

## **2. LSN ROLES AND RESPONSIBILITIES**

The responsibilities of the Commission, the LSN Administrator (LSNA), and the participants under the LSN program arise from the LSN Rule and the roles assigned to each as defined therein. This section sets forth those responsibilities as they relate to ensuring the availability and integrity of data and documents in the system.

### **2.1 The Commission**

- Designates a Pre-License Application Presiding Officer (PAPO) prior to LSN scheduled availability, but no later than fifteen days after the DOE certification of initial compliance under Section 2.1009(b) of the LSN Rule.
- Specifies the jurisdiction of the PAPO.
- Rules on appeals of orders of the PAPO as permitted under the LSN Rule.

### **2.2 Office of the Secretary (SECY) of the Commission**

- Appoints additional members to the LSNARP consistent with the requirements of the Federal Advisory Committee Act (5 United States Code (U.S.C.) app. 1).
- Maintains the official docket of the licensing proceeding and determines whether the DOE license application can be effectively accessed under the electronic docket rules.
- Currently serves as the Chair of the LSNARP.

### **2.3 Licensing Support Network Advisory Review Panel (LSNARP)**

- Provides advice to the NRC on fundamental issues regarding the type of computer system necessary to make party and potential party access to the LSN effective.
- Provides advice to the Office of the Secretary (SECY) of the Commission on the operation and maintenance of the electronic docket established for the licensing proceeding.
- Provides advice to the LSNA on improving the functioning of the LSN.
- Provides advice on format standards for providing electronic access to participant LSN website documentary materials.
- Provides advice on the procedures and standards for the electronic transmission of filings, orders, and decisions during both the pre-license application phase and the hearing phase of the HLW repository licensing proceeding.

- Establishes such subgroups or subpanels (e.g., the Technical Working Group (TWG)) as determined necessary by the Atomic Safety and Licensing Board Panel (ASLBP).

#### **2.4 Licensing Support Network Administrator (LSNA)**

- Acts as the NRC's representative to the LSNARP.
- Notifies the Commission of LSN procedural-related issues or incidents that could impact negatively on the ability of the NRC to conduct the HLW repository licensing proceeding within the congressionally-directed three-year time-frame.
- Identifies technical and policy issues relating to LSN implementation for LSNARP and Commission consideration.
- With the approval of the ASLBP Chairman, establishes operating procedures and policies for the LSN.
- Implements, with the advice of the LSNARP, a configurable set of standards for bibliographic header content and format, image and text files, record packages, and the use of unique item identification numbers (document accession numbers).
- Implements, with the advice of the LSNARP consistent with the LSN Rule, policies, procedures and guidelines for LSN security; priority access to the LSN; website search and response time; website availability (uptime); and website backups.
- Establishes standards for the publication, on participant LSN websites, of the website's weekly (minimum) server statistics, including the number of "hits," problems, added documents, corrected/modified documents, etc.
- Establishes, maintain, and operates an LSNA baseline computer system for monitoring overall LSN system performance and individual participant procedural compliance with LSN Rule responsibilities.
- Establishes and operates a notification procedure that will communicate to participants information about correction, replacement, or deletion of materials previously published on participants' LSN websites.
- Establishes target dates by which participant LSN website document collections should be ready to connect to the central LSN site.
- Establishes target dates for operational policies, procedures, and standards to be promulgated for use by participants.
- Provide recommendations to the PAPO and participants for resolving LSN availability problems experienced by participants.

- Identifies and recommends a resolution to the participants and PAPO to problems regarding the integrity of participant documentary material presented on their LSN websites.
- Provides periodic reports to the Commission (and to the LSN community) on LSN functionality and operability status.
- Provides advice to SECY and the Office of the Chief Information Officer (OCIO) regarding the design and operation of the Electronic Hearing Docket (EHD) and Electronic Information Exchange (EIE) components mandated for the HLW repository licensing proceeding.
- Posts announcements on the central LSN site about the overall LSN program and items of interest (hours of availability, scheduled outages, etc.) for the participant sites.
- Posts notices on the central LSN site that contain listings of changes, if any, to each participant's collection, identified by LSN accession number, with a description of the change and why it was necessary.
- Reviews all participant LSN website designs to ensure they meet LSN design standards.

## **2.5 Licensing Support Network (LSN) Participants**

- Designate an official who will be responsible for administering their LSN responsibilities.
- Designate technical points of contact for various functions including who will act as webmasters for their LSN site, who is responsible for the website help desk, and who should be contacted for loss of service and related problems.
- Establish procedures to implement the requirements of Section 2.1003 of the LSN Rule.
- Provide training to their staff on the procedures described above.
- Obtain the computer system necessary to comply with the requirements for electronic document production and service, including implementation and maintenance of an information technology security program to assure the integrity and security of all information collected, processed, transmitted, stored, or disseminated in their computer systems.
- Make all their documentary material available in electronic format in accordance with Section 2.1003 of the LSN Rule.
- Make available (for inspection and copying) any document not provided in electronic form within five days after directed by the PAPO or the Presiding Officer (PO).
- Comply with all LSNA-established standards for presentation of documentary materials.

- Comply with all operational and functional standards regarding their LSN website operation and maintenance as established by the LSNA and the LSNARP TWG.
- Maintain and publish LSN-required data regarding their LSN website operation and functionality.
- Cooperate in LSNA review of corrected, changed, or deleted documents on their LSN website.
- Cooperate in the NRC-established advisory review process under Section 2.1011(d) of the LSN Rule.
- Demonstrate substantial and timely compliance with participation in the HLW repository licensing proceeding in accordance with the requirements of Section 2.1003 of the LSN Rule.
- Transmit all filings in the HLW repository licensing adjudicatory proceeding electronically according to established requirements.

## **2.6 Participant Certifying Official**

- Certifies to the PAPO that the procedures specified in the LSN Rule have been implemented and that, to the best of his or her knowledge, the documentary material specified in the LSN Rule has been identified and made electronically available. An initial certification must be made at the time the participant is required to comply with Section 2.1003 of the LSN Rule. The responsible official for the DOE shall also update this certification at the time of submission of the license application.
- Submits a completed Notification and Memorandum of Agreement on LSN security.

## **2.7 Pre-License Application Presiding Officer (PAPO)**

- Rules on disputes over the electronic availability of documents during the pre-license application phase.
- Rules on any claim of document withholding to determine whether it is documentary material within the scope of the LSN Rule, whether the material is excluded under Section 2.1005, or whether the material is privileged or otherwise excepted from disclosure under Section 2.1005.
- Prescribes procedures that effectively safeguard and prevent disclosure of proprietary, safeguards, and other forms of sensitive information to unauthorized persons.

**2.8 Presiding Officer (PO)**

- Subsequent to appointment following the submission of the DOE license application, receives and disposes of all LSN-related motions by either a written or oral ruling.
- Conducts proceeding in accordance with the Commissions' Rules of Practice.