



UNITED STATES  
**NUCLEAR REGULATORY COMMISSION**  
REGION I  
475 ALLENDALE ROAD  
KING OF PRUSSIA, PENNSYLVANIA 19406-1415

June 29, 2005

Docket No. 04000791  
Control No. 137147

License No. SMB-151

Kevin Doyle  
Vice President, Environment, Health and Safety  
United Technologies Corporation  
Pratt & Whitney  
400 Main Street  
Mail Stop 124-26  
East Hartford, CT 06108

SUBJECT: UNITED TECHNOLOGIES CORPORATION, REQUEST FOR ADDITIONAL  
INFORMATION CONCERNING FINANCIAL ASSURANCE DOCUMENTS,  
CONTROL NO. 137147

Dear Mr. Doyle:

This is in reference to your letter dated June 2, 2005 providing a revised financial assurance surety bond for decommissioning for Nuclear Regulatory Commission License No. SMB-151. In order to continue our review, we need the following additional information:

1. The original Standby Trust Agreement dated March 12, 1993 states that the Trustee for this agreement is LaSalle National Trust, N.A. To determine if LaSalle National Trust, N.A., still qualifies as an acceptable trustee, the Federal Financial Institutions Examination Council's (FFIEC) Trusts Institutions Search database at the following link: <http://www2.fdic.gov/structur/trust/index.html> was accessed. LaSalle National Trust, N.A. was not in the database and therefore does not qualify as an acceptable trustee. However, if the Trustee's name has changed and they are listed in this database under their new name, please amend the Standby Trust Agreement to identify the correct named Trustee. If there was no name change and LaSalle National Trust, N.A. is not an acceptable trustee, then a new Standby Trust Agreement will have to be established with a financial institution qualified as an acceptable trustee.
2. Schedule A of your Standby Trust Agreement lists eleven facilities. Your current NRC license lists two locations. Please submit a revised Schedule A listing those locations where licensed activities are authorized. There are examples of model Standby Trust Agreement Schedules in Appendix A on page A-165 in NUREG-1757, Volume 3, "Consolidated NMSS Decommissioning Guidance Financial Assurance, Recordkeeping and Timeliness". You may find this document at the following link: <http://www.nrc.gov/reading-rm/doc-collections/nuregs/staff/sr1757/v3/index.html>.
3. Your current Schedule B simply states "none". This schedule should list the "Dollar Amount" of the Financial Assurance required by your license. In the "As Evidenced By" line, state the type of Financial Assurance instrument you will use to provide Financial Assurance, such as a Surety Bond.

K. Doyle  
United Technologies Corporation

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4. Your current Schedule C states the annual fee is \$2,000.00. This schedule specifies the compensation to be paid by the licensee to the trustee for its services. Please confirm that this is still the amount of the annual fee or, if the amount of this fee has changed since 1993, please submit a revised Schedule C.
5. Your current notarized letter of acknowledgment dated April 5, 1993, states the trustee is LaSalle National Trust, N.A. As in item 1. above, LaSalle National Trust, N.A. is not listed in the database that identifies acceptable trustees. Please submit a new notarized letter of acknowledgment with the correct name of an acceptable trustee. An example of a letter of acknowledgment is on page A-168 of NUREG-1757, Volume 3.
6. The company issuing your new surety bond is Westchester Fire Insurance Company (WFIC), incorporated in New York. The address for WFIC on the surety bond is listed as 140 Broadway, New York, NY 10005. To determine if a company issuing the surety bond is qualified the U.S. Department of the Treasury's *Circular 570* was accessed at: <http://www.fms.treas.gov/c570/c570.html>. The business address for WFIC on the listing of certified surety companies is given as, 1601 Chestnut Street, PO Box 41484, Philadelphia, PA 19101-1484. Please confirm which address is correct.

Current NRC regulations and guidance are available at the NRC web site at <http://www.nrc.gov/materials/miau/mat-toolkits.html> and <http://www.nrc.gov/who-we-are/governing-laws.html> or by contacting the Government Printing Office (GPO) toll-free at 1-888-293-6498. The GPO is open from 7:00 a.m. to 9:00 p.m. EST, Monday through Friday (except Federal holidays).

We will continue our review upon receipt of this information. Please reply to my attention at the Region I Office and refer to Mail Control No. 137147. If you have any technical questions regarding this deficiency letter, please call me at (610) 337-5236.

Sincerely,

***Original signed by John Nicholson***

John Nicholson  
Health Physicist  
Commercial and R&D Branch  
Division of Nuclear Materials Safety

cc:  
Carlos J. Rivera, Radiation Safety Officer

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