



**CENTRE FOR NUCLEAR WASTE REGULATORY ANALYSES  
QUALITY ASSURANCE  
SURVEILLANCE REPORT**

PROJECT NO.: 20.06002.01.031

REPORT NO.: 2003-12 *5/19/2003*

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**SURVEILLANCE SCOPE:** To determine whether the SwRI Quality Systems Department and other SwRI Divisions are acceptable to accomplish CNWRA work. This surveillance was limited to reviewing audits of SwRI, the SwRI Quality Systems Department, and of audits of other SwRI Divisions.

**REFERENCE DOCUMENTS:** QAP-004, Surveillance Control.

**STARTING DATE:** May 16, 2003

**ENDING DATE:** May 16, 2003

**QA REPRESENTATIVE:** Bruce Mabrito

**PERSONS CONTACTED DURING ACTIVITY:** R. Weber and M. Bridges of the SwRI Quality Systems Department.

**SATISFACTORY FINDINGS:** This surveillance of SwRI Cost Center 30, Institute Quality Systems, was conducted by B. Mabrito to provide an independent assessment of the implementation of their quality system by reviewing audits of other SwRI Divisions and audits of the SwRI Quality Systems Department by the Nuclear Utilities Procurement Issues Committee ( NUPIC).

Although the Institute is moving toward a more universal ISO 9000:2000 quality system, division by division, the Institute Quality Systems Department continues to serve each division as required by the various contracts. That is, some divisions require ISO 9000:2000, some require ISO 9000:1994, some require other quality systems development and implementation, and Div. 20/CNWRA requires support in the 10 CFR 50 Appendix B/NQA-1 and 10 CFR 63, Subpart G areas. The Institute Quality Systems Department continues to supply Div. 20/CNWRA with the needed support and are determined by the writer of this surveillance report to be acceptable for use by the CNWRA.

The primary documentation relating to the U.S. NRC-associated activities of the CNWRA was the NUPIC audit of SwRI on May 20-24, 2002, and follow on visits by them to SwRI. There were eight Corrective Action Requests and three areas of improvement noted in their final report. It should be noted that on January 7, 2003, the NUPIC (Entergy) audit team leader issued a letter to close CARs No. 02-046, 02-048, and 02-049. The letter was signed by Mr. Wenstrom E. Edge, Manager of the Corporate QA Division of Entergy. There was a return visit to SwRI by a NUPIC representative in March 2003 to follow up on SwRI corrective actions and no further negative findings were issued.

Additionally, it was observed that the SwRI Quality Systems Department had performed audit in all major divisions and departments during the 2002-2003 calendar years. The 2002 audit records ranged from 2002-AR-0001 through 2002-AR-0050. In 2003, the audits began in January of 2003 and have been conducted in both Division and Support Cost Centers into May 2003. This appears to be a well planned and executed audit program covering most parts of the Institute.

All audit records were in a locked QA Records Room in SwRI Building 64, and each of the audit folders was in a locked cabinet in that QA Records Room. The surveillance specialist was escorted into the room by an SwRI QA staff member. The records were readily identifiable and were clean, in order, and easily read. The records specialist has done a good job of maintaining these SwRI records.

**UNSATISFACTORY FINDINGS:** None.

**NONCONFORMANCE REPORT NO.:** None.

**ATTACHMENT:** None.

**RECOMMENDATIONS/ACTIONS:** Determination of acceptance to use SwRI QA Department for CNWRA needs.

APPROVED:

*Bruce Mabrito*  
CENTER DIRECTOR OF QUALITY ASSURANCE

DATE:

*5/19/2003*

**DISTRIBUTION:** ORIGINAL - CNWRA QA DIRECTOR/QA Records

ORIGINATOR B. Mabrito

PRINCIPAL SCIENTISTS - Not Applicable

ALL ELEMENT MANAGERS

B. Sagar, P. Mackin

M. Ehnstrom, R. Folck