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| | 16 TA | BIEOE | CONTENTS | | | UNT OF CONTRACT | |
| (X) SEC. DESCRIPTION | | PAGE(S) | (X) SEC. | | DESCRIPTIC | N | PAGE(S) |
| PART 1 - THE SCHEDULE | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | PAR | TIL CONTRACT | | |
| A SOLICITATION/CONTRACT FORM | | | | ONTRACT CLAUSES | | | |
| B SUPPLIES OR SERVICES AND PRICES/COSTS | | | | ART III - LIST OF DOCUM | VENTS, EXHIBIT | S AND OTHER ATT | ACH |
| | | | | ST OF ATTACHMENTS | | | |
| | | | | PART IV • REPRES | | | |
| | | | | | | DINGTROOTIONS | |
| E INSPECTION AND ACCEPTANCE | | | | EPRESENTATIONS, CER THER STATEMENTS OF | | ND | |
| F DELIVERIES OR PERFORMANCE | | | | | | <u></u> | |
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| Incoment and return <u>4</u> copies to issuing off contractor agrees to lumish and deliver all items or perform all the service ientified above and on any continuation streets for the consideration statu- ind obligations of the parties to this contract shall be subject to and gover locuments: (a) this award/contract, (b) the solicitation, if any, and (c) suc- epresentations, certifications, and specifications, as an attached or incor- rerein. (Attachments are listed herein.) | es set forth or otherwise ed herein. The rights mod by the following h provisions, | | accepted as to the I the contract which o | s made by you which add lems listed above and on consists of the following dr nis award/contract. No tu | any continuation ocuments: (a) the | sheets. This award Government's solic | consummates itation and |
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1

Table of Contents

:

-

ł

| SECTION A - SOLICITATION/CONTRACT FORM | PÅRT I - THE SCHEDULE |
|--|--|
| PART I - THE SCHEDULE B-1 SECTION B - SUPPLIES OR SERVICES AND PRICE/COSTS B-1 B.1 PROJECT TITLE B-1 B.2 BRIEF DESCRIPTION OF WORK (MAR 1987) B-1 B.3 CONSIDERATION AND OBLIGATION-COST PLUS FIXED FEE (JUN 1988) ALTERNATE I (JUN 1991) B-1 SECTION C - DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK C-1 SECTION D - PACKAGING AND MARKING D-1 D.1 PACKAGING AND MARKING (MAR 1987) D-1 SECTION D - PACKAGING AND MARKING (MAR 1987) D-1 SECTION E - INSPECTION AND ACCEPTANCE E-1 E.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE E-1 E.2 PLACE OF INSPECTION AND ACCEPTANCE F-1 F.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE F-1 F.2 2052.211-71 TECHNICAL PROGRESS REPORT (JAN 1993) F-1 F.4 2052.211-72 PREPARATION OF TECHNICAL REPORTS (JAN 1993) F-1 F.4 2052.211-70 PREPARATION OF TECHNICAL REPORTS (JAN 1993) F-1 F.4 2052.211-70 PREPARATION OF TECHNICAL REPORTS (JAN 1993) F-1 F.4 2052.211-71 TECHNICAL PREPORTS (JUN 1988) F-3 F.5 PLACE OF DELIVERYREPORTS (JUN 1988) F-3 F.6 DURATION OF CONTRACT PERIOD (MAR 1987) F-4 | SECTION A - SOLICITATION/CONTRACT FORM A-1 |
| SECTION B - SUPPLIES OR SERVICES AND PRICE/COSTS B-1 B.1 PROJECT TITLE B-1 B.2 BRIEF DESCRIPTION OF WORK (MAR 1987) B-1 B.3 CONSIDERATION AND OBLIGATION-COST PLUS FIXED FEE (JUN 1988) ALTERNATE I (JUN 1991) B-1 SECTION C - DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK C-1 SECTION D - PACKAGING AND MARKING D-1 D.1 PACKAGING AND MARKING (MAR 1987) D-1 SECTION E - INSPECTION AND ACCEPTANCE E-1 E.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE E.2 PLACE OF INSPECTION AND ACCEPTANCE (MAR 1987) SECTION F - DELIVERIES OR PERFORMANCE F-1 F.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE F.1 SOTICLISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE F.2 2052.211-71 TECHNICAL REPORTS (JAN 1993) F.4 2052.211-72 FINANCIAL STATUS REPORT (OCT 1999) F.5 PLACE OF DELIVERY-REPORTS (UNI 1998) F-3 F.6 DURATION OF CONTRACT PERIOD (MAR 1987) F-3 F.6 DURATION OF CONTRACT PERIOD (MAR 1987) ALTERNATE 2 (MAR 1987) ALTERNATE 2 (MAR 1987) F-3 F-3 | SF 26 AWARD/CONTRACTA-1 |
| B.1 PROJECT TITLE B-1 B.2 BRIEF DESCRIPTION OF WORK (MAR 1987) B-1 B.3 CONSIDERATION AND OBLIGATION-COST PLUS FIXED FEE (JUN 1988) ALTERNATE I (JUN 1991) SECTION C - DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK C-1 SECTION D - PACKAGING AND MARKING D-1 D.1 PACKAGING AND MARKING (MAR 1987) D-1 SECTION E - INSPECTION AND ACCEPTANCE E-1 E.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE E-1 E.2 PLACE OF INSPECTION AND ACCEPTANCE (MAR 1987) E-1 SECTION F - DELIVERIES OR PERFORMANCE F-1 F.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE F-1 F.2 2052.211-71 TECHNICAL PROGRESS REPORT (JAN 1993) F-1 F.2 2052.211-72 FINANCIAL STATUS REPORT (OCT 1999) F-2 F.5 PLACE OF DELIVERY-REPORTS (JUN 1988) F-3 F.6 DURATION OF CONTRACT PERIOD (MAR 1987) F-3 SECTION G - CONTRACT PERIOD (MAR 1987) F-3 SECTION G - CONTRACT ADMINISTRATION DATA G-1 G.1 PROJECT OFFICER AUTHORITY (FEB 2004) G-1 G.2 2052.215-78 TRAVEL APPROVALS AND REIMBURSEMENT -ALTERNATE 1 (DCT 1999) -ALTERNATE 1 (DCT 1999) G-2 G.3 2052.216-71 INDIRECT COST R | PART I - THE SCHEDULEB-1 |
| B.2 BRIEF DESCRIPTION OF WORK (MAR 1987) B-1 B.3 CONSIDERATION AND OBLIGATION-COST PLUS FIXED FEE (JUN 1988) ALTERNATE I (JUN 1991) SECTION C - DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK C-1 SECTION D - PACKAGING AND MARKING D-1 D.1 PACKAGING AND MARKING (MAR 1987) D-1 SECTION D - PACKAGING AND MARKING (MAR 1987) D-1 SECTION E - INSPECTION AND ACCEPTANCE E-1 E.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE E-1 E.2 PLACE OF INSPECTION AND ACCEPTANCE (MAR 1987) E-1 SECTION F - DELIVERIES OR PERFORMANCE F-1 F.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE F-1 F.2 DIS2.211-71 TECHNICAL PROGRESS REPORT (JAN 1993) F-1 F.3 2052.211-70 PREPARATION OF TECHNICAL REPORTS (JAN 1993) F-1 F.4 2052.211-72 FINANCIAL STATUS REPORT (OCT 1999) F-2 F.5 DURATION OF CONTRACT PERIOD (MAR 1987) ALTERNATE 2 (MAR 1987) ALTERNATE 2 (MAR 1987) F-3 SECTION G - CONTRACT ADMINISTRATION DATA G-1 G.2 2052.215-78 TRAVEL APPROVALS AND REIMBURSEMENT -ALTERNATE 1 (DCT 1999) ALTERNATE 1 (DCT 1999) G-2 G.3 2052.216-71 INDIRECT COST RATES (JAN 1993) G-3 </td <td></td> | |
| SECTION C - DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK | B.2 BRIEF DESCRIPTION OF WORK (MAR 1987)B-1 B.3 CONSIDERATION AND OBLIGATIONCOST PLUS FIXED FEE |
| D.1 PACKAGING AND MARKING (MAR 1987) | SECTION C - DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK |
| SECTION E - INSPECTION AND ACCEPTANCE. E-1 E.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE E-1 E.2 PLACE OF INSPECTION AND ACCEPTANCE (MAR 1987) E-1 SECTION F - DELIVERIES OR PERFORMANCE F-1 F.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE F-1 F.2 2052.211-71 TECHNICAL PROGRESS REPORT (JAN 1993) F-1 F.3 2052.211-70 PREPARATION OF TECHNICAL REPORTS (JAN 1993) F-2 F.5 PLACE OF DELIVERY-REPORTS (JUN 1988) F-3 F.6 DURATION OF CONTRACT PERIOD (MAR 1987) ALTERNATE 2 (MAR 1987) ALTERNATE 2 (MAR 1987) F-3 SECTION G - CONTRACT ADMINISTRATION DATA G-1 G.1 PROJECT OFFICER AUTHORITY (FEB 2004) G-1 G.2 2052.215-78 TRAVEL APPROVALS AND REIMBURSEMENT -ALTERNATE 1 (OCT 1999) -ALTERNATE 1 (DCT 1999) G-2 G.3 2052.216-71 INDIRECT COST RATES (JAN 1993) G-3 SECTION H - SPECIAL CONTRACT REQUIREMENTS H-1 H.1 2052.209-72 CONTRACTOR ORGANIZATIONAL CONFLICTS OF INTEREST (JAN 1993) H.2 2052.215-70 KEY PERSONNEL (JAN 1993) H-3 H.3 2052.242-71 RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999) H-4 H.4 2052.242-71 RESOLVING NRC CONTRACTOR DIFFERING P | SECTION D - PACKAGING AND MARKINGD-1 |
| E.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE | D.1 PACKAGING AND MARKING (MAR 1987)D-1 |
| E.2 PLACE OF INSPECTION AND ACCEPTANCE (MAR 1987) | SECTION E - INSPECTION AND ACCEPTANCE E-1 |
| F.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE. F-1 F.2 2052.211-71 TECHNICAL PROGRESS REPORT (JAN 1993) F-1 F.3 2052.211-70 PREPARATION OF TECHNICAL REPORTS (JAN 1993) F-1 F.4 2052.211-72 FINANCIAL STATUS REPORT (OCT 1999) F-2 F.5 PLACE OF DELIVERYREPORTS (JUN 1988) F-3 F.6 DURATION OF CONTRACT PERIOD (MAR 1987) ALTERNATE 2 (MAR 1987) ALTERNATE 2 (MAR 1987) F-3 SECTION G - CONTRACT ADMINISTRATION DATA G-1 G.1 PROJECT OFFICER AUTHORITY (FEB 2004) G-1 G.2 2052.215-78 TRAVEL APPROVALS AND REIMBURSEMENT -ALTERNATE 1 (OCT 1999) -ALTERNATE 1 (OCT 1999) G-2 G.3 2052.216-71 INDIRECT COST RATES (JAN 1993) G-3 SECTION H - SPECIAL CONTRACT REQUIREMENTS H-1 H.1 2052.209-72 CONTRACTOR ORGANIZATIONAL CONFLICTS OF INTEREST (JAN 1993) H.2 2052.215-70 KEY PERSONNEL (JAN 1993) H-3 H.3 2052.242-70 RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999) H-4 4.2 2052.242-71 REOCEDURES FOR RESOLVING NRC CONTRACTOR H-4 H.4 2052.242-71 PROCEDURES FOR RESOLVING NRC CONTRACTOR H-4 H.5 GOVERNMENT FURNISHED EQUIPMENT/PROPERTY - NONE PROVIDED (JUN 1988) H-5 | |
| F.2 2052.211-71 TECHNICAL PROGRESS REPORT (JAN 1993) F-1 F.3 2052.211-70 PREPARATION OF TECHNICAL REPORTS (JAN 1993) F-1 F.4 2052.211-72 FINANCIAL STATUS REPORT (OCT 1999) F-2 F.5 PLACE OF DELIVERYREPORTS (JUN 1988) F-3 F.6 DURATION OF CONTRACT PERIOD (MAR 1987) ALTERNATE 2 (MAR 1987) ALTERNATE 2 (MAR 1987) F-3 SECTION G - CONTRACT ADMINISTRATION DATA G-1 G.1 PROJECT OFFICER AUTHORITY (FEB 2004) G-1 G.2 2052.215-78 TRAVEL APPROVALS AND REIMBURSEMENT -ALTERNATE 1 (OCT 1999) G-2 G.3 2052.216-71 INDIRECT COST RATES (JAN 1993) G-3 SECTION H - SPECIAL CONTRACT REQUIREMENTS H-1 H.1 2052.209-72 CONTRACTOR ORGANIZATIONAL CONFLICTS OF INTEREST (JAN 1993) H.3 2052.215-70 KEY PERSONNEL (JAN 1993) H-3 H.3 2052.242-70 RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999) H-4 4.2 2052.242-71 PROCEDURES FOR RESOLVING NRC CONTRACTOR H-4 H.4 2052.242-71 PROCEDURES FOR RE | SECTION F - DELIVERIES OR PERFORMANCE F-1 |
| SECTION G - CONTRACT ADMINISTRATION DATAG-1 G.1 PROJECT OFFICER AUTHORITY (FEB 2004)G-1 G.2 2052.215-78 TRAVEL APPROVALS AND REIMBURSEMENT -ALTERNATE 1 (OCT 1999)G-2 G.3 2052.216-71 INDIRECT COST RATES (JAN 1993)G-3 SECTION H - SPECIAL CONTRACT REQUIREMENTSH-1 H.1 2052.209-72 CONTRACTOR ORGANIZATIONAL CONFLICTS OF INTEREST (JAN 1993)H-1 H.2 2052.215-70 KEY PERSONNEL (JAN 1993)H-1 H.2 2052.242-70 RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999)H-3 H.3 2052.242-71 PROCEDURES FOR RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999)H-4 H.4 2052.242-71 PROCEDURES FOR RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999)H-4 H.5 GOVERNMENT FURNISHED EQUIPMENT/PROPERTY - NONE PROVIDED (JUN 1988)H-5 H.6 SEAT BELTSH-5 H.7 ANNUAL AND FINAL CONTRACTOR PERFORMANCE EVALUATIONSH-6 | F.2 2052.211-71 TECHNICAL PROGRESS REPORT (JAN 1993) |
| G.2 2052.215-78 TRAVEL APPROVALS AND REIMBURSEMENT -ALTERNATE 1 (OCT 1999) | |
| SECTION H - SPECIAL CONTRACT REQUIREMENTS | G.2 2052.215-78 TRAVEL APPROVALS AND REIMBURSEMENT |
| INTEREST (JAN 1993)H-1 H.2 2052.215-70 KEY PERSONNEL (JAN 1993)H-3 H.3 2052.242-70 RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999)H-4 H.4 2052.242-71 PROCEDURES FOR RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999)H-4 H.5 GOVERNMENT FURNISHED EQUIPMENT/PROPERTY - NONE PROVIDED (JUN 1988)H-5 H.6 SEAT BELTSH-5 H.7 ANNUAL AND FINAL CONTRACTOR PERFORMANCE EVALUATIONSH-5 H.8 COMPLIANCE WITH U.S. IMMIGRATION LAWS AND REGULATIONSH-6 | |
| H.3 2052.242-70 RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999) | INTEREST (JAN 1993)H-1 |
| DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999) | H.3 2052.242-70 RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999) |
| (JUN 1988)H-5 H.6 SEAT BELTSH-5 H.7 ANNUAL AND FINAL CONTRACTOR PERFORMANCE EVALUATIONSH-5 H.8 COMPLIANCE WITH U.S. IMMIGRATION LAWS AND REGULATIONSH-6 | DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999)H-4 |
| | (JUN 1988)H-5 H.6 SEAT BELTSH-5 H.7 ANNUAL AND FINAL CONTRACTOR PERFORMANCE EVALUATIONSH-5 H.8 COMPLIANCE WITH U.S. IMMIGRATION LAWS AND REGULATIONSH-6 |

1

| H.10 BADGE REQUIREMENTS FOR UNESCORTED BUILDING ACCESS TO NRC Fa 2004) | cilities (FEB |
|---|---------------|
| H.11_SECURITY REQUIREMENTS FOR INFORMATION TECHNOLOGY ACCESS AP | PROVAL (FEB |
| 2004) H.12 NRC INFORMATION TECHNOLOGY SECURITY TRAINING (AUG 2003) | H-10 |
| PART II - CONTRACT CLAUSES | I-1 |
| SECTION I - CONTRACT CLAUSES | 1-1 |
| I.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE I.2 52.217-9 OPTION TO EXTEND THE TERM OF THE CONTRACT | I-1 |
| | 1-3 |
| (MAR 2000) I.3 52.232-25 PROMPT PAYMENT (OCT 2003) | 1-3 |
| I.4 52.252-2 CLAUSES INCORPORATED BY REFERENCE (FEB 1998) | 1-6 |
| PART III - LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS | J-1 |
| SECTION J - LIST OF ATTACHMENTS | J-1 |

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SECTION D

PART I - THE SCHEDULE

SECTION B - SUPPLIES OR SERVICES AND PRICE/COSTS

B.1 PROJECT TITLE

1VHC-07-05-154

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The title of this project is as follows:

Enhancement of Emergency Response Assessment Tools For the NRC Operations Center

B.2 BRIEF DESCRIPTION OF WORK (MAR 1987)

The proposed effort will require the contractor to provide qualified personnel to support the enhancement of the technical assessment tools (i.e. Radiological Assessment System for Consequence Analysis (RASCAL), Arc-View-based system, TopoUSA, Street Atlas, Landview) that would be used during a radiological emergency situation by the NRC Operations Center.

B.3 CONSIDERATION AND OBLIGATION--COST PLUS FIXED FEE (JUN 1988) ALTERNATE I (JUN 1991)

(a) The total estimated cost to the Government for full performance of this contract is (Base Year) \$183,227.00, of which the sum of \$169,654.62 represents the estimated reimbursable costs, and of which \$13,572.38 represents the fixed fee.

(b) The amount currently obligated by the Government with respect to this contract is \$120,000.00, of which the sum of \$111,111.00 represents the estimated reimbursable costs, and of which \$8,889.00 represents the fixed fee.

(c) The total estimated cost to the Government for full performance of this contract for Option Year 1 is \$189,675.00 of which the sum of \$175,625.00 represents the estimated reimbursable costs, and of which \$14,050.00 represents the fixed fee.

(e) The total estimated cost to the Government for full performance of this contract for Option Year 2 is \$196,346.00 of which the sum of \$181,801.85 represents the estimated reimbursable costs, and of which \$14,544.15 represents the fixed fee.

(f) The total estimated cost to the Government for full performance of this contract for Option Year 3 is \$203,242.00 of which the sum of \$188,187.03 represents the estimated reimbursable costs, and of which \$15,054.97 represents the fixed fee.

(g) The total estimated cost to the Government for full performance of this contract for Option Year 4 is \$210,396.00 of which the sum of \$194,811.11 represents the estimated reimbursable costs, and of which \$15,584.89 represents the fixed fee.

(h) There shall be no adjustment in the amount of the Contractor's fixed fee by reason of differences between any estimate of cost for performance of the work under this contract and the actual cost for performance of that work for the Base Year and Option Years One through Four.

SECTION C - DESCRIPTION/SPECIFICATIONS/STATEMENT OF WORK

C.1 STATEMENT OF WORK

Development of Emergency Response Assessment Tools

C.1.1 BACKGROUND

The NRC Operations Center is operated by the NRC Division of Incident Response Operations (Office of Nuclear Security and Incident Response). During a radiological emergency a Protective Measures Team will assemble to assess the consequences of any release and to assure that appropriate protective actions can be taken. This team makes use of a variety of technical assessment tools in the Operations Center.

The primary technical assessment tool is Radiological Assessment System for Consequence AnaLysis (RASCAL), which calculates radiological accident consequences for nuclear power plant and radioactive materials releases. RASCAL dose projection results may be integrated with geographic information system tools (such as ArcView, Topo USA, and Street Atlas) for display and spatial analysis and the Response Computer System (RCS) which allows the display, sharing, and archiving of files.

Other dose assessment tools include ERAD, which calculates radiological consequences of radiological dispersal devices, and ALOHA/CAMEO, which provides assessment capabilities to analyze toxic chemical releases.

These assessment tools are continually being upgraded to meet new requirements and to be kept compatible with each other. Also, lessons learned from exercises, tests, and responses to real events may also require improvements in the assessment tools themselves, in the way training on the assessment tools is conducted, and in the procedures for using the assessment tools. Thus, it is a constant effort to improve the assessment tools, to train responders to use the updated assessment tools, to develop training materials for use in the training, and to develop procedures for using the assessment tools.

C.1.2 OBJECTIVE

The contractor shall provide the technical support needed for the planning, development, upgrading, documentation and training for the technical assessment tools used by the Protective Measures Team in the NRC Operations Center.

C.1.3 SCOPE OF WORK

1.3.1 The contractor shall provide all necessary qualified personnel to provide support for the Protective Measures Team technical assessment mapping tools. The Contractor shall coordinate with the technical monitor and other NRC contractors (as needed).

A. RASCAL

- Provide technical support to update the user interface and computational modules of RASCAL to include, but not limited to changes needed to incorporate the results of new research, changes needed to expand the capabilities of the code, changes needed to fix errors in the code, and changed needed to improve the clarity or usability of the user interface sofreens.
- Develop help messages for RASCAL, the user interface screens that do not contain sufficient technical information based on relevant documentation and references, i.e. Rascal Workbook and NUREG - 741.

NHC-07-05-154

SECTION C

- For releases of newer versions of RASCAL, develop the means to properly install and configure upgraded RASCAL programs with commonly used Windows operating systems such as Windows NT or XP.
- Conduct quality assurance testing and review for all the components of the RASCAL models Revise NUREG – 1741 to describe any changes made in the methods or the models and to provide additional descriptions of models that are not yet fully described.
- Update existing student training workbook and other training materials, i.e. power point slides and handouts as changes are made to RASCAL such as incorporating lessons learned during training sessions. The updates shall be consistent with the existing workbook format.
- Provide training on the use of RASCAL based on the RASCAL Workbook to include lecture and handson computer exercises. It is estimated that training will be conducted twice per year for headquarters and once per year for each of the four NRC regions. The exact location of the training will be determined by the region. The training is estimated to last 3 days, which includes travel time, and requires one instructor. Exact dates of the course will be mutually agreed upon by the contractor and NRC Project Officer. The course size is estimated to range from no fewer than 12 students to no greater than 25 students.

B. Mapping Tools

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- Develop and maintain a workbook on the use of the Protective Measures Team mapping tools. This will include the use of the new ArcView-based system from Remote Sensing Laboratories (RSL) and the TopoUSA, Street Atlas, and LandView tools already in use. The workbook shall be in a similar format as the RASCAL workbook.
- Provide training on the use of the Protective Measures Team mapping tools based on the workbook developed to include lecture and hands-on exercises. It is estimated that one training session will be held annually at NRC Headquarters in Rockville, Maryland that will last 6 hours. It is anticipated that one instructor will be needed. The course size is anticipated to range from 3-6 students. The course date shall be established by mutual agreement between the NRC Project Officer and Contractor.
- Provide programming support in the customization and modification of the PMT Geographical Information Systems (GIS) user interface

C. Meteorological Data Retrieval

- Develop and maintain a workbook and procedures on how to retrieve meteorological data for use by the RASCAL, ERAD, and other models.
 Develop and maintain training materials in a format similar to the RASCAL and Mapping Tools workbook.
- Provide training on the tasks of retrieving meteorological data based on the workbook developed, to
 include a lecture and hands-on computer exercises. It is estimated that one training session will be
 held annually at NRC Headquarters in Rockville, Maryland that will last 3 hours. It is anticipated that
 one instructor will be needed. The course size is anticipated to range from 3-6 students. The course
 date shall be established by mutual agreement between the NRC Project Officer and Contractor.
- Develop and implement methods for the automation of meteorological data retrieval

D. Data Exchange (level of effort: 180 hrs/year or 10% of total)

- Develop and maintain methods for sharing PMT generated data (especially from RASCAL) with: RCS, Federal Radiological Monitor and Assessment Center (FRMAC), National Atomspheric Release Advisory Center (NARAC), Department of Homeland Security (DHS), Interagency Modeling and Atmospheric Assessment Center (IMAAC), and the NRC Regional Offices and site teams.
- This work may include, but is not limited to:
 Modify the existing procedures on PMT responsibilities to reflect new data exchange methods.
 Programming changes to facilitate import/export of data
 Setup /configuration of tools to share information

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C.1.3.2 Locations of NRC Offices:

| NRC Headquarters | 11545 Rockville Pike Rockville, Maryland 20852 |
|------------------|---|
| Region I | 475 Allendale Road King of Prussia, PA 19406-1415 |
| Region II | Atlanta Federal Center, 23 T85 61 Forsyth Street, SW Atlanta, GA 30303-8931 |
| Region III | 2443 Warrenville Road Lisle, IL 60532-4352 |
| Region IV | 611 Ryan Plaza Drive, Suite 400 Arlington, TX 76011-4005 |

C.1.4 PERSONNEL

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The Contractor shall provide personnel with the following qualifications to support the tasks as delineated in this Statement of Work.

- (a) General understanding of specific NRC emergency response responsibilities, duties, functions, and assessment tools at Headquarters, the Regional offices, and emergency sites (This includes an understanding of the NRC regulations in 10 CFR Part 50, Appendix E, NUREG-0396, NUREG-0654, and NUREG 0728, Rev. 2, NRC Incident Response Plan);
- (b) Familiarity with the analytical methods used by the RASCAL model (as described in NUREG-1741, NUREG-1228, NUREG-1465, NUREG/BR-0150, Rev. 5,) to the extent sufficient to advise NRC both technically and operationally on possible modifications;
- (c) General knowledge of atmospheric dispersion modeling and specific knowledge of meteorological information that could be useful as inputs to the RASCAL dose consequence model and NRC protective action assessments;
- (d) Familiarity with Windows-based computers, Microsoft Windows operating systems (98/NT/2000/XP), database and graphic software, and peripheral equipment used by the NRC to support the Protective Measures Team.
- (e) Experience in the programming of computers using the following languages: Fortran, C/C++, and Visual Basic. Experience integrating mixed-language codes. Experience developing programs and user-interfaces for the Windows operating system, especially scientific applications.
- (f) Knowledge of the technical basis for emergency response sufficient to develop help systems for RASCAL including the use of help authoring tools.
- (g) Experience developing installation programs for Windows-based applications that support the variety of operating systems (i.e. Windows NT and XP) on which developed applications will be run.

- - (h) Experience with atmospheric dispersion and dose models (such as MESOI, MESORAD, TADPUFF, and TADPLUME).
 - (i) Experience with the ESRI ArcView geographic information system software including use of the program and the development of customizations using ArcObjects and Visual Basic.
 - (j) Experience in training others in the use of technical assessment tools. This should include the development of training materials, course design, and course delivery.

C.1.5 TRAVEL

It is estimated that four one-person trips of three days duration, including travel time, to conduct RASCAL training to each NRC Region will be required each contract year.

It is estimated that two, one-person trips to NRC Headquarters to conduct RASCAL training will be required each contract year. (8 hours duration)

It estimated that one, one-person trip to NRC Headquarters to conduct training on the Protective Measures Team Mapping Tools, to last approximately 4 hours, will be required each contract year.

It estimated that one, one-person trip to NRC Headquarters to conduct Meteorological Data Retrieval training, to last approximately 6 hours, will be required.

It is estimated that three additional one-person trips of three days duration each contract year may be required for coordination with other federal and state emergency response personnel.

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It is estimated that one day each month the contractor will have to come to NRC Headquarters Operations Center to consult with the technical monitor, project officer and other contractors for the duration of the contract.

C.1.6 NRC FURNISHED MATERIAL

NUREG - 1741

RASCAL Workbook

RASCAL Planning Document

GIS Workbook

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SECTION D - PACKAGING AND MARKING

D.1 PACKAGING AND MARKING (MAR 1987)

The Contractor shall package material for shipment to the NRC in such a manner that will ensure acceptance by common carrier and safe delivery at destination. Containers and closures shall comply with the Interstate Commerce Commission Regulations, Uniform Freight Classification Rules, or regulations of other carriers as applicable to the mode of transportation. On the front of the package, the Contractor shall clearly identify the contract number under which the product is being provided.

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SECTIONE

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SECTION E - INSPECTION AND ACCEPTANCE

07-03-734

E.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE

The following contract clauses pertinent to this section are hereby incorporated by reference (by Citation Number, Title, and Date) in accordance with the clause at FAR "52.252-2 CLAUSES INCORPORATED BY REFERENCE" in Section I of this contract. See FAR 52.252-2 for an internet address (if specified) for electronic access to the full text of a clause.

| NUMBER | TITLE | DATE |
|----------------|------------------------|-------------------------------|
| | FEDERAL ACQUISITION | REGULATION (48 CFR Chapter 1) |
| 52.246-5 | INSPECTION OF | APR 1984 |
| | SERVICESCOST-REIME | BURSEMENT |
| E.2 PLACE OF I | NSPECTION AND ACCEPTAN | NCE (MAR 1987) |

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Inspection and acceptance of the deliverable items to be furnished hereunder shall be made by the Project Officer at the destination.

SECTION F - DELIVERIES OR PERFORMANCE

F.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE

The following contract clauses pertinent to this section are hereby incorporated by reference (by Citation Number, Title, and Date) in accordance with the clause at FAR "52.252-2 CLAUSES INCORPORATED BY REFERENCE" in Section I of this contract. See FAR 52.252-2 for an internet address (if specified) for electronic access to the full text of a clause.

| NUMBER | TITLE | DATE |
|-----------|-------------------------------|-----------------------|
| | FEDERAL ACQUISITION REGULATIO | DN (48 CFR Chapter 1) |
| 52.242-15 | STOP-WORK ORDER | AUG 1989 |
| | ALTERNATE I (APR 1984) | |

F.2 2052.211-71 TECHNICAL PROGRESS REPORT (JAN 1993)

The contractor shall provide a monthly Technical Progress Report to the project officer and the contracting officer. The report is due within 15 calendar days after the end of the report period and must identify the title of the project, the contract number, appropriate financial tracking code specified by the NRC Project Officer, project manager and/or principal investigator, the contract period of performance, and the period covered by the report. Each report must include the following for each discrete task/task order:

(a) A listing of the efforts completed during the period, and milestones reached or, if missed, an explanation provided;

(b) Any problems or delays encountered or anticipated and recommendations for resolution. If the recommended resolution involves a contract modification, e.g., change in work requirements, level of effort (cost) or schedule delay, the contractor shall submit a separate letter to the contracting officer identifying the required change and estimated cost impact.

(c) A summary of progress to date; and

(d) Plans for the next reporting period.

F.3 2052.211-70 PREPARATION OF TECHNICAL REPORTS (JAN 1993)

All technical reports required by Section C and all Technical Progress Reports required by Section F are to be prepared in accordance with the attached Management Directive 3.8, "Unclassified Contractor and Grantee Publications in the NUREG Series." Management Directive 3.8 is not applicable to any Contractor Spending Plan (CSP) and any Financial Status Report that may be included in this contract. (See List of Attachments).

F-1

F.4 2052.211-72 FINANCIAL STATUS REPORT (OCT 1999)

The contractor shall provide a monthly Financial Status Report (FSR) to the project officer and the contracting officer. The FSR shall include the acquisition of, or changes in the status of, contractor-held property acquired with government funds valued at the time of purchase at \$50,000 or more. Whenever these types of property changes occur, the contractor shall send a copy of the report to the Chief, Property and Acquisition Oversight Branch, Office of Administration. The report is due within 15 calendar days after the end of the report period and must identify the title of the project, the contract number, the appropriate financial tracking code (e.g., Job Code Number or JCN) specified by the NRC Project Officer, project manager and/or principal investigator, the contract period of performance, and the period covered by the report. Each report must include the following for each discrete task:

(a) Total estimated contract amount.

(b) Total funds obligated to date.

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(c) Total costs incurred this reporting period.

(d) Total costs incurred to date.

(e) Detail of all direct and indirect costs incurred during the reporting period for the entire contract or each task, if it is a task ordering contract.

(f) Balance of obligations remaining.

(g) Balance of funds required to complete contract/task order.

(h) Contractor Spending Plan (CSP) status: A revised CSP is required with the Financial Status Report whenever the contractor or the contracting officer has reason to believe that the total cost for performance of this contract will be either greater or substantially less than what had been previously estimated.

(1) Projected percentage of completion cumulative through the report period for the project/task order as reflected in the current CSP.

(2) Indicate significant changes in the original CSP projection in either dollars or percentage of completion. Identify the change, the reasons for the change, whether there is any projected overrun, and when additional funds would be required. If there have been no changes to the original NRC-approved CSP projections, a written statement to that effect is sufficient in lieu of submitting a detailed response to item "h".

(i) Property status:

(1) List property acquired for the project during the month with an acquisition cost between \$500 and \$49,999. Give the item number for the specific piece of equipment.

(2) Provide a separate list of property acquired for the project during the month with an acquisition cost of \$50,000 or more. Provide the following information for each item of property: item description or nomenclature, manufacturer, model number, serial number, acquisition cost, and receipt date. If no property was acquired during the month, include a statement to that effect. The same information must be provided for any component or peripheral equipment which is part of a "system or system unit."

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(3) For multi-year projects, in the September monthly financial status report provide a cumulative listing of property with an acquisition cost of \$50,000 or more showing the information specified in paragraph (i)(2) of this clause.

(4) In the final financial status report provide a closeout property report containing the same elements as described above for the monthly financial status reports, for all property purchased with NRC funds regardless of value unless title has been vested in the contractor. If no property was acquired under the contract, provide a statement to that effect. The report should note any property requiring special handling for security, health, safety, or other reasons as part of the report.

(j) Travel status: List the starting and ending dates for each trip, the starting point and destination, and the traveler(s) for each trip.

(k) If the data in this report indicates a need for additional funding beyond that already obligated, this information may only be used as support to the official request for funding required in accordance with the Limitation of Cost (LOC) Clause (FAR 52.232-20) or the Limitation of Funds (LOF) Clause FAR 52.232-22.

F.5 PLACE OF DELIVERY--REPORTS (JUN 1988)

The items to be furnished hereunder shall be delivered, with all charges paid by the Contractor, to:

(a) Project Officer (2 copies)

Name: Stephen McGuire

Address: U.S. Nuclear Regulatory Commission Mail Stop: T4-L7 11555 Rockville Pike Rockville, MD 20852

(b) Contracting Officer (1 copy)

Name: Sharon D. Stewart, Contracting Officer

Address: U.S. Nuclear Regulatory Commission Mail Stop: T7-I2 11555 Rockville Pike Rockville, MD 20852

F.6 DURATION OF CONTRACT PERIOD (MAR 1987) ALTERNATE 2 (MAR 1987)

This contract shall commence on Day of Award (Block 19c. of Standard Form 26) and will expire on June 12, 2006. The term of this contract may be extended at the option of the Government for an additional four, 1-year options.

<u>Period</u>

| Option Year 1: | June 13, 2006 to June 12, 2007 |
|----------------|--------------------------------|
| Option Year 2: | June 13, 2007 to June 12, 2008 |
| Option Year 3: | June 13, 2008 to June 12, 2009 |
| Option Year 4: | June 13, 2009 to June 12, 2010 |

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SECTION G - CONTRACT ADMINISTRATION DATA

G.1 PROJECT OFFICER AUTHORITY (FEB 2004)

(a) The contracting officer's authorized representative hereinafter referred to as the project officer for this contract is:

Name: Stephen McGuire

Address: U.S. Nuclear Regulatory Commission Mail Stop: T4-L7 11555 Rockville Pike Rockville, MD 20852

Telephone Number: 301-415-6204

(b) Performance of the work under this contract is subject to the technical direction of the NRC project officer. The term "technical direction" is defined to include the following:

(1) Technical direction to the contractor which shifts work emphasis between areas of work or tasks, authorizes travel which was unanticipated in the Schedule (i.e., travel not contemplated in the Statement of Work (SOW) or changes to specific travel identified in the SOW), fills in details, or otherwise serves to accomplish the contractual SOW.

(2) Provide advice and guidance to the contractor in the preparation of drawings, specifications, or technical portions of the work description.

(3) Review and, where required by the contract, approval of technical reports, drawings, specifications, and technical information to be delivered by the contractor to the Government under the contract.

(c) Technical direction must be within the general statement of work stated in the contract. The project officer does not have the authority to and may not issue any technical direction which:

(1) Constitutes an assignment of work outside the general scope of the contract.

(2) Constitutes a change as defined in the "Changes" clause of this contract.

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(3) In any way causes an increase or decrease in the total estimated contract cost, the fixed fee, if any, or the time required for contract performance.

(4) Changes any of the expressed terms, conditions, or specifications of the contract.

(5) Terminates the contract, settles any claim or dispute arising under the contract, or issues any unilateral directive whatever.

(d) All technical directions must be issued in writing by the project officer or must be confirmed by the project officer in writing within ten (10) working days after verbal issuance. A copy of the written direction must be furnished to the contracting officer. A copy of NRC Form 445, Request for Approval of Official Foreign Travel, which has received final approval from the NRC must be furnished to the contracting officer.

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SECTION G

(e) The contractor shall proceed promptly with the performance of technical directions duly issued by the project officer in the manner prescribed by this clause and within the project officer's authority under the provisions of this clause.

(f) If, in the opinion of the contractor, any instruction or direction issued by the project officer is within one of the categories as defined in paragraph (c) of this section, the contractor may not proceed but shall notify the contracting officer in writing within five (5) working days after the receipt of any instruction or direction and shall request the contracting officer to modify the contract accordingly. Upon receiving the notification from the contractor, the contracting officer shall issue an appropriate contract modification or advise the contractor in writing that, in the contracting officer's opinion, the technical direction is within the scope of this article and does not constitute a change under the "Changes" clause.

(g) Any unauthorized commitment or direction issued by the project officer may result in an unnecessary delay in the contractor's performance and may even result in the contractor expending funds for unallowable costs under the contract.

(h) A failure of the parties to agree upon the nature of the instruction or direction or upon the contract action to be taken with respect thereto is subject to 52.233.1. Disputes.

(i) In addition to providing technical direction as defined in paragraph (b) of the section, the project officer shall:

(1) Monitor the contractor's technical progress, including surveillance and assessment of performance, and recommend to the contracting officer changes in requirements. (2) Assist the contractor in the resolution of technical problems encountered during performance.

(3) Review all costs requested for reimbursement by the contractor and submit to the contracting officer recommendations for approval, disapproval, or suspension of payment for supplies and services required under this contract.

(4) Assist the contractor in obtaining the badges for the contractor personnel.

(5) Immediately notify the Security Branch, Division of Facilities and Security (SB/DFS) (via e-mail) when a contractor employee no longer requires access authorization and return of any NRC issued badge to SB/DFS within three days after their termination.

(6) Ensure that all contractor employees that require access to classified Restricted Data or National Security Information or matter, access to sensitive unclassified information (Safeguards, Official Use Only, and Proprietary information) access to sensitive IT systems or data, unescorted access to NRC controlled buildings/space, or unescorted access to protected and vital areas of nuclear power plants receive approval of SB/DFS prior to access in accordance with Management Directive and Handbook 12.3.

G.2 2052.215-78 TRAVEL APPROVALS AND REIMBURSEMENT -ALTERNATE 1 (OCT 1999)

(a) Total expenditure for travel may not exceed \$6,811.00 Base Year (Option Year 1 add \$7,152.00, Option Year 2 add \$7,509.00, Option Year 3 add \$7,885.00, Option Year 4 add \$8,279.00) without the prior approval of the contracting officer.

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(b) All foreign travel must be approved in advance by the NRC on NRC Form 445, Request for Approval of Official Foreign Travel, and must be in compliance with FAR 52.247-63 Preference for U.S. Flag Air Carriers. The contractor shall submit NRC Form 445 to the NRC no later than 30 days prior to the commencement of travel.

(c) The contractor will be reimbursed only for those travel costs incurred that are directly related to this contract and which are allowable subject to the limitations prescribed in FAR 31.205-46. (d) It is the responsibility of the contractor to notify the contracting officer in accordance with the FAR Limitations of Cost clause of this contract when, at any time, the contractor learns that travel expenses will cause the contractor to exceed the travel ceiling amount identified in paragraph (a) of this clause.

(e) Reasonable travel costs for research and related activities performed at State and nonprofit institutions, in accordance with Section 12 of Pub. L. 100-679, shall be charged in accordance with the contractor's institutional policy to the degree that the limitations of Office of Management and Budget (OMB) guidance are not exceeded. Applicable guidance documents include OMB Circular A-87, Cost Principles for State and Local Governments; OMB Circular A-122, Cost Principles for Nonprofit Organizations; and OMB Circular A-21, Cost Principles for Educational Institutions.

G.3 2052.216-71 INDIRECT COST RATES ALTERNATE 2 (Oct 1999)

(a) For this contract, the ceiling amount reimbursable for indirect costs is as follows:

| INDIRECT COST POOL | RATE | BASE | PERIOD | |
|--------------------|------|---------------------------------------|--------|--|
| Fringe Benefit | | Applied to Direct Labor | | |
| Overhead | | Applied to Direct Labor and Fringe | | |

(b) In the event that indirect rates developed by the cognizant audit activity on the basis of actual allowable costs result in a lower amount for indirect costs, the lower amount will be paid. The Government may not be obligated to pay any additional amounts for indirect costs above the ceiling rates set forth above for the applicable period.

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SECTION H - SPECIAL CONTRACT REQUIREMENTS

H.1 2052.209-72 CONTRACTOR ORGANIZATIONAL CONFLICTS OF INTEREST (JAN 1993)

(a) Purpose. The primary purpose of this clause is to aid in ensuring that the contractor:

(1) Is not placed in a conflicting role because of current or planned interests (financial, contractual, organizational, or otherwise) which relate to the work under this contract; and

(2) Does not obtain an unfair competitive advantage over other parties by virtue of its performance of this contract.

(b) Scope. The restrictions described apply to performance or participation by the contractor, as defined in 48 CFR 2009.570-2 in the activities covered by this clause.

(c) Work for others.

(1) Notwithstanding any other provision of this contract, during the term of this contract, the contractor agrees to forego entering into consulting or other contractual arrangements with any firm or organization the result of which may give rise to a conflict of interest with respect to the work being performed under this contract. The contractor shall ensure that all employees under this contract abide by the provision of this clause. If the contractor has reason to believe, with respect to itself or any employee, that any proposed consultant or other contractual arrangement with any firm or organization may involve a potential conflict of interest, the contractor shall obtain the written approval of the contracting officer before the execution of such contractual arrangement.

(2) The contractor may not represent, assist, or otherwise support an NRC licensee or applicant undergoing an NRC audit, inspection, or review where the activities that are the subject of the audit, inspection, or review are the same as or substantially similar to the services within the scope of this contract (or task order as appropriate) except where the NRC licensee or applicant requires the contractor's support to explain or defend the contractor's prior work for the utility or other entity which NRC questions.

(3) When the contractor performs work for the NRC under this contract at any NRC licensee or applicant site, the contractor shall neither solicit nor perform work in the same or similar technical area for that licensee or applicant organization for a period commencing with the award of the task order or beginning of work on the site (if not a task order contract) and ending one year after completion of all work under the associated task order, or last time at the site (if not a task order contract).

(4) When the contractor performs work for the NRC under this contract at any NRC licensee or applicant site,

(i) The contractor may not solicit work at that site for that licensee or applicant during the period of performance of the task order or the contract, as appropriate.

(ii) The contractor may not perform work at that site for that licensee or applicant during the period of performance of the task order or the contract, as appropriate, and for one year thereafter.

(iii) Notwithstanding the foregoing, the contracting officer may authorize the contractor to solicit or perform this type of work (except work in the same or similar technical area) if the contracting officer determines that the situation will not pose a potential for technical bias or unfair competitive advantage.

(d) Disclosure after award.

(1) The contractor warrants that to the best of its knowledge and belief, and except as otherwise set forth in this contract, that it does not have any organizational conflicts of interest as defined in 48 CFR 2009.570-2.

(2) The contractor agrees that if, after award, it discovers organizational conflicts of interest with respect to this contract, it shall make an immediate and full disclosure in writing to the contracting officer. This statement must include a description of the action which the contractor has taken or proposes to take to avoid or mitigate such conflicts. The NRC may, however, terminate the contract if termination is in the best interest of the Government.

(3) It is recognized that the scope of work of a task-order-type contract necessarily encompasses a broad spectrum of activities. Consequently, if this is a task-order-type contract, the contractor agrees that it will disclose all proposed new work involving NRC licensees or applicants which comes within the scope of work of the underlying contract. Further, if this contract involves work at a licensee or applicant site, the contractor agrees to exercise diligence to discover and disclose any new work at that licensee or applicant site. This disclosure must be made before the submission of a bid or proposal to the utility or other regulated entity and must be received by the NRC at least 15 days before the proposed award date in any event, unless a written justification demonstrating urgency and due diligence to discover and disclose is provided by the contractor and approved by the contracting officer. The disclosure must include the statement of work, the dollar value of the proposed contract, and any other documents that are needed to fully describe the proposed work for the regulated entity. NRC may deny approval of the disclosed work only when the NRC has issued a task order which includes the technical area and, if site-specific, the site, or has plans to issue a task order which includes the technical area and, if site-specific, the site, or when the work violates paragraphs (c)(2), (c)(3) or (c)(4) of this section.

(e) Access to and use of information.

(1) If in the performance of this contract, the contractor obtains access to information, such as NRC plans, policies, reports, studies, financial plans, internal data protected by the Privacy Act of 1974 (5 U.S.C. Section 552a (1988)), or the Freedom of Information Act (5 U.S.C. Section 552 (1986)), the contractor agrees not to:

(i) Use this information for any private purpose until the information has been released to the public;

(ii) Compete for work for the Commission based on the information for a period of six months after either the completion of this contract or the release of the information to the public, whichever is first;

(iii) Submit an unsolicited proposal to the Government based on the information until one year after the release of the information to the public; or

(iv) Release the information without prior written approval by the contracting officer unless the information has previously been released to the public by the NRC.

(2) In addition, the contractor agrees that, to the extent it receives or is given access to proprietary data, data protected by the Privacy Act of 1974 (5 U.S.C. Section 552a (1988)), or the Freedom of Information Act (5 U.S.C. Section 552 (1986)), or other confidential or privileged technical, business, or financial information under this contract, the contractor shall treat the information in accordance with restrictions placed on use of the information.

technical data it produces under this contract for private purposes provided that all requirements of this contract have been met.

(f) Subcontracts. Except as provided in 48 CFR 2009.570-2, the contractor shall include this clause, including this paragraph, in subcontracts of any tier. The terms contract, contractor, and contracting officer, must be appropriately modified to preserve the Government's rights.

(g) Remedies. For breach of any of the above restrictions, or for intentional nondisclosure or misrepresentation of any relevant interest required to be disclosed concerning this contract or for such erroneous representations that necessarily imply bad faith, the Government may terminate the contract for default, disqualify the contractor from subsequent contractual efforts, and pursue other remedies permitted by law or this contract.

(h) Waiver. A request for waiver under this clause must be directed in writing to the contracting officer in accordance with the procedures outlined in 48 CFR 2009.570-9.

(i) Follow-on effort. The contractor shall be ineligible to participate in NRC contracts, subcontracts, or proposals therefor (solicited or unsolicited), which stem directly from the contractor's performance of work under this contract. Furthermore, unless so directed in writing by the contracting officer, the contractor may not perform any technical consulting or management support services work or evaluation activities under this contract on any of its products or services or the products or services of another firm if the contractor has been substantially involved in the development or marketing of the products or services.

(1) If the contractor, under this contract, prepares a complete or essentially complete statement of work or specifications, the contractor is not eligible to perform or participate in the initial contractual effort which is based on the statement of work or specifications. The contractor may not incorporate its products or services in the statement of work or specifications unless so directed in writing by the contracting officer, in which case the restrictions in this paragraph do not apply.

(2) Nothing in this paragraph precludes the contractor from offering or selling its standard commercial items to the Government.

H.2 2052.215-70 KEY PERSONNEL (JAN 1993)

(a) The following individuals are considered to be essential to the successful performance of the work hereunder:

The contractor agrees that personnel may not be removed from the contract work or replaced without compliance with paragraphs (b) and (c) of this section.

(b) If one or more of the key personnel, for whatever reason, becomes, or is expected to become, unavailable for work under this contract for a continuous period exceeding 30 work days, or is expected to devote substantially less effort to the work than indicated in the proposal or initially anticipated, the contractor shall immediately notify the contracting officer and shall, subject to the con-currence of the contracting officer, promptly replace the personnel with personnel of at least substantially equal ability and qualifications.

(c) Each request for approval of substitutions must be in writing and contain a detailed explanation of the circumstances necessitating the proposed substitutions. The request must also contain a complete resume for the proposed substitute and other information requested or needed by the contracting officer to evaluate the

proposed substitution. The contracting officer and the project officer shall evaluate the contractor's request and the contracting officer shall promptly notify the contractor of his or her decision in writing.

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(d) If the contracting officer determines that suitable and timely replacement of key personnel who have been reassigned, terminated, or have otherwise become unavailable for the contract work is not reasonably forthcoming, or that the resultant reduction of productive effort would be so substantial as to impair the successful completion of the contract or the service order, the contract may be terminated by the contracting officer for default or for the convenience of the Government, as appropriate. If the contracting officer finds the contractor at fault for the condition, the contract price or fixed fee may be equitably adjusted downward to compensate the Government for any resultant delay, loss, or damage.

H.3 2052.242-70 RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999)

(a) The Nuclear Regulatory Commission's (NRC) policy is to support the contractor's expression of professional health and safety related concerns associated with the contractor's work for NRC that may differ from a prevailing NRC staff view, disagree with an NRC decision or policy position, or take issue with proposed or established agency practices. An occasion may arise when an NRC contractor, contractor's personnel, or subcontractor personnel believes that a conscientious expression of a competent judgement is required to document such concerns on matters directly associated with its performance of the contract. The NRC's policy is to support these instances as Differing Professional Views (DPVs).

(b) The procedure that will be used provides for the expression and resolution of differing professional views (DPVs) of health and safety related concerns associated with the mission of the agency by NRC contractors, contractor personnel or subcontractor personnel on matters directly associated with its performance of the contract. This procedure may be found in Attachments to this document. The contractor shall provide a copy of the NRC DPV procedure to all of its employees performing under this contract and to all subcontractors who shall, in turn, provide a copy of the procedure to its employees. The prime contractor or subcontractor shall submit all DPV's received but need not endorse them.

H.4 2052.242-71 PROCEDURES FOR RESOLVING NRC CONTRACTOR DIFFERING PROFESSIONAL VIEWS (DPVS) (OCT 1999)

(a) The following procedure provides for the expression and resolution of differing professional views (DPVs) of health and safety related concerns of NRC contractors and contractor personnel on matters connected to the subject of the contract. Subcontractor DPVs must be submitted through the prime contractor. The prime contractor or subcontractor shall submit all DPV's received but need not endorse them.

(b) The NRC may authorize up to eight reimbursable hours for the contractor to document, in writing, a DPV by the contractor, the contractor's personnel, or subcontractor personnel. The contractor shall not be entitled to any compensation for effort on a DPV which exceeds the specified eight hour limit.

(c) Before incurring costs to document a DPV, the contractor shall first determine whether there are sufficient funds obligated under the contract which are available to cover the costs of writing a DPV. If there are insufficient obligated funds under the contract, the contractor shall first request the NRC contracting officer for additional funding to cover the costs of preparing the DPV and authorization to proceed.

(d) Contract funds shall not be authorized to document an allegation where the use of this NRC contractor DPV process is inappropriate. Examples of such instances are: allegations of wrongboing which should be addressed directly to the NRC Office of the Inspector General (OIG), issues submitted anonymously, or issues raised which have already been considered, addressed, or rejected, absent significant new information. This procedure does not provide anonymity. Individuals desiring anonymity should contact the NRC OIG or submit the information under NRC's Allegation Program, as appropriate.

(e) When required, the contractor shall initiate the DPV process by submitting a written statement directly to the NRC Office Director or Regional Administrator responsible for the contract, with a copy to the Contracting Officer, Division of Contracts and Property Management, Office of Administration. Each DPV submitted will be evaluated on its own merits.

(f) The DPV, while being brief, must contain the following as it relates to the subject matter of the contract:

(1) A summary of the prevailing NRC view, existing NRC decision or stated position, or the proposed or established NRC practice.

(2) A description of the submitter's views and how they differ from any of the above items.

(3) The rationale for the submitter's views, including an assessment based on risk, safety and cost benefit considerations of the consequences should the submitter's position not be adopted by NRC.

(g) The Office Director or Regional Administrator will immediately forward the submittal to the NRC DPV Review Panel and acknowledge receipt of the DPV, ordinarily within five (5) calendar days of receipt.

(h) The panel will normally review the DPV within seven calendar days of receipt to determine whether enough information has been supplied to undertake a detailed review of the issue. Typically, within 30 calendar days of receipt of the necessary information to begin a review, the panel will provide a written report of its findings to the Office Director or Regional Administrator and to the Contracting Officer, which includes a recommended course of action.

(i) The Office Director or Regional Administrator will consider the DPV Review Panel's report, make a decision on the DPV and provide a written decision to the contractor and the Contracting Officer normally within seven calendar days after receipt of the panel's recommendation.

(j) Subsequent to the decision made regarding the DPV Review Panel's report, a summary of the issue and its disposition will be included in the NRC Weekly Information Report submitted by the Office Director. The DPV file will be retained in the Office or Region for a minimum of one year thereafter. For purposes of the contract, the DPV shall be considered a deliverable under the contract. Based upon the Office Director or Regional Administrator's report, the matter will be closed.

H.5 GOVERNMENT FURNISHED EQUIPMENT/PROPERTY - NONE PROVIDED (JUN 1988)

The Government will not provide any equipment/property under this contract.

H.6 SEAT BELTS

Contractors, subcontractors, and grantees, are encouraged to adopt and enforce on-the-job seat belt policies and programs for their employees when operating company-owned, rented, or personally owned vehicles.

H.7 ANNUAL AND FINAL CONTRACTOR PERFORMANCE EVALUATIONS

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Annual and final evaluations of contractor performance under this contract will be prepared in accordance with FAR 42.15, "Contractor Performance Information]" normally at the time the contractor is notified of the NRC's intent to exercise the contract option. If the multi-year contract does not have option years, then an annual evaluation will be prepared (state time for annual evaluation). Final evaluations of contractor performance will be prepared at the expiration of the contract during the contract closeout process.

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The Contracting Officer will transmit the NRC Project Officer's annual and final contractor performance evaluations to the contractor's Project Manager, unless otherwise instructed by the contractor. The contractor will be permitted thirty days to review the document. The contractor may concur without comment, submit additional information, or request a meeting to discuss the performance evaluation. The Contracting Officer may request the contractor's Project Manger to attend a meeting to discuss the performance evaluation.

Where a contractor concurs with, or takes no exception to an annual performance evaluation, the Contracting Officer will consider such evaluation final and releasable for source selection purposes. Disagreements between the parties regarding a performance evaluation will be referred to an individual one level above the Contracting Officer, whose decision will be final.

The Contracting Officer will send a copy of the completed evaluation report, marked "For Official Use Only," to the contractor's Project Manager for their records as soon as practicable after it has been finalized. The completed evaluation report also will be used as a tool to improve communications between the NRC and the contractor and to improve contract performance.

The completed annual performance evaluation will be used to support future award decisions in accordance with FAR 42.1502(a) and 42.1503(c). During the period the information is being used to provide source selection information, the completed annual performance evaluation will be released to only two parties - the Federal government personnel performing the source selection evaluation and the contractor under evaluation if the contractor does not have a copy of the report already.

H.8 COMPLIANCE WITH U.S. IMMIGRATION LAWS AND REGULATIONS

NRC contractors are responsible to ensure that their alien personnel are not in violation of United States Immigration and Naturalization (INS) laws and regulations, including employment authorization documents and visa requirements. Each alien employee of the Contractor must be lawfully admitted for permanent residence as evidenced by Alien Registration Receipt Card Form 1-151 or must present other evidence from the Immigration and Naturalization Services that employment will not affect his/her immigration status. The INS Office of Business Liaison (OBL) provides information to contractors to help them understand the employment eligibility verification process for non-US citizens. This information can be found on the INS website, http://www.ins.usdoj.gov/graphics/services/employerinfo/index.htm#obl.

The NRC reserves the right to deny or withdraw Contractor use or access to NRC facilities or its equipment/services, and/or take any number of contract administrative actions (e.g., disallow costs, terminate for cause) should the Contractor violate the Contractor's responsibility under this clause.

(End of Clause) H.9 2052.204.70 SECURITY (MAR 2004)

(a) Contract Security and/or Classification Requirements (NRC Form 187). The policies, procedures, and criteria of the NRC Security Program, NRC Management Directive (MD) 12 (including MD 12.1, "NRC Facility Security Program;" MD 12.2, "NRC Classified Information Security Program;" MD 12.3, "NRC Personnel Security Program;" MD 12.4, "NRC Telecommunications Systems Security Program;" MD 12.5, "NRC Automated Information Systems Security Program;" and MD 12.6, "NRC Sensitive Unclassified Information Security Program"), apply to performance of this contract, subcontract or other activity. This MD is incorporated into this contract by reference as though fully set forth herein. The attached NRC Form 187 (See List of Attachments) furnishes the basis for providing security and classification requirements to prime contractors, subcontractors, or others (e.g., bidders) who have or may have an NRC contractual relationship that requires access to classified Restricted Data or National Security Information or matter, access to sensitive unclassified information (e.g., Safeguards), access to sensitive Information Technology (IT) systems

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or data, unescorted access to NRC controlled buildings/space, or unescorted access to protected and vital areas of nuclear power plants.

(b) It is the contractor's duty to protect National Security Information, Restricted Data, and Formerly Restricted Data. The contractor shall, in accordance with the Commission's security regulations and requirements, be responsible for protecting National Security Information, Restricted Data, and Formerly Restricted Data, and for protecting against sabotage, espionage, loss, and theft, the classified documents and material in the contractor's possession in connection with the performance of work under this contract. Except as otherwise expressly provided in this contract, the contractor shall, upon completion or termination of this contract, transmit to the Commission any classified matter in the possession of the contractor or any person under the contractor's control in connection with performance of this contract. If retention by the contractor of any classified matter is required after the completion or termination of the contract and the retention is approved by the contracting officer, the contractor shall complete a certificate of possession to be furnished to the Commission specifying the classified matter to be retained. The certification must identify the items and types or categories of matter retained, the conditions governing the retention of the matter and their period of retention, if known. If the retention is approved by the contracting officer, the matter retained.

(c) In connection with the performance of the work under this contract, the contractor may be furnished, or may develop or acquire, safeguards information, or confidential or privileged technical, business, or financial information, including Commission plans, policies, reports, financial plans, internal data protected by the Privacy Act of 1974 (Pub. L. 93.579), or other information which has not been released to the public or has been determined by the Commission to be otherwise exempt from disclosure to the public. The contractor shall ensure that information protected from public disclosure is maintained as required by NRC regulations and policies, as cited in this contract or as otherwise provided by the NRC. The contractor will not directly or indirectly duplicate, disseminate, or disclose the information in whole or in part to any other person or organization except as may be necessary to perform the work under this contract. The contractor agrees to return the information to the Commission or otherwise dispose of it at the direction of the contracting officer. Failure to comply with this clause is grounds for termination of this contract.

(d) Regulations. The contractor agrees to conform to all security regulations and requirements of the Commission which are subject to change as directed by the NRC Division of Facilities and Security (DFS) and the Contracting Officer. These changes will be under the authority of the FAR Changes clause referenced in this document.

The contractor agrees to comply with the security requirements set forth in NRC Management Directive 12.1, NRC Facility Security Program which is incorporated into this contract by reference as though fully set forth herein. Attention is directed specifically to the section titled "Infractions and Violations," including "Administrative Actions" and "Reporting Infractions."

(e) Definition of National Security Information. The term National Security Information, as used in this clause, means information that has been determined pursuant to Executive Order 12958 or any predecessor order to require protection against unauthorized disclosure and that is so designated.

(f) Definition of Restricted Data. The term Restricted Data, as used in this clause, means all data concerning design, manufacture, or utilization of atomic weapons; the production of special nuclear material; or the use of special nuclear material in the production of energy, but does not include data declassified or removed from the Restricted Data category pursuant to Section 142 of the Atomic Energy Act of 1954, as amended.

(g) Definition of Formerly Restricted Data. The term Formerly Restricted Data, as used in this clause, means all data removed from the Restricted Data category under Section 142-d of the Atomic Energy Act of 1954, as amended.

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(h) Definition of Safeguards Information. Sensitive unclassified information that specifically identifies the detailed security measures of a licensee or an applicant for the physical protection of special nuclear material; or security measures for the physical protection and location of certain plant equipment vital to the safety of production of utilization facilities. Protection of this information is required pursuant to Section 147 of the Atomic Energy Act of 1954, as amended.

(i) Security Clearance. The contractor may not permit any individual to have access to Restricted Data, Formerly Restricted Data, or other classified information, except in accordance with the Atomic Energy Act of 1954, as amended, and the Commission's regulations or requirements applicable to the particular type or category of classified information to which access is required. The contractor shall also execute a Standard Form 312, Classified Information Nondisclosure Agreement, when access to classified information is required.

(j) Criminal Liabilities. It is understood that disclosure of National Security Information, Restricted Data, and Formerly Restricted Data relating to the work or services ordered hereunder to any person not entitled to receive it, or failure to safeguard any Restricted Data, Formerly Restricted Data, or any other classified matter that may come to the contractor or any person under the contractor's control in connection with work under this contract, may subject the contractor, its agents, employees, or subcontractors to criminal liability under the laws of the United States. (See the Atomic Energy Act of 1954, as amended, 42 U.S.C. 2011 et seq.; 18 U.S.C. 793 and 794; and Executive Order 12958.)

(k) Subcontracts and Purchase Orders. Except as otherwise authorized in writing by the contracting officer, the contractor shall insert provisions similar to the foregoing in all subcontracts and purchase orders under this contract.

(I) In performing the contract work, the contractor shall classify all documents, material, and equipment originated or generated by the contractor in accordance with guidance issued by the Commission. Every subcontract and purchase order issued hereunder involving the origination or generation of classified documents, material, and equipment must provide that the subcontractor or supplier assign classification to all documents, material, and equipment in accordance with guidance furnished by the contractor.

H.10 BADGE REQUIREMENTS FOR UNESCORTED BUILDING ACCESS TO NRC Facilities (FEB 2004)

During the life of this contract, the rights of ingress and egress for contractor personnel must be made available, as required, provided that the individual has been approved for unescorted access after a favorable adjudication from the Security Branch, Division of Facilities and Security (SB/DFS). In this regard, all contractor personnel whose duties under this contract require their presence on site shall be clearly identifiable by a distinctive badge furnished by the NRC. The Project Officer shall assist the contractor in obtaining badges for the contractor personnel. It is the sole responsibility of the contractor to ensure that each employee has a proper NRC-issued identification/badge at all times. All photo-identification badges must be immediately (no later than three days) delivered to SB/DFS for cancellation or disposition upon the termination of employment of any contractor personnel. Contractor personnel must display any NRC issued badge in clear view at all times during on site performance under this contract. It is the contractor's duty to assure that contractor personnel enter only those work areas necessary for performance of contract work, and to assure the protection of any Government records or data that contractor personnel may come into contact with.

H.11 SECURITY REQUIREMENTS FOR INFORMATION TECHNOLOGY ACCESS APPROVAL (FEB 2004)

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The proposer/contractor must identify all individuals and propose the level of Information Technology (IT) approval for each, using the following guidance. The NRC sponsoring office shall make the final determination of the level, if any, of IT approval required for all individuals working under this contract.

The Government shall have and exercise full and complete control over granting, denying, withholding, or terminating building access approvals for individuals performing work under this contract.

SECURITY REQUIREMENTS FOR LEVEL I

Performance under this contract will involve prime contractor personnel, subcontractors or others who perform services requiring direct access to or operate agency sensitive information technology systems or data (IT Level I).

The IT Level I involves responsibility for the planning, direction, and implementation of a computer security program; major responsibility for the direction, planning, and design of a computer system, including hardware and software; or the capability to access a computer system during its operation or maintenance in such a way that could cause or that has a relatively high risk of causing grave damage; or the capability to realize a significant personal gain from computer access. Such contractor personnel shall be subject to the NRC contractor personnel security requirements of NRC Management Directive (MD) 12.3, Part I and will require a favorably adjudicated Limited Background Investigation (LBI).

A contractor employee shall not have access to sensitive information technology systems or data until he/she is approved by Security Branch, Division of Facilities and Security (SB/DFS). Temporary access may be approved based on a favorable adjudication of their security forms and checks. Final access will be approved based on a favorably adjudicated LBI in accordance with the procedures found in NRC MD 12.3, Part I. However, temporary access authorization approval will be revoked and the employee may subsequently be removed from the contract in the event the employee's investigation cannot be favorably adjudicated. Such employee will not be authorized to work under any NRC contract without the approval of SB/DFS. Timely receipt of properly completed security applications is a contract requirement. Failure of the contractor to comply with this condition within the ten work.day period may be a basis to void the notice of selection. In that event, the Government may select another firm for award. When an individual receives final access, the individual will be subject to a reinvestigation every 10 years.

The contractor shall submit a completed security forms packet, including the SF-86, "Questionnaire for National Security Positions," and fingerprint charts, through the Project Officer to SB/ DFS for review and favorable adjudication, prior to the individual performing work under this contract. The contractor shall assure that all forms are accurate, complete, and legible (except for Part 2 of the questionnaire, which is required to be completed in private and submitted by the individual to the contractor in a sealed envelope), as set forth in MD 12.3 which is incorporated into this contract by reference as though fully set forth herein. Based on SB review of the applicant's security forms and/or the receipt of adverse information by NRC, the individual may be denied access to NRC facilities, sensitive information technology systems or data until a final determination is made of his/her eligibility under the provisions of MD 12.3. Any questions regarding the individual's eligibility for IT Level I approval will be resolved in accordance with the due process procedures set forth in MD 12.3 and E. O. 12968.

In accordance with NRCAR 2052.204.70 "Security," IT Level I contractors shall be subject to the attached NRC Form 187 (See Section J for List of Attachments) which furnishes the basis for providing security requirements to prime contractors, subcontractors or others (e.g., bidders) who have or may have an NRC contractual relationship which requires access to or operation of agency sensitive information technology systems or remote development and/or analysis of sensitive information technology systems or data or other access to such systems and data; access on a continuing basis (in excess of 30 days) to NRC Headquarters controlled buildings; or otherwise requires issuance of an NRC badge.

SECURITY REQUIREMENTS FOR LEVEL II

Performance under this contract will involve contractor personnel that develop and/or analyze sensitive information technology systems or data or otherwise have access to such systems or data (IT Level II).

The IT Level II involves responsibility for the planning, design, operation, or maintenance of a computer system and all other computer or IT positions. Such contractor personnel shall be subject to the NRC contractor personnel requirements of MD 12.3, Part I, which is hereby incorporated by reference and made a part of this contract as though fully set forth herein, and will require a favorably adjudicated Access National Agency Check with Inquiries (ANACI).

A contractor employee shall not have access to sensitive information technology systems or data until he/she is approved by SB/DFS. Temporary access may be approved based on a favorable review of their security forms and checks. Final access will be approved based on a favorably adjudicated ANACI in accordance with the procedures found in MD 12.3, Part I. However, temporary access authorization approval will be revoked and the employee may subsequently be removed from the contract in the event the employee's investigation cannot be favorably adjudicated. Such employee will not be authorized to work under any NRC contract without the approval of SB/DFS. Timely receipt of properly completed security applications is a contract requirement. Failure of the contractor to comply with this condition within the ten work.day period may be a basis to void the notice of selection. In that event, the Government may select another firm for award. When an individual receives final access, the individual will be subject to a reinvestigation every 10 years.

The contractor shall submit a completed security forms packet, including the SF-86, "Questionnaire for National Security Positions," and fingerprint charts, through the Project Officer to the NRC SB/DFS for review and favorable adjudication, prior to the individual performing work under this contract. The contractor shall assure that all forms are accurate, complete, and legible (except for Part 2 of the questionnaire, which is required to be completed in private and submitted by the individual to the contractor in a sealed envelope), as set forth in MD 12.3. Based on SB review of the applicant's security forms and/or the receipt of adverse information by NRC, the individual may be denied access to NRC facilities, sensitive information technology systems or data until a final determination is made of his/her eligibility under the provisions of MD 12.3. Any questions regarding the individual's eligibility for IT Level II approval will be resolved in accordance with the due process procedures set forth in MD 12.3 and E.O. 12968.

In accordance with NRCAR 2052.204.70 "Security," IT Level II contractors shall be subject to the attached NRC Form 187 (See Section J for List of Attachments) which furnishes the basis for providing security requirements to prime contractors, subcontractors or others (e.g. bidders) who have or may have an NRC contractual relationship which requires access to or operation of agency sensitive information technology systems or remote development and/or analysis of sensitive information technology systems or data or other access to such systems or data; access on a continuing basis (in excess of 30 days) to NRC Headquarters controlled buildings; or otherwise requires issuance of an NRC badge.

CANCELLATION OR TERMINATION OF IT ACCESS/REQUEST

When a request for investigation is to be withdrawn or canceled, the contractor shall immediately notify the Project Officer by telephone in order that he/she will immediately contact the SB/DFS so that the investigation may be promptly discontinued. The notification shall contain the full name of the individual, and the date of the request. Telephone notifications must be promptly confirmed in writing to the Project Officer who will forward the confirmation via email to the SB/DFS. Additionally, SB/DFS must be immediately notified when an individual no longer requires access to NRC sensitive automated information technology systems or data, including the voluntary or involuntary separation of employment of an individual who has been approved for or is being processed for access under the NRC "Personnel Security Program."

H.12 NRC INFORMATION TECHNOLOGY SECURITY TRAINING (AUG 2003)

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NRC contractors shall ensure that their employees, consultants, and subcontractors with access to the ragency's information technology (IT) equipment and/or IT services complete NRC's online initial and refresher IT security training requirements to ensure that their knowledge of IT threats, vulnerabilities, and associated countermeasures remains current. Both the initial and refresher IT security training courses generally last an hour or less and can be taken during the employee's regularly scheduled work day.

Contractor employees, consultants, and subcontractors shall complete the NRC's online, "Computer Security Awareness" course on the same day that they receive access to the agency's IT equipment and/or services, as their first action using the equipment/service. For those contractor employees, consultants, and subcontractors who are already working under this contract, the on-line training must be completed in accordance with agency Network Announcements issued throughout the year 2003 within three weeks of issuance of this modification.

Contractor employees, consultants, and subcontractors who have been granted access to NRC information technology equipment and/or IT services must continue to take IT security refresher training offered online by the NRC throughout the term of the contract. Contractor employees will receive notice of NRC's online IT security refresher training requirements through agency-wide notices.

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The NRC reserves the right to deny or withdraw Contractor use or access to NRC IT equipment and/or services, and/or take other appropriate contract administrative actions (e.g., disallow costs, terminate for cause) should the Contractor violate the Contractor's responsibility under this clause.

PART II - CONTRACT CLAUSES

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SECTION I - CONTRACT CLAUSES

I.1 NOTICE LISTING CONTRACT CLAUSES INCORPORATED BY REFERENCE

The following contract clauses pertinent to this section are hereby incorporated by reference (by Citation Number, Title, and Date) in accordance with the clause at FAR "52.252-2 CLAUSES INCORPORATED BY REFERENCE" in Section I of this contract. See FAR 52.252-2 for an internet address (if specified) for electronic access to the full text of a clause.

| NUMBER | TITLE FEDERAL ACQUISITION REGULATION (48 CFR Cha | DATE |
|-----------|---|------------|
| 52.202-1 | DEFINITIONS | JUL 2004 |
| 52.203-3 | GRATUITIES | APR 1984 |
| 52.203-5 | COVENANT AGAINST CONTINGENT FEES | APR 1984 |
| 52.203-6 | RESTRICTIONS ON SUBCONTRACTOR SALES TO | JUL 1995 |
| | THE GOVERNMENT | |
| 52.203-7 | ANTI-KICKBACK PROCEDURES | JUL 1995 |
| 52.203-8 | CANCELLATION, RESCISSION, AND RECOVERY | JAN 1997 |
| | OF FUNDS FOR ILLEGAL OR IMPROPER | |
| | ACTIVITY | |
| 52.203-10 | PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR | JAN 1997 |
| | IMPROPER ACTIVITY | |
| 52.203-12 | LIMITATION ON PAYMENTS TO INFLUENCE | JUN 2003 |
| | CERTAIN FEDERAL TRANSACTIONS | |
| 52.204-2 | SECURITY REQUIREMENTS | AUG 1996 |
| 52.204-4 | PRINTED OR COPIED DOUBLE-SIDED | AUG 2000 |
| | ON RECYCLED PAPER | 0.07.0000 |
| 52.204-7 | CENTRAL CONTRACTOR REGISTRATION (OCT 2003) | OCT 2003 |
| 52.209-6 | PROTECTING THE GOVERNMENT'S INTEREST | JAN 2005 |
| | WHEN SUBCONTRACTING WITH CONTRACTORS | |
| | DEBARRED, SUSPENDED, OR PROPOSED FOR | |
| | DEBARMENT | |
| 52.215-2 | AUDIT AND RECORDSNEGOTIATION | JUN 1999 |
| 52.215-8 | ORDER OF PRECEDENCEUNIFORM CONTRACT | OCT 1997 |
| | FORMAT | |
| 52.215-10 | PRICE REDUCTION FOR DEFECTIVE COST OR | OCT 1997 |
| | PRICING DATA | |
| 52.215-12 | SUBCONTRACTOR COST OR PRICING DATA | OCT 1997 |
| 52.215-14 | INTEGRITY OF UNIT PRICES | OCT 1997 |
| 52.215-15 | PENSION ADJUSTMENTS AND ASSET REVERSION | S OCT 2004 |
| | (JAN 2004) | |
| 52.215-18 | REVERSION OR ADJUSTMENT OF PLANS FOR | OCT 1997 |
| | POSTRETIREMENT BENEFITS OTHER THAN | |
| | PENSIONS (PRB) | |

| | 52.215-19 | NOTIFICATION OF OWNERSHIP CHANGES | OCT 1997 |
|---|-----------|---|-----------|
| | 52.216-7 | ALLOWABLE COST AND PAYMENT | DEC 2002 |
| ÷ | 52.216-8 | FIXED-FEE | MAR 1997 |
| , | 52.219-6 | NOTICE OF TOTAL SMALL BUSINESS SET-ASIDE | JUN 2003 |
| | | | |
| | 52.219-8 | UTILIZATION OF SMALL BUSINESS CONCERNS | MAY 2004 |
| | 52.222-3 | | JUN 2003 |
| | 52.222-21 | PROHIBITION OF SEGREGATED FACILITIES | FEB 1999 |
| | 52.222-26 | | APR 2002 |
| | 52.222-35 | EQUAL OPPORTUNITY FOR SPECIAL DISABLED | DEC 2001 |
| | | VETERANS, OF THE VIETNAM ERA, AND OTHER | |
| | | ELIGIBLE VETERANS | |
| | 52.222-36 | AFFIRMATIVE ACTION FOR WORKERS WITH | JUN 1998 |
| | | DISABILITIES | • |
| | 52.222-37 | EMPLOYMENT REPORTS ON SPECIAL DISABLED | DEC 2001 |
| | | VETERANS, VETERANS OF THE VIETNAM ERA, | |
| | | AND OTHER ELIGIBLE VETERANS | |
| | 52.223-6 | DRUG-FREE WORKPLACE | MAY 2001 |
| | 52.225-13 | RESTRICTIONS ON CERTAIN FOREIGN | MAR 2005 |
| | | PURCHASES | |
| | 52.227-1 | AUTHORIZATION AND CONSENT | JUL 1995 |
| | 52.227-2 | NOTICE AND ASSISTANCE REGARDING PATENT | AUG 1996 |
| | | AND COPYRIGHT INFRINGEMENT | |
| | 52.228-7 | INSURANCELIABILITY TO THIRD PERSONS | MAR 1996 |
| | 52.232-17 | INTEREST | JUN 1996 |
| | 52.232-18 | AVAILABILITY OF FUNDS | APR 1984 |
| | 52.232-22 | LIMITATION OF FUNDS | APR 1984 |
| | 52.232-23 | ASSIGNMENT OF CLAIMS | JAN 1986 |
| | 52.232-33 | PAYMENT BY ELECTRONIC FUNDSCENTRAL | OCT 2003 |
| | | CONTRACTOR REGISTRATION | |
| | 52.233-3 | PROTEST AFTER AWARD | AUG 1996 |
| | | ALTERNATE I (JUN 1985) | 007 000 4 |
| | 52.233-4 | Applicable Law for Breach of Contract | OCT 2004 |
| | 52.242-1 | Claim NOTICE OF INTENT TO DISALLOW COSTS | |
| | | | APR 1984 |
| | 52.242-3 | PENALTIES FOR UNALLOWABLE COSTS BANKRUPTCY | MAY 2001 |
| | 52.242-13 | CHANGESCOST REIMBURSEMENT | JUL 1995 |
| | 52.243-2 | ALTERNATE I (APR 1984) | AUG 1987 |
| | 52.244-2 | SUBCONTRACTS | MAR 2005 |
| | J2.244-2 | ALTERNATE II (MAR 2005) | MAN 2005 |
| | 52.244-5 | COMPETITION IN SUBCONTRACTING | DEC 1996 |
| | 52.244-6 | SUBCONTRACTS FOR COMMERCIAL ITEMS | DEC 2004 |
| | | AND COMMERCIAL COMPONENTS | |
| | 52.246-23 | LIMITATION OF LIABILITY | FEB 1997 |
| | 52.246-25 | LIMITATION OF LIABILITYSERVICES | FEB 1997 |
| | 52.248-1 | VALUE ENGINEERING | FEB 2000 |
| | 52.249-6 | TERMINATION (COST-REIMBURSEMENT) | MAY 2004 |
| | | (MAY 2004) | |
| | 52.249-14 | EXCUSABLE DELAYS | APR 1984 |
| | 52.253-1 | COMPUTER GENERATED FORMS | JAN 1991 |
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SECTION

I.2 52.217-9 OPTION TO EXTEND THE TERM OF THE CONTRACT (MAR 2000)

(a) The Government may extend the term of this contract by written notice to the Contractor within 30 days; provided that the Government gives the Contractor a preliminary written notice of its intent to extend at least 60 days days before the contract expires. The preliminary notice does not commit the Government to an extension.

(b) If the Government exercises this option, the extended contract shall be considered to include this option clause.

(c) The total duration of this contract, including the exercise of any options under this clause, shall not exceed 5 years.

I.3 52.232-25 PROMPT PAYMENT (OCT 2003)

Notwithstanding any other payment clause in this contract, the Government will make invoice payments under the terms and conditions specified in this clause. The Government considers payment as being made on the day a check is dated or the date of an electronic funds transfer (EFT). Definitions of pertinent terms are set forth in sections 2.101, 32.001, and 32.902 of the Federal Acquisition Regulation. All days referred to in this clause are calendar days, unless otherwise specified. (However, see paragraph (a)(4) of this clause concerning payments due on Saturdays, Sundays, and legal holidays.)

(a) Invoice payments--

(1) Due date.

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(i) Except as indicated in paragraphs (a)(2) and (c) of this clause, the due date for making invoice payments by the designated payment office is the later of the following two events:

(A) The 30th day after the designated billing office receives a proper invoice from the Contractor (except as provided in paragraph (a)(1)(ii) of this clause).

(B) The 30th day after Government acceptance of supplies delivered or services performed. For a final invoice, when the payment amount is subject to contract settlement actions, acceptance is deemed to occur on the effective date of the contract settlement.

(ii) If the designated billing office fails to annotate the invoice with the actual date of receipt at the time of receipt, the invoice payment due date is the 30th day after the date of the Contractor's invoice, provided the designated billing office receives a proper invoice and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.

(2) Certain food products and other payments.

(i) Due dates on Contractor invoices for meat, meat food products, or fish; perishable agricultural commodities; and dairy products, edible fats or oils, and food products prepared from edible fats or oils are--

(A) For meat or meat food products, as defined in section 2(a)(3) of the Packers and Stockyard Act of 1921 (7 US.C. 182(3)), and as further defined in Pub. L. 98-181, including any edible fresh or frozen poultry meat, any perishable poultry meat food product, fresh eggs, and any perishable egg product, as close as possible to, but not later than, the 7th day after product delivery.

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(B) For fresh or frozen fish, as defined in section 204(3) of the Fish and Seafood Promotion Act of 1986 (16 U.S.C. 4003(3)), as close as possible to, but not later than, the 7th day after product delivery.

(C) For perishable agricultural commodities, as defined in section 1(4) of the Perishable Agricultural Commodities Act of 1930 (7 U.S.C. 499a(4)), as close as possible to, but not later than, the 10th day after product delivery, unless another date is specified in the contract.

(D) For dairy products, as defined in section 111(e) of the Dairy Production Stabilization Act of 1983 (7 U.S.C. 4502(e)), edible fats or oils, and food products prepared from edible fats or oils, as close as possible to, but not later than, the 10th day after the date on which a proper invoice has been received. Liquid milk, cheese, certain processed cheese products, butter, yogurt, ice cream, mayonnaise, salad dressings, and other similar products, fall within this classification. Nothing in the Act limits this classification to refrigerated products. When questions arise regarding the proper classification of a specific product, prevailing industry practices will be followed in specifying a contract payment due date. The burden of proof that a classification of a specific product is, in fact, prevailing industry practice is upon the Contractor making the representation.

(ii) If the contract does not require submission of an invoice for payment (e.g., periodic lease payments), the due date will be as specified in the contract.

(3) Contractor's invoice. The Contractor shall prepare and submit invoices to the designated billing office specified in the contract. A proper invoice must include the items listed in paragraphs (a)(3)(i) through (a)(3)(x) of this clause. If the invoice does not comply with these requirements, the designated billing office will return it within 7 days after receipt (3 days for meat, meat food products, or fish; 5 days for perishable agricultural commodities, dairy products, edible fats or oils, and food products prepared from edible fats or oils), with the reasons why it is not a proper invoice. The Government will take into account untimely notification when computing any interest penalty owed the Contractor.

(i) Name and address of the Contractor.

(ii) Invoice date and invoice number. (The Contractor should date invoices as close as possible to the date of the mailing or transmission.)

(iii) Contract number or other authorization for supplies delivered or services performed (including order number and contract line item number).

(iv) Description, quantity, unit of measure, unit price, and extended price of supplies delivered or services performed.

(v) Shipping and payment terms (e.g., shipment number and date of shipment, discount for prompt payment terms). Bill of lading number and weight of shipment will be shown for shipments on Government bills of lading.

(vi) Name and address of Contractor official to whom payment is to be sent (must be the same as that in the contract or in a proper notice of assignment).

(vii) Name (where practicable), title, phone number, and mailing address of person to notify in the event of a defective invoice.

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(viii) Taxpayer Identification Number (TIN). The Confractor shall include its TIN on the invoice only if required elsewhere in this contract.

(ix) Electronic funds transfer (EFT) banking information.

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110-07-00-104

SECTIONI

(A) The Contractor shall include EFT banking information on the invoice only if required elsewhere in this contract.

(B) If EFT banking information is not required to be on the invoice, in order for the invoice to be a proper invoice, the Contractor shall have submitted correct EFT banking information in accordance with the applicable solicitation provision (e.g., 52.232-38, Submission of Electronic Funds Transfer Information with Offer), contract clause (e.g., 52.232-33, Payment by Electronic Funds Transfer--Central Contractor Registration, or 52.232-34, Payment by Electronic Funds Transfer--Other Than Central Contractor Registration), or applicable agency procedures.

(C) EFT banking information is not required if the Government waived the requirement to pay by EFT.

• (x) Any other information or documentation required by the contract (e.g., evidence of shipment).

(4) Interest penalty. The designated payment office will pay an interest penalty automatically, without request from the Contractor, if payment is not made by the due date and the conditions listed in paragraphs (a)(4)(i) through (a)(4)(iii) of this clause are met, if applicable. However, when the due date falls on a Saturday, Sunday, or legal holiday, the designated payment office may make payment on the following working day without incurring a late payment interest penalty.

(i) The designated billing office received a proper invoice.

(ii) The Government processed a receiving report or other Government documentation authorizing payment, and there was no disagreement over quantity, quality, or Contractor compliance with any contract term or condition.

(iii) In the case of a final invoice for any balance of funds due the Contractor for supplies delivered or services performed, the amount was not subject to further contract settlement actions between the Government and the Contractor.

(5) Computing penalty amount. The Government will compute the interest penalty in accordance with the Office of Management and Budget prompt payment regulations at 5 CFR part 1315.

(i) For the sole purpose of computing an interest penalty that might be due the Contractor, Government acceptance is deemed to occur constructively on the 7th day (unless otherwise specified in this contract) after the Contractor delivers the supplies or performs the services in accordance with the terms and conditions of the contract, unless there is a disagreement over quantity, quality, or Contractor compliance with a contract provision. If actual acceptance occurs within the constructive acceptance period, the Government will base the determination of an interest penalty on the actual date of acceptance. The constructive acceptance requirement does not, however, compel Government officials to accept supplies or services, perform contract administration functions, or make payment prior to fulfilling their responsibilities.

(ii) The prompt payment regulations at 5 CFR 1315.10(c) do not require the Government to pay interest penalties if payment delays are due to disagreement between the Government and the Contractor over the payment amount or other issues involving contract compliance, or on amounts temporarily withheld or retained in accordance with the terms of the contract. The Government and the Contractor shall resolve claims involving disputes and any interest that may be payable in accordance with the clause at FAR 52.233-1, Disputes.

(6) Discounts for prompt payment. The designated payment office will pay an interest penalty automatically, without request from the Contractor, if the Government takes a discount for prompt payment improperly. The Government will calculate the interest penalty in accordance with the prompt payment regulations at 5 CFR part 1315.

(7) Additional interest penalty.

(i) The designated payment office will pay a penalty amount, calculated in accordance with the prompt payment regulations at 5 CFR part 1315 in addition to the interest penalty amount only if--

(A) The Government owes an interest penalty of \$1 or more;

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(B) The designated payment office does not pay the interest penalty within 10 days after the date the invoice amount is paid; and

(C) The Contractor makes a written demand to the designated payment office for additional penalty payment, in accordance with paragraph (a)(7)(ii) of this clause, postmarked not later than 40 days after the invoice amount is paid.

(ii)(A) The Contractor shall support written demands for additional penalty payments with the following data. The Government will not request any additional data. The Contractor shall--

(1) Specifically assert that late payment interest is due under a specific invoice, and request payment of all overdue late payment interest penalty and such additional penalty as may be required;

(2) Attach a copy of the invoice on which the unpaid late payment interest is due; and

(3) State that payment of the principal has been received, including the date of receipt.

(B) If there is no postmark or the postmark is illegible--

(1) The designated payment office that receives the demand will annotate it with the date of receipt, provided the demand is received on or before the 40th day after payment was made; or

(2) If the designated payment office fails to make the required annotation, the Government will determine the demand's validity based on the date the Contractor has placed on the demand, provided such date is no later than the 40th day after payment was made.

(iii) The additional penalty does not apply to payments regulated by other Government regulations (e.g., payments under utility contracts subject to tariffs and regulation).

(b) Contract financing payment. If this contract provides for contract financing, the Government will make contract financing payments in accordance with the applicable contract financing clause.

(c) Fast payment procedure due dates. If this contract contains the clause at 52.213-1, Fast Payment Procedure, payments will be made within 15 days after the date of receipt of the invoice.

(d) Overpayments. If the Contractor becomes aware of a duplicate contract financing or invoice payment or that the Government has otherwise overpaid on a contract financing or invoice payment, the Contractor shall immediately notify the Contracting Officer and request instructions for disposition of the overpayment.

I.4 52.252-2 CLAUSES INCORPORATED BY REFERENCE (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at this/these address(es):

http://www.arnet.gov/far

http://www.nrc.gov/who-we-are/contracting/48cfr-ch20.html

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PART III - LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMENTS

SECTION J - LIST OF ATTACHMENTS

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| ATTACHMENT NUMBER | TITLE | | DATE | NO. PAGES |
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| [For this Contra | ct, there are NO at | tachments in this Sect | ion] | · . |
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