

**APPENDIX C
ATTACHMENT 1**

**SAMPLE RADIOACTIVE MATERIAL QUANTITIES OF CONCERN
SECURITY INSPECTION RECORD**

NRC Region

Inspection Record Number

License Number(s)

Docket Number(s)(When applicable)

Licensee (Name and Address)

Location (Authorized Site) Being Inspected

Licensee Contact Name, Address & Telephone Number

Email

Inspector(s) Name/s

Inspector(s) Signature/s

Date

Summary of Findings and Actions Pertaining to this Security Order only (following determinations by SFRP):

No violations cited

Non-cited violations (NCVs)

Violation(s)

Follow-up on previous violations

(U) Approved by (Name - NRC Regional Management)

(U) Date & Signature

PART I-LICENSE, INSPECTION, INCIDENT/EVENT, AND ENFORCEMENT

HISTORY (Complete when applicable to this security inspection)

1. INSPECTION AND ENFORCEMENT HISTORY:

(Unresolved issues; previous and repeat violations; Confirmatory Action Letters; and orders relative to this security inspection)

2. INCIDENT/EVENT HISTORY:

(List any security incidents, or events reported to NRC since the last inspection. Citing "None" indicates that regional event logs, Agreement State files (when applicable), event files, and the licensing file have no evidence of any security incidents or events relative to this inspection since the last inspection.)

3. POTENTIAL FINDINGS - UNRESOLVED ISSUES:

(List and briefly describe URIs both open and closed.)

(Appropriate paragraph classification (SGI-M) or (U) portion marking should be done when Part I & II portions are completed.)

PART II - INSPECTION DOCUMENTATION (U)

1. ORGANIZATION AND SCOPE OF PROGRAM:

(Provide an overview when applicable of; management organizational structure, type, quantity, and frequency of material use; staff size; delegation of authority, and other operational characteristics which may impact on security.)

2. INSPECTION SCOPE-FINDINGS

(Document where it appears the Licensee fails to meet the performance requirements of the Order. Use the following categories.

ASM Number 1 - General Basis Criteria

ASM Number 2 - Licensee Verification

ASM Number 3 - Background Investigations

(Trustworthiness and Reliability)

ASM Number 4 - Preplanning and Coordination

ASM Number 5 - Advance Notifications

ASM Number 6 - Communications and Monitoring

ASM Number 7 - Drivers and Accompanying Individuals

ASM Number 8 - Procedures, Training, and Control of Information

Inspectors should not make conclusions about significance or potential willfulness. The inspector shall provide concise, but sufficient detail for the reader to understand the full scope of the inspector's efforts. Under findings the inspector shall be concise, but provide sufficient detail so the reader can determine:

What requirement was potentially violated?

How was the requirement potentially violated?

When did the potential noncompliance occur?

How long did the noncompliance exist?

Who identified it and when?

What was the root cause of the noncompliance (if known)?

What corrective actions were taken and when?

3. PERSONNEL CONTACTED:

(Identify licensee personnel contacted during the inspection, including those individuals contacted by telephone. Identify by name the Individual(s) present at entrance meeting and the individual(s) present at exit meeting)

CHECKLIST FOR NMSS ASM DATABASE (U)

LICENSEE
ORDER NO.
INSPECTION DATE
INSPECTION NO.

ASM NUMBER 1	_____CLOSED	_____OPEN
ASM NUMBER 2	_____CLOSED	_____OPEN
ASM NUMBER 3	_____CLOSED	_____OPEN
ASM NUMBER 4	_____CLOSED	_____OPEN
ASM NUMBER 5	_____CLOSED	_____OPEN
ASM NUMBER 6	_____CLOSED	_____OPEN
ASM NUMBER 7	_____CLOSED	_____OPEN
ASM NUMBER 8	_____CLOSED	_____OPEN

Note: Instructions will be issued in the future regarding access to the database and data entry.
Point of contact is Ernesto Quinones-Padovani, NRC, NMSS, telephone number; 301-415-0271. (U)

END