

Office of the Senior Vice President
for Administration and Finance

'04 NOV 29 P2:13

November 19, 2004

U.S. Nuclear Regulatory Commission
Region I
Nuclear Materials Safety Branch
475 Allendale Road
King of Prussia, PA 19406-1415

29-02957-13
03009926

Re: **Financial Assurance**

Gentlemen:

As Senior Vice President for Administration and Finance for the University of Medicine & Dentistry of New Jersey, I exercise express authority and responsibility to appropriate funding for decommissioning activities associated with operations authorized by U.S. Nuclear Regulatory Commission Material License No. 29-02957-13. This authority is established by University Policy Number 00-01-90-05:00. Within this authority, I intend to have funds made available when necessary in an amount of to \$1,125,000.00 to decommission all the facilities at University of Medicine and Dentistry of New Jersey-Newark campus. I intend to request and obtain these funds sufficiently in advance of decommissioning to prevent delay of required activities.

A copy of University Policy Number 00-01-90-05:00 is attached as evidence that I am authorized to represent the University of Medicine and Dentistry of New Jersey-Newark campus in this transaction, along with a signed Certificate of Financial Assurance.

Very truly yours,



Denise Mulkern
Senior Vice President for
Administration & Finance

DM:sm
Attachment

136050

NMSS/RGNI MATERIALS-002

To: U.S. Nuclear Regulatory Commission
Region I
Nuclear Materials Safety Branch
475 Allendale Road
King of Prussia, PA 19406-1415

STATEMENT OF INTENT

As Senior Vice President for Administration Finance, I exercise express authority and responsibility to appropriate funding for decommissioning activities associated with operations authorized by U.S. Nuclear Regulatory Commission Material License No. 29-02957-13. This authority is established by University Policy Number 00-01-90-05:00. Within this authority, I intend to have funds made available when necessary in an amount up to \$1,125,000.00 to decommission all the facilities at University of Medicine and Dentistry of New Jersey-Newark campus. I intend to request and obtain these funds sufficiently in advance of decommissioning to prevent delay of required activities.

A copy of University Policy Number 00-01-90-05:00 is attached as evidence that I am authorized to represent the University of Medicine and Dentistry of New Jersey-Newark campus in this transaction.

Date:

11/23/04



By: Denise Mulkern
Title: Senior Vice President for
Administration and Finance

NONNEGOTIABLE



UNIVERSITY POLICY

SUBJECT: LEGAL SERVICES **TITLE:** LEGAL COMMITMENT AUTHORITY
CODING: 00-01-90-05:00 **ADOPTED:** 07/01/87 **AMENDED:** 4/17/01

I. PURPOSE

To specify authority to sign agreements, contracts and other documents which legally obligate the University.

II. ACCOUNTABILITY

The President shall ensure compliance with this policy. The Deans and Vice Presidents shall implement this policy.

III. REFERENCES

- A. Materials Management Contracts: 00-01-55-02:00
- B. Affiliation Agreements: 00-01-90-10:00
- C. Contract Management: 00-01-90-40:00

IV. POLICY

Guidelines:

The Board of Trustees, pursuant to N.J.S.A. 18A:64G-6(1), has the power and duty to enter into contracts and agreements and has in the ordinary conduct of the general business of the University delegated to the President, Senior Vice President, Deans and Vice Presidents authority to sign agreements, contracts and other documents which legally obligate the University (see EXHIBIT). The Board of Trustees has approved the following delegations of its authority to commit the University to contracts and agreements:

1. Research grants and contracts and special programs contracts from governmental and private sources may be executed by the President, the Senior Vice President or the Vice President for Finance and Treasurer.
2. Agreements and contracts between an individual faculty member and the University may be signed by the appropriate Dean in accordance with the policies and by-laws approved by the Board of Trustees.
3. Institutional Core and Affiliation agreements may be signed by the President or Senior Vice President and the cognizant Dean and/or Vice President. Departmental affiliation agreements may be signed by the cognizant Dean and Senior Vice President. All affiliation agreements must be

drafted, approved and executed in accordance with University Policy #00-01-90-10:00, Affiliation Agreements.

4. Agreements for the procurement of architectural/engineering services, construction, and renovation services and the purchase or lease of real estate, and purchases resulting from the Waiver of Advertising, in excess of \$100,000.00 which have been recommended for approval to the Board of Trustees by the Finance Committee, may be signed by the President, Senior Vice President for Administration and Finance or the Vice President for Finance and Treasurer.
5. The Executive Director of Materials Management may execute contracts as defined in University policy 00-01-55-02:00, Materials Management Contracts. Materials Management Contracts include Purchasing Contracts as defined herein, Group Purchasing Organization (GPO) Agreements, State Purchase Bureau Contracts, Contracts resulting from Waiver of Advertising less than \$100,000.00, and Contracts for Goods and Services less than the bid threshold. Purchasing Contracts consist of the Request for Proposal, the Proposal of the Successful Bidder and the Contract Term Sheet for procurement of goods, equipment, professional and technical services by UMDNJ.
6. Collective Bargaining Agreements may be signed by the President or designee and the appropriate New Jersey state authorities.
7. The President or Senior Vice President or Vice President for Finance and Treasurer may sign an agreement when it is deemed to be in the best interests of the University to take immediate action. Such actions will be reported to the Finance Committee for the Committee's information.
8. All other agreements in the ordinary course of business may be signed by the President or Senior Vice President or Vice President for Finance and Treasurer.
9. Notwithstanding the delegation of authority to execute agreements set out in this policy, nothing herein shall be construed to supersede the Board of Trustees' authority to approve agreements when required by other policies or other operating procedures.

In any case noted above in which the Board of Trustees has delegated its authority to execute agreements to an officer or officers of the University, an officer, senior to the officer specifically named may execute the agreement e.g. President for Senior Vice President, Senior Vice President for Dean, Vice President, etc.

All programs of service, education and/or research obligating the University and/or any of its component units must be documented by a letter of understanding or contract, authorized by the appropriate University officers. Unless authorized directly or by delegation of authority from the President, no such program obligation may be implemented prior to satisfactory completion and approval of the appropriate legal document.

Consultation should be sought, during the early stages of contract development, with the appropriate University officers, to ensure that the business terms and conditions most favorable to the University are integrated into all agreements. See University Policy #00-01-90-40:00, Contract Management. The appropriate documents shall be submitted to the Vice President for Finance and Treasurer for review regarding financial implications.

All advice sought from the Attorney General's Office should be made either by the Vice President for Legal Management or designee. The application of this policy will be audited on an annual basis as part of the University's normal audit by an independent firm. Additionally, the Office of Corporate Compliance may conduct random audits of the application of this policy.

V. EXHIBIT

Resolution of the Board of Trustees of the University of Medicine and Dentistry of New Jersey.

President

EXHIBIT

**RESOLUTION OF THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF MEDICINE AND DENTISTRY OF NEW JERSEY**

WHEREAS, the University of Medicine and Dentistry of New Jersey has a mission of education, research, and service and,

WHEREAS, pursuant to N.J.S.A. 18A:64G-6(I), the Board of Trustees of the University has the power and duty to enter into contracts and agreements and has a need, in the ordinary conduct of the business of the University, to delegate its authority to enter into contracts and agreements to specific officers of the University and,

WHEREAS, in order to ensure that the day-to-day business of the University is carried out in a timely and efficient manner, the University has developed the attached Legal Commitment Authority Policy #00-01-90-05:00 which delegates the authority of the Board of Trustees to execute agreements, contracts and other legal obligations of the University,

WHEREAS, this policy has been presented to and approved by the Finance Committee, now therefore;

BE IT RESOLVED that the University of Medicine and Dentistry of New Jersey Board of Trustees upon recommendation of the Finance Committee accepts, approves and ratifies the Legal Commitment Authority Policy #00-01-90-05:00.

April 17, 2001

CERTIFICATE OF FINANCIAL ASSURANCE


PRINCIPAL: University of Medicine and Dentistry of New Jersey-
Newark Campus
Newark, NJ 07103-2757

NRC LICENSE NUMBER: 29-02957-13

ISSUED TO: U.S. Nuclear Regulatory Commission

This is to certify that the University of Medicine and Dentistry of New Jersey (UMDNJ), Newark campus, a New Jersey State University, is licensed to possess byproduct material, in amounts greater than 10^4 but less than or equal to 10^5 times the applicable quantities of Appendix B to part 30 in unsealed form, and the financial assurance in the amount of \$1,125,000.00 as indicated in 10 CFR Part 30, will be obtained in the event of decommission.

Date: 11/23/04


By: Denise Mulkern
Title: Senior Vice President for
Administration and Finance

CERTIFICATE OF FINANCIAL ASSURANCE

PRINCIPAL: University of Medicine and Dentistry of New Jersey-
Newark Campus
Newark, NJ 07103-2757

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Date: 11/23/04

Denise Mulkern
By: Denise Mulkern
Title: Senior Vice President for
Administration and Finance

This is to acknowledge the receipt of your letter/application dated

11/19/2004, and to inform you that the initial processing which includes an administrative review has been performed.

FINANCIAL ASSURANCE 29-02957-13
There were no administrative omissions. Your application was assigned to a technical reviewer. Please note that the technical review may identify additional omissions or require additional information.

Please provide to this office within 30 days of your receipt of this card

A copy of your action has been forwarded to our License Fee & Accounts Receivable Branch, who will contact you separately if there is a fee issue involved.

Your action has been assigned **Mail Control Number** 136050.
When calling to inquire about this action, please refer to this control number.
You may call us on (610) 337-5398, or 337-5260.

BETWEEN: : (FOR LFMS USE)
 : INFORMATION FROM LTS
 : -----
 :
 License Fee Management Branch, ARM : Program Code: 02230
 and : Status Code: 0
 Regional Licensing Sections : Fee Category: 7B 2B
 : Exp. Date: 20131130
 : Fee Comments: STATE
 : Decom Fin Assur Reqd: Y
 :

LICENSE FEE TRANSMITTAL

A. REGION I

1. APPLICATION ATTACHED
 Applicant/Licensee: NEW JERSEY, UNIV. OF MED. & DENTIST
 Received Date: 20041129
 Docket No: 3009926
 Control No.: 136050
 License No.: 29-02957-13
 Action Type: Fin. Assurance

2. FEE ATTACHED
 Amount: _____
 Check No.: /

3. COMMENTS
 Signed M. A. Larkin
 Date 12/3/04

B. LICENSE FEE MANAGEMENT BRANCH (Check when milestone 03 is entered /___/)

1. Fee Category and Amount: _____
 2. Correct Fee Paid. Application may be processed for:
 Amendment _____
 Renewal _____
 License _____
 3. OTHER _____

Signed _____
 Date _____