

May 11, 2004

Mr. Fred Dacimo
Site Vice President
Entergy Nuclear Operations
Indian Point Energy Center
295 Broadway, Suite 1
P.O. Box 249
Buchanan, New York 10511-0249

RI-2003-A-0016
RI-2003-A-0026

Subject: Summary of NRC Investigation 1-2003-017

Dear Mr. Dacimo:

The Region I Field Office of the NRC Office of Investigations (OI) initiated Investigation 1-2003-017 on February 14, 2003, to determine whether IP2 licensed operator applicants had not completed their required qualification manuals as part of the licensed operator applicant training program, yet signed their Personal Qualification Statements (NRC license applications) in June 2001 certifying that they had completed their training programs. If the applicants had deliberately signed the license applications knowing that they had not completed the qualification manuals, this would have constituted the provision of false information to the NRC.

Based upon information learned during the OI investigation, it was apparent that Entergy was aware that similar concerns had been raised internally via the corrective action program. While deficiencies had been noted in some individual qualification records, OI identified that the "Operations Qualification Guide Format" was an Indian Point administrative document and was not required to be signed off as complete prior to the operator license candidate signing the NRC Form 398/Personal Qualification Statement. Entergy characterized the identified deficiencies as examples of not meeting station expectations for the quality of qualification records. OI found Entergy's characterization to be plausible based on review of the candidates' qualification guides, coupled with interviews of the license candidates, which did not indicate any specific instances of falsification or deficiency that would call into question the validity of their license applications.

OI review of the qualification guides also revealed that Indian Point Operations and Operations Training management had reviewed the Operations Task List during the course of the training class and made decisions to modify or delete a number of specific individual qualification tasks, which was allowable under Indian Point's accredited operator training program (which is based upon a Systems Approach to Training (SAT)). Key card entry/exit records reviewed by OI further indicated that the candidates were physically present in locations on the dates that they claimed training occurred and was completed. Additionally, interviews of the license candidates

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revealed that each had valid explanations for how and when they obtained their training qualification guide signatures for On-the-Job Training and On-the-Job Evaluation. OI found no evidence to contradict those explanations.

Based on the evidence developed during this investigation, OI did not substantiate that any of the 2001 licensed operator applicants had not completed their training program requirements. Therefore, the NRC did not substantiate that any of the license applications constituted the provision of false information to the NRC.

Please note that final NRC documents, such as the OI report described above, may be made available to the public under the Freedom of Information Act (FOIA) subject to redaction of information appropriate under the FOIA. Requests under the FOIA should be made in accordance with 10 CFR 9.23, Requests for Records, a copy of which is enclosed for your information.

Also, in accordance with 10 CFR 2.790 of the NRC's "Rules of Practice," a copy of this letter and your response, if any, will be available electronically for public inspection in the NRC Public Document Room or from the Publicly Available Records (PARS) component of NRC's document system (ADAMS). ADAMS is accessible from the NRC Web site at <http://www.nrc.gov/reading-rm/adams.html> (the Public Electronic Reading Room).

Should you have any questions regarding this letter, please feel free to contact Mr. Brian McDermott of my staff at (610) 337-5233.

Sincerely,

/RA/

A. Randolph Blough, Director
Division of Reactor Projects

Mr. F. Dacimo

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