree 3, 60 Points

This factor measures the type and level of contact normally required by the position in meeting and dealing with people inside or outside the organization.

The incumbent of this position has regular contacts with all regional employees to resolve "first-line" help desk calls. The incumbent also has contacts with members of the IRB staff to refer help desk calls of higher complexity, set up teleconference and arrange for materials in conjunction with such conferences.

The contacts found in this position fall within the Degree 3 (45 - 70 Points) range. Degree 3 contacts are for the purpose of resolving minor differences on relatively routine matters within the organization, to adjust factual discrepancies in reports or other data; or to obtain or give factual information susceptive to misinterpretation. If the position has contacts outside the agency, they require tact, diplomacy, and finesse to prevent damage to public relations, although on relatively routine matters involving giving and explaining factual information. In this position, the majority of the incumbents contacts are with persons located within the Region and its resident sites. By comparison, the contacts in this position are not comparable to positions having Degree 4 contacts. At this level, contacts are with responsible persons of the NRC, license applicants, licensees, contractors, outside agencies, the press, or representatives of the public with respect to requesting information for use in analysis or resolving technical problems; or reconciling divergent views or negotiating agreement; or presenting the organization's position on matters which require explanation.

The contacts found in this position are comparable to those of Benchmark A&S-20, Travel Clerk, GS-2132-5, 60 points. In both positions the work is primarily of a service nature and the contacts are with all levels of staff to discuss and resolve a variety of routine problems. By comparison, the contacts in the Regional position are not comparable to those found in Benchmark A&S-30, Personnel Clerk, GS-203-6, 65 points. In this benchmark, continuous contacts are with the service population to convey information on a multitude of personnel policy and procedural questions. There are frequent contacts with officials in other Federal agencies to exchange information relative to policy on personnel actions. Therefore, 60 points are assigned.

Responsibility for Decisions:

Degree 2, 80 Points

This factor measures any decision which reflects the independent action required by the assigned function, from independently furnishing or securing information, to finally approving programs and policies.

The incumbent of this position is given specific instructions by the Branch Chief and uses standard policies and practices requiring some interpretation to respond to first-line help desk calls from users and independently solve basic and clear-cut IT problems. Errors in the incumbent's judgment or advice to users would frustrate users, waste their time, and delay their ability to gain immediate access to information to carry out work assignments.

The level of responsibility found in this position fall within the Degree 2 (70 - 90 Points) range. In this degree, decisions are generally based on specific instructions and standards, some interpretation is required because of the non-routine nature of the work or the variety of regulations, procedures, or instructions which must be applied. Resulting errors may not be immediately apparent but usually would be revealed in subsequent operations of that particular office and would result in minor confusion and delay or loss of materials. By comparison, the level of responsibility found in this position is not comparable to those having Degree 3 responsibility. Degree 3 decisions require constant interpretation of existing standards and procedures and their adaptation without guidance to problems of normal complexity. Errors would cause confusion, delay, or waste of materials in several offices.

Again, Benchmark A&S-20, Travel Clerk, GS-2132-5, 80 points is an appropriate match. In both positions, independence is exercised in making decisions with regard to solving routine problems consistent with standards and guides. Benchmark A&S-30, Personnel Clerk, GS-203-6, 85 points, is not an appropriate match. Here the incumbent uses judgment in the application of a wide variety of Federal regulations and other government-wide guidelines, and standards where agency guidelines may not address all pertinent aspects. Therefore, 80 points are assigned.

Supervision Exercised:

The incumbent exercises no supervision. Therefore, this factor is not considered in the evaluation of the position.

Working Conditions: Degree 1, 5 Points

This factor measures the surrounding and physical conditions under which the job must be performed which have an effect on individual performance, and the extent to which these conditions make the job disagreeable or hazardous. The incumbent of this position works in a typical office environment where there is adequate heating, lighting, and ventilation. The number of points assigned for work situations such as this is 5.

Effort: Degree 1, 5 Points

This factor measures the physical demand or the stamina required in the job performance and the extent to which work includes unusual or physical fatigue, or demands physical effort or endurance. The work places no unusual physical demands on the employee. The job requires no heavy lifting, excessive walking, climbing, crouching, or stooping. The number of points assigned for effort such as this is 5.

Summary:

Basic Skills	180
Contacts	60
Responsibility for Decisions	80
Supervision Exercised	0
Working Conditions	5
Effort	_5
Tota!	330

Total points assigned under grade determination is 330. Under the Conversion Table - Total Point Score to Grade on pg. 51 of reference 3.b., 330 points equates to a GG-6 grade.

Final Determination: IT Assistant, GG-335-06.	
Human Resources Specialist	Date

NRC FORM 187 U.S. NU. (1-2000) NRCMD 12 CONTRACT SECURITY AI CLASSIFICATION REQUIRE	ND/OR	ATORY COMP	NRC Se perform other ac	icies, procedures, ecurity Program, N ance of this contra divity.	RCMD 12, apply to lct, subcontract or FIED ITEMS BY
§	A. CONTRACT NUM CONTRACTS OR JO PROJECTS (Prime or for all subcontracts.) DR-38-06- B. PROJECTED START DATE 1/3/2006 CONTRACT	-375 C. PROJ COMPLETIC 12/31	ECTED ON DATE	A. ORIGINAL B. REVISED (Suprevious subm	Persedes all issions)
A. DOES NOT APPLY B. CONTRACT NUMBER 4. PROJECT TITLE AND OTHER IDENTIFYING INFORMATION Human Resource Support Purchase Order			DATE		
5. PERFORMANCE WILL REQUIRE A. ACCESS TO CLASSIFIED MATTER OR CLASSIFIED INFORMATION YES (If "YES," answer 1-7 below) NO (If "NO," proceed to 5.C.)	NOT APPLICABLE	NATIONAL SECRET	SECURITY	RESTRIC SECRET	CONFIDENTIAL
ACCESS TO FOREIGN INTELLIGENCE INFORMATION	V				
RECEIPT, STORAGE, OR OTHER SAFEGUARDING OF CLASSIFIED MATTER. (See 5.B.)	V				·
3. GENERATION OF CLASSIFIED MATTER.	V				
ACCESS TO CRYPTOGRAPHIC MATERIAL OR OTHER CLASSIFIED COMSEC INFORMATION.	V				
5. ACCESS TO CLASSIFIED MATTER OR CLASSIFIED INFORMATION PROCESSED BY ANOTHER AGENCY.	V				
CLASSIFIED USE OF AN INFORMATION TECHNOLOGY PROCESSING SYSTEM.	V				
7. OTHER (Specify)	V				
B. IS FACILITY CLEARANCE REQUIRED? YES NO					
C. UNESCORTED ACCESS IS REQUIRED TO PROTECTED AND D. ACCESS IS REQUIRED TO UNCLASSIFIED SAFEGUARDS INF E. ACCESS IS REQUIRED TO SENSITIVE IT SYSTEMS AND DAT F. UNESCORTED ACCESS TO NRC HEADQUARTERS BUILDING FOR PROCEDURES AND REQUIREMENTS ON PROVIDING TEMPORARY	FORMATION. A.			S, REFER TO NRO	CMD 12.

NAME AND TITLE	SIGNATURE	DATE
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7. CLA	ASSIFICATION GUIDANCE	
NATURE OF CLASSIFIED GUIDANCE IDENTIFICATION OF CLASSIFIC	CATION GUIDES	
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8. CLASSIFIED REVIEW OF CONTRACTOR / SU	JBCONTRACTOR REPORT(S) AND OTH CONDUCTED BY:	ER DOCUMENTS WILL BE
	DIVISION OF FACILITIES A	ND SECUDITY
AUTHORIZED CLASSIFIER (Name and Title)	DIVISION OF PACILITIES A	ND SECURIT
	,	
9. REQUIRED DISTRIBUTION	OF NRC FORM 187 Check appropriate	box(es)
SPONSORING NRC OFFICE OR DIVISION (Item 10A)	DIVISION OF CONTRACTS	AND PROPERTY MANAGEMENT
DIVISION OF FACILITIES AND SECURITY (Item 10B)	CONTRACTOR (Item 1)	
SECURITY/CLASSIFICATION REQUIREMENTS FOR SU OFFICIALS NAMED IN ITEMS 10B AND 10C BELOW.	BCONTRACTS RESULTING FROM THIS CONTRAC	T WILL BE APPROVED BY THE
OFFICIALS NAMED IN TIEMS TOB AND TOC BELOW.		
	10. APPROVALS	
SECURITY/CLASSIFICATION REQUIREMENTS FOR SUBCONTRACTS TEMS 10B AND 10C BELOW.	RESULTING FROM THIS CONTRACT WILL BE APP	ROVED BY THE OFFICIALS NAMED
	SICMATUR	E DATE
NAME (Print or type) A. DIRECTOR, OFFICE OR DIVISION	SIGNATUR ŠĮGNATURE	E DATE
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James Mcdermott, Director, OHR	James , M.	Karnt 10 , o. 20.
3. DIRECTOR, DIVISION OF FACILITIES AND SECURITY	SIGNATURE	DATE
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Sharon Stewart, Director, DFS	Mrain Wil	16way 10/08/4
 DIRECTOR, DIVISION OF CONTRACTS AND PROPERTY MANAGEM (Not applicable to DOE agreements) 	MENT SIGNATURE	DATE
Mary Lynn Scott, Director, DC	Olan 111h	may /3/38/
EMARKS	Jan - Jawa	11-12 / 1/6.
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