

# *U.S. NUCLEAR REGULATORY COMMISSION*

## *DIRECTIVE TRANSMITTAL*

**TN:** DT-93-02

**To:** NRC Management Directives Custodians

**Subject:** Transmittal of Management Directive 9.2, "Organization and Functions, Office of the Inspector General"

**Purpose:** Directive and Handbook 9.2 are being issued to provide information concerning the organization and functions of the Office of the Inspector General.

**Office of Origin:** Office of the Inspector General

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**Volume:** 9      NRC Organization and Functions

**Directive:** 9.2      "Organization and Functions, Office of the Inspector General"

**Availability:** Rules and Directives Branch  
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# Organization and Functions Office of the Inspector General

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Directive

9.2

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# U. S. Nuclear Regulatory Commission

Volume: 9 NRC Organization and Functions

OIG

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## Office of the Inspector General

### Directive 9.2

#### Organization and Functions

##### Supervision

(9.2-01)

The office is under the supervision of the Inspector General, who reports to the U.S. Nuclear Regulatory Commission (NRC) Chairman and to the Congress. The Inspector General is appointed by the President and is confirmed by the U.S. Senate.

##### Functions

(9.2-02)

The NRC's Office of the Inspector General (OI(3)) was established under Public Law 100-504, The Inspector General Act of 1978, as amended, in order to (1) conduct and supervise audits and investigations relating to agency programs and operations; (2) provide leadership and coordination and recommend policies for activities designed to promote economy, efficiency, and effectiveness in the administration of, and to prevent and detect fraud and abuse in, such programs and operations; and 0) keep the Commission and Congress fully and currently informed about problems and deficiencies relating to the administration of such programs and operations. (021)

Specifically, the office— (022)

## Functions

(9.2-02) (continued)

- Conducts audits of NRC programs, operations, and internal controls to determine whether financial activities are conducted properly and in compliance with applicable laws and regulations. (a)
- Audits financial statements and contracts and determines whether resources are managed efficiently and economically. (b)
- Evaluates program performance to determine whether program objectives are achieved and provides audit results to appropriate agency officials and members of Congress. (c)
- Develops policies and standards that govern the NRC's financial and management audit programs. (d)
- Plans, directs, and executes a long-range, comprehensive audit program. (e)
- Conducts investigations of NRC programs and operations to prevent and detect fraud and abuse in these programs and operations. (f)
- Investigates alleged misconduct of NRC employees, contractors, and other programs and operations administered or financed by the NRC. (g)
- Refers evidence of suspected criminal violations resulting from OIG investigations to the Department of Justice. Assists the Department of Justice in criminal prosecutions resulting from OIG investigations. (h)
- Reviews existing and proposed legislation and regulations for their impact on the economy and efficiency of the administration of NRC programs and operations. (i)

## Functions

(9.2-02) (continued)

- Keeps the Commission and the Congress fully and currently informed, by means of semiannual reports and otherwise, of serious instances of fraud, waste, abuse, and other problems relating to the administration of NRC programs and operations. (j)
- Maintains liaison with IG organizations and other law enforcement agencies on all matters relating to the promotion of economy and efficiency and the detection of fraud and abuse in NRC programs and operations. (k)
- Performs other functions under the Inspector General Act of 1978, as amended. (l)

## Redelegation of Authority to the Assistant Inspectors General

(9.2-03)

Upon notification, the Assistant Inspectors General are authorized and directed by the Inspector General to act in his or her stead during his or her absence.

## Organizational Structure and Functions

(9.2-04)

An organization chart showing the internal organization of the office and a statement of functions of the subdivisions of the office are issued by the Inspector General as Handbook 9.2, Parts I and II.

# Organization and Functions Office of the Inspector General

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Handbook

9.2

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### Part I

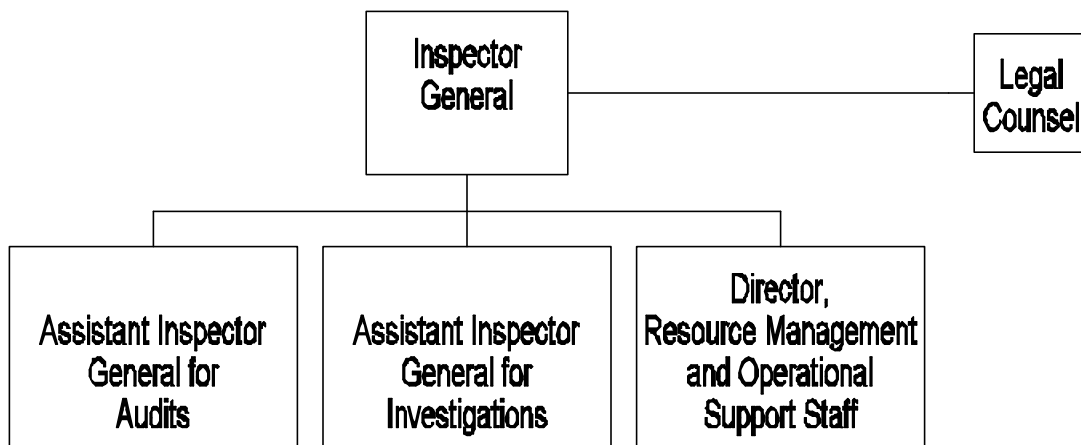
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Part I  
Organization Chart



## Part II

### Descriptions of Functions

#### The Inspector General (A)

Provides leadership and recommends policies to U.S. Nuclear Regulatory Commission (NRC) management for all activities designed to promote economy, efficiency, and effectiveness in the administration of NRC's programs and operations and to prevent and detect fraud and abuse in these programs and operations. (1)

Oversees all audit and investigative activities conducted by the Office of the Inspector General (OIG) relating to NRC's programs and operations. (2)

Keeps the Chairman and the Congress fully and currently informed about problems and deficiencies relating to the administration of NRC's programs and operations, and on the necessity for and the progress of any corrective action. (3)

Reports to the Attorney General whenever an OIG investigation reveals reasonable grounds to believe that a Federal criminal law has been violated. (4)

#### Assistant Inspector General for Audits (B)

Has responsibility for the overall planning, management, and leadership for audits conducted by the OIG. (1)

Has oversight responsibility for audits of Commission activities conducted by other Federal organizations and independent accounting firms. (2)

Reports to the Inspector General and acts in his or her stead when required. (3)

Assistant Inspector  
General for Investigations (C)

Has responsibility for the overall planning, management, and leadership for investigations and inspections conducted by the Office of the Inspector General. (1)

Reports to the Inspector General and acts in his or her stead when required. (2)

Director, Resource Management and  
Operational Support Staff (D)

Has responsibility for providing administrative and operational support for OIG programs. This support includes budgeting, personnel, security, resource management, automatic data processing (ADP) coordination, and space management. (1)

Prepares the OIG semiannual report to Congress. (2)

Reports to the Inspector General. (3)

Legal Counsel (E)

Serves as the legal advisor to the Office of the Inspector General. (1)

Reports to the Inspector General, providing advice and assistance on all legal issues that may arise. (2)