

**RESPONSE TO FREEDOM OF  
INFORMATION ACT (FOIA) / PRIVACY  
ACT (PA) REQUEST**

2004-0097

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RESPONSE TYPE ☐ FINAL ☒ PARTIAL

REQUESTER

Michael B. Gerrard

DATE

APR 16 2004

**PART I. -- INFORMATION RELEASED**

- ☐ No additional agency records subject to the request have been located.
- ☐ Requested records are available through another public distribution program. See Comments section.
- ☐ APPENDICES Agency records subject to the request that are identified in the listed appendices are already available for public inspection and copying at the NRC Public Document Room.
- ☒ APPENDICES C & D Agency records subject to the request that are identified in the listed appendices are being made available for public inspection and copying at the NRC Public Document Room.
- ☐ Enclosed is information on how you may obtain access to and the charges for copying records located at the NRC Public Document Room, 2120 L Street, NW, Washington, DC.
- ☒ APPENDICES C & D Agency records subject to the request are enclosed.
- ☐ Records subject to the request that contain information originated by or of interest to another Federal agency have been referred to that agency (see comments section) for a disclosure determination and direct response to you.
- ☒ We are continuing to process your request.
- ☐ See Comments.

**PART I.A -- FEES**

AMOUNT \*

\$

\* See comments  
for details

- ☐ You will be billed by NRC for the amount listed. ☐ None. Minimum fee threshold not met.
- ☐ You will receive a refund for the amount listed. ☐ Fees waived.

**PART I.B -- INFORMATION NOT LOCATED OR WITHHELD FROM DISCLOSURE**

- ☐ No agency records subject to the request have been located.
- ☒ Certain information in the requested records is being withheld from disclosure pursuant to the exemptions described in and for the reasons stated in Part II.
- ☒ This determination may be appealed within 30 days by writing to the FOIA/PA Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001. Clearly state on the envelope and in the letter that it is a "FOIA/PA Appeal."

**PART I.C COMMENTS (Use attached Comments continuation page if required)**

SIGNATURE - FREEDOM OF INFORMATION ACT AND PRIVACY ACT OFFICER

Carol Ann Reed

**RESPONSE TO FREEDOM OF INFORMATION  
ACT (FOIA) / PRIVACY ACT (PA) REQUEST**

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**APPENDICES  
D & E****PART II.A -- APPLICABLE EXEMPTIONS**

Records subject to the request that are described in the enclosed Appendices are being withheld in their entirety or in part under the Exemption No.(s) of the PA and/or the FOIA as indicated below (5 U.S.C. 552a and/or 5 U.S.C. 552(b)).

- ☐ Exemption 1: The withheld information is properly classified pursuant to Executive Order 12958.
- ☐ Exemption 2: The withheld information relates solely to the internal personnel rules and procedures of NRC.
- ☐ Exemption 3: The withheld information is specifically exempted from public disclosure by statute indicated.
- ☐ Sections 141-145 of the Atomic Energy Act, which prohibits the disclosure of Restricted Data or Formerly Restricted Data (42 U.S.C. 2161-2165).
- ☐ Section 147 of the Atomic Energy Act, which prohibits the disclosure of Unclassified Safeguards Information (42 U.S.C. 2167).
- ☐ 41 U.S.C., Section 253(b), subsection (m)(1), prohibits the disclosure of contractor proposals in the possession and control of an executive agency to any person under section 552 of Title 5, U.S.C. (the FOIA), except when incorporated into the contract between the agency and the submitter of the proposal.
- ☐ Exemption 4: The withheld information is a trade secret or commercial or financial information that is being withheld for the reason(s) indicated.
- ☐ The information is considered to be confidential business (proprietary) information.
- ☐ The information is considered to be proprietary because it concerns a licensee's or applicant's physical protection or material control and accounting program for special nuclear material pursuant to 10 CFR 2.790(d)(1).
- ☐ The information was submitted by a foreign source and received in confidence pursuant to 10 CFR 2.790(d)(2).
- ☒ Exemption 5: The withheld information consists of interagency or intraagency records that are not available through discovery during litigation. Applicable privileges:
- ☒ Deliberative process: Disclosure of predecisional information would tend to inhibit the open and frank exchange of ideas essential to the deliberative process. Where records are withheld in their entirety, the facts are inextricably intertwined with the predecisional information. There also are no reasonably segregable factual portions because the release of the facts would permit an indirect inquiry into the predecisional process of the agency.
- ☐ Attorney work-product privilege. (Documents prepared by an attorney in contemplation of litigation)
- ☒ Attorney-client privilege. (Confidential communications between an attorney and his/her client)
- ☐ Exemption 6: The withheld information is exempted from public disclosure because its disclosure would result in a clearly unwarranted invasion of personal privacy.
- ☐ Exemption 7: The withheld information consists of records compiled for law enforcement purposes and is being withheld for the reason(s) indicated.
- ☐ (A) Disclosure could reasonably be expected to interfere with an enforcement proceeding (e.g., it would reveal the scope, direction, and focus of enforcement efforts, and thus could possibly allow recipients to take action to shield potential wrongdoing or a violation of NRC requirements from investigators).
- ☐ (C) Disclosure would constitute an unwarranted invasion of personal privacy.
- ☐ (D) The information consists of names of individuals and other information the disclosure of which could reasonably be expected to reveal identities of confidential sources.
- ☐ (E) Disclosure would reveal techniques and procedures for law enforcement investigations or prosecutions, or guidelines that could reasonably be expected to risk circumvention of the law.
- ☐ (F) Disclosure could reasonably be expected to endanger the life or physical safety of an individual.
- ☐ OTHER (Specify)

**PART II.B -- DENYING OFFICIALS**

Pursuant to 10 CFR 9.25(g), 9.25(h), and/or 9.65(b) of the U.S. Nuclear Regulatory Commission regulations, it has been determined that the information withheld is exempt from production or disclosure, and that its production or disclosure is contrary to the public interest. The person responsible for the denial are those officials identified below as denying officials and the FOIA/PA Officer for any denials that may be appealed to the Executive Director for Operations (EDO).

DENYING OFFICIAL	TITLE/OFFICE	RECORDS DENIED	APPELLATE OFFICIAL		
			EDO	SECY	IG
Paul H. Lohaus	Director, Office of State and Tribal Programs	D/1, D/2, E/1	<input checked="" type="checkbox"/>		
Martin J. Virgillio	Director, Office of Nuclear Materials Safety and Safeguards	D/3, D/4, D/5	<input checked="" type="checkbox"/>		

Appeal must be made in writing within 30 days of receipt of this response. Appeals should be mailed to the FOIA/Privacy Act Officer, U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, for action by the appropriate appellate official(s). You should clearly state on the envelope and letter that it is a "FOIA/PA Appeal."

**APPENDIX C**  
**RECORDS BEING RELEASED IN THEIR ENTIRETY**

<u>NO.</u>	<u>DATE</u>	<u>DOCUMENT DESCRIPTION/PAGE COUNT</u>
1.	07/15/03	Email from Charles Cox, NMSS, to Cardelia Maupin, STP, subject: NY Petition - Neighbors Against Garbage. 1 page
2.	07/22/03	Email from C. Cox, NMSS, to C. Maupin, STP, J. Goldberg, OGC, J. Piccone, STP, and M. Layton, NSIR, regarding 2.206 Acknowledgment letter, attaching draft letter. 6 pages
3.	07/23/03	Email from C. Cox, NMSS, to C. Maupin, STP, G. Smith, NSIR, J. Goldberg, OGC, and P. Holahan, NMSS, regarding PRB Worksheet for today's meeting. 3 pages
4.	07/23/03	Email from C. Cox, NMSS to various addressees regarding meeting on Petition Review Board for Radiac - State of NY. 1 page
5.	7/24/03	E-mail from P. Goldberg, NMSS, to C. Maupin, STP and C. Cox, NMSS, re NY Petition - Neighbors Against Garbage. 1 page
6.	11/24/03	E-mail from Antonio Fernandez, OGC, to C. Cox, NMSS, regarding 2.206 Petition from Neighbors Against Garbage. 1 page
7.	11/25/03	E-mail from C. Cox, NMSS, to various addressees regarding Pre-PRB Board on Neighbors Against Garbage, with attachment. 2 pages
8.	11/26/03	E-mail from C. Cox, NMSS, to addressees regarding PRB on Neighbors Against Garbage, with attachments. 5 pages
9.	12/31/03	E-mail from P. Goldberg, NMSS, to Lucia Lopez, NMSS, regarding letter, with attached draft. 2 pages
10.	12/31/03	E-mail from P. Goldberg, NMSS, to C. Maupin, STP, J. Goldberg, OGC, and M. Layton, NSIR, regarding Radiac letter to Rep. Velasquez, attached draft. 2 pages

**APPENDIX C**  
**RECORDS BEING RELEASED IN ENTIRETY**

<u>NO.</u>	<u>DATE</u>	<u>DOCUMENT DESCRIPTION/PAGE COUNT</u>
11.	01/05/04	Email from Paul Goldberg, NMSS, to Cardelia Maupin, STP, regarding Radiac letter to Rep. Velasquez, with attached draft. 3 pages
12.	01/05/04	E-mail from Paul Goldberg, NMSS, to Cardelia Maupin, STP, and Jack Goldberg, OGC. 4 pages
13.	01/07/04	E-mail from C. Maupin, STP, to C. Cox, NMSS, re Radiac - proposed hearing dates. 1 page
14.	01/07/04	E-mail from C. Maupin, STP, to C.Cox, NMSS, re Radiac - Proposed hearing dates. 1 page
15.	01/08/04	E-mail from C. Maupin, STP, to C. Cox, NMSS, re Radiac - Proposed Hearing dates. 1 page
16.	01/14/04	E-mail from Paul Goldberg, NMSS, to Anne Boland, RII regarding Velasquez letter. 4 pages
17.	01/15/04	E-mail from Martin Virgillio to Patricia Holahan and C. Miller, NMSS, regarding Periodic. 1 page
18.	01/15/04	E-mail from Paul Goldberg, NMSS, to Charles Cox , NMSS, regarding Radiac - again. 1 page
19.	01/16/04	E-mail from Doug Broaddus to Paul Goldberg, NMSS, regarding Proposed changes to Rep. Velazquez letter. 1page
20.	01/16/04	E-mail from Charles Cox, NMSS, to Richard Webster regarding Dates of hearing on Radiac. 3 pages
21.	01/16/04	E-mail from Paul Goldberg, NMSS, to Anne Boland, RII regarding Radiac. 1 page
22.	01/16/04	E-mail form Charles Cox, NMSS, to addressees regarding PRB public meeting on NAG and Radiac. 1 page

**APPENDIX C**  
**RECORDS BEING RELEASED IN ENTIRETY**

<u>NO.</u>	<u>DATE</u>	<u>DOCUMENT DESCRIPTION/PAGE COUNT</u>
23.	01/20/04	E-mail from Paul Goldberg to D. Broaddus, M. Virgillio and M. Waters, NMSS, regarding Proposed changes to Rep. Velazquez letter. 1 page
24.	01/21/04	E-mail from Charles Cox to Paul Goldberg, NMSS, regarding Radiac Possession Limits.

**APPENDIX D  
RECORDS BEING WITHHELD IN PART**

<b><u>NO.</u></b>	<b><u>DATE</u></b>	<b><u>DESCRIPTION/(PAGE COUNT)/EXEMPTIONS</u></b>
1.	06/30/03	E-mail from J. Goldberg, OGC, to C. Maupin, STP, Lloyd Bolling, Paul Lohaus, Rosetta Virgillio, and Scott Flanders, regarding Chairman Ltr - Radiac in NY - Threat to Security. 1 page <b>EX. 5</b>
2.	07/24/03	E-mail from Cardelia Maupin, STP, to Charles Cox and Paul Goldberg, NMSS, regarding NY Petition - Neighbors Against Garbage. 1 page <b>EX. 5</b>
3.	07/25/03	E-mail from Jack Goldberg, OGC, to Cardelia Maupin, OSTP, Charles Cox and Paul Goldberg, NMSS, regarding NY Petition - Neighbors Against Garbage. 1 page. <b>EX. 5 Attorney-Client Privilege</b>
4.	12/10/03	E-mail from Paul Goldberg, NMSS, to Cardelia Maupin, OSTP, Jack Goldberg, OGC, and Michael Layton, NSIR, regarding Radiac Petition. 1 page <b>EX. 5 Attorney-Client Privilege</b>
5.	01/05/04	E-mail from Jack Goldberg, OGC, to Cardelia Maupin, OSTP, Michael Layton, NSIR, and Paul Goldberg, NMSS, regarding Radiac letter to Rep. Velasquez. 1 page <b>EX. 5 Attorney-Client privilege</b>

**APPENDIX E**  
**RECORD BEING WITHHELD IN ENTIRETY**

<u>NO.</u>	<u>DATE</u>	<u>DESCRIPTION/(PAGE COUNT)/EXEMPTIONS</u>
1.	06/27/03	E-mail from Paul Lohaus, OSTP, to Cardelia Maupin, Lloyd Dolling, Rosetta Virgillio, and Scott Flanders, OSTP, regarding Chairman Ltr - Radiac in NY - threat to Security. 1 page EX. 5