



CENTER FOR NUCLEAR WASTE REGULATORY ANALYSES QUALITY ASSURANCE SURVEILLANCE REPORT

PROJECT NO.: 20-5702-154

REPORT NO.: 94-09

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SURVEILLANCE SCOPE: Twenty-four (24) Scientific Notebooks were evaluated for compliance with QAP-001, Revision 1 in response to Corrective Action Request (CAR) 94-02. Due to the close relationship between QAP-001 and QAP-014, compliance to QAP-014, Revision 0 was also evaluated. The requirements of both QAP-001 and QAP-014 were reviewed with appropriate personnel during this evaluation.

REFERENCE DOCUMENTS:

QAP-001, Revision 1, "Scientific Notebook Control"
QAP-014, Revision 0, "Documentation and Verification of Routine Calculations"
Corrective Action Request 94-02
Notebook No.'s 056, 088, 097, 072, 073, 115, 029, 039, 112, 113, 114, 033, 065, 067, 068, 069, 093, 076, 077, 074, 106, 017, 018, 064.

STARTING DATE: September 2, 1994 *Adt* **ENDING DATE:** September 29, 1994

QA REPRESENTATIVE: R. W. Folck, SwRI Institute QA

PERSONS CONDUCTING TEST/EXAM/ACTIVITY:

B. Hill, A. Nagy, S. Hsiung, B. Sagar, S. Mohanty, R. Bagtzoglou, A. Nedungadi, H. Manaktala, B. Henderson, H. Manaktala, D. Turner, C. Connor, A. DeWispelare.

SATISFACTORY FINDINGS:

Personnel interviewed are aware of QAP-001 and QAP-014 procedural requirements. However, discrepancies were identified in most notebooks reviewed. These problems have been reviewed with appropriate personnel and corrections have been or are being made. Follow-up review and verification will be performed.

UNSATISFACTORY FINDINGS:

1. See above.

NONCONFORMANCE REPORT NO.: N/A, Refer to CAR 94-02

ATTACHMENTS: CNWRA Notebook Review Summary

RECOMMENDATIONS/ACTIONS:

Disposition lost notebooks.
Correct noted problems and verify.

APPROVED: *[Signature]*
CENTER DIRECTOR OF QUALITY ASSURANCE

DATE: 9/29/94

DISTRIBUTION:

ORIGINAL - CENTER QA DIRECTOR
ORIGINATOR
PRINCIPAL INVESTIGATORS: N/A
ELEMENT MANAGERS: P. Nair, A. Chowdhury, L. McKague, R. Baca, P. Mackin
B. Sagar (T.D.)
A. Cortinas

CNWRA Notebook Review Summary
June - August, 1994
Randy Folck
CAR 94-02
Update: 9/29/94

Notebook No.	Notebook Owner/ User(s)	Date Reviewed	Comments	Date Resolved
048	Aaron DeWispelare	6/23/94	Yes	9/9/94
059	Renner Hofmann	6/15/94	Yes	
022	Bill Murphy	6/17/94	Yes	7/12/94
037	"	6/17/94	No	N/A
094	"	6/17/94	Yes	7/12/94
049	"	7/12/94	Yes	7/12/94
095(Elec) 102	Peter Lichtner "	6/23/94 Can't	Yes Find	
107	Hengameh Karimi	6/22/94	No-Empty	N/A
108	"	6/22/94	No-Empty	N/A
105	Goodluck Ofoegbu	6/21/94	No-Empty	N/A
089	Miklas/Janetzke/	6/22/94	Yes	
096	Dertatevasion	6/22/94	Yes	
099	Kathy Spivey	6/22/94	No	N/A
091	Patrick LaPlante	6/23/94	Yes	
042	J. Prikryl	8/5/94	Yes	8/5/94
058	Prikryl/Bertetti	7/7/94	Yes	
061	Pabalan/Bertetti	7/7/94	Yes	
038	"	7/7/94	Yes	
081	"	7/7/94	Yes	
020	"	7/7/94	Yes	
026	"	7/8/94	Yes	
37-1	"	7/8/94	Yes	
082	"	8/12/94	No	NA
060	"	8/12/94	Yes	
015	Paul Bertetti	7/8/94	Yes	
031	Leslie/Bertetti	7/8/94	Yes	

Notebook No.	Notebook Owner/ User(s)	Date Reviewed	Comments	Date Resolved
035	Leslie/Bertetti	8/15/94	Yes	
075	Pearcy/Bertetti	7/8/94	Yes	
024	"	7/8/94	Yes	
041	"	7/7/94	Yes	
021	E. Pearcy	8/15/94	Yes	
036	"	8/15/94	Yes	
044	Pearcy/Nguyen	8/19/94	Yes	
084	Pearcy/Pickette	8/16/94	Yes	
050	Pearcy/Pan	8/16/94	Yes	
046	D. Turner	7/12/94	Yes	8/16/94
057	"	7/12/94	Yes	9/2/94
056	B. Hill	9/2/94	Yes	
088	"	9/2/94	Yes	
097	Hill/Luhr	9/29/94	Yes	
072	C. Connor	9/2/94	No-Empty	N/A
073	"	9/2/94	Yes	
079	"	Can't	Find	
090	"	Can't	Find	
115	"	9/2/94	Yes	
100	D. Ferrill	7/29/94	Yes	7/29/94
101	"	7/29/94	No	N/A
027	Cragolino/Dunn	7/27/94	Yes	
032	"	7/27/94	Yes	
028	H. Manaktala	9/9/94	Yes	9/9/94
039	"	9/9/94	No-Empty	
040	"	Can't	Find	
103	"	8/5/94	Yes	
025	Sridhar/Dunn	7/27/94	Yes	
045	"	7/27/94	Yes	
009	"	7/27/94	Yes	
085	"	8/5/94	Yes	
086	"	8/5/94	Yes	
109	Sridhar	Can't	Find	

Notebook No.	Notebook Owner/ User(s)	Date Reviewed	Comments	Date Resolved
112 113 114	Henderson/Stirewolt Henderson/Ferrill "	9/9/94 9/9/94 9/9/94	Yes Yes Yes	
034 051 054 033	Morris/Young " " "	8/5/94 Can't 8/5/94 9/1/94	No-Empty Find Yes Yes	N/A
065 066 067 068 069	R. Bagtzoglou " " " "	9/16/94 Can't 9/16/94 9/29/94 9/16/94	Yes Find Yes Yes Yes	
093	Baca/Nedungadi	9/23/94	Yes	
076 077	G. Wittmeyer "	9/9/94 9/9/94	Yes Yes	
074 106	S. Mohanty "	9/16/94 9/29/94	Yes Yes	
070 071	B. Sagar "	Remove Remove	Control Control	N/A N/A
092	Manteufel/Castellaw	8/10/94	No	NA
063 074	S. Hsiung/ Pickens(04)/Kana(04)	6/21/94 6/21/94	Yes Yes	
017 018 064	S. Hsiung " Hsiung/Nagy	9/22/94 9/22/94 9/22/94	No No Yes	N/A N/A 9/22/94
111	R. Brient	7/29/94	No	N/A
078 083 087	Manteufel/Green " "	8/12/94 8/12/94 8/12/94	Yes Yes Yes	
028 052 003	Green/Svedeman " "	8/12/94 8/10/94 8/12/94	Yes Yes Yes	

Notebook No.	Notebook Owner/ User(s)	Date Reviewed	Comments	Date Resolved
023	R. Green	8/12/94	Yes	
047	"	8/12/94	Yes	
062	"	8/12/94	Yes	
098	"	8/12/94	Yes	
104	Green/Rice	8/12/94	Yes	
110	R. Green	8/12/94	Yes	
116	R. Green	8/12/94	No-Empty	NA

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[26] From: A Bagtzoglou at CNWRA 10/4/94 6:52PM (590 bytes: 1 ln)
To: Alice Cortinas at CNWRA-OS2
cc: Robert Baca at CNWRA-OS2, Bruce Mabrito at CNWRA-OS2
Subject: SCIENTIFIC NOTEBOOK #66

----- Message Contents -----

Text item 1: Untitled

Alice:

As I have (at least twice in the past) told Randy (the QA auditor), scientific notebook #66 has not been misplaced. Vivek Kapoor was assigned to it and apparently took it with him to California.

As far as I know he had not used it while he was at CNWRA. Therefore, for all practical purposes you can consider it as "lost and not used."

Ross

CENTER FOR NUCLEAR WASTE REGULATORY ANALYSES

DATE: October 5, 1994
 TO: Peter Lichtner
 FROM: Alice Cortinas
 SUBJECT: Scientific Notebook

Your scientific notebook #102 has apparently been misplaced and cannot be located. I have verified that you were indeed issued scientific notebook #102. If you cannot locate this notebook today, please send me an e-mail note for the record and I will make a note in the Scientific Notebook Log that it was lost and not used. Thankyou for your cooperation.

cc: P. Nair
 B. Mabrito

Alice:

My recollection is that the notebook in question was too small and so I traded it in for #095 which I have — but which is ^{being} replaced by a computerized notebook. I do not have #102 in my possession.

Peter