

AUDIT OBSERVATION INQUIRIES -STATUS TABLE

AUDITED AREA	AOI #	DESCRIPTION	Response Rec'd
LLNL	LLNL-ARC-02-07No. 1	LLNL did not receive relevant POs for welded-metal plates that Framatome supplied and the absence of the Pos impeded its receipt inspections - loss of material control.	Yes
Unsaturated Zone	BQAP-BSC-03-02 No. 1	DOE/BSC used unqualified data as inputs for modeling and analysis purpose for low risk significant applications supporting site recommendation.	Yes
Unsaturated Zone	BQAP-BSC-03-02 No. 2	Because of time and scheduling pressure, a BSC qualified checker and a BSC Quality Engineering Representative approved the Thermal Testing Measurement Report without reviewing all the associated data.	Yes
Data	OQAP-BSC-03-05 No. 1	BSC reviewed approximately 46 data-related DRs from 1998 to present. NRC request DOE provide outcome of CAR evaluation, results of root cause, and associated CA plan.	Yes
Software	OQAP-BSC-03-07 No. 1	Tolerance for accepting results from the testing phase is quite variable and without discernable reason for the variability. Official response not received from DOE.	Yes
Software	OQAP-BSC-03-07 No. 2	Grading Approach - Software categorized as either Level A/ or B or Level 1, Level 2, or Level 3.	Yes
Software	OQAP-BSC-03-07 No. 3	Approx. 90 DRs/CARs have been identified over the last four years. Impact and detailed review requested by NRC?	Yes
YMSCO	YMSCO-ARC-02-12-01	Onsite Rep AOI describes the need for OQA to ensure procedure development and review process includes a documented evaluation to verify compliance with the QARD.	Yes
OQA	OQAC-OQA-03-09	Onsite Rep AOI describes the lack of independence in the development of audit checklist.	Yes
Data	OQAP-BSC-03-14	Data Definitions and Inconsistency of DOE's procedures with NUREG 1298.	Yes
Models	OQAP-BSC-03-10-01	Observer concerned that the AMR evaluation may be inadequate to ascertain the technical adequacy of the conceptual model for potential tephra remobilization process. Official response not received from DOE.	Yes
Models	OQAP-BSC-03-10-02	Inappropriate use of undocumented technical reviews of a quality affecting document. Official response not received from DOE.	Yes
Models	OQAP-BSC-03-10-03	Providing checklist to auditees prior to audit. Explain why? Official response not received from DOE.	Yes