

REGULATORY FRAMEWORK

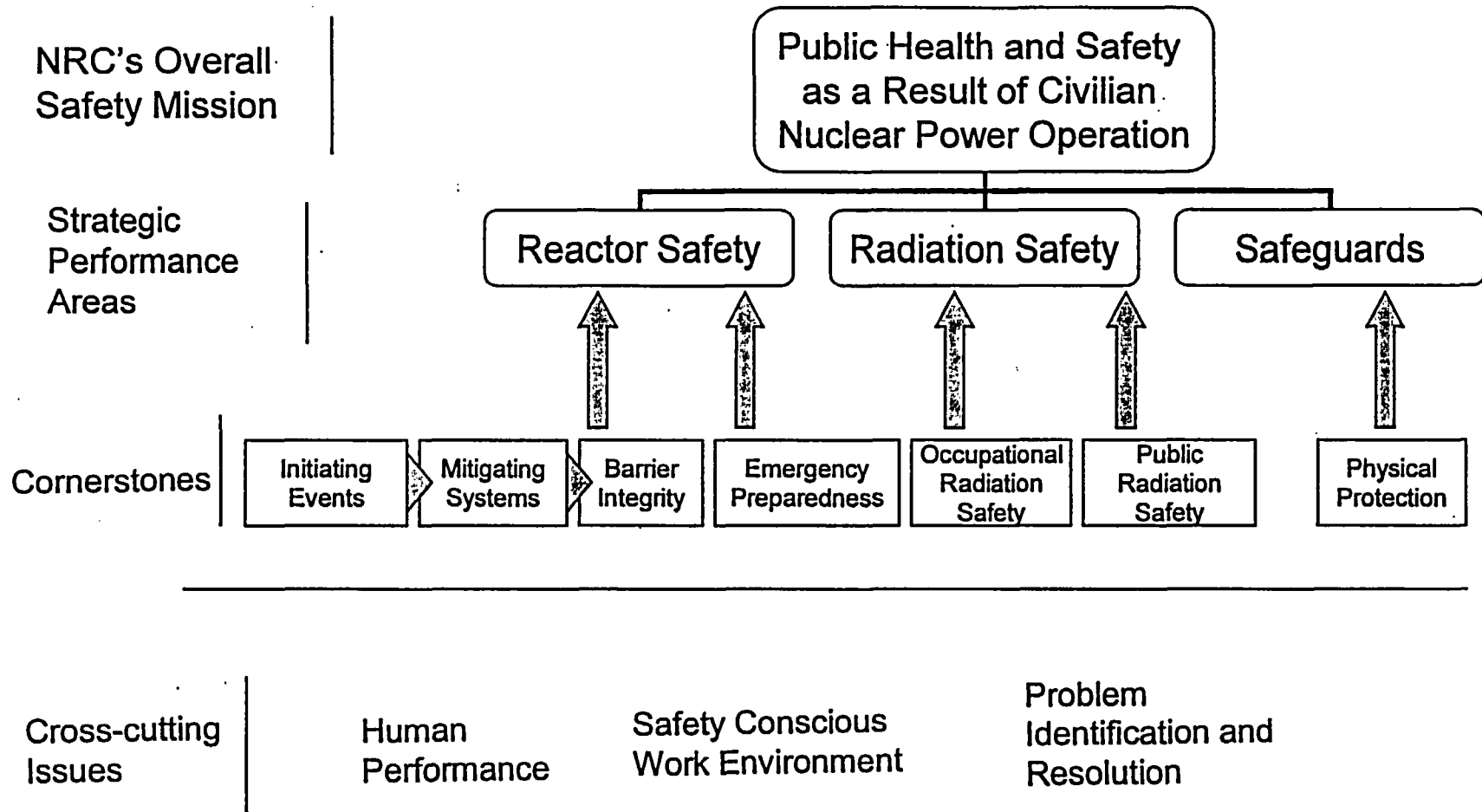


Figure 1

	Licensee Response Column	Regulatory Response Column	Degraded Cornerstone Column	Multiple/Repetitive Degraded Cornerstone Column	Unacceptable Performance Column	
R E S U L T S		All Assessment Inputs (Performance Indicators (PIs) and Inspection Findings) Green; Cornerstone Objectives Fully Met	One or Two White Inputs (in different cornerstones) in a Strategic Performance Area; Cornerstone Objectives Fully Met	One Degraded Cornerstone (2 White Inputs or 1 Yellow Input) or any 3 White Inputs in a Strategic Performance Area; Cornerstone Objectives Met with Moderate Degradation in Safety Performance	Repetitive Degraded Cornerstone, Multiple Degraded Cornerstones, Multiple Yellow Inputs, or 1 Red Input; Cornerstone Objectives Met with Longstanding Issues or Significant Degradation in Safety Performance	Overall Unacceptable Performance; Plants Not Permitted to Operate Within this Band, Unacceptable Margin to Safety
R E S P O N S E	Regulatory Conference	NONE	Branch Chief (BC) or Division Director (DD) Meet with Licensee	DD or Regional Administrator (RA) Meet with Licensee	RA (or EDO) Meet with Senior Licensee Management	Commission meeting with Senior Licensee Management
	Licensee Action	Licensee Corrective Action	Licensee root cause evaluation and corrective action with NRC Oversight	Licensee cumulative root cause evaluation with NRC Oversight	Licensee Performance Improvement Plan with NRC Oversight	
	NRC Inspection	Risk-Informed Baseline Inspection Program	Baseline and supplemental inspection procedure 95001	Baseline and supplemental inspection procedure 95002	Baseline and Supplemental Inspection procedure 95003	
	Regulatory Actions	None	Supplemental inspection only	Supplemental inspection only	-10 CFR 2.204 DFI -10 CFR 50.54(f) Letter - CAL/Order	Order to Modify, Suspend, or Revoke Licensed Activities
C O M M U N I C A T I O N	Assessment Letters	BC or DD review/sign assessment report (w/ inspection plan)	DD review/sign assessment report (with inspection plan)	DD review/sign assessment report (w/ inspection plan)	RA review/sign assessment report (w/ inspection plan)	
	Annual Public Meeting	SRI or BC Meet with Licensee	BC or DD Meet with Licensee	RA Discuss Performance with Licensee	EDO Discuss Performance with Senior Licensee Management	
	Commission Involvement	None	None	None	Plant discussed at AARM	Commission Meeting with Senior Licensee Management
INCREASING SAFETY SIGNIFICANCE ----->						

Figure 2

PERFORMANCE INDICATORS					
Cornerstone	Indicator	Thresholds			
		Increased Regulatory Response Band	Required Regulatory Response Band	Unacceptable Performance Band	
Initiating Events	Unplanned Scrams per 7000 Critical Hours (automatic and manual scrams during the previous four quarters)	>3.0	>6.0	>25.0	
	Unplanned Scrams with a Loss of Normal Heat Removal (over the previous 12 quarters)	>2.0	>10.0	>20.0	
	Unplanned Power Changes per 7000 Critical Hours (over previous four quarters)	>6.0	N/A	N/A	
Mitigating Systems	Safety System Unavailability (SSU) (average of previous 12 quarters)	<u>All Plants</u> <=2EDG >2.5% >2EDG TBD Hydro Emerg. Power >4.0% <u>BWRs</u> >1.5% HPCI >4.0% HPCS >1.5% RCIC >1.5% RHR >2.0% <u>PWRs</u> >1.5% HPSI AFW RHR	>2.5% >2.5% TBD >4.0% >1.5% >4.0% >1.5% >1.5% >2.0% >1.5%	>5.0% >10.0% TBD >12.0% >4.0% >12.0% >5.0% >5.0% >6.0% >5.0%	>10.0% >20.0% TBD >50.0% >20.0% >50.0% >10.0% >10.0% >12.0% >10.0%
	Safety System Functional Failures (over previous four quarters)	BWRs PWRs	>6.0 >5.0	N/A N/A	N/A N/A

Figure 3

PERFORMANCE INDICATORS Cont'd				
Cornerstone	Indicator	Thresholds		
		Increased Regulatory Response Band	Required Regulatory Response Band	Unacceptable Performance Band
Barriers Fuel Cladding	Reactor Coolant System (RCS) Specific Activity (maximum monthly values, percent of Tech. Spec limit)	>50.0%	>100.0%	N/A
	Reactor Coolant System RCS Identified Leak Rate (maximum monthly values, percent of Tech. Spec. limit)	>50.0%	>100.0%	N/A
Emergency Preparedness	Drill/Exercise Performance (over previous eight quarters)	<90.0%	<70.0%	N/A
	ERO Drill Participation (percentage of Key ERO personnel that have participated in a drill or exercise in the previous eight quarters)	<80.0%	<60.0%	N/A
	Alert and Notification System Reliability (percentage reliability during previous four quarters)	<94.0%	<90.0%	N/A
Occupational Radiation Safety	Occupational Exposure Control Effectiveness (occurrences during previous 4 quarters)	>2	>5	N/A
Public Radiation Safety	RETS/ODCM Radiological Effluent Occurrence (occurrences during previous four quarters)	>1	>3	N/A
Physical Protection	Protected Area Security Equipment Performance Index (over a four quarter period)	>0.080	N/A	N/A
	Personnel Screening Program Performance (reportable events during the previous four quarters)	>2	>5	N/A
	Fitness-for-Duty (FFD)/Personnel Reliability Program Performance (reportable events during the previous four quarters)	>2	>5	N/A

Figure 3 (Cont'd)