

Form NRC-489
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U. S. NUCLEAR REGULATORY COMMISSION
NRC MANUAL
TRANSMITTAL NOTICE

CHAPTER NRC-0119 ORGANIZATION AND FUNCTIONS
OFFICE OF INVESTIGATIONS

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REMARKS:

This chapter and appendix define the functional assignments, delegations of authority, and organizational structure of the Office of Investigations.

U.S. NUCLEAR REGULATORY COMMISSION
NRC MANUAL

Volume: 0000 General Administration
Part : 0100 Organization

01

CHAPTER 0119 ORGANIZATION AND FUNCTIONS
OFFICE OF INVESTIGATIONS

0119-01 SUPERVISION

The Office of Investigations is under the supervision of a Director who reports to the Commission.

0119-02 FUNCTIONS

The Office of Investigations (OI) conducts and supervises NRC investigations of allegations of wrongdoing by licensees, applicants, and their contractors and vendors, and assures the quality of investigations. OI does not conduct investigations of NRC employees or NRC contractors. Specifically, the Office of Investigations:

021 develops policy, procedures, and quality control standards for the conduct of all OI investigations.

022 plans, coordinates, directs, and executes administrative affairs of the Office.

023 maintains current awareness of inquiries and inspections by other agency offices to identify the need for investigations.

024 keeps Commission and involved NRC Offices currently apprised of matters under investigation as they affect public health and safety, the common defense and security, environmental quality, or the antitrust laws. Keeps Commission currently apprised of information developed relative to functions performed by other Offices. Similarly keeps involved NRC Offices apprised when disclosure of that information will not compromise an investigation. Provides final reports to the EDO and appropriate Regional Administrator who may be required to take action as a result of the findings.

025 makes appropriate referrals to the Department of Justice.

026 maintains liaison with other agencies and organizations to ensure the timely exchange of information of mutual interest.

027 issues subpoenas after review by the Office of the General Counsel under Section 161c of the Atomic Energy Act of 1954, as amended, where necessary or appropriate for the conduct of investigations.

028 carries out any other functions assigned by the Commission.

Approved: February 23, 1986

0119-03 DELEGATION OF AUTHORITY

The Director is authorized and directed to act in accordance with Commission approved policy on investigations and to:

031 develop, administer, maintain, and monitor a program to perform thorough, timely and objective investigations.

032 conduct and supervise investigations within the scope of NRC authority, except those concerning NRC employees and NRC contractors, at the request of the Commission, the Executive Director for Operations (EDO), Regional Administrators, or on the Director's initiative.

033 develop, administer, and maintain a quality control system and standards for the initiation, conduct and supervision of all investigations undertaken by the Office.

034 develop, administer, and maintain a program to ensure the proper level of training for the investigative staff of the Office.

035 keep abreast of the NRC inspection program for licensees and applicants, and their contractors or vendors, in order to advise the Commission, the Executive Director for Operations, and Regional Administrators of the need for investigations.

036 establish and maintain systems and procedures to ensure that appropriate organizational components of NRC are promptly notified and fully informed of those matters under investigation which may affect public health and safety, the common defense and security, environmental quality, or the antitrust laws.

037 make referrals, as appropriate, to the Department of Justice stemming from investigations by OI.

038 administer oaths and affirmations where needed.

039 issue subpoenas (ad testificandum and duces tecum) where necessary or appropriate for the conduct of investigations. Prior to the issuance of any subpoenas, the Director will provide for review by the Office of the General Counsel; coordinate with Staff; and provide advance notice to the Commission. This authority may not be redelegated.

0310 develop policy options for Commission consideration on matters within the above authority.

0311 supervise, direct, coordinate and approve the activities, including administrative functions, of the various organizational units within the Office.

0119-04 DELEGATION OF AUTHORITY TO THE DEPUTY DIRECTOR

The Deputy Director is authorized and directed to act in the stead of the Director during the absence of the Director.

0119-05 REDELEGATION OF AUTHORITY BY THE DIRECTOR

The Director may, except where expressly prohibited, redelegate to others authority delegated by this or other official directives or communications, subject to the limitations stated below and such other stipulations as are deemed necessary:

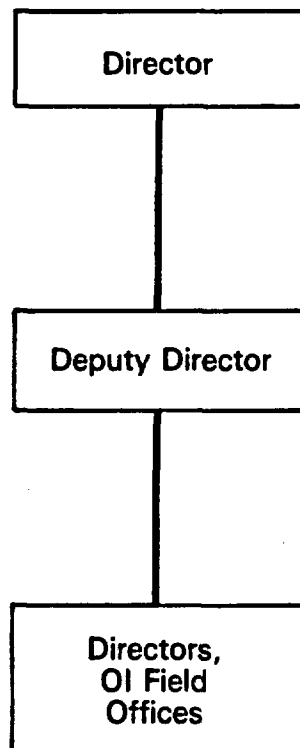
051 such re delegation must be in writing, and copies must be filed with the Secretary of the Commission, the General Counsel, the Executive Director for Operations, the Executive Legal Director, and the Directors of the Offices of Administration and Resource Management.

052 the Director must stipulate any limitations on further redelegations of authority.

0119-06 ORGANIZATION STRUCTURE AND INTERNAL ASSIGNMENTS

An organization chart showing internal organization of the Office and a statement of functions of the subdivisions of the Office are issued by the Director as Appendix 0119, Parts I and II.

PART I
ORGANIZATION CHART



PART II

DISTRIBUTION OF FUNCTIONS

- A. THE DIRECTOR manages and directs the conduct and supervision of NRC investigations and assures their quality within the scope of OI authority by developing policy, procedures and quality control measures for the investigations; assures that an adequate number of qualified and trained professional investigators are available; maintains current awareness of Commission inquiries and inspections in order to advise the Commission, the EDO, or Regional Administrators of the need for investigations; assures that appropriate Commission principals are promptly informed of investigative matters having safety implications; and makes appropriate referrals to the Department of Justice.
- B. THE DEPUTY DIRECTOR assists the Director in the accomplishment of Office functions, and oversees direction of management activities related to the Office's assigned mission; during the absence of the Director, acts for the Director with full and complete responsibility for the activities of the Office; directs and supervises Headquarters investigators and Directors of OI Field Offices, monitors ongoing investigations to ensure conformance with OI investigative policy and procedures, to identify safety issues raised during investigations, and to identify circumstances warranting referral to the Department of Justice; through operations officers, provides day-to-day advice and counsel to Field Offices regarding investigative matters; and implements office investigative control programs and recommends modifications thereto.
- C. DIRECTORS, OI FIELD OFFICES, conduct and supervise investigations and assure their quality within the geographic limits of the NRC Regional Offices with which they are co-located; ensure that the Director, OI, and the Regional Administrators are fully and currently informed of significant investigative matters affecting IE inspection programs or the Regions; maintain current awareness of inquiries and inspections within the Region where they are co-located to identify the need for and recommend investigations; establish and maintain effective liaison with other agencies and organizations within their areas of concern.