

October 26, 2004

Rejane Spiegelberg Planer
Operational Safety Experience Specialist
IAEA IRS Coordinator
International Atomic Energy Agency
Division of Nuclear Installation Safety
International Atomic Energy Agency
Wagramer Strasse 5, P.O. Box 100
A-1400 Wien
AUTRICHE

Dear Ms. Spiegelberg Planer:

The following operating experience reports from United States reactors are enclosed for your consideration for including in the AIRS database:

NRC Information Notice 2004-15: Dual-Unit Scram at Peach Bottom Units 2 and 3

NRC Information Notice 2004-16: Tube Leakage Due to a Fabrication Flaw in a Replacement Steam Generator

NRC Information Notice 2004-17: Loose Part Detection and Computerized Eddy Current Data Analysis in Steam Generators

NRC Generic Letter 2004-01: Requirements for Steam Generator Tube Inspections

NRC Generic Letter 2004-02: Potential Impact of Debris Blockage on Emergency Recirculation During Design Basis Accidents at Pressurized-Water Reactors

Each report is being submitted in the following two media: (1) a hard copy of the input file for the AIRS database; and (2) a 3.5-inch HD diskette containing the input file for the AIRS database in WordPerfect format.

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If you have any questions regarding these reports, please call Brett Rini of my staff. He can be reached at 301-415-3931.

Sincerely,

/RA/

Francis M. Costello, Acting Chief
Reactor Operations Branch
Division of Inspection Program Management
Office of Nuclear Reactor Regulation

Enclosures: As stated

cc w/enclosures:

Dr. Pekka T. Pyy

Administrator, Operating Experience & Human Factors

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UNITED STATES
NUCLEAR REGULATORY COMMISSION
OFFICE OF NUCLEAR REACTOR REGULATION
WASHINGTON, D.C. 20555

August 3, 2004

NRC INFORMATION NOTICE 2004-16: TUBE LEAKAGE DUE TO A FABRICATION FLAW
IN A REPLACEMENT STEAM GENERATOR

Addressees:

All holders of operating licenses for pressurized-water reactors (PWRs), except those who have permanently ceased operations and have certified that fuel has been permanently removed from the reactor.

Purpose:

The U.S. Nuclear Regulatory Commission is issuing this information notice to inform addressees about recent operating experience with replacement steam generators. In particular, the potential for tubes to be damaged during fabrication and packaging. The NRC anticipates that recipients will review the information for applicability to their facilities and consider taking actions, as appropriate, to avoid similar issues. However, no specific action or written response is required.

Description of Circumstances:

Both steam generators at Palo Verde Nuclear Generating Station (PVNGS), Unit 2, were replaced in December 2003. These new steam generators incorporate many of the design enhancements present in other replacement steam generators (e.g., Alloy 690 tubes, stainless steel tube supports). The tube bundle in the new steam generators is consistent with the original Combustion Engineering design with a horizontal run between the hot and cold leg rather than the more typical U-shape.

When the plant was started up following the replacement of the steam generators in December 2003, the licensee observed a small primary-to-secondary leak measuring approximately 0.6 gallons per day (gpd) (2.3 liters per day (lpd)). Over the following 2 months, the leak rate varied between 0.4 and 0.7 gpd (1.5 and 2.6 lpd) until February 19, 2004, when the leak rate increased from approximately 0.7 to 11 gpd (2.6 to 41 lpd) in a 38-minute timeframe. Although the leak rate did not exceed the technical specification limit, the plant was shut down to identify the source of the leak.

While the plant was shut down, the secondary side of the steam generator was pressurized to 600 pounds per square inch (psi) (4137 kilopascal (kPa)) to assist in the identification of the leaking tube or tubes. During this pressure test, leakage was easily observed coming from a peripheral tube. This tube was subsequently inspected with both a bobbin and a rotating probe. These inspections did not reveal evidence of inservice degradation. These inspections did confirm the presence of a dent near a vertical support in the middle of the horizontal run of the tube that was detected in the preservice examination. This dent signal was considered anomalous because it differed from a typical dent signal in that it exhibited some flawlike characteristics (i.e., it had a vertical component). A comparison of the preservice bobbin and

wood and into the sample tube. Eddy current testing was performed on these specimens and the damage caused by the wood screw yielded a similar anomalous signal to that found in the leaking tube at Palo Verde.

During its formal root cause evaluation, the licensee for PVNGS Unit 2 confirmed that the tube packing crate used wood spacers and cross brace materials that were assembled using common screws as the tubes were loaded into the crate. The design of this packing material placed the screws in close proximity to specific locations on some tubes, and the location, shape, and size of the deformation in the leaking tube are consistent with damage that would occur if a screw penetrated completely through the packing material and came in contact with the tube.

As a result of the findings, the licensee took many corrective actions, including performing inspection of selected tubes, plugging and stabilizing the leaking tube, adding additional quality control inspectors at the steam generator fabrication facility (since replacement steam generators for Unit 1 are being fabricated at this facility), modifying the receipt inspections performed (including procedural changes) on the tubes at the fabrication facility, evaluating/modifying the packing procedure/design, identifying the tubes that were shipped in package locations where packing screw damage was possible, and initiating additional mock-up testing to improve the capability to identify and characterize volumetric flaws located within a dent (e.g., puncture-type defects).

After concluding that there was reasonable assurance of tube integrity, the licensee returned the plant to service. The primary-to-secondary leak rate following startup was near the detection threshold (i.e., less than 0.1 gpd (0.4 lpd)). In addition, following plant startup, six additional tubes were found at the fabrication facility during the unpacking of tubes for the Palo Verde Unit 1 replacement steam generators that had been pierced by a packing crate screw. These tubes were not installed in any of the steam generators being fabricated.

Discussion:

Steam generators have been replaced at many U.S. plants, and a number of other plants plan to replace in the next several years.

The finding of tubes damaged during the fabrication of the Palo Verde replacement steam generators illustrates the importance of monitoring the fabrication process. This includes the packing procedures for the tubes and the receipt inspections performed on these tubes once they arrive at the steam generator fabrication facility.

In addition, the findings at Palo Verde illustrate the importance of fully evaluating the implications of all abnormal conditions (i.e., conditions adverse to quality) identified during the fabrication process and communicating these results to all affected parties within an organization. In this instance, the personnel performing the preservice inspection at Palo Verde were not specifically notified of the identification of a tube that been damaged by a packing screw. As a result, they did not consider the potential for this type of flaw to exist in their review

In 2002, the staff learned that several licensees were not fully implementing inspection methods capable of detecting circumferentially oriented cracks at all locations where the potential for such cracks exists and where, based on available evidence, there is reason to believe such cracks may be present. These licensees were performing full-length bobbin probe inspections of the tubes and were performing additional inspections using specialized probes to inspect for axial and circumferential cracks at certain locations, including the tube expansion transitions near the top of the tubesheet. The licensees conducted the specialized probe inspections at the tube expansion transitions in an area that extended from 2 inches above the top of the tubesheet to about 5 inches below the top of the tubesheet. At several facilities, circumferential cracks were identified at tube expansion transitions, as well as below the transitions near the bottom of the zone being inspected. These results indicate a potential for circumferential cracks to exist in the tubing below the zone inspected with the specialized probe. However, each licensee also performed an analysis indicating that circumferential cracks below the zone being inspected with the specialized probe would not be detrimental to tube structural and leakage integrity. These licensees concluded, therefore, that additional inspections for circumferential cracks with the specialized probe were unnecessary. These analyses had not been provided to the NRC staff.

The staff became aware of these activities during SG inspections conducted during refueling outages and asked these licensees to submit TS amendment requests or safety analyses to obtain NRC approval of their inspection approaches. The staff reviewed the resulting submittals on a one-cycle basis before the plants restarted. Subsequent to these plant-specific actions, the staff evaluated the appropriate method to interact with licensees on this issue. Given new inspection information indicating that circumferential cracks were occurring in tubes below the expansion transition region, and the potentially generic nature of the issue, the staff decided to communicate the issue to licensees through this generic letter.

Discussion

As part of the inspection process, licensees perform an engineering (degradation) assessment to determine the potential for degradation at specific locations of the tube. The staff recognizes that the potential for degradation may vary from plant to plant based on tube material, operating hours, and other plant-specific factors. However, once licensees have determined what degradation may be present at various locations along the length of the tube, it is the staff position that they should use probes capable of detecting these forms of degradation. Not to do so raises questions about whether the tube inspection practices ensure compliance with the TS in conjunction with 10 CFR Part 50, Appendix B. This staff position is consistent with the position expressed in Section 2.a of Regulatory Guide 1.83, Revision 1, issued in 1975.

In the aforementioned cases, tube inspections with a specialized probe near the top of the tubesheet clearly indicated the potential for circumferential cracks to occur deeper into the tubesheet, beyond the region inspected with the specialized probes. In each case the licensee was aware of the potential for such cracks to exist deeper into the tubesheet, but the licensee did not employ techniques capable of reliably detecting such cracks because the licensee's analysis concluded that such cracks did not have safety implications.

In addition, the staff notes that not inspecting with techniques that are capable of detecting flaws of any type that may be present would allow any such flaws to remain in place. However, most plant TS state that only tubes with imperfections less than 40 percent of the nominal tube wall thickness are acceptable for continued service (there are exceptions specified in some plant TS). Therefore, if licensees do not use probes capable of detecting flaws that may potentially be present, licensees would be allowing flaws to remain inservice which may exceed the applicable TS acceptance criteria (i.e., tube repair or plugging limit). The staff notes that the acceptance or plugging limit for SG tube inspections is a specific TS limit that can only be changed through the license amendment process. Furthermore, even when a probe is capable of finding flaws potentially present, flaws may be inadvertently missed for a variety of reasons (e.g., the flaw size is below the threshold of detection). However, missing a flaw is different than using a probe which is not capable of detecting the forms of degradation that may be present. In other words, the objective of the inspection is to detect flaws of any type that may have the potential to be present along the length of the tube required to be inspected and that may meet or exceed the applicable tube repair criteria.

The staff acknowledges that there may be circumstances in which certain flaws at certain locations may not impair tube integrity even if the TS plugging limit is exceeded. In such circumstances, the staff has reviewed and approved TS amendment requests for alternative tube repair criteria (ARCs) applicable to specified flaw types and/or locations. Some of these ARCs have included special inspection requirements defining the method of inspection to be used when implementing the ARCs. It is the staff's position that if there are locations where certain flaw types can be allowed to exceed existing TS plugging limits, the TS need to be amended to allow the practice. In general, the amendment could include provisions for an ARC and sometimes accompanying special inspection requirements, consistent with past licensing practice. Alternatively, in the case of the aforementioned tubesheet inspection issue, such an amendment could simply clarify the extent of the tube to be inspected within the thickness of the tubesheet, if there is a supporting technical basis that flaws at locations not to be inspected will not impair tube integrity irrespective of the size of the flaws. Pending the submission of such amendment requests, it is the staff's position that licensees are required under existing requirements (TS in conjunction with 10 CFR Part 50, Appendix B) to employ inspection techniques capable of detecting all flaw types which may be present at locations which are required to be inspected pursuant to the TS.

Although this specific example involves inspections in the tubesheet region at plants where cracking had the potential to occur, similar situations could exist at other tube locations for certain degradation mechanisms. As a result, the staff's position applies to all tube locations. In addition, it applies to all PWRs since tube degradation can occur in any steam generator and similar situations could exist at any plant.

Also, for the instances cited above, the safety basis developed by the licensees for not expanding the scope of the specialized probe inspection beyond a specific distance (x inches) into the tubesheet was that any cracks below that distance were not detrimental to tube integrity. This was based on analyses indicating that tubes only needed a minimum embedment of x inches into the tubesheet to exhibit acceptable structural and accident leakage integrity. The staff notes that this is a different acceptance standard than the TS acceptance standards (i.e., plugging limits or tube repair criteria) that have been reviewed and approved by

Sample Changes to the TS for Plants Limiting Inspections in the Tubesheet Region

Plugging Limit means the imperfection depth at or beyond which the tube shall be removed from service and is equal to 40% of the nominal tube wall thickness. All tubes with degradation in the portion of the tube from x-inches below the bottom of the expansion transition (or the top of the tubesheet, whichever is lower) to the bottom of the expansion transition (or the top of the tubesheet, whichever is lower), shall be removed from service.

Tube Inspection means an inspection of the steam generator tube from x-inches below the hot-leg expansion transition or the top of tubesheet, whichever is lower, completely around the U-bend to the top support of the cold leg.

NRC GENERIC LETTER 2004-02

Please refer to the dictionary of codes corresponding to each of the sections below and to the coding guidelines manual.

1.	<u>Reporting Categories:</u>	<u>1.2.5</u>	<u>1.4</u>	<u>1.3.1</u>
2.	<u>Plant Status Prior to the Event:</u>	<u>2.0</u>	<u> </u>	<u> </u>
3.	<u>Failed/Affected Systems:</u>	<u>3.BG</u>	<u> </u>	<u> </u>
4.	<u>Failed/Affected Components:</u>	<u>4.2.8</u>	<u>4.2.1</u>	<u> </u>
5.	<u>Cause of the Event:</u>	<u>5.1.1.8</u>	<u>5.7.1</u>	<u> </u>
6.	<u>Effects on Operation:</u>	<u>6.0</u>	<u> </u>	<u> </u>
7.	<u>Characteristics of the Incident:</u>	<u>7.5</u>	<u> </u>	<u> </u>
8.	<u>Nature of Failure or Error:</u>	<u>8.3</u>	<u> </u>	<u> </u>
9.	<u>Nature of Recovery Actions:</u>	<u>9.0</u>	<u> </u>	<u> </u>

effects of debris blockage during design basis accidents requiring recirculation operation of the ECCS or CSS. In light of this revised staff guidance, it is appropriate to request that addressees perform new, more realistic analyses and submit information to confirm the functionality of the ECCS and CSS during design basis accidents requiring recirculation operations.

To assist in determining, on a plant-specific basis, the impact on sump screen performance and other related effects of extended post-accident operation with debris-laden fluids, addressees may use the guidance in Regulatory Guide (RG) 1.82, Revision 3, "Water Sources for Long-Term Recirculation Cooling Following a Loss-of-Coolant Accident," dated November 2003. Revision 3 enhanced the debris blockage evaluation guidance for PWRs provided in Revision 1 of the regulatory guide to better model sump screen debris blockage and related effects. Revision 1 replaced the 50-percent blockage assumption in Revision 0 with a comprehensive, mechanistic assessment of plant-specific debris blockage potential for future modifications related to sump performance, such as thermal insulation changeouts. This was in response to the findings of USI A-43. The staff issued Revision 2 of the RG after evaluating blockage events such as the Barsebäck Unit 2 event mentioned above but for BWRs only. The NRC staff determined after the issuance of Revision 2 that research for PWRs indicated that the guidance in that revision was not comprehensive enough to ensure adequate evaluation of a PWR plant's susceptibility to the detrimental effects of debris accumulation on debris interceptors (e.g., trash racks and sump screens). This led to the issuance of Revision 3 to address the PWRs. In addition, the NRC staff is reviewing generic industry guidance and will issue a safety evaluation endorsing acceptable portions or all of the generic industry guidance. Once approved, this guidance may also be used to assist in determining the status of regulatory compliance. For areas not addressed in the industry guidance, the NRC will provide guidance in the safety evaluation. Individual addressees may also develop an alternative to the approaches mentioned in this paragraph for responding to this generic letter; however, additional staff review may be required to assess the adequacy of such approaches.

The timeframes for addressee responses in this generic letter were selected to (1) allow adequate time for addressees to perform an analysis, (2) allow addressees to properly design and install any identified modifications, (3) allow addressees adequate time to obtain NRC approval, as necessary, for any licensing basis changes, (4) allow addressees adequate time to obtain NRC approval, as necessary, for any exemption requests, and (5) allow for the closure of the generic issue in accordance with the published schedule. These timeframes are appropriate since all addressees have responded to Bulletin 2003-01 and will, if necessary, implement compensatory measures until the issues identified in this generic letter are resolved.

The staff has assessed whether existing PWRs should continue operation while responding to this generic letter in light of the GSI-191 resolution schedule, proposed through December 31, 2007, and determined that continued operation is justified. The staff released a justification for continued operation in the "Summary of July 26-27, 2001, Meeting with Nuclear Energy Institute and Industry on ECCS Strainer Blockage in PWRs," dated August 14, 2001. As discussed in this justification, continued plant operation is still justified for several reasons. First, the probability of the most severe initiating event (i.e., large and intermediate break LOCAs) is extremely low. More probable (although still low probability) small LOCAs would require less ECCS flow, take more time to use up the water inventory in the refueling water storage tank (RWST), and in some cases may not even require the use of recirculation from the ECCS sump because the flow through the break would be small enough that the operator will have sufficient time to initiate RHR operation and depressurize the reactor coolant system to terminate the loss

2. Addressees are requested to provide the following information no later than September 1, 2005:
- (a) Confirmation that the ECCS and CSS recirculation functions under debris loading conditions are or will be in compliance with the regulatory requirements listed in the Applicable Regulatory Requirements section of this generic letter. This submittal should address the configuration of the plant that will exist once all modifications required for regulatory compliance have been made and this licensing basis has been updated to reflect the results of the analysis described above.
 - (b) A general description of and implementation schedule for all corrective actions, including any plant modifications, that you identified while responding to this generic letter. Efforts to implement the identified actions should be initiated no later than the first refueling outage starting after April 1, 2006. All actions should be completed by December 31, 2007. Provide justification for not implementing the identified actions during the first refueling outage starting after April 1, 2006. If all corrective actions will not be completed by December 31, 2007, describe how the regulatory requirements discussed in the Applicable Regulatory Requirements section will be met until the corrective actions are completed.
 - (c) A description of the methodology that was used to perform the analysis of the susceptibility of the ECCS and CSS recirculation functions to the adverse effects of post-accident debris blockage and operation with debris-laden fluids. The submittal may reference a guidance document (e.g., Regulatory Guide 1.82, Rev. 3, industry guidance) or other methodology previously submitted to the NRC. (The submittal may also reference the response to Item 1 of the Requested Information described above. The documents to be submitted or referenced should include the results of any supporting containment walkdown surveillance performed to identify potential debris sources and other pertinent containment characteristics.)
 - (d) The submittal should include, at a minimum, the following information:
 - (i) The minimum available NPSH margin for the ECCS and CSS pumps with an unblocked sump screen.
 - (ii) The submerged area of the sump screen at this time and the percent of submergence of the sump screen (i.e., partial or full) at the time of the switchover to sump recirculation.
 - (iii) The maximum head loss postulated from debris accumulation on the submerged sump screen, and a description of the primary constituents of the debris bed that result in this head loss. In addition to debris generated by jet forces from the pipe rupture, debris created by the resulting containment environment (thermal and chemical) and CSS washdown should be considered in the analyses. Examples of this type of debris are disbanded coatings in the form of chips and particulates and chemical precipitants caused by chemical reactions in the pool.

- (iv) The basis for concluding that the water inventory required to ensure adequate ECCS or CSS recirculation would not be held up or diverted by debris blockage at choke-points in containment recirculation sump return flowpaths.
 - (v) The basis for concluding that inadequate core or containment cooling would not result due to debris blockage at flow restrictions in the ECCS and CSS flowpaths downstream of the sump screen, (e.g., a HPSI throttle valve, pump bearings and seals, fuel assembly inlet debris screen, or containment spray nozzles). The discussion should consider the adequacy of the sump screen's mesh spacing and state the basis for concluding that adverse gaps or breaches are not present on the screen surface.
 - (vi) Verification that close-tolerance subcomponents in pumps, valves and other ECCS and CSS components are not susceptible to plugging or excessive wear due to extended post-accident operation with debris-laden fluids.
 - (vii) Verification that the strength of the trash racks is adequate to protect the debris screens from missiles and other large debris. The submittal should also provide verification that the trash racks and sump screens are capable of withstanding the loads imposed by expanding jets, missiles, the accumulation of debris, and pressure differentials caused by post-LOCA blockage under predicted flow conditions.
 - (viii) If an active approach (e.g., backflushing, powered screens) is selected in lieu of or in addition to a passive approach to mitigate the effects of the debris blockage, describe the approach and associated analyses.
- (e) A general description of and planned schedule for any changes to the plant licensing bases resulting from any analysis or plant modifications made to ensure compliance with the regulatory requirements listed in the Applicable Regulatory Requirements section of this generic letter. Any licensing actions or exemption requests needed to support changes to the plant licensing basis should be included.
 - (f) A description of the existing or planned programmatic controls that will ensure that potential sources of debris introduced into containment (e.g., insulations, signs, coatings, and foreign materials) will be assessed for potential adverse effects on the ECCS and CSS recirculation functions. Addressees may reference their responses to GL 98-04, "Potential for Degradation of the Emergency Core Cooling System and the Containment Spray System after a Loss-of-Coolant Accident Because of Construction and Protective Coating Deficiencies and Foreign Material in Containment," to the extent that their responses address these specific foreign material control issues.

Required Response

In accordance with 10 CFR 50.54(f), the PWR addressees are required to submit written responses to this generic letter. This information is sought to verify licensees' compliance with the regulatory requirements listed in the Applicable Regulatory Requirements section of this generic letter once their licensing basis has been updated to reflect the results of the mechanistic analysis requested in this generic letter. This request is based on the identified potential susceptibility of PWR recirculation sump screens to debris blockage during design basis accidents requiring recirculation operation of ECCS and CSS and the potential for additional adverse effects due to debris blockage of flowpaths necessary for ECCS and CSS recirculation and containment drainage. The addressees have two options:

- (a) Addressees may choose to submit written responses providing the information requested above within the requested time period.
- (b) Addressees who choose not to provide information requested or cannot meet the requested completion dates are required to submit written responses within 30 days of the date of this generic letter. The responses must address any alternative course of action proposed, including the basis for the acceptability of the proposed alternative course of action.

The required written responses should be addressed to the U.S. Nuclear Regulatory Commission, ATTN: Document Control Desk, 11555 Rockville Pike, Rockville, Maryland 20852, under oath or affirmation under the provisions of Section 182a of the Atomic Energy Act of 1954, as amended, and 10 CFR 50.54(f). In addition, a copy of a response should be submitted to the appropriate regional administrator.

The NRC staff will review the responses to this generic letter and will notify affected addressees if concerns are identified regarding compliance with NRC regulations. The staff may also conduct inspections to determine addressees' effectiveness in addressing the generic letter.

Reasons for Information Request

As discussed above, research and analysis suggest that (1) the potential for the failure of the ECCS and CSS recirculation functions as a result of debris blockage is not adequately addressed in most PWR licensees' current safety analyses, and (2) the ECCS and CSS recirculation functions at a significant number of operating PWRs could potentially become degraded as a result of the effects of debris blockage or extended operation with debris-laden fluids as identified in this generic letter. An ECCS that is incapable of providing long-term reactor core cooling through recirculation operation would be in violation of 10 CFR 50.46. A CSS that is incapable of functioning in recirculation mode may not comply with GDCs 38 and 41 or other plant-specific licensing requirements or safety analyses. Bulletin 2003-01 requested information to verify addressees' compliance with NRC regulations and to ensure that any interim risks associated with post-accident debris blockage are minimized while evaluations to determine compliance proceed. This generic letter is the follow-on generic communication to Bulletin 2003-01 and requests information on the results of the evaluations referenced in the bulletin. This information is sought to verify licensees' compliance with the regulatory requirements listed in the Applicable Regulatory Requirements section of this generic letter once their licensing basis has been updated to reflect the results of the mechanistic analysis requested in this generic letter. This request is based on the identified potential susceptibility of

PWR recirculation sump screens to debris blockage during design basis accidents requiring recirculation operation of the ECCS and CSS and the potential for additional adverse effects due to debris blockage of flowpaths necessary for ECCS and CSS recirculation and containment drainage.

The NRC staff will also use the requested information to (1) determine whether a sample auditing approach is acceptable for verifying that addressees have resolved the concerns identified in this generic letter, (2) assist in determining which addressees will be subject to the proposed sample audits, (3) provide confidence that any nonaudited addressees have addressed the concerns identified in this generic letter, and (4) assess the need for and guide the development of any additional regulatory actions that may be necessary to address the adequacy of the ECCS and CSS recirculation functions.

Related Generic Communications

- Bulletin 2003-01, “Potential Impact of Debris Blockage on Emergency Recirculation During Design-Basis Accidents at Pressurized-Water Reactors,” June 9, 2003.
- Bulletin 96-03, “Potential Plugging of Emergency Core Cooling Suction Strainers by Debris in Boiling-Water Reactors,” May 6, 1996.
- Bulletin 95-02, “Unexpected Clogging of a Residual Heat Removal (RHR) Pump Strainer While Operating in the Suppression Pool Cooling Mode,” October 17, 1995.
- Bulletin 93-02, “Debris Plugging of Emergency Core Cooling Suction Strainers,” May 11, 1993.
- Bulletin 93-02, Supplement 1, “Debris Plugging of Emergency Core Cooling Suction Strainers,” February 18, 1994.
- Generic Letter 98-04, “Potential for Degradation of the Emergency Core Cooling System and the Containment Spray System After a Loss-of-Coolant Accident Because of Construction and Protective Coating Deficiencies and Foreign Material in Containment,” July 14, 1998.
- Generic Letter 97-04, “Assurance of Sufficient Net Positive Suction Head for Emergency Core Cooling and Containment Heat Removal Pumps,” October 7, 1997.
- Generic Letter 85-22, “Potential For Loss of Post-LOCA Recirculation Capability Due to Insulation Debris Blockage,” December 3, 1985.
- Generic Letter 91-18, Rev. 1, “Information to Licensees Regarding NRC Inspection Manual Section on Resolution of Degraded and Nonconforming Conditions,” October 8, 1997.
- Information Notice 97-13, “Deficient Conditions Associated With Protective Coatings at Nuclear Power Plants,” March 24, 1997.
- Information Notice 96-59, “Potential Degradation of Post Loss-of-Coolant Recirculation Capability as a Result of Debris,” October 30, 1996.

- Information Notice 96-55, "Inadequate Net Positive Suction Head of Emergency Core Cooling and Containment Heat Removal Pumps Under Design Basis Accident Conditions," October 22, 1996.
- Information Notice 96-27, "Potential Clogging of High Pressure Safety Injection Throttle Valves During Recirculation," May 1, 1996.
- Information Notice 96-10, "Potential Blockage by Debris of Safety System Piping Which Is Not Used During Normal Operation or Tested During Surveillances," February 13, 1996.
- Information Notice 95-47, "Unexpected Opening of a Safety/Relief Valve and Complications Involving Suppression Pool Cooling Strainer Blockage," October 4, 1995.
- Information Notice 95-47, Revision 1, "Unexpected Opening of a Safety/Relief Valve and Complications Involving Suppression Pool Cooling Strainer Blockage," November 30, 1995.
- Information Notice 95-06, "Potential Blockage of Safety-Related Strainers by Material Brought Inside Containment," January 25, 1995.
- Information Notice 94-57, "Debris in Containment and the Residual Heat Removal System," August 12, 1994.
- Information Notice 93-34, "Potential for Loss of Emergency Cooling Function Due to a Combination of Operational and Post-LOCA Debris in Containment," April 26, 1993.
- Information Notice 93-34, Supplement 1, "Potential for Loss of Emergency Cooling Function Due to a Combination of Operational and Post-LOCA Debris in Containment," May 6, 1993.
- Information Notice 92-85, "Potential Failures of Emergency Core Cooling Systems Caused by Foreign Material Blockage," December 23, 1992.
- Information Notice 92-71, "Partial Plugging of Suppression Pool Strainers at a Foreign BWR," September 30, 1992.
- Information Notice 89-79, "Degraded Coatings and Corrosion of Steel Containment Vessels," December 1, 1989.
- Information Notice 89-79, Supplement 1, "Degraded Coatings and Corrosion of Steel Containment Vessels," June 29, 1990.
- Information Notice 89-77, "Debris in Containment Emergency Sumps and Incorrect Screen Configurations," November 21, 1989.
- Information Notice 88-28, "Potential for Loss of Post-LOCA Recirculation Capability Due to Insulation Debris Blockage," May 19, 1988.

Backfit Discussion

Under the provisions of Section 182a of the Atomic Energy Act of 1954, as amended, 10 CFR 50.109(a)(4)(i) and 10 CFR 50.54(f), this generic letter requests that addressees evaluate their facilities to confirm compliance with the existing applicable regulatory requirements as outlined in this generic letter. This generic letter also transmits an information request for the purpose of verifying compliance with existing applicable regulatory requirements. The staff has determined that, in light of the information identified during the efforts to resolve GSI-191, the previous guidance used to develop most addressees' current licensing basis analyses does not adequately and completely model sump screen debris blockage and related effects. Due to the deficiencies in the previous guidance, a potential analytical error could have been introduced which results in ECCS and CSS performance that does not conform with existing applicable regulatory requirements. In response, the staff revised its guidance for determining the susceptibility of PWR recirculation sump screens to the adverse effects of debris blockage during design basis accidents requiring recirculation operation of the ECCS or CSS to ensure compliance with existing applicable regulatory requirements. Thus, the information requested by this generic letter is considered a compliance exception to the rule in accordance with 10 CFR 50.109(a)(4)(i).

Small Business Regulatory Enforcement Fairness Act

The NRC has determined that this generic letter is subject to the Small Business Regulatory Enforcement Fairness Act of 1996. Office of Management and Budget (OMB) has declared the letter not to be a major rule. Notification of the letter has been sent to Congress.

Federal Register Notification

A notice of opportunity for public comment on this generic letter was published in the Federal Register (69 FR16980) on March 31, 2004. Comments were received from ten industry groups, one non-profit organization, one private citizen, and the State of New Jersey. The staff considered all comments that were received. The staff's evaluation of the comments is publicly available through the NRC's Agencywide Documents Access and Management System (ADAMS) under Accession No. ML042260161.

Paperwork Reduction Act Statement

This generic letter contains information collections that are subject to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.). These information collections were approved by the Office of Management and Budget (OMB) under approval number 3150-0011, which expires on February 28, 2007.

The burden to the public for these mandatory information collections is estimated to average 7000 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the necessary data, and completing and reviewing the information collections. The staff received two public comments on the estimated burden to the public. In both comments, the burden was estimated to be between 5,000 and 10,000 hours. The staff solicited input from three addressees to better estimate the burden to the public. Based on the public comments and the solicited input, the staff estimates the burden as shown above. Send comments regarding this burden estimate or any other aspect of these information collections, including suggestions for reducing the burden, to the Records and

FOIA/Privacy Services Branch (T-5F52), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by Internet electronic mail to INFCOLLECTS@NRC.GOV; and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202 (3150-0011), Office of Management and Budget, Washington, DC 20503.

Public Protection Notification

The NRC may neither conduct nor sponsor, and an individual is not required to respond to, an information collection unless the requesting document displays a currently valid OMB control number.

If you have any questions about this matter, please contact the technical contacts or lead project manager listed below.

/RA/

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