

Date: August 25, 2004

SUMMARY OF INFORMATION COLLECTION REQUEST

Title: 10 CFR Part 21, Reporting of Defects and Noncompliance (Final OCP)

Current Burden/Responses: 12,565 hour/170 responses

Proposed Burden/Responses: 7,790 hour/108 responses (72 plus 36 recordkeepers)

Burden Attributable to Third-Party Collections: None

Frequency of Response: On occasion

Reasons for Changes in Burden/Responses: The estimated burden decreased from 12,565 hours (9,640 reporting and 2,925 recordkeeping) to 7,790 hours (5,112 reporting and 2,678 recordkeeping) based on past experience and the actual number of reports received during the past five years (1999-2003). NRC received 361 Part 21 reports (178 initial notifications and 183 follow-up reports), which averages to about 36 initial and follow-up reports per year.

The reporting burden decreased by 4,528 hours from 9,640 to 5,112 hours because the number of initial notifications decreased from 70 to 36, the number of follow-up reports decreased from 90 to 36 and the number of interim reports decreased from 10 to close to zero, which are all based on a reduction in the actual number of reports received from 170 to 72 with a corresponding burden reduction..

The recordkeeping burden decreased by 247 hours because the number of evaluations required for 21.51(a)(1) decreased from 350 to 175 and the number of notifications required for 21.51(a)(2) decreased from 500 to 180, with a corresponding burden reduction. The overall recordkeeping burden decreased from 2,925 to 2,678 hours.

Level of Concurrence: Deputy Director
Division of Regulatory Improvement Programs
Office of Nuclear Reactor Regulations

Recordkeeping Requirements in Accordance with the Retention Periods for Records Rule: Yes

Search of the Information Requirements Control Automated System (IRCAS):
IRCAS was searched, no duplication was found.

Abstract: 10 CFR Part 21 implements Section 206 of the Energy Reorganization Act of 1974, as amended. It requires directors and responsible officers of firms and organizations building, operating, owning, or supplying basic components to NRC license facilities or activities to report defects and noncompliance that could create a substantial safety hazard at NRC licensed facilities or activities. Organizations subject to 10 CFR Part 21 are also required to maintain such records as may be required to assure compliance with this regulation. The NRC staff reviews 10 CFR Part 21 reports to determine whether the reported defects in basic components and related services and failure to comply at NRC licensed facilities or activities are potentially generic safety problems.

cc: B. St. Mary