

VARIOUS CHECKLISTS

FOR THE QUAD CITIES INITIAL EXAMINATION - MAY 2005

Facility: <u>Quad Cities</u>		Date of Examination: <u>05/02 - 05/09/2005</u>
Examinations Developed by: <input checked="" type="checkbox"/> Facility / <input type="checkbox"/> NRC (circle one)		
Target Date*	Task Description (Reference)	Chief Examiner's Initials
-180	1. Examination administration date confirmed (C.1.a; C.2.a and b)	NAV
-120	2. NRC examiners and facility contact assigned (C.1.d; C.2.e)	NAV
-120	3. Facility contact briefed on security and other requirements (C.2.c)	NAV
-120	4. Corporate notification letter sent (C.2.d)	NAV
[-90]	[5. Reference material due (C.1.e; C.3.c; Attachment 2)]	N/A
{-75}	6. Integrated examination outline(s) due, including Forms ES-201-2, ES-201-3, ES-301-1, ES-301-2, ES-301-5, ES-D-1's, ES-401-1/2, ES-401-3, and ES-401-4, as applicable (C.1.e and f; C.3.d)	NAV
{-70}	[7. Examination outline(s) reviewed by NRC and feedback provided to facility licensee (C.2.h; C.3.e)]	NAV
{-45}	8. Proposed examinations (including written, walk-through JPMs, and scenarios, as applicable), supporting documentation (including Forms ES-301-3, ES-301-4, ES-301-5, ES-301-6, and ES-401-6), and reference materials due (C.1.e, f, g and h; C.3.d)	NAV
-30	9. Preliminary license applications (NRC Form 398's) due (C.1.i; C.2.g; ES-202)	NAV
-14	10. Final license applications due and Form ES-201-4 prepared (C.1.i; C.2.i; ES-202)	NAV
-14	11. Examination approved by NRC supervisor for facility licensee review (C.2.h; C.3.f)	NAV
-14	12. Examinations reviewed with facility licensee (C.1.j; C.2.f and h; C.3.g)	NAV
-7	13. Written examinations and operating tests approved by NRC supervisor (C.2.i; C.3.h)	NAV
-7	14. Final applications reviewed; 1 or 2 (if >10) applications audited to confirm qualifications / eligibility; and examination approval and waiver letters sent (C.2.i; Attachment 4; ES-202, C.2.e; ES-204)	NAV
-7	15. Proctoring/written exam administration guidelines reviewed with facility licensee (C.3.k)	NAV
-7	16. Approved scenarios, job performance measures, and questions distributed to NRC examiners (C.3.i)	NAV
<p>* Target dates are generally based on facility-prepared examinations and are keyed to the examination date identified in the corporate notification letter. They are for planning purposes and may be adjusted on a case-by-case basis in coordination with the facility licensee. [Applies only] {Does not apply} to examinations prepared by the NRC.</p>		

Quad Cities

1. Pre-Examination

1 of 2
3
5-6-05

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 5/2 to 5/9 2005 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 5/2 to 5/6 05 from the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. MARK Jenson	Instructor / Exam Author	Mark Jenson	11-22-04	Mark Jenson	5/6/05
2. MIKE KAUFMAN	INSTRUCTOR / EXAM AUTHOR	M Kaufman	11/23/04	M Kaufman	5/6/05
3. Steven Russell	OTPS / CASE OVERSIGHT	Steven Russell	11/29/04	Steven Russell	5/6/05
4. Patti Susan	Clerical	Patti Susan	12-7-04	Patti Susan	5/6/05 ①
5. Dave Bowman	Facility Representative	Dave Bowman	12/31/04	Dave Bowman	5/6/05
6. William Hemm	IT / HARDWARE	William Hemm	12-14/04	William Hemm	5/7/05
7. RAYMOND BAKER	IT / SA	Raymond Baker	12-14-04	Raymond Baker	5/10/05
8. Tony Kuobel	Senior Ops Analyst / Ops Dept. Pres. Coord.	Tony Kuobel	01-21-05	Tony Kuobel	5-10-05
9. MIKE JONES	IT SUPPORT	Mike Jones	1-21-05	Mike Jones	5-11-05
10. MARK PLUMBORRE	ENGINEERING	Mark Plumborre	1-21-05	Mark Plumborre	5-10-05
11. Richard E. Tyler	Instructor / Exam Development	Richard E. Tyler	1-27-05	Richard E. Tyler	5-11-05
12. ANTHONY P. HAYS	Reg. Assurance	Anthony P. Hays	1-28-05	Anthony P. Hays	5-16-05
13. GREG R POWELL	Regulatory Protection	Greg Powell	1-28-05	Greg Powell	5/16/05
14. MICHAEL A. ROOD	WORK CONTROL	Michael A. Rood	1/28/05	Michael A. Rood	5/10/05
15. DEAN DECARINO	MANIT PLANNING	Dean Decarino	1-28-05	Dean Decarino	5/10/05

NOTES: ① Signature Faxed 5-15-05 see next page

Dual Cities

ES-201

Examination Security Agreement

Form ES-201-3

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5-20

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 5/2-5/9 2005 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 5/6-5/6 from the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. Mark Jensen	Instructor / Exam Author	Mark Jensen	11-20-04	Mark Jensen	5/6/05
2. MIKE KAUFMAN	INSTRUCTOR / EXAM AUTHOR	M Kaufman	11/23/04	Mike Kaufman	5/6/05
3. Susan Russell	OTPS / Core Oversight	Susan Russell	11/29/04	Susan Russell	5/6/05
4. Patti Susan	Clerical	Patti Susan	12/17/04	Patti Susan	5/15/05
5. Dave Bowman	Facility Representative	Dave Bowman	12/3/04	Dave Bowman	5/6/05
6. William Henry	IT / HARDWARE	William Henry	12-14/04	William Henry	
7. Raymond Baker	IT / SA	Raymond Baker	12-14-04	Raymond Baker	5/6/05
8. Tony Kumbel	Senior Ops Analyst / Ops Dept Proj Lead	Tony Kumbel	01-21-05	Tony Kumbel	
9. Mike Jones	IT SUPPORT	Mike Jones	1-21-05	Mike Jones	
10. MARK PLYNDRE	ENGINEERING	Mark Plyndre	1-21-05	Mark Plyndre	
11. Richard E. Tyler	Instructor / Exam Development	Richard E. Tyler	1-27-05	Richard E. Tyler	
12. HAYMOUL PULIS	Reg Assurance	Haymoul Pulis	1-28-05	Haymoul Pulis	
13. Grey R Powell	Regulation Protection	Grey R Powell	1-28-05	Grey R Powell	
14. MICHAEL A. KODIS	WORK CONTROL	Michael A. Kodis	1/28/5	Michael A. Kodis	
15. DEALS DECARINO	MARKET ANALYSIS	Deals Decarino	1-28-05	Deals Decarino	

NOTES:

Quad Cities

1. Pre-Examination

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I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 5/2-5/4, 2005 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 5/20-5/24/05 from the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

	PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1.	Guenn Jamison	OSS / Clerical	<i>Guenn Jamison</i>	5/10/05	<i>Guenn Jamison</i>	5/10/05	
2.	Harold A. Coors	NSO	<i>H. Coors</i>	5/10/05	<i>Harold Coors</i>	5/10/05	
3.	Kevin Holte	SRO	<i>K. Holte</i>	2/24/05	<i>K. Holte</i>	5/10/05	
4.	Jason Kruse	NSO	<i>J. Kruse</i>	3/23/05	<i>J. Kruse</i>	5/10/05	
5.	Brian Wake	SRO	<i>Brian Wake</i>	2-25-05	<i>Brian Wake</i>	5/10/05	
6.	Terry Goddard	SRO	<i>Terry Goddard</i>	3/18/05	<i>Terry Goddard</i>	5/10/05	
7.	Joseph M. Scott	SRO	<i>J. Scott</i>	3/23/05	<i>J. Scott</i>	5/10/05	
8.	Dan Wharton	NSO	<i>D. Wharton</i>	3/8/05	<i>D. Wharton</i>	5/23/05	
9.	Rob Whitmore	NSO	<i>R. Whitmore</i>	3/8/05	<i>R. Whitmore</i>	5/10/05	
10.	Alex L. Misak	Reactor Eng. Manager	<i>Alex L. Misak</i>	3/11/05	<i>Alex L. Misak</i>	5/10/05	
11.	Ronald K. Ruzick	STA	<i>Ronald K. Ruzick</i>	3-15-05	<i>Ronald K. Ruzick</i>	5-11-06	
12.	Danny Dagher	SIMULATOR SUPERVISOR	<i>Danny Dagher</i>	4/11/05	<i>Danny Dagher</i>	5/9/05	
13.	Bryan Ayde	NSO	<i>Bryan Ayde</i>	4/12/05	<i>Bryan Ayde</i>	5-10-05	
14.	Jeffrey Kopacz	SRO	<i>Jeffrey Kopacz</i>	4/12/05	<i>Jeffrey Kopacz</i>	5-10-05	
15.	Guillermo Rojas	NSO	<i>Guillermo Rojas</i>	4/12/05	<i>Guillermo Rojas</i>	5/10/05	

NOTES:

Quad Cities

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5-6-05

1. Pre-Examination

I acknowledge that I have acquired specialized knowledge about the NRC licensing examinations scheduled for the week(s) of 5/2-5/7, 2005 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC chief examiner any indications or suggestions that examination security may have been compromised.

2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 5/6-5/6/05 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE / RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE NOTE
1. Richard Gaylord	Training Specialist	<i>[Signature]</i>	5-2-05	<i>[Signature]</i>	5-11-05
2. Jon S. Branstetter	Ops Mgr	<i>[Signature]</i>	5/4/05	<i>[Signature]</i>	5/6/05
3. Daniel Snook	Training Instructor	<i>[Signature]</i>	5-3-05	<i>[Signature]</i>	5-10-05
4. MICHAEL GRAHAM	TRAINING INSTRUCTOR	<i>[Signature]</i>	5-3-05	<i>[Signature]</i>	5-10-05
5. Ron Markham	Instructor	<i>[Signature]</i>	5-4-05	<i>[Signature]</i>	5-6-05
6. Anthony M. Scott	Shift Operations Supt.	<i>[Signature]</i>	5-4-05	<i>[Signature]</i>	5/11/05
7.					
8.					
9.					
10.					
11.					
12.					
13.					
14.					
15.					

NOTES:

Tier/ Group	Randomly Selected K/A	Reason for Rejection
1/1	295019.AA1	Insufficient diversity of KA's to avoid duplication from the Audit Exam.
2/1	223002.K1.23	Importance < 2.5
2/1	300000.A3.01	Insufficient diversity of KA's to avoid duplication from the Audit Exam.
2/1	262002.K5	All K/A's importance < 2.5
2/1	264000.K6.06	EDG's do not utilize battery chargers.
2/2	219000.K1.02	Importance < 2.5
2/2	234000.2.4.6	No EOP mitigation strategies apply
2/2	234000.2.4.4	No EOP entry conditions apply
2/2	234000.2.4.30	Importance < 2.5
2/2	290001.K5	.01 Not applicable, .02 importance < 2.5
3	2.2.31	Importance < 2.5
3	2.2.10	Importance < 2.5
3	2.2.18	Importance < 2.5
3	2.3.6	Importance < 2.5
3	2.3.7	Importance < 2.5
3	2.3.3	Importance < 2.5
3	2.2.30	No 10CFR 55.43 link
3	2.2.2	No 10CFR 55.43 link
3	2.1.11	Duplication from RO exam.
3	2.1.16	No 10CFR 55.43 link
S 1/1	295018	No SRO specific A2 or G Objectives
S 1/1	295031.2.1.02	No 55.43 relationship. Related with 295031.2.1.14
S 1/2	295002.2.1.28	Overlap with Operating Test (simulator) Randomly selected 295002.2.4.6
S 2/1	290001.A2.10	No 55.43 relationship. Randomly selected 209001.A2.05
S 2/1	261000.2.1.14	No 55.43 relationship. Randomly selected 261002 2.1.33
S 2/1	263000.2.1.32	Too close to 295003.2.4.04 Randomly selected 264000.2.4.30

Facility: <u>Quad Cities</u>		Date of Exam: <u>05/06/05</u>		Exam Level: <u>RO/SRO</u>	
Item Description	Initials				
	a	b	c ⁺		
1. Clean answer sheets copied before grading	J	IL	P ₂₀		
2. Answer key changes and question deletions justified and documented	J N/A	IL ^K N/A	P ₂₀ N/A ₂₀		
3. Applicants' scores checked for addition errors (reviewers spot check >25% of examinations)	J	IL	P ₂₀		
4. Grading for all borderline cases (80% ± 2% overall and 70 or 80, as applicable, ± 4% on the SRO-only) reviewed in detail	J N/A	IL N/A	P ₂₀ N/A		
5. All other failing examinations checked to ensure that grades are justified	J N/A	IL N/A	P ₂₀ N/A		
6. Performance on missed questions checked for training deficiencies and wording problems; evaluate validity of questions missed by half or more of the applicants	J	IL	P ₂₀		
Printed Name / Signature		Date			
a. Author	Mark Jensen / <i>Mark Jensen</i>	05/11/05			
b. Facility Reviewer(*)	Jed Ferdinand / <i>Jed Ferdinand</i>	05/11/05			
c. NRC Chief Examiner(*)	Nicholas A. Galas / <i>Nicholas A. Galas</i>	05/13/05			
d. NRC Supervisor(*)	Hironori Peterson / <i>Hironori Peterson</i>	5/19/05			
(*) The facility reviewer's signature is not applicable for examinations graded by the NRC; two independent NRC reviews are required.					

+ Performed independent NRC review (in addition to Chief Examiner review)