

PREVIOUS REPORTS IN SERIES

WASH-1311	A Compilation of Occupational Radiation Exposure from Light Water Cooled Nuclear Power Plants, 1969-1973, U.S. Atomic Energy Commission, May 1974.
NUREG-75/032	Occupational Radiation Exposure at Light Water Cooled Power Reactors, 1969-1974, U.S. Nuclear Regulatory Commission, June 1975.
NUREG-0109	Occupational Radiation Exposure at Light Water Cooled Power Reactors, 1969-1975, U.S. Nuclear Regulatory Commission, August 1976.
NUREG-0323	Occupational Radiation Exposure at Light Water Cooled Power Reactors, 1969-1976, U.S. Nuclear Regulatory Commission, March 1978.
NUREG-0482	Occupational Radiation Exposure at Light Water Cooled Power Reactors, 1977, U.S. Nuclear Regulatory Commission, May 1979.
NUREG-0594	Occupational Radiation Exposure at Commercial Nuclear Power Reactors, 1978, U.S. Nuclear Regulatory Commission, November 1979.
NUREG-0713	Occupational Radiation Exposure at Commercial Nuclear Power Reactors 1979, Vol. 1, U.S. Nuclear Regulatory Commission, March 1981.
NUREG-0713	Occupational Radiation Exposure at Commercial Nuclear Power Reactors 1980, Vol. 2, U.S. Nuclear Regulatory Commission, December 1981.
NUREG-0713	Occupational Radiation Exposure at Commercial Nuclear Power Reactors 1981, Vol. 3, U.S. Nuclear Regulatory Commission, November 1982.
NUREG-0713	Occupational Radiation Exposure at Commercial Nuclear Power Reactors 1982, Vol. 4, U.S. Nuclear Regulatory Commission, December 1983.
NUREG-0713	Occupational Radiation Exposure at Commercial Nuclear Power Reactors 1983, Vol. 5, U.S. Nuclear Regulatory Commission, March 1985.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1984, Vol. 6, U.S. Nuclear Regulatory Commission, October 1986.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1985, Vol. 7, U.S. Nuclear Regulatory Commission, April 1988.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1986, Vol. 8, U.S. Nuclear Regulatory Commission, August 1989.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1987, Vol. 9, U.S. Nuclear Regulatory Commission, November 1990.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1988, Vol. 10, U.S. Nuclear Regulatory Commission, July 1991.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1989, Vol. 11, U.S. Nuclear Regulatory Commission, April 1992.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1990, Vol. 12, U.S. Nuclear Regulatory Commission, January 1993.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1991, Vol. 13, U.S. Nuclear Regulatory Commission, July 1993.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1992, Vol. 14, U.S. Nuclear Regulatory Commission, December 1993.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1993, Vol. 15, U.S. Nuclear Regulatory Commission, January 1995.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1994, Vol. 16, U.S. Nuclear Regulatory Commission, January 1996.
NUREG-0713	Occupational Radiation Exposure At Commercial Nuclear Power Reactors and Other Facilities 1995, Vol. 17, U.S. Nuclear Regulatory Commission, January 1997.

Previous reports in the NUREG-0714 series, which are now combined with NUREG-0713, are as follows:

WASH-1350-R1 through WASH-1350-R6	First through Sixth Annual Reports of the Operation of the U.S. AEC's Centralized Ionizing Radiation Exposure Records and Reporting System, U.S. Atomic Energy Commission.
NUREG-75/108	Seventh Annual Occupational Radiation Exposure Report for Certain NRC Licensees - 1974, U.S. Nuclear Regulatory Commission, October 1975.
NUREG-0119	Eighth Annual Occupational Radiation Exposure Report for 1975, U.S. Nuclear Regulatory Commission, October 1976.
NUREG-0322	Ninth Annual Occupational Radiation Exposure Report for 1976, U.S. Nuclear Regulatory Commission, October 1977.
NUREG-0463	Tenth Annual Occupational Radiation Exposure Report for 1977, U.S. Nuclear Regulatory Commission, October 1978.
NUREG-0593	Eleventh Annual Occupational Radiation Exposure Report for 1978, U.S. Nuclear Regulatory Commission, January 1981.
NUREG-0714	Twelfth Annual Occupational Radiation Exposure Report for 1979, Vol. 1, U.S. Nuclear Regulatory Commission, August 1982.
NUREG-0714	Occupational Radiation Exposure, Thirteenth and Fourteenth Annual Reports, 1980 and 1981, Vols. 2 and 3, U.S. Nuclear Regulatory Commission, October 1983.
NUREG-0714	Occupational Radiation Exposure, Fifteenth and Sixteenth Annual Reports, 1982 and 1983, Vols. 4 and 5, U.S. Nuclear Regulatory Commission, October 1985.

ABSTRACT

This report summarizes the occupational exposure data that are maintained in the U.S. Nuclear Regulatory Commission's (NRC) Radiation Exposure Information and Reporting System (REIRS). The bulk of the information contained in the report was compiled from the 1996 annual reports submitted by six of the seven categories¹ of NRC licensees subject to the reporting requirements of 10 CFR 20.2206. Since there are no geologic repositories for high level waste currently licensed, only six categories will be considered in this report.

Annual reports for 1996 were received from a total of **300** NRC licensees, of which **109** were operators of nuclear power reactors in commercial operation. Compilations of the reports submitted by the 300 licensees indicated that **138,310** individuals were monitored, **75,139** of whom received a measurable dose (Table 3.1). The collective dose incurred by these individuals was **21,755** person-cSv (person-rem)² which represents a **13% decrease** from the 1995 value. The number of workers receiving a measurable dose also decreased, resulting in the average measurable dose of **0.29** cSv (rem) for 1996. The average measurable dose is defined to be the total collective dose (TEDE) divided by the number of workers receiving a measurable dose. These figures have been adjusted to account for transient reactor workers.

In 1996, the annual collective dose per reactor for light water reactor licensees (LWRs) was **173** person-cSv (person-rem). This represents a 13% decrease from the value reported for 1995. The annual collective dose per reactor for boiling water reactors (BWRs) was **256** person-cSv (person-rem) and, for pressurized water reactors (PWRs), it was **131** person-cSv (person-rem).

Analyses of transient worker data indicate that **22,348** individuals completed work assignments at two or more licensees during the monitoring year. The dose distributions are adjusted each year to account for the duplicate reporting of transient workers by multiple licensees. In 1996, the average measurable dose calculated from reported data was **0.24** cSv (rem). The corrected dose distribution resulted in an average measurable dose of **0.29** cSv (rem).

¹ Commercial nuclear power reactors; industrial radiographers; fuel processors, fabricators, and reprocessors; manufacturers and distributors of byproduct material; independent spent fuel storage installations; facilities for land disposal of low-level waste; and geologic repositories for high-level waste.

² In the International System of Units the sievert (Sv) is the name given to the units for dose equivalent. One centisievert (cSv) equals one rem; therefore, person-rem becomes person-cSv.

EDITOR'S NOTE

The NRC currently has a five-year contract with Science Applications International Corporation (SAIC) to assist the NRC Staff in the preparation of the NUREG-0713 series. Mr. Charles Hinson (NRR) assisted in the preparation of this NUREG, serving as the NRC Technical reviewer. SAIC will be suggesting changes in the presentation of certain data in these reports. Readers should be alert to these changes, and the NRC welcomes responses, especially where these changes can be improved upon.

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PREFACE

A number of NRC licensees have inquired as to how the occupational radiation exposure data that are compiled from the individual exposure reports required by § 20.2206 and the annual dose data reported by work function in accordance with Subsection 6.9.1.5 of the standard technical specifications for nuclear power plants are used by the NRC staff. This is a very appropriate inquiry that may be of importance to many affected licensees. In combination with other sources of information, the principal uses of the data are to provide facts regarding routine occupational exposures to radiation and radioactive material that occur in connection with certain NRC-licensed activities. These facts are used by the NRC staff as indicated below:

1. The data permit evaluation, from the viewpoint of trends, of the effectiveness of the overall NRC/licensee radiation protection and ALARA efforts by certain licensees. They also provide for the identification (and subsequent correction) of unfavorable trends.
2. The external dose data assist in the evaluation of the radiological risk associated with certain categories of NRC-licensed activities and are used for comparative analyses of radiation protection performance: US/foreign, BWRs/PWRs, civilian/military, facility/facility, nuclear industry/other industries, etc.
3. The data provide for the monitoring of transient workers who may affect dose distribution statistics through multiple counting, or who may exceed regulatory limits on radiation exposure due to the accumulation of exposure at multiple sites per calendar quarter or calendar year.
4. The data help provide facts for evaluating the adequacy of the current risk limitation system (e.g., are individual lifetime dose limits, worker population collective dose limits, and requirements for optimization needed?).
5. The data permit comparisons of occupational radiation risks with potential public risks when action for additional protection of the public involves worker exposures.
6. The data are used in the establishment of priorities for the utilization of NRC health physics resources: research, standards development, and regulatory program development.
7. The data provide facts for answering Congressional and Administration inquiries and for responding to questions raised by public interest groups, special interest groups, labor unions, etc.
8. The data provide information that may be used in the planning of epidemiological studies.

Occupational Radiation Exposure
at Commercial Nuclear Power Reactors and Other Facilities
Twenty-ninth Annual Report, 1996

1 INTRODUCTION

One of the basic purposes of the Atomic Energy Act and the implementing regulations in Title 10, Code of Federal Regulations, Chapter I, Part 20, is to protect the health and safety of the public, including the employees of the licensees conducting operations under those regulations. Among the regulations designed to ensure that the standards for protection against radiation set out in 10 CFR 20 are met is a requirement that licensees provide individuals likely to be exposed to radiation with devices to monitor their exposure. Each licensee is also required to maintain indefinitely records of the results of such monitoring. However, there was no initial provision that these records or any summary of them be transmitted to a central location where the data could be retrieved and analyzed.

On November 4, 1968, the U.S. Atomic Energy Commission (AEC) published an amendment to 10 CFR 20 requiring the reporting of certain occupational radiation exposure information to a central repository at AEC Headquarters. This information was required of the four categories³ of AEC licensees that were considered to involve the greatest potential for significant occupational doses and of AEC facilities and contractors exempt from licensing. A procedure was established whereby the appropriate occupational exposure data were extracted from these reports and entered into the Commission's Radiation Exposure Information Reporting System (REIRS), a computer system that was maintained at the Oak Ridge National Laboratory Computer Technology Center in Oak Ridge, Tennessee, until May 1990. At that time, the data were transferred to a database management system at Science Applications International Corporation (SAIC) at Oak Ridge, Tennessee. The computerization of these data ensures that they are kept indefinitely and facilitates their retrieval and analysis. The data maintained in REIRS have been summarized and published in a report every year since 1969. Annual reports for each of the years 1969 through 1973 presented the data reported by both AEC licensees and contractors and were published in six documents designated as WASH-1350-R1 through WASH-1350-R6.

In January 1975, with the separation of the AEC into the Energy Research and Development Administration (ERDA) and the U.S. Nuclear Regulatory Commission (NRC), each agency assumed responsibility for collecting and maintaining occupational radiation exposure information reported by the facilities under its jurisdiction. The annual reports published by the

³ Commercial nuclear power reactors; industrial radiographers; fuel processors, fabricators, and reprocessors; manufacturers and distributors of specified quantities of byproduct material.

NRC on occupational exposure for calendar year 1974 and subsequent years do not contain information pertaining to ERDA facilities or contractors. Comparable information for facilities and contractors under ERDA, now the Department of Energy (DOE), is collected and published by DOE's Office of Health, a division of Environment, Safety and Health, in Germantown, Maryland.

In 1982 and 1983, paragraph 20.408(a) of Title 10 of the Code of Federal Regulations was amended to require three additional categories of NRC licensees to submit annual statistical exposure reports and individual termination exposure reports. The new categories are (1) geologic repositories for high-level radioactive waste, (2) independent spent fuel storage installations, and (3) facilities for the land disposal of low-level radioactive waste. Therefore, this document presents the exposure information that was reported by NRC licensees representing two of these new categories. (There are no geologic repositories for high-level waste currently licensed.)

This report and each of the predecessors summarizes information reported for both the current year and for previous years. More licensee-specific data for previous years, such as the annual reports submitted by each commercial power reactor pursuant to 10 CFR 20.407 and their technical specifications, may be found in those documents listed on the inside of the front cover of this report for the specific year desired. Additional operating data and statistics for each power reactor for the years 1973 through 1982 may be found in a series of reports, "Nuclear Power Plant Operating Experience" [Refs. 1-9]. These documents are available for viewing at all NRC public document rooms, or they may be purchased from the National Technical Information Service, as shown in the Reference section.

In May of 1991, the revised 10 CFR 20 "Standards for Protection Against Radiation; Final Rule" was published in the Federal Register. The revision redefined the radiation monitoring and reporting requirements of NRC licensees. Instead of summary annual reports (§ 20.407) and termination reports (§ 20.408), licensees are now required to submit an annual report of the dose received by each monitored worker (§ 20.2206). Licensees were required to implement the new requirements on or before January of 1994. This report is the third compilation of radiation exposure information collected under the revised 10 CFR 20. Certain sections of the report have been modified to account for the change in the reporting of exposure information. Readers are encouraged to comment on these changes. Recommendations for further analysis or for different presentation of information are welcome.

1.1 Radiation Exposure Information on the Internet

In May of 1995, the NRC began pursuing the dissemination of radiation exposure information via a World Wide Web site on the Internet. This allows interested parties with the appropriate equipment to access the data electronically rather than through the published NUREG-0713 document. A web site was created for radiation exposure and linked into the main NRC web page. The web site contains up-to-date information on radiation exposure, as well as information and guidance on reporting radiation exposure information to the NRC. Interested parties may read the documents on-line or down-load information to their systems for further analysis. Software, such as REMIT, is also available for downloading via the web site. There are also links to other web sites dealing with the topics of radiation and health physics. The NRC intends to continue pursuing the dissemination of radiation exposure information via the World Wide Web and will focus more resources on the electronic distribution of information rather than the published hard copy reports.

The main web URL address for the NRC is:

<http://www.nrc.gov>

The NRC radiation exposure information web URL address is:

http://www.saic.com/home/nrc_rad

Comments on this report or the NRC's web page should be directed to:

REIRS Project Manager
Office of Nuclear Regulatory Research
U.S. Nuclear Regulatory Commission
Washington, DC 20555

2 LIMITATIONS OF THE DATA

All of the figures compiled in this report relating to exposures and doses are based on the results and interpretations of the readings of various types of personnel monitoring devices employed by each licensee. This information, obtained from routine personnel monitoring programs, is sufficient to characterize the radiation environment in which individuals work and is used in evaluating the radiation protection program.

Monitoring requirements are specified in 10 CFR § 20.1502, which requires licensees to monitor individuals who receive or are likely to receive a dose in a year in excess of 10% of the applicable limits. For most adults, the annual limit for the whole body is 5 cSv (rem), so 0.5 cSv (rem) per year is the level above which monitoring is required. Separate dose limits have been established for minors and pregnant workers. Monitoring is required for any individual entering a high or very high radiation area. Depending on the administrative policy of each licensee, persons such as visitors and clerical workers may also be provided with monitoring devices for identification or convenience, although the probability of their being exposed to measurable levels of radiation is extremely small. Licensees are given the option of reporting the doses of only those individuals for whom monitoring is required, or the dose distribution of all those for whom monitoring is provided. Many licensees elect to report the latter; however, this may increase the number of individuals that one could consider to be radiation workers. In an effort to account for this, the number of individuals reported as having "no measurable exposure" has been subtracted from the total number of individuals monitored in order to calculate an average dose per individual receiving a measurable dose, as well as the average dose per monitored individual (for example, see Table 3.1).

The Revised 10 CFR § 20 was published in the Federal Register on May 21, 1991. With the revision of Part 20, licensees report the monitoring results for each individual. This has eliminated the need for the staff to calculate collective dose from the statistical distributions and has improved the accuracy of the collective dose information presented in this report. Licensees were required to implement the new reporting requirements as of January 1, 1994. Certain licensees began reporting under these new requirements during 1993, and that data has been included in the analyses presented here.

Another impact of the Revised Part 20 is the change from whole body dose to total effective dose equivalent (TEDE). The TEDE includes both external and internal dose. The TEDE is determined by summing the deep dose equivalent (DDE) from external radiation exposure and the committed effective dose equivalent (CEDE) from internal exposures. For reports prior to 1994, only the whole body dose (equivalent to the DDE) was reported and analyzed. In

subsequent reports, the TEDE is presented and analyzed in all graphs and tables unless otherwise noted. Readers should be aware of this change from external whole body dose to the TEDE. For most licensed activities, the internal dose is not a significant contributor to the TEDE. However, workers at Fuel Fabrication facilities receive significant exposures from internal exposure. This change in reporting requirements can be seen in the 1994 through 1996 data for this licensee category. (See Section 3.3.5)

The average dose per individual, as well as the dose distributions shown for groups of licensees, also can be affected by the multiple reporting of individuals who were monitored by two or more licensees during the year. Licensees are only required to report the doses received by individuals at their licensed facility. A dose distribution for a single licensee does not consider that some of the individuals may have received doses at other facilities. When the data are summed to determine the total number of individuals monitored by a group of licensees, individuals may be counted more than once. This can also affect the distribution of doses because individuals may be counted multiple times in the lower dose ranges rather than one time in the higher range corresponding to the actual accumulated dose for the year (the sum of the individual's dose accrued at all facilities). This source of error has the greatest potential impact on the data reported by power reactor facilities since they employ many short-term workers. Further discussion of this point is provided in Section 5.

Another fact that should be kept in mind when examining the annual statistical data is that all of the personnel included in the report may not have been monitored throughout the entire year. Many licensees, such as radiography firms and nuclear power facilities, may monitor numerous individuals for periods much less than a year. The average doses calculated from these data, therefore, are less than the average dose that an individual would receive if involved in that activity for the full year.

Considerable attention should also be given when referencing the collective totals presented in this report. The differences between the totals presented for all licensees that reported versus only those licensees that are required to report should be noted. Likewise, one should distinguish between the doses attributed to the high temperature gas reactor (HTGR), pressurized water reactors (PWRs), and boiling water reactors (BWRs). The totals may be inclusive or exclusive of those licensees that were in commercial operation for less than one full year. These parameters vary throughout the tables and appendices of this report in order to provide the most comprehensive analysis of all the data available. The apparent discrepancies among the various tables are a necessary side-effect of this endeavor.

Also, it should again be pointed out that this report contains information reported by NRC licensees only. Since the NRC licenses all commercial nuclear power reactors, fuel processors, fabricators and reprocessors, and independent spent fuel storage facilities, information shown

for these categories reflects the U.S. experience. This is not the case, however, for the remaining categories of industrial radiography, manufacturing and distribution of specified quantities of by-product material, and low-level waste disposal. Companies that conduct these types of activities in Agreement States⁴ are licensed by the state and are not required to submit occupational exposure reports to the NRC. Approximately twice as many facilities are licensed to Agreement States than the number licensed by the NRC. This report also does not include non-occupational exposure such as exposure due to medical x-rays, fluoroscopy, and accelerators received as a patient. Information shown for these categories does not reflect the total U.S. experience.

⁴ States that have entered into an agreement with the NRC that allows each state to license organizations using radioactive materials for certain purposes. As of 12/31/94, there are 29 Agreement States.

3 ANNUAL PERSONNEL MONITORING REPORTS - 10 CFR 20.2206

3.1 Definition of Terms and Sources of Data

3.1.1 Statistical Summary Reports

On February 4, 1974, 10 CFR 20.407 was amended to require certain categories⁵ of licensees to submit an annual statistical report indicating the distribution of the whole body doses incurred by workers whom they monitored for exposure to radiation. Since the regulations did not require these licensees to report the collective dose incurred by the workers shown on the statistical reports, the dose distributions were used as the basis for the staff's calculation of the collective dose (see Section 3.1.4).

The revised 10 CFR 20 was published in the Federal Register on May 21, 1991. Section 20.2206 of the revised rule requires licensees to report the radiation exposure monitoring results for each individual for the monitoring year. All licensees were required to implement the new reporting requirements on or before January 1, 1994.

Under the new requirements, the individual's total effective dose equivalent (TEDE, as defined in § 20.1003) is reported, so that the dose distributions may be determined directly from the individual's exposure. The TEDE is summed per individual and tabulated into the appropriate dose range to generate the dose distribution for each licensee. The total collective dose is more accurate using this method, since the licensee reported the dose to each individual and the total collective dose was calculated from the sum of these doses and not statistically derived from the distribution (see Section 3.1.4). The TEDE includes the dose contribution from the committed effective dose equivalent (CEDE) for those workers who had intakes that required monitoring and reporting of internal dose. Reports submitted under formerly applicable 10 CFR 20.407 did not include the whole body contribution from internal dose.

3.1.2 Number of Monitored Workers

The number of monitored workers refers to the total number of workers that the NRC licensees, who are covered by 10 CFR 20.1502, reported as being monitored for exposure to external and internal radiation during the year. This number includes all workers for whom monitoring is required, and may include visitors, service representatives, contract workers, clerical workers, and any other workers for whom the licensee feels that monitoring devices should be provided.

⁵ Commercial nuclear power reactors; industrial radiographers; fuel processors, fabricators and reprocessors; and manufacturers and distributors of by-product material. Independent spent fuel storage installations; and facilities for land disposal of low-level radioactive waste were added to this list in 1983.

For licensees submitting under the revised 10 CFR 20.2206, the total number of workers was determined from the number of unique personal identification numbers submitted per licensee. Uniqueness is defined by the combination of identification number and identification type. [Ref. 18]

3.1.3 Number of Workers with Measurable Doses

Under the revised 10 CFR 20.2206, the number of workers with measurable dose includes any individual with a TEDE greater than zero cSv (rem). This does not include workers with a TEDE reported as zero, not detectable (ND), or not required to be reported (NR). [Ref. 18]

3.1.4 Collective Dose

The concept of collective dose is used in this report to denote the summation of the TEDE received by all monitored workers and has the units person-cSv (person-rem).⁶ The revised 10 CFR 20.2206 requires that the TEDE be reported, so the collective dose is calculated by summing the TEDE for all monitored workers. The phrase "collective dose" is used throughout this report to mean the collective TEDE, unless otherwise specified.

It should be noted that the collective dose in past years was, in some cases, calculated from the dose distributions by summing the products obtained from multiplying the number of workers reported in each of the dose ranges by the midpoint of the corresponding dose range. This assumes that the midpoint of the range is equal to the arithmetic mean of the individual doses in the range. Past experience has shown that the actual mean dose of workers reported in each dose range is less than the midpoint of the range, and therefore the resultant calculated collective doses shown in this report for these licensees may be about 10% higher than the sum of the actual individual doses. Care should be taken when comparing the actual collective dose calculated for 1996 with the collective dose for previous years because of this change in methodology. In addition, prior to 1994, doses only included the external whole body dose. Although the contribution of internal dose to the TEDE is minimal for most licensees, it should be taken into consideration when comparing the 1996 collective dose with the collective dose for prior years. One noted exception is for fuel fabrication licensees where the CEDE in some cases contributes the majority of the TEDE (see Section 3.3.5.).

⁶ In the International System of Units, the sievert (Sv) is the name given to the units for dose equivalent. One centisievert (cSv) equals one rem; therefore person-rem becomes person-cSv.

3.1.5 Average Individual Dose

The average individual dose is obtained by dividing the collective dose by the total number of workers reported as being monitored. This figure is usually less than the average measurable dose (see below) because it includes the number of those workers who received zero or less than measurable doses.

3.1.6 Average Measurable Dose

The average measurable dose is obtained by dividing the collective TEDE by the number of workers who received a measurable dose. This is the average most commonly used in this and other reports when examining trends and comparing doses received by workers in various segments of the nuclear industry because it deletes those workers receiving zero or minimal doses, many of whom were monitored for convenience or identification purposes.

3.1.7 Number of Licensees Reporting

The number of licensees refers to the NRC licenses issued to companies to use radioactive material for certain activities that would place them in one of the six categories that are required to report pursuant to 10 CFR 20.2206. The third column in Table 3.1 shows the number of licensees that have filed such reports during the last 10 years. Agreement State licensees do not submit such reports to the NRC and are not included in this report.

3.1.8 CR

One of the parameters that the United Nations Scientific Committee on the Effects of Atomic Radiation (UNSCEAR) recommends be calculated for occupational dose distributions to aid in the comparison of exposure data is a ratio "CR." CR is defined to be the ratio of the annual collective dose incurred by workers whose annual doses exceed 1.5 cSv to the total annual collective dose. One UNSCEAR report [Ref. 10] states that normal values of CR should be between 0.05 and 0.50. A CR of 0.50 means that 50% of the collective dose is due to individual doses that exceed 1.5 cSv (rem).

Prior to 1994, the value of CR was calculated from the statistical distributions that were submitted under 10 CFR 20.407. For this calculation, it was assumed that the doses were uniformly distributed between each dose range interval. The number of people in each dose range above 1.5 cSv was multiplied by the midpoint of the dose range to estimate the collective dose attributed to each dose range. The collective dose of workers with doses exceeding 1.5 cSv in the 1 to 2 cSv range was calculated by assuming that half of the collective dose incurred by workers with doses between 1 and 2 cSv was because of doses greater than 1.5 cSv. This value was then added to the collective dose incurred by workers in the higher ranges. This was known to yield a conservative CR value, but was a useful indicator when consistently applied to the data from year to year.

TABLE 3.1
ANNUAL EXPOSURE DATA FOR CERTAIN CATEGORIES OF LICENSEES
1987 - 1996

License Category* and Program Code	Calendar Year	Number of Licensees Reporting	Number of Monitored Individuals	Number of Workers With Measurable TEDE	Collective TEDE (person-cSv or person-rem)	Average TEDE (cSv or rem)	Average Measurable TEDE per Worker (cSv or rem)	CR**
Industrial Radiography 03310 03320	1996	144	3,631	2,537	1,385	0.38	0.55	0.42
	1995	139	3,530	2,465	1,338	0.38	0.54	0.40
	1994	139	3,230	2,351	1,415	0.44	0.60	0.51
	1993	176	4,721	3,007	1,596	0.34	0.53	0.45
	1992	246	6,703	4,265	1,864	0.28	0.44	0.37
	1991	248	6,820	4,649	2,160	0.32	0.46	0.40
	1990	258	6,523	4,458	2,120	0.33	0.48	0.42
	1989	276	6,745	4,352	2,067	0.31	0.47	0.42
	1988	286	6,878	4,223	1,981	0.29	0.47	0.43
	1987	312	7,236	4,454	1,835	0.25	0.41	0.36
Manufacturing and Distribution 02500 03211 03212 03214	1996	36	2,628	1,239	556	0.21	0.45	0.53
	1995	36	2,666	1,222	595	0.22	0.49	0.58
	1994	44	2,941	1,251	580	0.20	0.46	0.59
	1993	58	4,913	2,254	680	0.14	0.30	0.47
	1992	67	5,210	2,250	784	0.15	0.35	0.54
	1991	59	4,930	1,952	722	0.15	0.37	0.59
	1990	58	4,203	2,279	693	0.16	0.30	0.55
	1989	48	4,554	2,345	770	0.17	0.33	0.53
	1988	16	2,177	868	343	0.16	0.40	0.62
	1987	24	3,589	2,317	716	0.20	0.31	0.54
Low-Level Waste Disposal 03231	1996	2	165	67	8	0.05	0.12	0.00
	1995	2	212	56	8	0.04	0.15	0.00
	1994	2	202	83	22	0.11	0.27	0.15
	1993	2	432	76	21	0.05	0.27	0.22
	1992	2	467	82	37	0.08	0.45	0.34
	1991	2	905	147	39	0.04	0.27	0.24
	1990	2	784	115	26	0.03	0.23	0.17
	1989	2	925	119	35	0.04	0.29	0.17
	1988	2	864	171	27	0.03	0.16	0.06
	1987	2	778	173	24	0.03	0.14	0.00
Independent Spent Fuel Storage 23100	1996	1	97	53	54	0.56	1.02	0.73
	1995	1	104	49	51	0.49	1.04	0.83
	1994	1	158	89	42	0.27	0.47	0.44
	1993	2	135	52	14	0.10	0.26	0.11
	1992	2	290	85	11	0.04	0.13	0.00
	1991	2	41	24	4	0.10	0.17	0.00
	1990	2	56	22	6	0.11	0.27	0.00
	1989	2	190	102	33	0.17	0.32	0.09
	1988	2	217	57	25	0.12	0.44	0.27
	1987	2	129	64	41	0.32	0.64	0.60
Fuel Fabrication and Processing 21210	1996	8	4,369	3,061	878	0.20	0.29	0.19
	1995	8	4,106	2,959	1,217	0.30	0.41	0.38
	1994	8	3,596	2,847	1,147	0.32	0.40	0.40
	1993	8	9,649	2,611	339	0.04	0.13	0.08
	1992	11	8,439	5,061	545	0.06	0.11	0.03
	1991	11	11,702	3,929	378	0.03	0.10	0.01
	1990	11	14,505	3,871	422	0.03	0.11	0.01
	1989	8	11,583	2,992	243	0.02	0.08	0.00
	1988	10	11,994	3,869	455	0.04	0.12	0.01
	1987	10	10,370	3,994	514	0.05	0.13	0.01
Commercial Light Water Reactors***	1996	109	127,420	68,182	18,874	0.15	0.28	0.04
	1995	109	133,066	70,986	21,674	0.16	0.31	0.06
	1994	109	142,707	73,780	21,695	0.15	0.29	0.08
	1993	114	169,862	86,187	26,365	0.16	0.31	0.22
	1992	114	183,900	94,317	29,298	0.16	0.31	0.24
	1991	115	179,043	91,085	28,528	0.16	0.31	0.26
	1990	116	187,081	98,802	36,607	0.20	0.37	0.33
	1989	113	188,477	100,080	35,930	0.19	0.36	0.33
	1988	111	193,532	96,653	40,055	0.21	0.41	0.38
	1987	105	205,895	97,992	39,708	0.19	0.41	0.37
Grand Totals and Averages	1996	300	138,310	75,139	21,755	0.16	0.29	0.09
	1995	295	143,684	77,737	24,884	0.17	0.32	0.11
	1994	303	152,834	80,401	24,901	0.16	0.31	0.13
	1993	360	189,712	94,187	29,014	0.15	0.31	0.24
	1992	442	205,009	106,060	32,538	0.16	0.31	0.25
	1991	437	203,441	101,786	31,831	0.16	0.31	0.27
	1990	447	213,152	109,547	39,874	0.19	0.36	0.34
	1989	449	212,474	109,990	39,078	0.18	0.36	0.34
	1988	427	215,662	105,841	42,886	0.20	0.41	0.38
	1987	455	227,997	108,994	42,838	0.19	0.39	0.37

* These categories consist only of NRC licensees. Agreement State licensed organizations do not report occupational exposure data to the NRC.

** CR is the ratio of the annual collective dose delivered at annual doses exceeding 1.5 cSv to the total annual collective dose. (Section 3.1.8)

*** Includes all LWRs in commercial operation, although some of them may not have been in operation for a full year. 1994 - 1996 data are only for reactors that completed a full year of operation during the year. Reactor data have been corrected to account for the multiple counting of transient reactor workers. (see Section 5)

The last column in Table 3.1 shows the values of CR for the different types of licensees. With the implementation of the revised 10 CFR 20 in 1994, licensees were required to submit dose records for each individual. This allowed the NRC to determine the CR value directly by summing the collective dose for individuals with a total TEDE greater than or equal to 1.5 cSv and divide it by the collective TEDE for the licensee. This method yielded a large reduction in the CR for Reactors. The CR value for Reactors dropped 64% from 0.22 in 1993 to 0.08 in 1994 and to 0.04 in 1996. Using the previous methodology, the CR value would have been calculated to be 0.12 for 1996. One of the contributing factors for this difference is the administrative controls imposed at nuclear power facilities for individuals who exceed 1 cSv. This causes the dose distribution to drop off sharply above 1 cSv with fewer exposures exceeding 1.5 cSv. Therefore, the actual CR is significantly less than the value that is calculated by assuming a uniform dose distribution.

Other licensees, such as Manufacturing and Distribution and Independent Spent Fuel Storage, have experienced increases in the CR value and exceed the 0.50 value recommended by UNSCEAR. Fuel Fabrication doses, including the CR value, have increased primarily because of the inclusion of internal exposure in the TEDE for 1994 through 1996. However, the overall average CR for all licensees remained below 0.50, and decreased to a value of 0.09 in 1996 primarily because of the decrease in CR at power reactor licensees.

3.2 Annual TEDE Dose Distributions

Table 3.2 is a statistical compilation of the exposure reports submitted by six categories of licensees (see Section 3.3 for a description of each licensee category). The dose distributions are generated by summing the TEDE for each individual and counting the number of individuals in each dose range. In nearly every category a large number of workers receive doses that are less than measurable, and very few doses exceed 4 or 5 cSv (rem). About 90% of the reported workers continue to be monitored by nuclear power facilities where they receive approximately 90% of the total collective dose.

Under the regulatory limits of the revised 10 CFR 20.1201, annual TEDE in excess of 5 cSv (rem) for occupationally exposed adults is, by definition, an exposure in excess of regulatory limits (see Section 6).

Table 3.3 gives a summary of the annual exposures reported to the Commission by certain categories of NRC licensees as required by 10 CFR 20.2206. Table 3.3 shows that ~ 95% of the exposures consistently remained <2 cSv (rem) between 1968 and 1984. For the past 10 years the percentage of workers with <2 cSv (rem) has been $\geq 98\%$. The number of workers receiving an annual exposure in excess of 5 cSv (rem) has been <0.01% since 1985.

TABLE 3.2
DISTRIBUTION OF ANNUAL COLLECTIVE TEDE BY LICENSE CATEGORY
1996

LICENSE CATEGORY (Number of sites reporting)	*Number of Individuals with TEDE in the Ranges (cSv or rem)												TOTAL NUMBER MONITORED	NUMBER WITH MEAS. DOSE	TOTAL COLLECTIVE DOSE (TEDE) (person-cSv)			
	No Meas.	Meas. <0.1	0.10- 0.25	0.25- 0.50	0.50- 0.75	0.75- 1.00	1.00- 2.00	2.00- 3.00	3.00- 4.00	4.00- 5.00	5.00- 6.00	6.00- 7.00				7- >12		
INDUSTRIAL RADIOGRAPHY																		
Single Location (27)	231	32	12	11	4	1										291	60	10
Multiple Location (117)	863	694	462	435	254	159	353	86	29	4		1				3,340	2,477	1,375
Total (144)	1,094	726	474	446	258	160	353	86	29	4		1				3,631	2,537	1,385
MANUFACTURING AND DISTRIBUTION																		
"A" - Broad (7)	1,031	485	116	84	55	64	105	51	23	4						2,018	987	522
Limited (29)	358	180	40	15	11	1	4		1							610	252	34
Total (36)	1,389	665	156	99	66	65	109	51	24	4						2,628	1,239	556
LOW-LEVEL WASTE DISPOSAL																		
Total (2)	98	35	24	5	3											165	67	8
INDEPENDENT SPENT FUEL STORAGE																		
Total (1)	44	14	4	6	8	3	7	6	2	3						97	53	54
FUEL FABRICATION																		
Total (8)	1,308	1,652	422	394	205	126	232	26	4							4,369	3,061	878
COMMERCIAL POWER REACTORS**																		
Boiling Water (37)	29,333	16,985	8,335	6,456	3,009	1,452	1,374	26								66,970	37,637	9,461
Pressurized Water (72)	48,864	22,441	11,620	7,745	2,800	1,196	968	42								95,676	46,812	9,413
Total (109)	78,197	39,426	19,955	14,201	5,809	2,648	2,342	68								162,646	84,449	18,874
GRAND TOTALS	82,130	42,518	21,035	15,151	6,349	3,002	3,043	237	59	11		1				173,536	91,406	21,755

* Dose values exactly equal to the values separating ranges are reported in the next higher range.

** Includes all reactors in commercial operation for a full year during 1996.

These values have not been adjusted for the multiple counting of transient reactor workers (see Section 5).

TABLE 3.3
SUMMARY OF ANNUAL DOSE DISTRIBUTIONS FOR CERTAIN* NRC LICENSEES
1968-1996

Year	Total Number of Monitored Persons		Percent of Individuals With Doses < 2 cSv**	Percent of Individuals With Doses < 5 cSv**	Number of Individuals With Doses >12 cSv**
	Reported Number	Corrected Number			
1968	36,836		97.2%	99.5%	3
1969	31,176		96.5%	99.5%	7
1970	36,164		96.1%	99.4%	0
1971	36,311		96.3%	99.3%	1
1972	44,690		95.7%	99.5%	8
1973	67,862		95.0%	99.5%	1
1974	85,097		96.4%	99.7%	1
1975	78,713		94.8%	99.5%	1
1976	92,773		95.0%	99.6%	3
1977	98,212	93,438	93.8%	99.6%	1
1978	105,893	100,818	94.6%	99.8%	3
1979	131,027	125,316	95.2%	99.8%	1
1980	159,177	150,675	94.6%	99.7%	0
1981	157,874	149,314	94.6%	99.8%	1
1982	162,456	154,117	94.9%	99.9%	0
1983	172,927	164,239	94.6%	99.9%	0
1984	181,627	168,899	95.1%	99.9%	0
1985	212,217	201,339	97.5%	>99.99% (15)	2
1986	225,582	213,017	98.0%	>99.99% (8)	0
1987	243,562	227,997	98.7%	>99.99% (4)	1
1988	231,234	215,662	98.6%	>99.99% (8)	0
1989	229,353	212,474	98.9%	>99.99% (7)	1
1990	234,045	214,781	98.9%	>99.99% (3)	0
1991	219,229	206,732	99.4%	>99.99% (2)	0
1992	222,728	205,009	99.4%	>99.99% (1)	0
1993	209,386	189,711	99.5%	>99.99% (2)	0
1994	179,803	152,834	99.5%	>99.99% (1)	0
1995	179,176	143,684	99.5%	>99.99% (1)	0
1996	173,536	138,310	99.5%	>99.99% (1)	0

* Licensees required to submit radiation exposure reports to the NRC under 10 CFR 20.2206.

** Data for 1977-1996 are based on the distribution of individual doses after adjusting for the multiple counting of transient reactor workers (see Section 5). The number of people exceeding 5 cSv is shown in parentheses from 1985-1996.

3.3 Summary of Occupational Exposure Data by License Category

3.3.1 Industrial Radiography Licenses, Single and Multiple Locations

Industrial Radiography licenses are issued to allow the use of sealed radioactive materials, usually in exposure devices or “cameras,” that primarily emit gamma rays for nondestructive testing of pipeline weld joints, steel structures, boilers, aircraft and ship parts, and other high-stress alloy parts. Some firms are licensed to conduct such activities in one location, usually in a permanent facility that was designed and shielded for radiography, and others perform radiography at multiple, temporary sites in the field. The radioisotopes most commonly used are cobalt-60 and iridium-192. As shown in Table 3.1, annual reports were received for 144 radiography licensees in 1996. Table 3.4 summarizes the reported data for the two types of radiography licenses for 1996 and for the previous 2 years for comparison purposes.

For the years prior to 1994, the average measurable dose for workers performing radiography at a single location ranged from 20 to 40% of the average measurable dose of workers at multiple location facilities. This is because it is more difficult for workers to avoid exposure to radiation in the field, where conditions are not optimal and may change daily. In 1994, the average measurable dose for single location radiographers was much closer to the value for multiple location licensees because of high average doses at one licensee, Buckeye Steel Castings. For 1996, the average measurable dose for single location licensees increased to

TABLE 3.4 ANNUAL EXPOSURE INFORMATION FOR INDUSTRIAL RADIOGRAPHERS 1994 - 1996						
Year	Type of License	Number of Licenses	Number of Monitored Workers	Workers with Measurable Dose	Collective Dose (person-cSv, rem)	Average Measurable Dose (cSv or rem)
1996	Single Location	27	291	60	10	0.17
	Multiple Locations	117	3,340	2,477	1,375	0.56
	Total	144	3,631	2,537	1,385	0.55
1995	Single Location	27	285	61	6	0.10
	Multiple Locations	112	3,245	2,404	1,332	0.55
	Total	139	3,530	2,465	1,338	0.54
1994	Single Location	29	330	89	44	0.50
	Multiple Locations	111	2,900	2,262	1,371	0.61
	Total	139	3,230	2,351	1,415	0.60

0.17 cSv (rem). To see the contribution that each radiography licensee made to the total collective dose, a summary of the information reported by each of these licensees in 1996 is presented in Appendix A in descending order of average measurable dose.

High exposures in radiography can be directly attributable to the type and location of the radiography field work. For example, locations such as oil drilling platforms and aerial tanks offer the radiographer little available shielding. In these situations, there may not be an opportunity to use distance as a means of minimizing exposure and achieving ALARA. Although these licensed activities usually result in average measurable doses that are higher than other licensees, they involve a relatively small number of exposed workers.

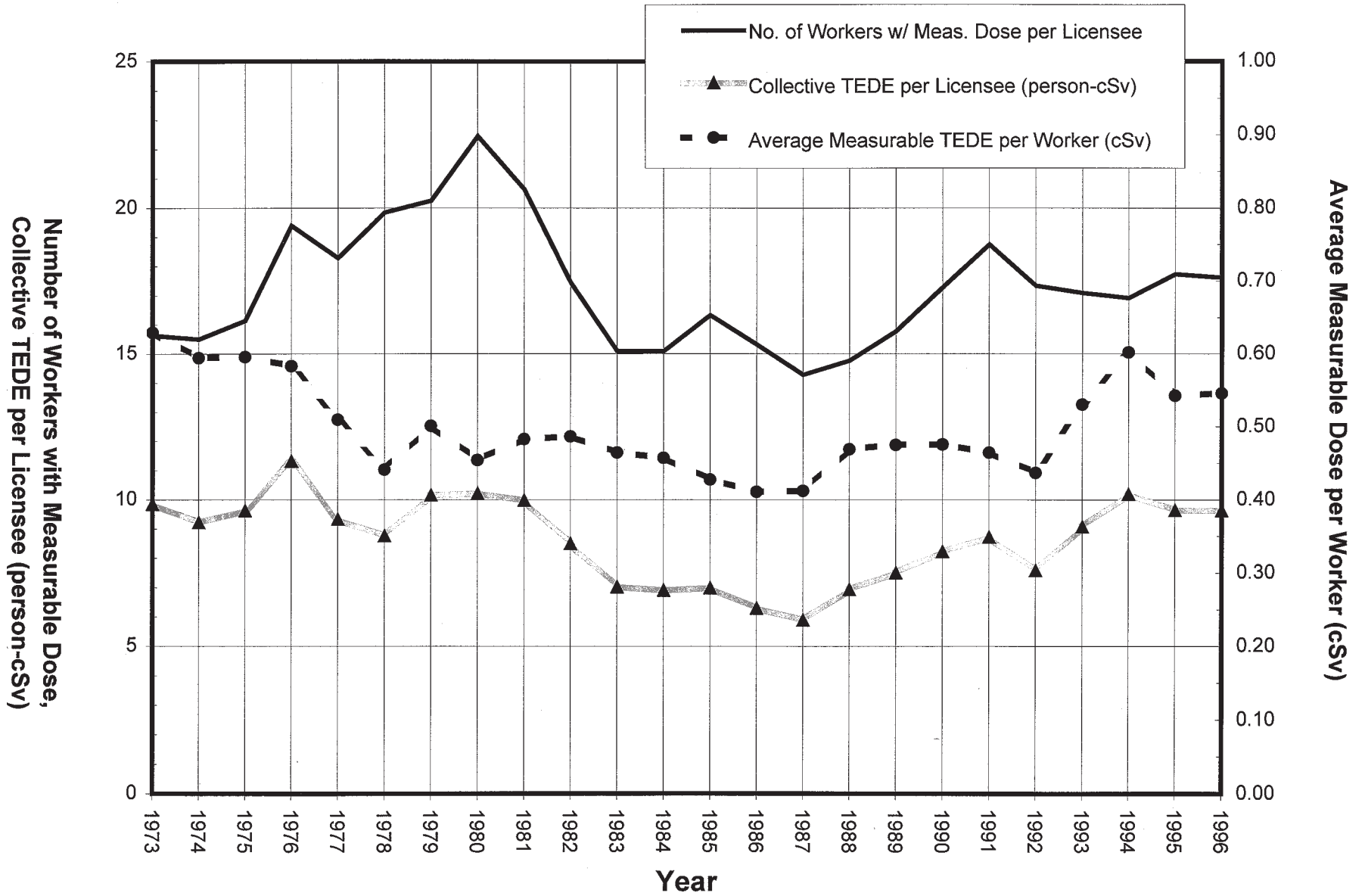
Figure 3.1 shows the number of workers with measurable dose per licensee, the total collective dose per licensee, and the average measurable dose per worker for both types of Industrial Radiography facilities from 1973 through 1996.

3.3.2 Manufacturing and Distribution Licenses, Type "A" Broad and Limited

Manufacturer and Distributor licenses are issued to allow the manufacture and distribution of radionuclides in various forms for a number of diverse purposes. The products are usually distributed to persons specifically licensed by the NRC or an Agreement State. Type "A" Broad licenses are issued to larger organizations that may use many different radionuclides in many different ways and that have a comprehensive radiation protection program. The Limited licenses are usually issued to smaller firms requiring a more restrictive license. Some firms are medical suppliers that process, package, or distribute such products as diagnostic test kits, radioactive surgical implants, and tagged radiochemicals for use in medical research, diagnosis, and therapy. Limited firms are suppliers of industrial radionuclides and are involved in the processing, encapsulation, packaging, and distribution of the radionuclides that they have purchased in bulk quantities from production reactors and cyclotrons. Major products include gamma radiography sources, cobalt irradiation sources, well-logging sources, sealed sources for gauges and smoke detectors, and radiochemicals for nonmedical research. However, only those NRC licensees that possess or use at any one time specified quantities of the nuclides listed in paragraph 20.2206(a)(7) are required to submit reports to the NRC.

Table 3.5 presents the annual data that were reported by the two types of licensees for 1996 and the previous 2 years. Looking at the information shown separately for the Type "A" Broad and Limited licensees, it can be seen that the values of all of the parameters remain higher for the Broad licensees. However, when attempting to examine trends in the data presented for this category of licensees, it should be noted that the types and quantities of radionuclides may fluctuate from year to year, and even during the year, so that some licensees may report dose data one year and not the next and may be included as a Broad licensee one year and a

FIGURE 3.1
Average Annual Values at Industrial Radiography Facilities 1973 - 1996



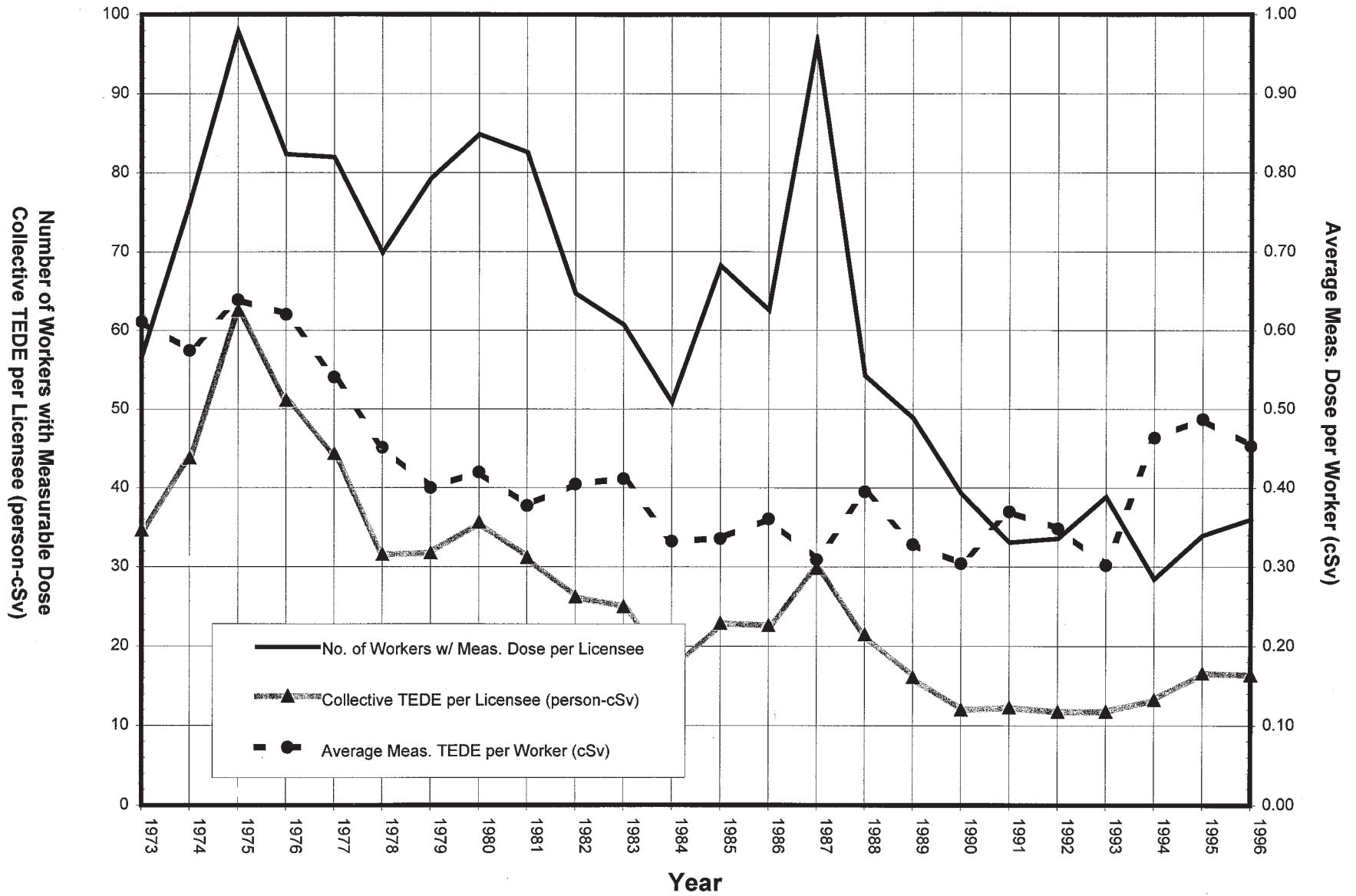
Limited licensee at other times. Because the number of reporting licensees is quite small, these fluctuations may have a significant impact on the values of the parameters.

Figure 3.2 shows the number of workers with measurable dose per licensee, the total collective dose per licensee, and the average measurable dose per worker for both Type "A" Broad and Limited Manufacturing and Distribution facilities.

For the contribution that each of these licensees made toward the total values of the number of workers monitored, number of workers, and collective dose, see Appendix A, which lists the values of these parameters for each licensee in descending order of average measurable dose for 1996.

TABLE 3.5 ANNUAL EXPOSURE INFORMATION FOR MANUFACTURERS AND DISTRIBUTORS 1994 - 1996						
Year	Type of License	Number of Licenses	Number of Monitored Workers	Workers with Measurable Dose	Collective Dose (person-cSv, rem)	Average Measurable Dose (cSv or rem)
1996	M & D-"A"-Broad	7	2,018	987	522	0.53
	M & D-Limited	29	610	252	34	0.13
	Total	36	2,628	1,239	556	0.45
1995	M & D-"A"-Broad	7	2,016	909	557	0.61
	M & D-Limited	29	650	313	38	0.12
	Total	36	2,666	1,222	595	0.49
1994	M & D-"A"-Broad	8	2,133	877	544	0.62
	M & D-Limited	36	808	374	36	0.10
	Total	44	2,941	1,251	580	0.46

FIGURE 3.2
Average Annual Values at Manufacturing and Distribution Facilities 1973 - 1996



3.3.3 Low-Level Waste Disposal Licenses

Low-Level Waste Disposal licenses are issued to allow the receipt, possession, and disposal of low-level radioactive wastes at a land disposal facility. The licensee has the appropriate facilities to receive wastes from such places as hospitals and laboratories, store them for a short time, and dispose of them in a properly prepared burial ground. The licensees in this category are located in and licensed by Agreement States which have primary regulatory authority over its activity. However, these licensees also have an NRC license that covers certain special nuclear material they might receive. The annual dose reports submitted by these licensees include all doses received during the year regardless of whether they were the result of NRC or Agreement State licensed material.

The requirement for this category of NRC licensee to file annual reports became effective in January 1983. There was only one licensee in this category in 1982 and 1983; however, there have been two licensees in this category since 1984. Table 3.1 summarizes the data reported for 1987 through 1996. Appendix A summarizes the exposure information reported by these two licensees in 1996.

Figure 3.3 shows the number of workers with measurable dose per licensee, the total collective dose per licensee, and the average measurable dose per worker for Low-Level Waste Disposal facilities from 1982 through 1996. Because only two licensees have been involved in this activity over the past 10 years, the numbers have remained fairly stable from 1984 through 1996.

3.3.4 Independent Spent Fuel Storage Installation Licenses

Independent Spent Fuel Storage Installation (ISFSI) licenses are issued to allow the possession of power reactor spent fuel and other associated radioactive materials for the purpose of storage of such fuel in an ISFSI. Here, the spent fuel, which has undergone at least 1 year of decay since being used as a source of energy in a power reactor, is provided interim storage, protection, and safeguarding for a limited time pending its ultimate disposal.

Eighteen licenses have been issued for these activities. Eleven are at nuclear power plants, allowing on-site temporary storage of fuel. These licensees report the dose from fuel storage activities along with the dose from reactor operations at these sites. Out of the seven remaining licenses, only one is active and is located at a facility that is independent of a reactor site. Only this licensee is included in this analysis of ISFSI facilities for 1996. Appendix A summarizes the exposure information reported by this installation.

FIGURE 3.3
Average Annual Values at Low Level Waste Disposal Facilities
1982 - 1996

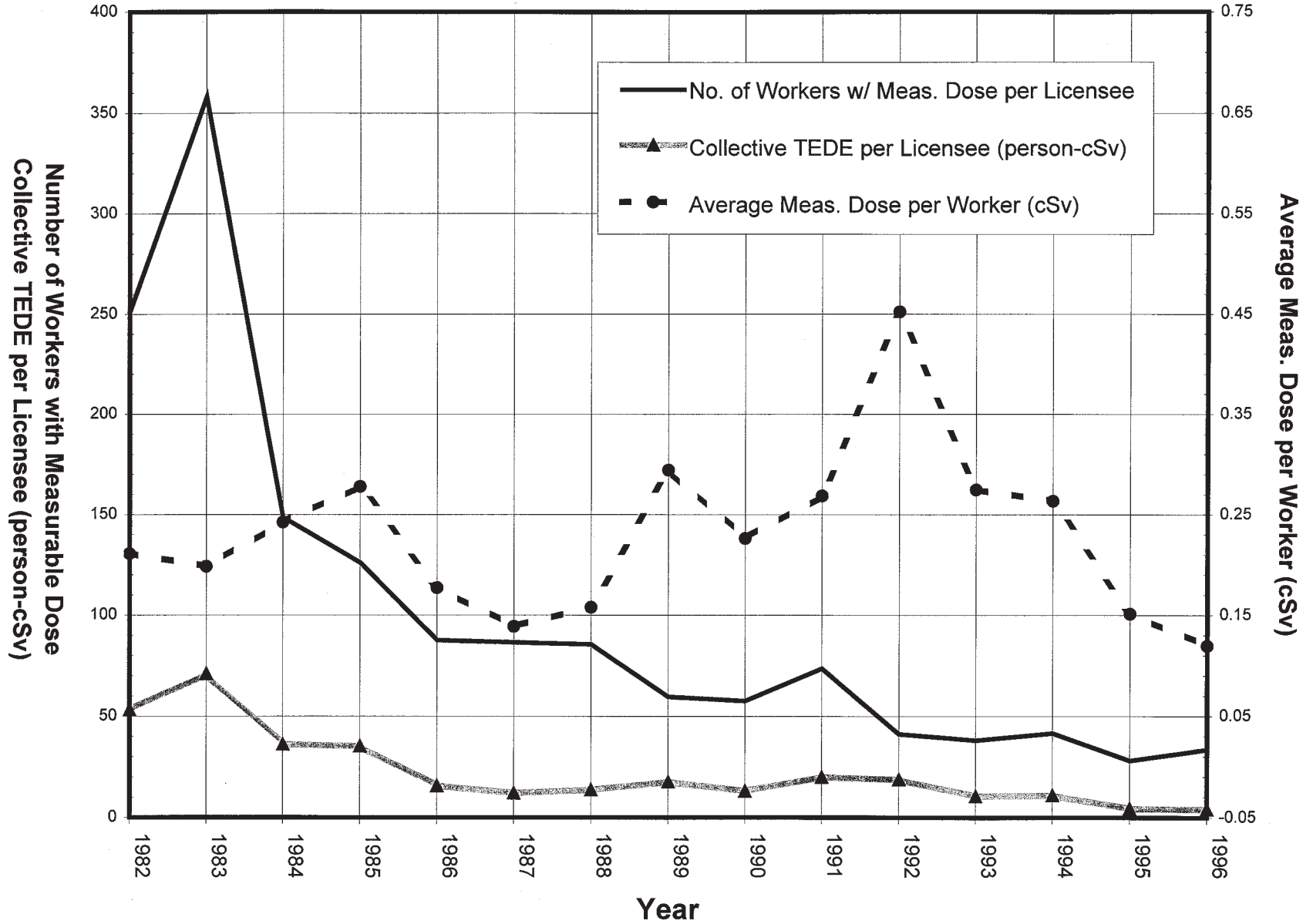


Figure 3.4 shows the number of workers with measurable dose per licensee, the total collective dose per licensee, and the average measurable dose per worker for Independent Spent Fuel Storage facilities. The large increase in the collective dose per licensee and number of workers per licensee in 1994 was mainly because only one licensee reported separately for 1994 through 1996, rather than the two licensees that reported in prior years. However, the average measurable dose has also increased significantly from 1992 to 1996 due to decreases in the number of individuals receiving measurable dose, and increases in the collective dose received by these individuals.

3.3.5 Fuel Fabrication and Processing Licenses

The Fuel Fabrication and Processing licenses are issued to allow the processing and fabrication of reactor fuels. In most uranium facilities where light water reactor fuels are processed, uranium hexafluoride enriched in the isotope U-235 is converted to solid uranium dioxide pellets and inserted into zirconium alloy tubes. The tubes are fabricated into fuel assemblies that are shipped to nuclear power plants. Some facilities also perform chemical operations to recover the uranium from scrap and other off-specification materials. On a much smaller scale, fuel assemblies containing plutonium oxide pellets can be similarly fabricated and used in reactors for experimental purposes. However, there are no NRC licensees engaged in this activity at this time.

Figure 3.5 shows the number of workers with measurable dose per licensee, the total collective dose per licensee, and the average measurable dose per worker for Fuel Fabrication and Processing licensees. In addition to the TEDE collective and average measurable dose, the Deep Dose Equivalent (DDE) collective dose and DDE average measurable dose are shown. Prior to 1994, only the "whole body" dose values were given, which were equivalent to the DDE. In 1994, the revised 10 CFR 20 went into effect, requiring the calculation of the CEDE and the summation of the DDE and CEDE into the TEDE. For Fuel Fabrication facilities, the CEDE is a significant contribution to the TEDE. To accurately reflect the exposure history for these facilities, it was necessary to continue to plot the old "whole body" external dose, now called DDE, in addition to the TEDE, which includes the CEDE contribution. The difference between the DDE and TEDE plots represents the CEDE contribution.

Appendix A lists each of the licensees reporting in 1996, with the number of workers monitored, the number of workers receiving measurable external doses, and the collective dose for each licensee in descending order of average measurable dose.

Table 3.6 shows that there were eight licensed Fuel Fabrication facilities in 1996. Several licensees were involved in decontamination and decommissioning of their plutonium facilities, and for several years the data for these licensees were shown in the "Decommissioning" category in Table 3.1. Because these facilities have ceased to fabricate plutonium fuel, they are not required to file annual reports and are no longer shown in the tables.

Fuel Reprocessing licenses are issued to allow the separation of useable uranium and plutonium from spent nuclear fuel. There was only one commercial facility that was ever licensed to reprocess fuel, and it has been shut down since 1972. However, the licensee did some decontamination work and stored radioactive waste at the facility for several years, and the annual report that was submitted each year was usually grouped with those of the Fuel Fabricators. In February 1982, the Department of Energy assumed possession and control of the reprocessing facility to conduct waste solidification activities necessary for final decommissioning. Therefore, the NRC license was suspended in 1982, and no reports have been filed with the NRC since this date.

TABLE 3.6
ANNUAL EXPOSURE INFORMATION FOR FUEL FABRICATORS
1994 - 1996

Year	Type of License	Number of Licenses	Number of Monitored Workers	Workers with Measureable Dose	Collective TEDE (pereson-cSv, rem)	Average Measureable Dose (cSv or rem)	Collective CEDE (person-cSv, rem)	Average CEDE (cSv or rem)
1996	Uranium Fuel Fab	8	4,369	3,061	878	0.29	711	0.32
1995	Uranium Fuel Fab	8	4,106	2,959	1,217	0.41	990	0.33
1994	Uranium Fuel Fab	8	3,596	2,847	1,147	0.40	867	0.30

FIGURE 3.4
Average Annual Values at Independent Spent Fuel Storage Facilities
1982 - 1996

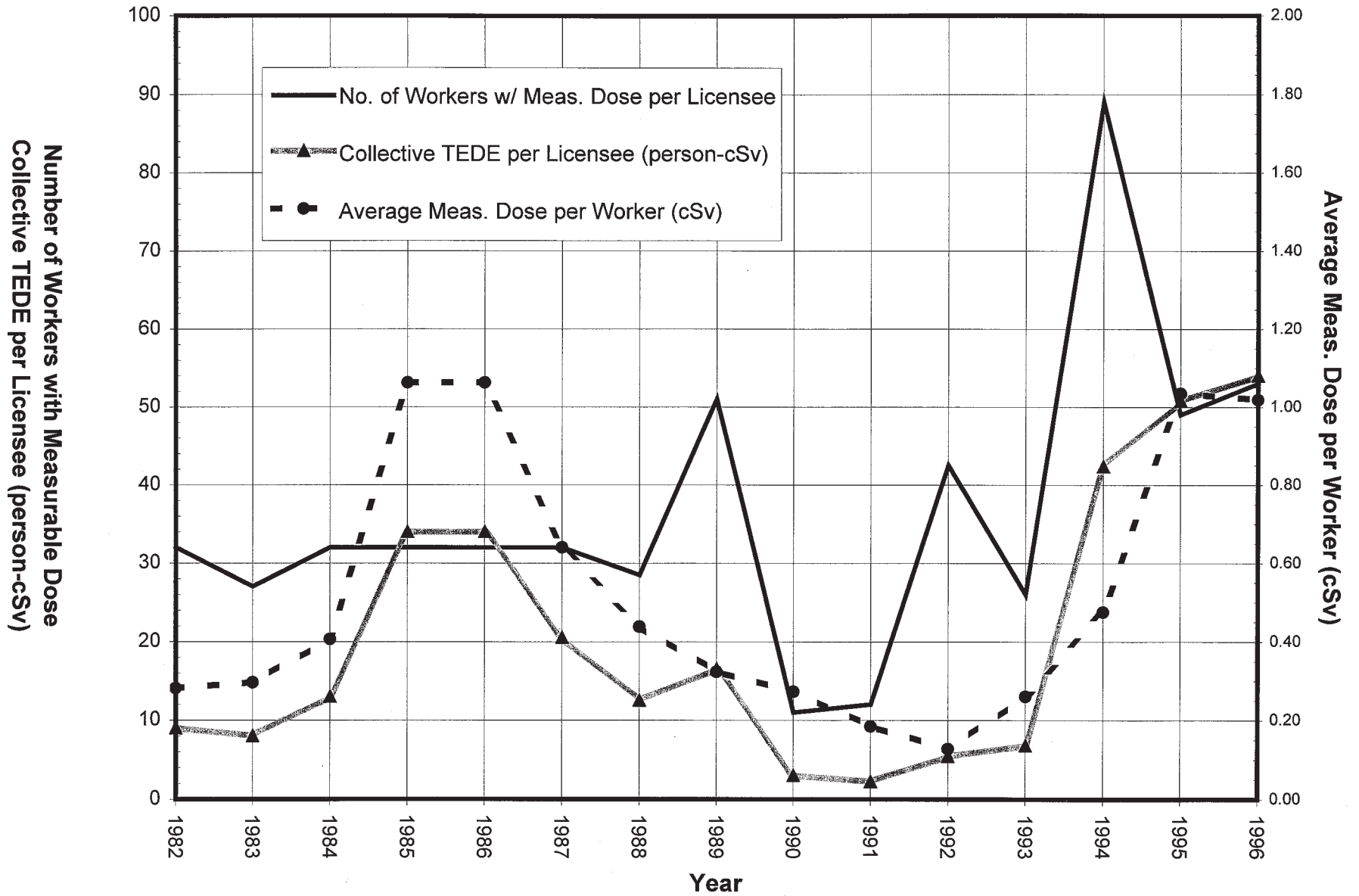
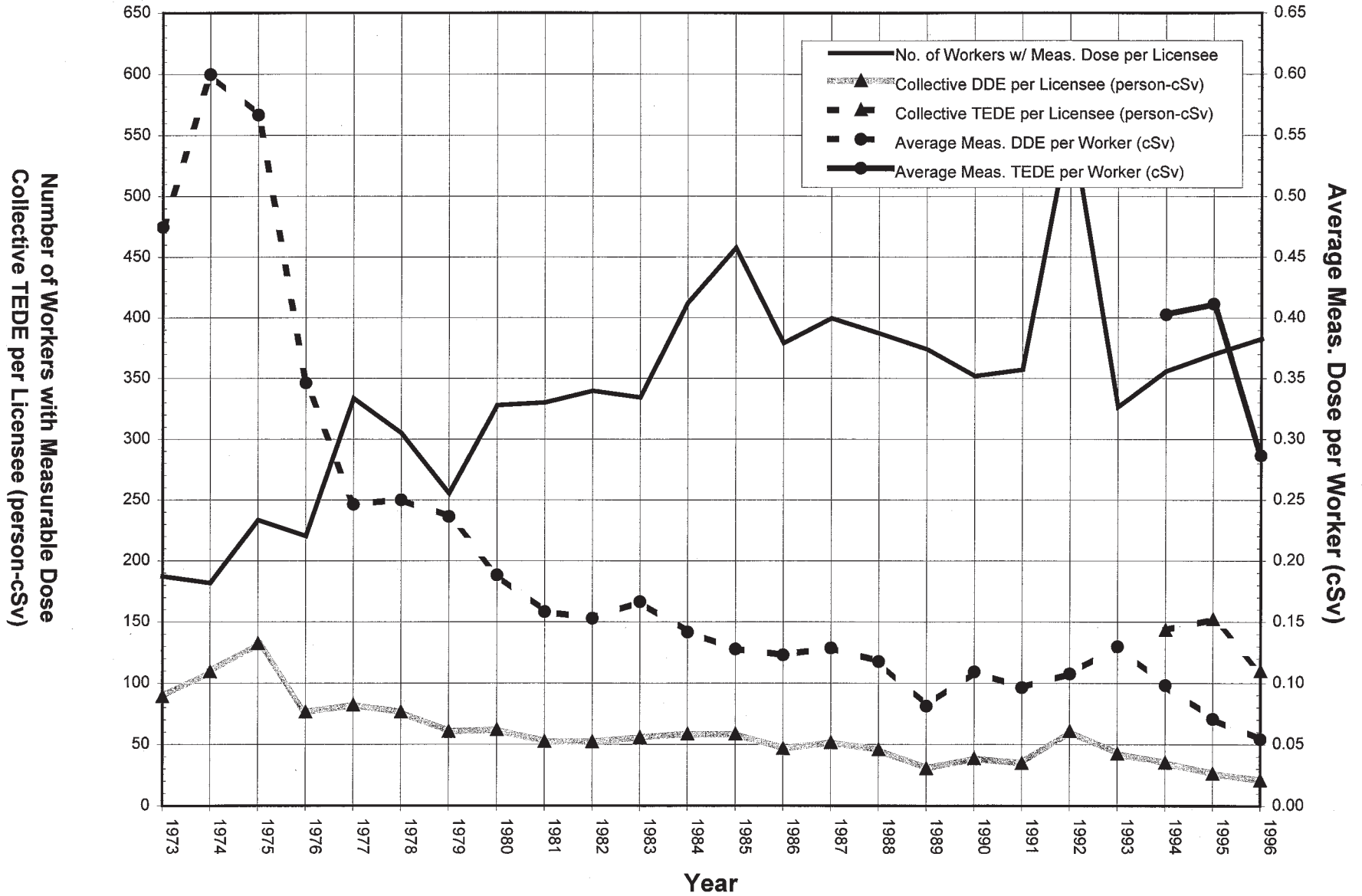


FIGURE 3.5
Average Annual Values at Fuel Fabrication and Processing Facilities
1973 - 1996



3.3.6 Light-Water-Cooled Power Reactor (LWR) Licenses

LWR licenses are issued to utilities to allow them to use special nuclear material in a reactor that produces heat to generate electricity to be sold to consumers. There are two major types of commercial LWRs in the United States - pressurized water reactors (PWRs) and boiling water reactors (BWRs) - each of which uses water as the primary coolant.

Table 3.1 shows the number of licensees, total number of monitored workers, the number of workers with measurable dose, the total collective dose, and average dose per worker for all reports received from reactor facilities that were in commercial operation for the years 1987 through 1996. This table includes reactors that may not have been in commercial operation for a full year. Data for 1987 through 1988 included all reactors that reported, even though some of them were shut down. Data for 1989 through 1996 do not include reactors that have been shut down. These figures have been adjusted for the multiple counting of transient workers (see Section 5). The reported dose distribution of workers monitored at each plant site is presented in alphabetical order by site name in Appendix B.

More detailed presentations and analyses of the annual exposure information reported by nuclear power facilities can be found in Sections 4 and 5.

3.3.7 High-Temperature Gas-Cooled Power Reactor (HTGR) Licenses

A license to operate a power reactor is issued to utilities to allow them to use special nuclear material in a reactor to produce heat to generate electricity to be sold to consumers. In the HTGR, a gas, usually helium, is used as the primary coolant. Fort St. Vrain, near Greeley, Colorado, was the only such reactor in operation in the United States. Fort St. Vrain shut down permanently in 1989. Table 3.7 shows the annual whole body doses incurred by workers at the plant. Since 1992, the doses have increased significantly because of decontamination and decommissioning operations.

TABLE 3.7
ANNUAL EXPOSURE INFORMATION FOR FORT ST. VRAIN
1974 - 1996

Year	No. of individuals in Dose Ranges (cSv or rem)					Number of Monitored Workers	Annual Collective Dose (person-cSv person-rem)	Gross Electricity Generated (MW-yr.)	Average Measurable Dose (cSv or rem)
	No. Meas'ble Dose	Meas'ble Dose <0.10	0.25	2.00	>2.0				
1974	1,597	63	1	0	0	1,661	3.3	0.0	0.05
1975	1,263	0	0	0	0	1,263	0.0	0.0	0.00
1976	1,362	25	0	0	0	1,387	1.3	2.8	0.05
1977	946	55	1	0	0	1,002	2.9	29.8	0.05
1978	896	34	0	0	0	930	1.7	75.7	0.05
1979	1,149	120	2	0	0	1,271	6.4	28.6	0.05
1980	902	57	1	0	0	960	3.0	83.2	0.05
1981	1,096	31	0	0	0	1,127	1.0	93.6	0.03
1982	978	22	0	0	0	1,000	0.4	72.6	0.02
1983	965	48	0	0	0	1,013	1.0	94.4	0.02
1984	1,616	62	8	0	0	1,686	3.0	10.9	0.04
1985	1,929	370	40	33	0	2,372	35.0	3.8	0.08
1986	221	66	4	0	0	291	1.8	9.7	0.03
1987	155	52	2	0	0	209	1.2	23.8	0.02
1988	238	24	0	0	0	262	0.7	81.8	0.03
1989	316	47	6	2	0	371	2.7	0.0	0.05
1990	226	30	0	0	0	256	0.6	0.0	0.02
1991	525	63	9	4	0	601	5.4	0.0	0.07
1992	520	144	36	34	0	734	25.4	0.0	0.12
1993	657	51	37	78	1	823	75.2	0.0	0.45
1994	390	89	33	79	4	591	78.0	0.0	0.39
1995	460	62	52	127	37	738	210.3	0.0	0.75
1996	230	25	2	0	0	251	1.2	0.0	0.04

3.4 Summary of Intake Data by License Category

With the revision of 10 CFR 20 in 1994, licensees were required to report additional data to the NRC concerning intakes of radioactive material. Licensees were required to list for each intake the radionuclide that was taken into the body, the pulmonary clearance class, intake mode, and amount of the intake in microcuries. An NRC Form 5 report containing this information is required to be completed and submitted to the NRC under 10 CFR 20.2206.

Tables 3.8 and 3.9 summarize the intake data reported to the NRC during 1996. The data are categorized by licensee type and are listed in order of radionuclide and pulmonary clearance class. Table 3.8 lists the intakes where the mode of intake into the body was recorded as ingestion. Table 3.9 lists the intakes where the mode of intake was inhalation from ambient airborne radioactive material in the workplace. The pulmonary clearance class is recorded as D, W, or Y corresponding to its clearance half-time in the order of **d**ays, **w**eeks, or **y**ears from the pulmonary region of the lung into the blood and gastrointestinal tract. The amount of material taken into the body is given in microcuries, a unit of measure of the quantity of radioactive material. For each category of licensee, the maximum number of intake records and the maximum intake is highlighted in the table in bold for ease of reference.

TABLE 3.8
 INTAKE BY LICENSEE TYPE AND RADIONUCLIDE
 MODE OF INTAKE - *INGESTION*
 1996

Licensee Type	Program Code	Radionuclide	Number of Intake Records*	Intake in microcuries
Nuclear Pharmacies	02500	I-131	1	0.0342
	02500	TC-99M	78	15.1500
Fuel Fabrication	21210	U-234	1	0.0021
	21210	U-235	1	0.0001
	21210	U-238	1	0.0003
Power Reactors	41111	AM-241	3	0.0078
	41111	CE-144	2	0.0139
	41111	CM-242	1	0.0002
	41111	CM-244	3	0.0047
	41111	CO-58	21	23.6353
	41111	CO-60	49	12.6120
	41111	CR-51	13	5.2249
	41111	FE-59	5	0.1667
	41111	H-3	1	0.3120
	41111	I-131	1	0.0430
	41111	IN-113M	2	0.0551
	41111	MN-54	18	4.6161
	41111	NB-95	2	1.8650
	41111	PU-238	3	0.0067
	41111	PU-239	3	0.0026
	41111	PU-241	1	0.0048
	41111	RU-103	1	0.0688
	41111	RU-106	2	0.0556
	41111	SB-124	1	0.2190
	41111	SN-113	2	0.0551
	41111	SR-90	1	0.0002
	41111	U-233/234	2	0.0037
	41111	U-235	2	0.0003
	41111	U-238	2	0.0025
	41111	UNKNOWN	3	0.4800
	41111	ZN-65	5	0.4968
	41111	ZR-95	14	12.3190

*An intake event may involve multiple nuclides, and individuals may incur multiple intakes during the year. The number of intake records given here indicates the number of separate intake reports that were submitted on NRC Form 5 reports under 10 CFR 20.2206.

TABLE 3.9
INTAKE BY LICENSEE TYPE AND RADIONUCLIDE
MODE OF INTAKE - *INHALATION*
1996

Licensee Type	Program Code	Radionuclide	Pulmonary Clearance Class	Number of Intake Records*	Intake in microcuries	Intake in microcuries (sci. notation)
Nuclear Pharmacies	02500	I-131	D	98	21.8424	2.18E+01
	02500	TC-99M	D	3	7545.0000	7.55E+03
Manufacturing and Distribution	03211	CO-60	Y	7	0.4542	4.54E-01
Fuel Fabrication	21210	CO-60	Y	245	0.3885	3.89E-01
	21210	CS-137	D	23	0.0000	3.12E-06
	21210	NP-237	W	23	0.0000	3.87E-06
	21210	PA-234	W	23	0.0001	8.16E-05
	21210	PU-238	W	23	0.0000	4.66E-08
	21210	PU-239	W	60	0.0006	5.78E-04
	21210	PU-239	Y	3	0.0000	2.06E-07
	21210	TC-99	D	23	0.0003	3.21E-04
	21210	TH-228	W	22	1.7500	1.75E+00
	21210	TH-228	Y	262	0.0006	6.06E-04
	21210	TH-230	W	23	0.0000	1.63E-05
	21210	TH-230	Y	261	0.0003	2.78E-04
	21210	TH-232Y	W	22	0.0000	7.28E-07
	21210	TH-232Y	Y	262	0.0006	6.07E-04
	21210	TH-234	Y	23	0.0000	3.22E-05
	21210	U-234	D	210	1.0925	1.09E+00
	21210	U-234	W	1	0.0011	1.09E-03
	21210	U-234	Y	1911	5.4843	5.48E+00
	21210	U-235	W	1	0.0005	4.54E-04
	21210	U-235	Y	919	1.1446	1.14E+00
	21210	U-236	Y	239	0.0031	3.12E-03
21210	U-238	D	200	0.1801	1.80E-01	
21210	U-238	W	1	0.0030	2.99E-03	
21210	U-238	Y	1821	0.7653	7.65E-01	
Power Reactors	41111	AG-110M	D	1	0.1310	1.31E-01
	41111	AM-241	W	4	0.0005	4.80E-04
	41111	CE-144	Y	2	0.0151	1.51E-02
	41111	CM-242	W	2	0.0000	0.00E+00
	41111	CM-243	W	2	0.0000	0.00E+00
	41111	CM-244	W	2	0.0003	2.70E-04
	41111	CO-58	Y	196	31.3243	3.13E+01
	41111	CO-60	D	1	0.3280	3.28E-01
	41111	CO-60	V	2	0.1350	1.35E-01
	41111	CO-60	W	3	0.0883	8.83E-02
	41111	CO-60	Y	350	541.6916	5.42E+02
	41111	CO-60M	Y	1	0.1100	1.10E-01
	41111	CR-51	Y	6	1.9000	1.90E+00
	41111	CS-134	D	9	0.0749	7.49E-02
	41111	CS-137	D	115	1.8652	1.87E+00
	41111	FE-55	W	4	24.7570	2.48E+01
	41111	FE-59	D	2	0.0660	6.60E-02

TABLE 3.9
 INTAKE BY LICENSEE TYPE AND RADIONUCLIDE
 MODE OF INTAKE - *INHALATION*
 1996

Licensee Type	Program Code	Radionuclide	Pulmonary Clearance Class	Number of Intake Records*	Intake in microcuries	Intake in microcuries (sci. notation)
	41111	FE-59	W	2	89.0080	8.90E+01
	41111	H-3	V	4	20.4600	2.05E+01
	41111	HF-181	W	1	0.1113	1.11E-01
	41111	I-131	D	38	432.3244	4.32E+02
	41111	I-132	D	6	0.5250	5.25E-01
	41111	I-133	D	3	0.5214	5.21E-01
	41111	MIXTURE	W	40	0.0370	3.70E-02
	41111	MN-54	W	81	213.5056	2.14E+02
	41111	MN-54	Y	1	0.0340	3.40E-02
	41111	NB-95	Y	64	2.1620	2.16E+00
	41111	NI-63	W	4	11.4610	1.15E+01
	41111	PU-238	Y	4	0.0006	5.90E-04
	41111	PU-239	Y	4	0.0002	2.12E-04
	41111	RU-103	Y	1	0.0460	4.60E-02
	41111	RU-106	Y	2	0.0094	9.40E-03
	41111	U-233/234	Y	2	0.0002	2.14E-04
	41111	U-235	Y	2	0.0000	1.67E-05
	41111	U-238	Y	2	0.0001	1.45E-04
	41111	ZN-65	Y	36	0.8832	8.83E-01
	41111	ZR-95	D	3	2.1200	2.12E+00
	41111	ZR-95	W	14	0.5790	5.79E-01
	41111	ZR-95	Y	33	1.5860	1.59E+00
	41111	ZRNB-95	W	3	4.1078	4.11E+00

*An intake event may involve multiple nuclides, and individuals may incur multiple intakes during the year. The number of intake records given here indicates the number of separate intake reports that were submitted on NRC Form 5 reports under 10 CFR 20.2206.

4 COMMERCIAL LIGHT WATER REACTORS - FURTHER ANALYSIS

4.1 Introduction

General trends in occupational radiation exposures at nuclear power reactors are best evaluated within the context of other pertinent information. In this chapter, some of the tables and appendices that summarize exposure data also show the type, capacity, and age of the reactor; the amount of electricity generated; the types of workers being exposed; and the sort of tasks being performed. Exposure data are then presented as a function of these data.

4.2 Definition of Terms and Sources of Data

4.2.1 Number of Reactors

The *number of reactors* shown in Tables 4.1, 4.2, and 4.3 is the number of BWRs, PWRs, and LWRs, respectively, that had been in commercial operation for at least 1 full year as of December 31 of each of the indicated years. This is the number of reactors on which the *average number of workers with measurable dose* and *average collective dose per reactor* is based. Excluded are those reactors that had been in commercial operation for less than 12 months during the first year and reactors that have been permanently defueled. This yields conservative values for many of the averages shown in the tables. The date that each reactor was declared to be in commercial operation was taken from Reference 14.

Three Mile Island (TMI) 2 had been included in the compilation of data for commercially operating reactors through 1988 even though the reactor was shut down following the 1979 accident and has been in the process of defueling and decommissioning since that time. TMI 2 has not been included in the data analysis since 1988. Data for this reactor, however, will be listed in Appendices B, C, D and E for reference purposes.

4.2.2 Electric Energy Generated

The electric energy generated in gross megawatt-years (MW-yr) each year by each facility is shown in Appendix C and graphically represented in Appendix E. This number was obtained by dividing the gross megawatt-hours of electricity annually produced by each facility by 8,760, the number of hours in the year, except for leap years when the number is 8,784 hours. The gross electricity generated (in megawatt-years) that is presented in Tables 4.1, 4.2, and 4.3 is the summation of electricity generated by the number of reactors included in each year. These sums are divided by the number of reactors included in each year to yield the average amount of electric energy generated per reactor, which is also shown in Tables 4.1, 4.2, and 4.3. The number of gross megawatt-hours of electricity produced each year was found in Reference 14.

TABLE 4.1

SUMMARY OF INFORMATION REPORTED BY COMMERCIAL BOILING WATER REACTORS

1973 - 1996

Year	Number of Reactors Included*	Annual Collective Dose (person-cSv or person-rem)	No. of Workers With Measurable Dose**	Gross Electricity Generated (MW-yrs)	Average Measurable Dose Per Worker (cSv or rem)**	Average Collective Dose Per Reactor (person-cSv or person-rem)	Average No. Personnel With Measurable Doses Per Reactor**	Average Collective Dose per MW-yr (person-cSv /MW-yr)	Average Electricity Generated Per Reactor (MW-yr)	Average Maximum Dependable Capacity Net (MWe)	Percent of Maximum Dependable Capacity Achieved
1973	12	4,564	5,340	3,393.9	0.85	380	445	1.34	283	438	65%
1974	14	7,095	8,769	4,060.2	0.81	507	626	1.75	290	485	60%
1975	18	12,611	14,607	5,786.4	0.86	701	812	2.18	321	595	54%
1976	22	12,300	16,604	8,137.9	0.74	559	755	1.51	370	630	59%
1977	23	19,041	21,388	9,102.5	0.89	828	930	2.09	396	637	62%
1978	25	15,273	20,278	11,856.0	0.75	611	811	1.29	474	660	72%
1979	25	18,325	25,245	11,671.0	0.73	733	1,010	1.57	467	660	71%
1980	26	29,530	34,094	10,868.2	0.87	1,136	1,311	2.72	418	663	63%
1981	26	25,472	34,755	10,899.2	0.73	980	1,337	2.34	419	663	63%
1982	26	24,437	32,235	10,614.6	0.76	940	1,240	2.30	408	663	62%
1983	26	27,455	33,473	9,730.1	0.82	1,056	1,287	2.82	374	663	56%
1984	27	27,097	41,105	10,019.2	0.66	1,004	1,522	2.70	371	754	49%
1985	29	20,573	38,237	12,284.0	0.54	709	1,319	1.67	424	775	55%
1986	30	19,349	37,928	12,102.1	0.51	645	1,264	1.60	403	786	51%
1987	32	16,717	41,737	15,109.0	0.40	522	1,304	1.11	472	832	57%
1988	34	17,983	40,305	16,665.4	0.45	529	1,185	1.08	490	845	58%
1989	36	15,549	44,360	17,543.5	0.35	432	1,232	0.89	487	857	57%
1990	37	15,780	41,577	21,336.1	0.38	426	1,124	0.74	577	862	67%
1991	37	12,005	38,492	21,505.8	0.31	324	1,040	0.56	581	860	68%
1992	37	13,309	42,095	20,592.2	0.32	360	1,138	0.65	557	859	65%
1993	37	12,221	39,352	21,995.6	0.31	330	1,064	0.56	594	798	74%
1994	37	12,092	39,108	22,139.0	0.31	327	1,057	0.55	598	801	75%
1995	37	9,467	35,659	24,737.0	0.27	256	964	0.38	669	835	80%
1996	37	9,461	37,637	24,322.2	0.25	256	1,017	0.39	657	838	78%

* Includes only those reactors that had been in commercial operation for at least one full year as of December 31 of each of the indicated years.

** Figures are not adjusted for the multiple reporting of transient individuals. See Section 5.

01/15/98

TABLE 4.2
SUMMARY OF INFORMATION REPORTED BY COMMERCIAL PRESSURIZED WATER REACTORS
1973 - 1996

Year	Number of Reactors Included*	Annual Collective Dose (person-cSv or person-rem)	No. of Workers With Measurable Dose**	Gross Electricity Generated (MW-yrs)	Average Measurable Dose Per Worker (cSv or rem)**	Average Collective Dose Per Reactor (person-cSv or person-rem)	Average No. Personnel With Measurable Doses Per Reactor**	Average Collective Dose per MW-yr (person-cSv /MW-yr)	Average Electricity Generated Per Reactor (MW-yr)	Average Maximum Dependable Capacity Net (MWe)	Percent of Maximum Dependable Capacity Achieved
1973	12	9,398	9,440	3,770.2	1.00	783	787	2.49	314	544	58%
1974	19	6,555	9,370	6,530.7	0.70	345	493	1.00	344	591	58%
1975	26	8,268	10,884	11,982.5	0.76	318	419	0.69	461	647	71%
1976	30	13,807	17,588	13,325.0	0.79	460	586	1.04	444	701	63%
1977	34	13,467	20,878	17,345.8	0.65	396	614	0.78	510	688	74%
1978	39	16,528	25,700	19,840.5	0.64	424	659	0.83	509	706	72%
1979	42	21,657	38,828	18,255.0	0.56	516	924	1.19	435	746	58%
1980	42	24,267	46,237	18,289.3	0.52	578	1,101	1.33	435	746	58%
1981	44	28,673	47,351	20,553.7	0.61	652	1,076	1.40	467	752	62%
1982	48	27,754	52,146	22,140.6	0.53	578	1,086	1.25	461	777	59%
1983	49	29,017	52,173	23,195.5	0.56	592	1,065	1.25	473	785	60%
1984	51	28,138	56,994	26,478.4	0.49	552	1,118	1.06	519	809	64%
1985	53	22,469	54,633	29,470.7	0.41	424	1,031	0.76	556	820	68%
1986	60	23,032	62,995	33,593.0	0.37	384	1,050	0.69	560	878	64%
1987	64	23,684	62,597	37,007.3	0.38	370	978	0.64	578	900	64%
1988	68	22,786	62,921	42,929.7	0.36	335	925	0.53	631	885	71%
1989	71	20,381	63,894	44,679.5	0.32	287	900	0.46	629	897	70%
1990	73	20,812	67,081	46,955.6	0.31	285	919	0.44	643	907	71%
1991	74	16,510	60,269	51,942.6	0.27	223	814	0.32	702	913	77%
1992	73	15,985	61,048	53,419.8	0.26	219	836	0.30	732	923	79%
1993	71	14,142	56,588	50,480.6	0.25	199	797	0.28	711	945	75%
1994	72	9,603	44,766	54,618.3	0.21	133	622	0.18	759	932	81%
1995	72	12,207	51,867	55,825.1	0.24	170	720	0.22	775	933	83%
1996	72	9,413	46,812	55,337.8	0.20	131	650	0.17	769	935	82%

* Includes only those reactors that had been in commercial operation for at least one full year as of December 31 of each of the indicated years.

** Figures are not adjusted for the multiple reporting of transient individuals. See Section 5.

TABLE 4.3

SUMMARY OF INFORMATION REPORTED BY COMMERCIAL LIGHT WATER REACTORS

1973 - 1996

Year	Number of Reactors Included*	Annual Collective Dose (person-cSv or person-rem)	No. of Workers With Measurable Dose**	Gross Electricity Generated (MW-yrs)	Average Measurable Dose Per Worker (cSv or rem)**	Average Collective Dose Per Reactor (person-cSv or person-rem)	Average No. Personnel With Measurable Doses Per Reactor**	Average Collective Dose per MW-yr (person-cSv /MW-yr)	Average Electricity Generated Per Reactor (MW-yr)	Average Maximum Dependable Capacity Net (MWe)	Percent of Maximum Dependable Capacity Achieved
1973	24	13,962	14,780	7,164.1	0.94	582	616	1.95	299	491	61%
1974	33	13,650	18,139	10,590.9	0.75	414	550	1.29	321	546	59%
1975	44	20,879	25,491	17,768.9	0.82	475	579	1.18	404	626	65%
1976	52	26,107	34,192	21,462.9	0.76	502	658	1.22	413	671	62%
1977	57	32,508	42,266	26,448.3	0.77	570	742	1.23	464	667	70%
1978	64	31,801	45,978	31,696.5	0.69	497	718	1.00	495	688	72%
1979	67	39,982	64,073	29,926.0	0.62	597	956	1.34	447	714	63%
1980	68	53,797	80,331	29,157.5	0.67	791	1,181	1.85	429	714	60%
1981	70	54,145	82,106	31,452.9	0.66	774	1,173	1.72	449	719	63%
1982	74	52,191	84,381	32,755.2	0.62	705	1,140	1.59	443	737	60%
1983	75	56,472	85,646	32,925.6	0.66	753	1,142	1.72	439	743	59%
1984	78	55,235	98,099	36,497.6	0.56	708	1,258	1.51	468	790	59%
1985	82	43,042	92,870	41,754.7	0.46	525	1,133	1.03	509	804	63%
1986	90	42,381	100,923	45,695.1	0.42	471	1,121	0.93	508	847	60%
1987	96	40,401	104,334	52,116.3	0.39	421	1,087	0.78	543	877	62%
1988	102	40,769	103,226	59,595.1	0.39	400	1,012	0.68	584	871	67%
1989	107	35,930	108,254	62,223.0	0.33	336	1,012	0.58	582	883	66%
1990	110	36,592	108,658	68,291.7	0.34	333	988	0.54	621	892	70%
1991	111	28,515	98,761	73,448.4	0.29	257	890	0.39	662	895	74%
1992	110	29,294	103,143	74,012.0	0.28	266	938	0.40	673	901	75%
1993	108	26,363	95,940	72,476.2	0.27	244	888	0.36	671	895	75%
1994	109	21,695	83,874	76,757.3	0.26	199	769	0.28	704	888	79%
1995	109	21,674	87,526	80,562.1	0.25	199	803	0.27	739	900	82%
1996	109	18,874	84,449	79,660.0	0.22	173	775	0.24	731	902	81%

* Includes only those reactors that had been in commercial operation for at least one full year as of December 31 of each of the indicated years.

** Figures are not adjusted for the multiple reporting of transient individuals. See Section 5.

4.2.3 Collective Dose per Megawatt-Year

The number of megawatt-years of electricity generated was used in determining the ratio of the average value of the annual collective dose (TEDE) to the number of megawatt-years of electricity generated. The ratio was calculated by dividing the total collective dose in person-cSv (person-rem) by the gross electric energy generated in megawatt-years and is a measure of the dose incurred by workers at power plants in relation to the gross electric energy produced. This ratio was also calculated for each reactor site and is presented in Tables 4.1, 4.2, and 4.3 and Appendix C.

4.2.4 Average Maximum Dependable Capacity

Average maximum dependable capacity, shown in Tables 4.1, 4.2, and 4.3, was found by dividing the sum of the net maximum dependable capacities of the reactors in megawatts (net MWe) by the number of reactors included each year. The net maximum dependable capacity is defined as the gross electrical output as measured at the output terminals of the turbine generator during the most restrictive seasonal conditions, less the normal station service loads. This "capacity" of each plant was found in Reference 14, and it is shown for each site in Appendix C.

4.2.5 Percent of Maximum Dependable Capacity Achieved

The *percent of maximum dependable capacity achieved* is shown for all LWRs in Table 4.3. This parameter gives an indication of the overall power generation performance of LWRs as compared to the maximum capacity that could be obtained in a given year. It is calculated by dividing the average electricity generated per reactor by the average maximum dependable capacity for each year.

From 1973 to 1978 this indicator exhibited an increasing trend as a number of new reactors began producing power at higher efficiencies. Following the accident at Three Mile Island, reactor operations personnel concentrated on improving safety systems and complying with the new regulations for these systems. During this time period, from 1979 to 1987, the percent of maximum dependable capacity remained around 61%. Following the completion of most of these mandated repairs, reactors have increased the percent of maximum dependable capacity from 62% in 1987 to 81% in 1996, a gain of nearly 20% in 10 years.

4.3 Annual TEDE Distributions

Table 4.4 summarizes the distribution of the annual TEDE doses received by workers at all commercial LWRs during each of the years 1977 through 1996. This distribution is the sum of the annual dose distributions reported by each licensed LWR each year. As previously mentioned, the distribution reported by each LWR site for 1996 is shown in Appendix B. Table 4.4 shows the reported dose distributions corrected for the number of transient workers that were reported by more than one site (see Section 5). The total collective dose decreased by 13% to a value of 18,874 person-cSv (person-rem) in 1996. The value of CR decreased to a value of 0.05. The large decrease in the value of CR from 1993 to 1994 is primarily because of the change in methodology by which the CR value is determined (see Section 3.1.8). For the years 1994 to 1996, the CR value was determined directly from the individual radiation exposure records submitted under 10 CFR 20.2206 (Form 5) rather than calculating the value indirectly from the statistical dose distribution summary as in prior years. This is the twelfth consecutive year that the value of CR has been <0.50.

4.4 Average Annual TEDE Doses

Some of the data presented in Tables 4.1, 4.2, and 4.3 are graphically displayed in Figure 4.1, where it can be seen that the average collective dose and average number of workers per BWR have been higher than those for PWRs since 1974 and that the values of both parameters, in general, continued to rise at both types of facilities until 1983. Between 1983 and 1996, the average collective dose per reactor dropped by 77%. In 1996, the collective dose per reactor for PWRs decreased by 23% to 131 person-cSv (person-rem). The collective dose per reactor for BWRs remained unchanged at 256 person-cSv (person-rem) in 1996. The overall collective dose per reactor for LWRs decreased by 13% to 173 person-cSv (person-rem) in 1996. The number of workers with measurable dose per reactor increased to 1,017 for BWRs but decreased to 650 for PWRs in 1996. The overall decreasing trend in average reactor collective doses since 1983 indicates that licensees are continuing to successfully implement ALARA dose reduction features at their facilities.

Figures 4.2 and 4.3 are plots of most of the other information that is given in Tables 4.1, 4.2, and 4.3. The value for the total collective dose for all LWRs decreased by 13% from a value of 21,674 person-cSv (person-rem) in 1995 to 18,874 person-cSv (person-rem) in 1996. Together with the decrease in the number of workers with measurable dose, this resulted in the average measurable dose per worker decreasing to 0.22 cSv (rem) in 1996. Figure 4.2 shows that in 1996 the gross electricity generated was 79,660 megawatt-years.

TABLE 4.4

SUMMARY DISTRIBUTION OF ANNUAL WHOLE BODY DOSES AT COMMERCIAL LIGHT WATER REACTORS*

1977 - 1996

Year	Number of Individuals with Whole Body Doses in the Ranges (cSv or rem)																Total Number Monitored	Number with Measurable Exposure	Collective Dose** (person-cSv or rem)	CR***	
	No Meas'ble Exposure	Meas'ble <0.10	0.10-0.25	0.25-0.5	0.50-0.75	0.75-1.0	1.0-2.0	2.0-3.0	3.0-4.0	4.0-5.0	5.0-6.0	6.0-7.0	7.0-8.0	8.0-9.0	9.0-10.0	10.0-12.0					>12
1977	23,562	12,395	6,030	4,518	2,890	2,220	5,649	2,856	1,288	661	186	89	47	23	6			62,420	38,858	32,508	0.65
1978	28,372	15,101	6,342	4,998	3,088	2,247	5,995	3,034	1,197	514	109	37	9	0	1	0	2	71,046	42,674	31,801	0.61
1979	43,330	22,508	8,985	7,469	4,797	3,259	7,572	3,404	1,400	545	117	42	17	3	1			103,449	60,119	39,982	0.57
1980	50,873	26,903	10,676	8,904	5,570	4,134	10,671	4,607	1,816	831	235	119	29	7	1			125,376	74,503	53,795	0.59
1981	39,265	26,836	11,226	9,330	6,042	4,497	11,170	4,811	1,999	533	103	93	9	3	1	0	1	115,919	76,654	54,144	0.57
1982	41,713	29,225	11,713	9,903	6,229	4,420	10,220	4,716	2,066	596	97	31	5	0	1	1		120,936	79,223	52,190	0.58
1983	47,048	29,107	11,195	9,344	5,851	4,276	11,345	5,332	2,269	716	121	38	8	2				126,652	79,604	56,472	0.60
1984	54,670	36,296	13,427	10,275	6,336	4,804	11,283	5,206	2,122	487	52	22						144,980	90,310	55,235	0.57
1985	59,634	36,831	13,008	11,041	6,627	4,547	10,040	3,575	1,001	157	1							146,462	86,828	43,042	0.48
1986	67,701	41,467	14,570	11,842	7,016	4,693	10,241	3,062	868	146								161,606	93,905	42,381	0.45
1987	85,181	41,222	15,834	12,839	7,586	5,332	10,611	2,192	477	69								181,343	96,162	40,401	0.38
1988	87,254	40,225	15,913	13,153	7,903	5,461	10,310	2,442	511	26		1						183,199	95,945	40,769	0.39
1989	83,947	45,282	17,267	13,777	7,945	5,137	8,634	1,614	370	34								184,007	100,060	35,930	0.33
1990	83,873	42,607	17,529	14,192	8,226	5,260	8,594	1,794	335	21								182,431	98,558	36,592	0.33
1991	87,250	42,587	16,764	13,184	7,187	4,194	5,975	938	219	17								178,315	91,065	28,527	0.27
1992	87,717	41,934	17,822	14,777	8,134	4,520	6,076	808	85	4								181,877	94,160	29,294	0.24
1993	83,069	37,331	17,235	13,733	7,562	4,289	5,322	638	76	5								169,260	86,191	26,363	0.22
1994	68,927	31,100	15,750	12,386	6,362	3,655	4,092	415	20									142,707	73,780	21,695	0.08
1995	62,080	29,681	15,152	12,083	6,146	3,306	3,905	590	121	2								133,066	70,986	21,674	0.06
1996	59,238	30,432	14,626	11,248	5,389	2,823	3,186	409	69									127,420	68,182	18,874	0.05

*Summary of reports submitted in accordance with 10 CFR 20.407 or 20.2206 (since 1994) by only those plants that had been in commercial operation for at least 1 full year as of December 31 of each of the indicated years. Figures shown have been adjusted for the multiple reporting of transient individuals (see Section 5).

** The collective dose, when not reported by the licensee, was calculated by the NRC staff using methods described in Section 3.1.4.

***CR is the ratio of annual collective dose delivered at individual doses exceeding 1.5 cSv (rem) to the total annual collective dose. For 1994 - 1996, CR was determined directly from individual dose records submitted under 10 CFR 20.2206.

Figure 4.1
Average Collective Dose and Number of Workers per Reactor 1973 – 1996

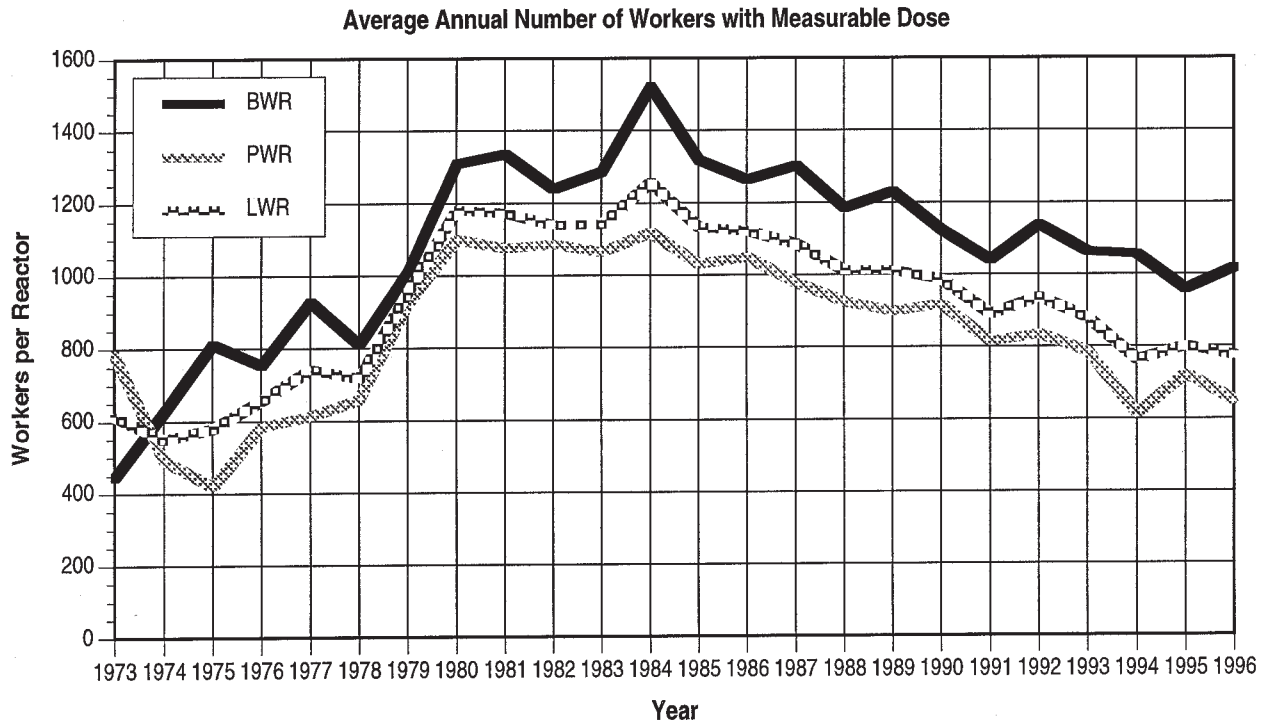
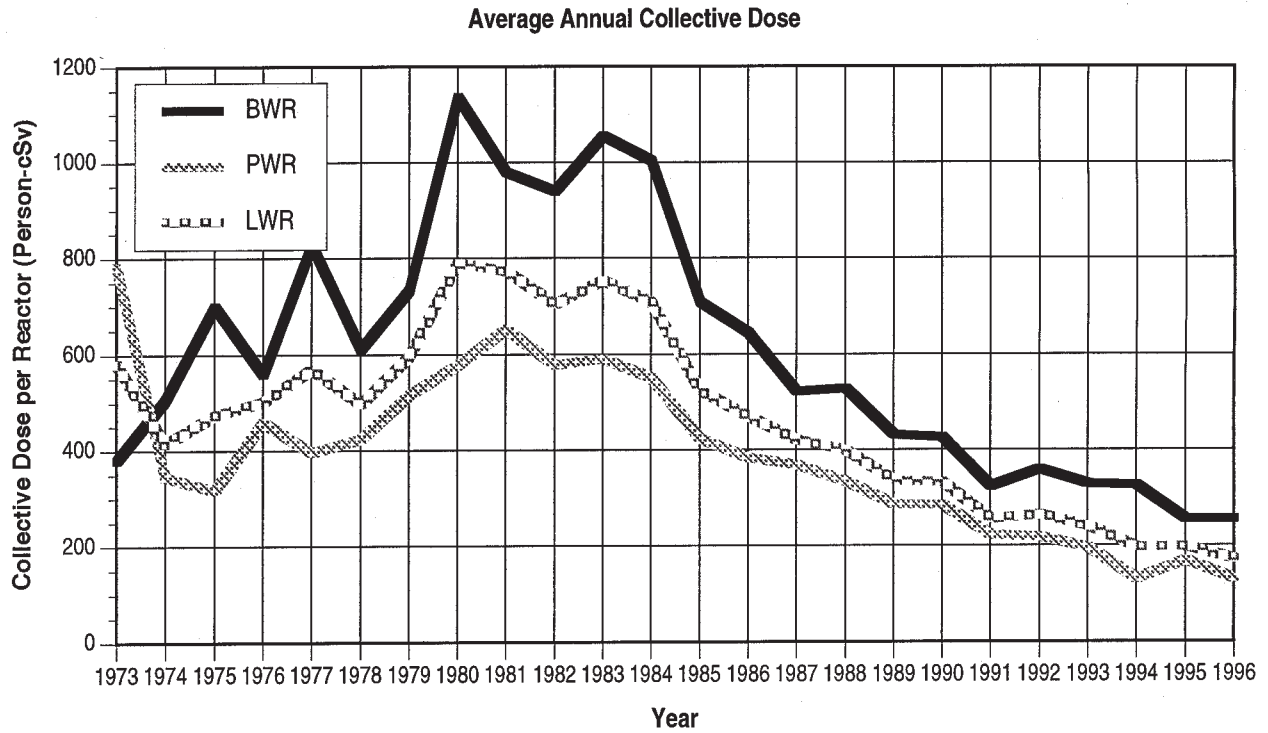


Figure 4.2
Number of Operating Reactors and Gross Electricity Generated 1973 – 1996

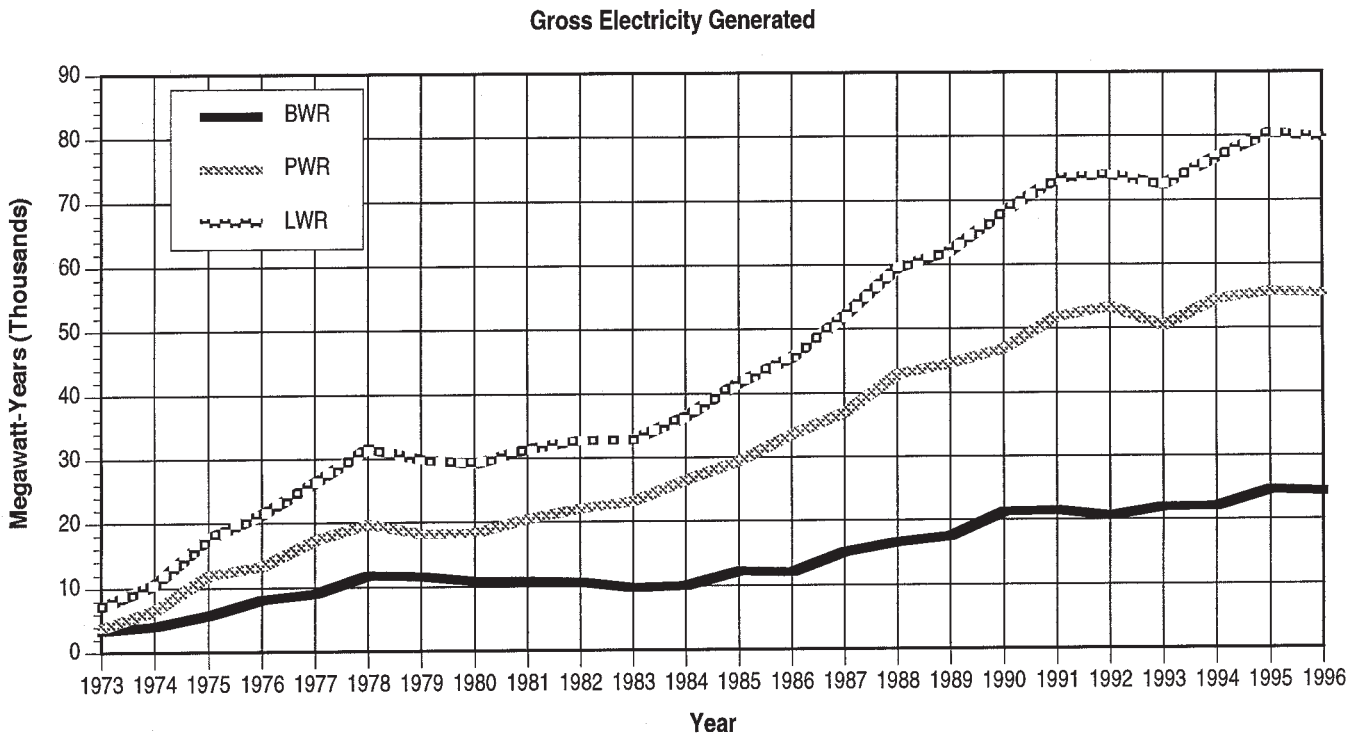
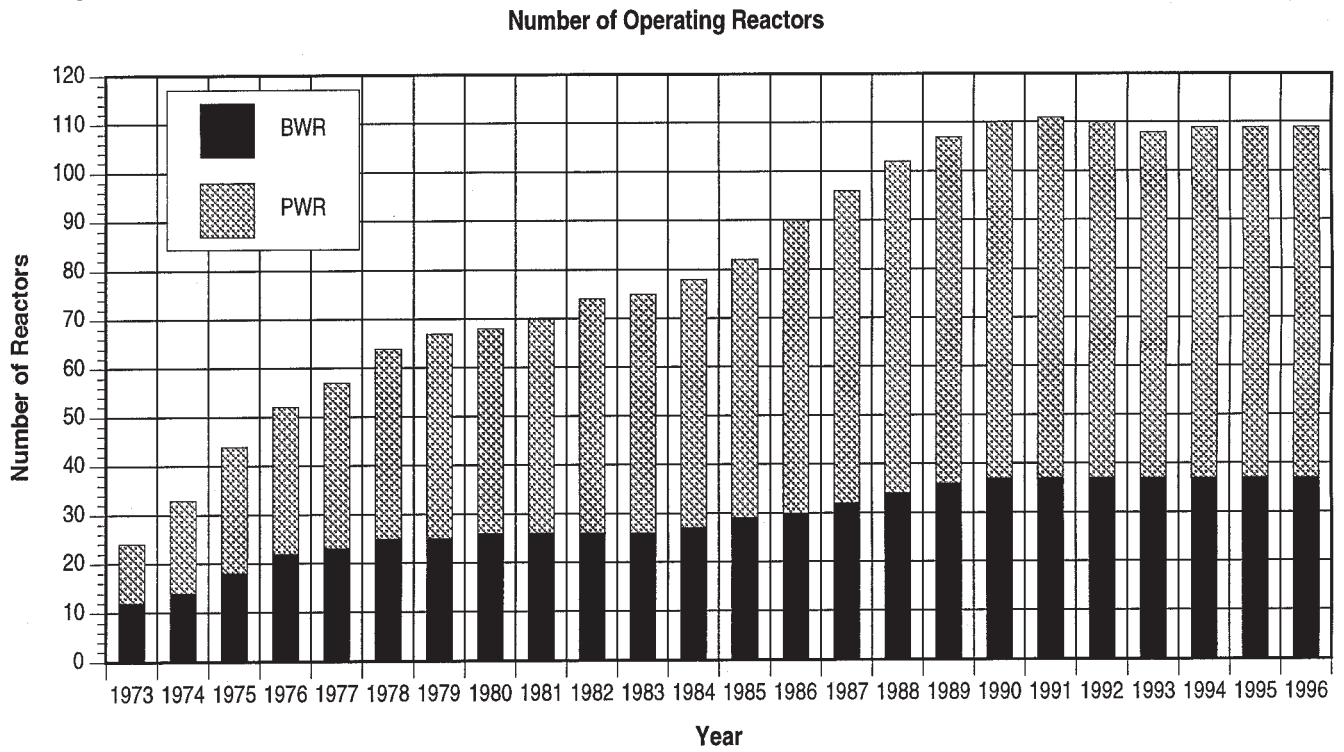
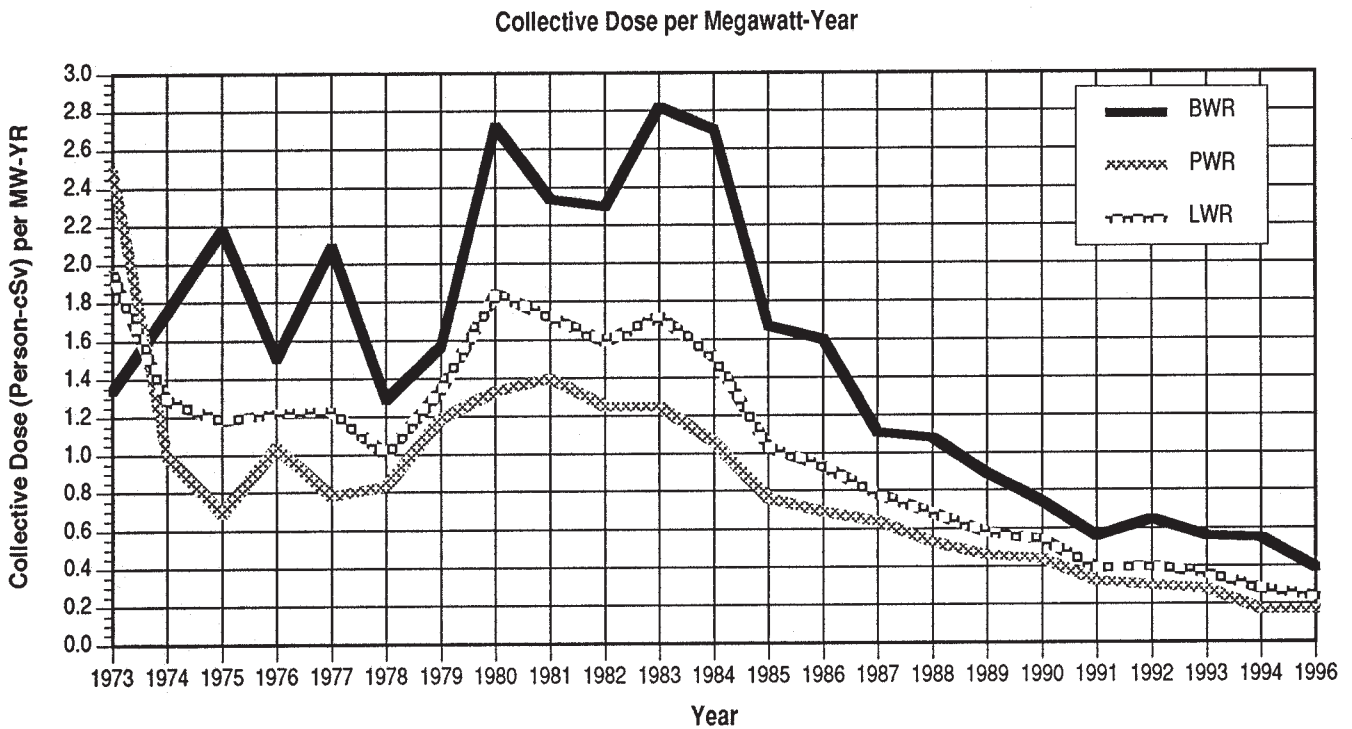
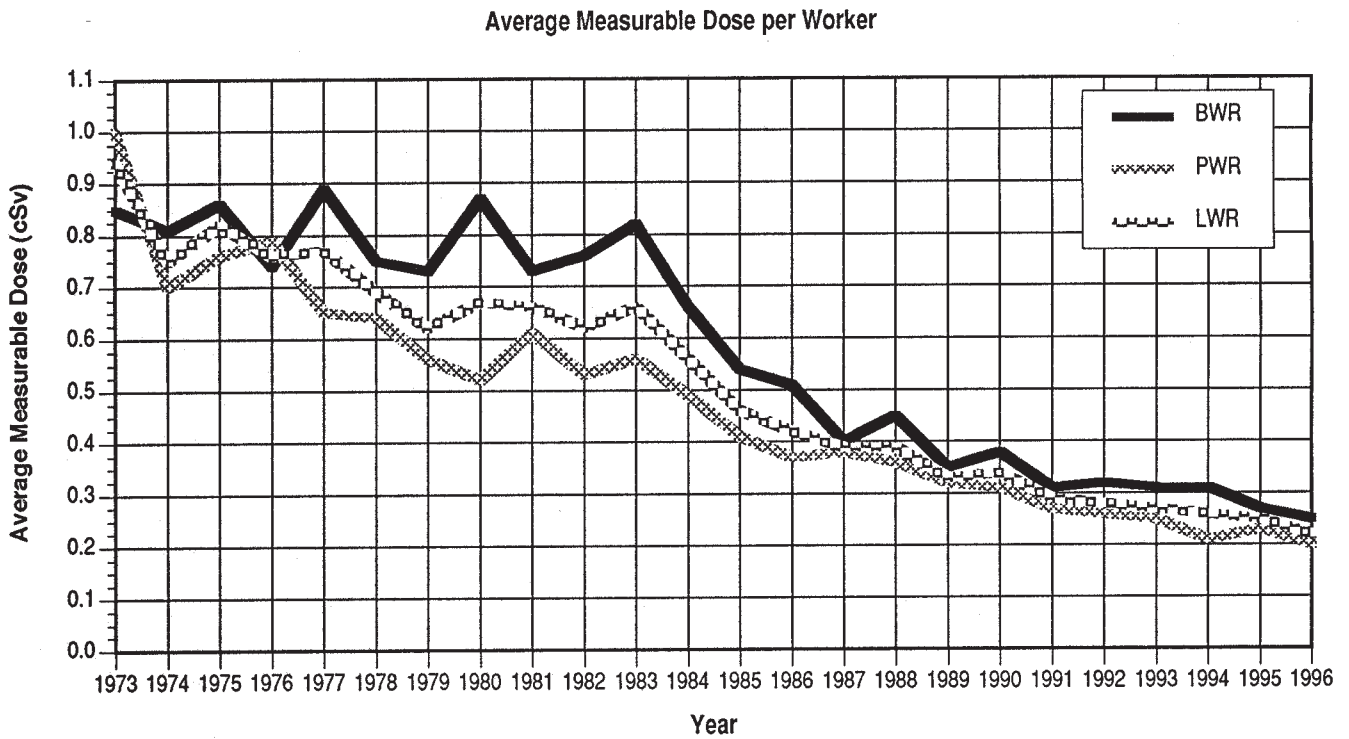


Figure 4.3
Average Measurable Dose per Worker and Collective Dose per Megawatt-Year 1973 – 1996



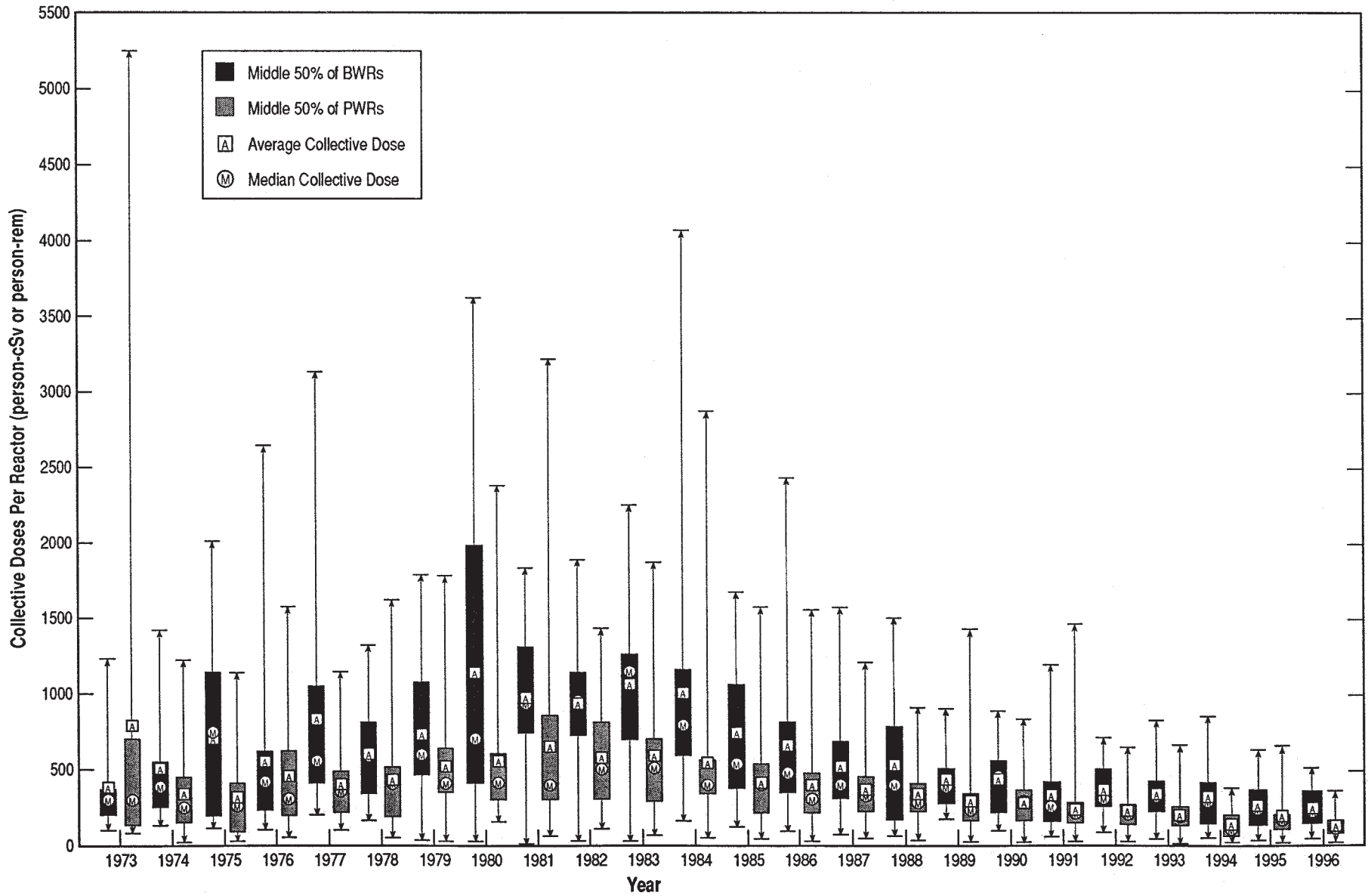
The fluctuations in the parameters for the years following the accident at the TMI plant in 1979 may reflect some of the impact that this incident had on the nuclear power industry. The decrease seen in dose trends since 1983 may be attributable to several factors. Utilities have completed most of the tasks initiated as a result of the lessons learned from the Three Mile Island accident, and they are increasing efforts to avoid and reduce exposure. The importance of exposure control and the concept of keeping exposures to ALARA levels is continually being stressed, and most utilities have established programs to collect and share information relative to tasks, techniques, and exposures.

To further assist in the identification of any trends that might exist, Figure 4.4 displays the average and median⁹ values of the collective dose per reactor for BWRs and for PWRs for the years 1973 through 1996. The ranges of the values reported each year are shown by the vertical lines with a small bar at each end marking the two extreme values. The rectangles indicate the range of values of the collective dose exhibited by those plants ranked in the twenty-fifth through the seventy-fifth percentiles. Since the median values usually are not as greatly affected by the extreme values of the collective doses, they do not normally fluctuate as much from year to year as do the average values. The median collective dose for PWRs experienced a decrease from 146 person-cSv (person-rem) in 1995 to 120 person-cSv (person-rem) in 1996. At BWRs, the median fluctuates more from year to year, and in 1996 the median collective dose decreased to 228 person-cSv (person-rem). Figure 4.4 also shows that, in 1996, 50% of the PWRs reported collective doses between 88 and 167 person-cSv (person-rem) while 50% of the BWRs reported collective doses between 145 and 358 person-cSv (person-rem). Nearly every year, the median collective dose is less than the average, which indicates that the collective dose for most plants is less than the average collective dose per reactor (the value that is widely quoted).

⁹

The value at which 50% of the reactors reported greater collective doses and the other 50% reported smaller collective doses.

Figure 4.4
Average, Median, and Extreme Values of the Collective Dose Per Reactor 1973 – 1996



4.5 Plant Rankings by Collective Dose per Reactor

Because the number of reactors from which data have been collected is still statistically rather small, the information reported by a few reactors where unusual conditions or problems may have occurred could have a large impact on some of the statistics presented in this report. In an effort to identify those plants, Tables 4.5 and 4.6 list the BWRs and PWRs in ascending order of collective dose per reactor for each of the 5 years from 1992 through 1996. The total collective dose per site is listed in the tables even though the dose per reactor was used for all ranking. Two other parameters, average measurable dose per worker and collective dose per megawatt-year, are also given for each plant. Also shown is a parameter CR, which is defined as the ratio of the annual collective dose delivered at individual doses exceeding 1.5 cSv (rem) to the total annual collective dose. The value of CR has continued to decline for most plants, and in 1996, the CR for all the U.S. LWRs fell between 0.05 and 0.50, the range recommended by the UNSCEAR [Ref. 10]. Note that for 1994 through 1996, the CR value was determined directly from the individual radiation exposure records submitted under 10 CFR 20.2206 (Form 5) rather than calculating the value from the statistical dose distribution summary (see Section 3.1.8).

In 1996, the five BWR sites with the highest collective doses all exceeded 409 person-cSv (person-rem) per reactor (Table 4.5). These reactors were LaSalle 1 and 2, Millstone Point 1, Oyster Creek, River Bend, and Quad Cities. Although the seven reactors at these five sites represented only 19% of the 37 BWRs, they contributed 34% of the total collective dose incurred at BWRs in 1996.

Some of the activities that contributed to the collective dose accumulated at the BWR site with the highest collective dose per reactor [Quad Cities 1 and 2 with 1,025 person-cSv (person-rem)] were valve repair, reactor water cleanup system replacement, in-service inspection, and residual heat removal room structural modifications.

In 1996, the five PWR sites with the highest collective doses all exceeded 226 person-cSv (person-rem) per reactor (Table 4.6). These reactors were Vogtle 1 and 2, Byron 1 and 2, Callaway, Palisades, and Crystal River 3. Although representing 10% of the 72 PWRs included in 1996, they contributed 19% of the total collective dose at PWRs. Much of the collective dose accumulated at the plant with the highest dose per reactor in 1996 [Crystal River 3 with 353 person-cSv (person-rem)] was attributed to reactor head work, steam generator work, health physics activities, scaffolding work, and system maintenance.

TABLE 4.5
BOILING WATER REACTORS LISTED IN ASCENDING ORDER OF COLLECTIVE DOSE PER REACTOR***
1992 - 1996

1992				
Site Name	Collect. Dose per Site*	Dose per Worker	Dose per MW-Yr	CR**
COOPER STATION	84	0.18	0.1	0.07
MILLSTONE POINT 1	99	0.28	0.2	0.47
MONTICELLO	114	0.25	0.2	0.19
LIMERICK 1,2	330	0.21	0.2	0.06
BROWNS FERRY 1,2,3	516	0.19	0.5	0.04
FERMI 2	245	0.20	0.3	0.01
PEACH BOTTOM 2,3	502	0.26	0.3	0.16
HATCH 1,2	550	0.34	0.4	0.16
BIG ROCK POINT	277	0.56	8.5	0.52
PILGRIM	281	0.21	0.5	0.02
NINE MILE POINT 1,2	563	0.31	0.6	0.17
DRESDEN 2,3	619	0.34	0.7	0.22
BRUNSWICK 1,2	623	0.23	1.7	0.16
SUSQUEHANNA 1,2	724	0.38	0.5	0.23
VERMONT YANKEE	381	0.41	0.9	0.19
CLINTON	431	0.36	0.7	0.12
HOPE CREEK 1	436	0.26	0.5	0.18
GRAND GULF	484	0.24	0.5	0.14
DUANE ARNOLD	502	0.48	1.2	0.28
PERRY	571	0.38	0.7	0.15
QUAD CITIES 1,2	1,157	0.48	1.2	0.31
LASALLE 1,2	1,167	0.48	0.8	0.32
WASHINGTON NUCLEAR 2	612	0.41	0.9	0.24
OYSTER CREEK	657	0.24	1.2	0.16
FITZPATRICK	674	0.28	—	0.24
RIVER BEND 1	710	0.35	2.1	0.21

1993				
Site Name	Collect. Dose per Site*	Dose per Worker	Dose per MW-Yr	CR**
FERMI 2	35	0.10	0.0	0.00
MILLSTONE POINT 1	81	0.27	0.1	0.15
HOPE CREEK 1	98	0.14	0.1	0.05
LIMERICK 1,2	217	0.17	0.1	0.02
BIG ROCK POINT	152	0.36	3.0	0.26
SUSQUEHANNA 1,2	335	0.23	0.2	0.05
RIVER BEND 1	180	0.21	0.3	0.14
VERMONT YANKEE	217	0.26	0.5	0.08
FITZPATRICK	232	0.16	0.4	0.14
PEACH BOTTOM 2,3	552	0.31	0.3	0.17
PERRY	278	0.23	0.6	0.03
BROWNS FERRY 1,2,3	870	0.24	1.3	0.08
NINE MILE POINT 1,2	633	0.27	0.5	0.14
GRAND GULF	332	0.18	0.4	0.07
HATCH 1,2	669	0.39	0.6	0.18
COOPER STATION	391	0.35	0.9	0.20
DUANE ARNOLD	407	0.39	1.0	0.34
OYSTER CREEK	416	0.16	0.8	0.07
QUAD CITIES 1,2	849	0.39	0.9	0.24
LASALLE 1,2	854	0.50	0.6	0.33
PILGRIM	435	0.33	0.8	0.03
BRUNSWICK 1,2	872	0.30	1.9	0.17
WASHINGTON NUCLEAR 2	469	0.34	0.6	0.19
MONTICELLO	494	0.52	1.1	0.30
CLINTON	498	0.40	0.7	0.09
DRESDEN 2,3	1,655	0.60	1.7	0.38

1994				
Site Name	Collect. Dose per Site*	Dose per Worker	Dose per MW-Yr	CR**
VERMONT YANKEE	38	0.17	0.1	0.00
GRAND GULF	56	0.12	0.0	0.03
CLINTON	63	0.15	0.1	0.00
NINE MILE POINT 1,2	149	0.19	0.1	0.02
COOPER STATION	79	0.24	0.3	0.00
BIG ROCK POINT	119	0.38	2.4	0.14
DUANE ARNOLD	120	0.24	0.2	0.03
LIMERICK 1,2	275	0.18	0.1	0.00
PILGRIM	200	0.26	0.4	0.00
FERMI 2	213	0.19	—	0.00
SUSQUEHANNA 1,2	442	0.28	0.2	0.02
BROWNS FERRY 1,2,3	855	0.26	1.0	0.05
PEACH BOTTOM 2,3	579	0.27	0.3	0.09
FITZPATRICK	322	0.20	0.5	0.10
HOPE CREEK 1	326	0.18	0.4	0.05
LASALLE 1,2	726	0.40	0.5	0.08
MILLSTONE POINT 1	391	0.30	1.0	0.01
MONTICELLO	395	0.50	0.8	0.17
DRESDEN 2,3	833	0.36	1.2	0.05
HATCH 1,2	864	0.39	0.7	0.20
BRUNSWICK 1,2	999	0.33	0.8	0.05
RIVER BEND 1	519	0.23	0.9	0.06
QUAD CITIES 1,2	1,128	0.52	1.7	0.31
PERRY	691	0.33	1.3	0.03
OYSTER CREEK	844	0.35	2.0	0.24
WASHINGTON NUCLEAR 2	866	0.46	1.1	0.20

1995				
Site Name	Collect. Dose per Site*	Dose per Worker	Dose per MW-Yr	CR**
FERMI 2	28	0.07	0.0	0.00
MONTICELLO	44	0.22	0.1	0.00
BIG ROCK POINT	54	0.26	0.9	0.18
PERRY	64	0.11	0.1	0.00
RIVER BEND 1	85	0.13	0.1	0.00
OYSTER CREEK	90	0.12	0.1	0.00
LIMERICK 1,2	260	0.16	0.1	0.02
BROWNS FERRY 1,2,3	409	0.16	0.4	0.00
VERMONT YANKEE	182	0.25	0.4	0.00
HOPE CREEK 1	196	0.13	0.2	0.07
PEACH BOTTOM 2,3	398	0.21	0.2	0.03
COOPER STATION	228	0.21	0.5	0.02
SUSQUEHANNA 1,2	476	0.27	0.3	0.05
HATCH 1,2	488	0.33	0.4	0.10
LASALLE 1,2	512	0.32	0.3	0.02
CLINTON	316	0.27	0.4	0.01
FITZPATRICK	327	0.26	0.6	0.03
BRUNSWICK 1,2	683	0.26	0.5	0.00
GRAND GULF	342	0.22	0.4	0.01
DUANE ARNOLD	357	0.32	0.8	0.01
QUAD CITIES 1,2	736	0.36	0.7	0.01
NINE MILE POINT 1,2	759	0.33	0.5	0.12
DRESDEN 2,3	875	0.35	1.4	0.07
WASHINGTON NUCLEAR 2	456	0.27	0.6	0.03
PILGRIM	482	0.37	0.9	0.00
MILLSTONE POINT 1	620	0.68	1.2	0.16

1996				
Site Name	Collect. Dose per Site*	Dose per Worker	Dose per MW-Yr	CR**
COOPER STATION	48	0.10	0.1	0.00
PILGRIM	116	0.22	0.2	0.00
LIMERICK 1,2	234	0.14	0.1	0.00
BROWNS FERRY 1,2,3	384	0.22	0.2	0.02
PEACH BOTTOM 2,3	282	0.17	0.1	0.02
SUSQUEHANNA 1,2	289	0.20	0.1	0.02
NINE MILE POINT 1,2	290	0.18	0.2	0.02
FERMI 2	157	0.11	0.3	0.00
HOPE CREEK 1	158	0.15	0.2	0.01
BIG ROCK POINT	208	0.60	4.7	0.23
HATCH 1,2	441	0.29	0.3	0.05
DRESDEN 2,3	456	0.26	0.7	0.00
VERMONT YANKEE	231	0.24	0.5	0.00
MONTICELLO	240	0.32	0.5	0.06
DUANE ARNOLD	270	0.25	0.6	0.06
PERRY	307	0.19	0.3	0.00
CLINTON	350	0.30	0.6	0.06
FITZPATRICK	357	0.26	0.6	0.02
GRAND GULF	357	0.23	0.3	0.03
BRUNSWICK 1,2	716	0.26	0.6	0.09
WASHINGTON NUCLEAR 2	373	0.26	0.6	0.02
LASALLE 1,2	819	0.29	0.8	0.03
MILLSTONE POINT 1	431	0.58	—	0.17
OYSTER CREEK	449	0.24	0.9	0.07
RIVER BEND 1	473	0.23	0.6	0.07
QUAD CITIES 1,2	1,025	0.46	1.2	0.08

* For sites with more than one operating reactor, the collective dose per reactor is obtained by dividing the collective dose for the site by the number of reactors.

** CR is the ratio of the annual collective dose delivered at individual doses exceeding 1.5 cSv (rems) to the collective dose. For '94 - '96 data, the CR value was determined from the individual Form 5 submittals.

*** All doses are in cSv (rems).

Tables 4.7a and b list the sites that had been in commercial operation for at least 5 years as of December 31, 1996, and show the values of several parameters for each of the sites. They also give averages for the two types of reactors. Based on the 185 reactor-years of operation accumulated by the 37 BWRs listed, the average annual collective dose per reactor was found to be 306 person-cSv (person-rem), the average measurable dose per worker was 0.29 cSv (rem), and the average collective dose per megawatt-year was 0.5.

Based on the 351 reactor-years of operation at the 71 PWRs listed, the average annual collective dose per reactor, average measurable dose per worker, and average collective dose per megawatt-year were found to be 172 person-cSv (person-rem), 0.24 cSv (rem), and 0.2 person-cSv/MW-yr, respectively. All of these values, at both types of facilities, are lower than those found for the 5 year period ending in 1995, with the exception of the average collective dose per megawatt-year at PWRs, which remained the same.

In some cases, the plants having the lower values for most of the parameters shown in Tables 4.7a and b are the newer plants. Some of the older, smaller plants, such as Big Rock Point, also appear near the top of the listings because they report small collective doses. However, the ratio of collective dose to megawatt-years is generally higher for these plants because of their limited power generation capability.

Usually, the combination of a large annual collective dose and a large collective dose to megawatt-year ratio for a plant indicates that extensive maintenance or modifications were undertaken during the year. Jobs that were large contributors to BWR doses in 1996 included valve repair, reactor water cleanup system replacement, drywell inspections, in-service inspections, and weld overlays. At PWR facilities, the major contributors to the collective dose were refueling, steam generator work, resistance temperature detector modifications, and reactor head work.

A complete breakdown of the activities contributing to the collective dose at the ten sites with the highest dose per reactor ranking in 1996 (from Tables 4.5 and 4.6) is given in Tables 4.8a and 4.8b for BWRs and PWRs respectively. The outage dose and duration are shown as well as the collective dose for each activity.

TABLE 4.7a
5-YEAR TOTALS AND AVERAGES LISTED IN ASCENDING
ORDER OF COLLECTIVE DOSE PER BWR

1992 - 1996

Site Name*	Number of Reactor Years	Annual Collective Dose per Reactor	Total Coll. Dose per Site (cSv)	Workers with Meas. Doses	Avg. Meas. Dose (cSv)	Total MW-yrs	Average Collective Dose per MW-yr
LIMERICK 1,2	10	132	1,316	7,624	0.17	9,576.8	0.1
FERMI 2	5	136	678	4,495	0.15	3,052.8	0.2
BIG ROCK POINT	5	162	810	1,777	0.46	239.4	3.4
COOPER STATION	5	166	830	3,489	0.24	2,655.8	0.3
BROWNS FERRY 1,2,3	15	202	3,034	13,840	0.22	5,648.4	0.5
VERMONT YANKEE	5	210	1,049	3,662	0.29	2,278.5	0.5
SUSQUEHANNA 1,2	10	227	2,266	8,156	0.28	8,864.7	0.3
PEACH BOTTOM 2,3	10	231	2,313	9,398	0.25	9,060.8	0.3
NINE MILE POINT 1,2	10	239	2,394	8,852	0.27	6,912.2	0.3
HOPE CREEK 1	5	243	1,214	6,801	0.18	4,392.3	0.3
MONTICELLO	5	257	1,287	3,153	0.41	2,482.7	0.5
HATCH 1,2	10	301	3,012	8,544	0.35	6,664.1	0.5
PILGRIM	5	303	1,514	5,229	0.29	2,689.6	0.6
GRAND GULF	5	314	1,571	7,447	0.21	5,094.7	0.3
MILLSTONE POINT 1	5	324	1,622	3,631	0.45	1,974.3	0.8
DUANE ARNOLD	5	331	1,656	4,801	0.34	2,236.5	0.7
CLINTON	5	332	1,658	5,237	0.32	3,538.5	0.5
PERRY	5	382	1,911	7,029	0.27	3,870.3	0.5
FITZPATRICK	5	382	1,912	8,029	0.24	2,339.4	0.8
BRUNSWICK 1,2	10	389	3,893	14,101	0.28	4,783.6	0.8
RIVER BEND 1	5	393	1,967	7,838	0.25	3,372.7	0.6
LASALLE 1,2	10	408	4,078	10,336	0.39	7,316.9	0.6
DRESDEN 2,3	10	444	4,438	11,169	0.40	3,776.7	1.2
QUAD CITIES 1,2	10	490	4,895	11,015	0.44	4,493.9	1.1
OYSTER CREEK	5	491	2,456	10,307	0.24	2,648.9	0.9
WASHINGTON NUCLEAR 2	5	555	2,776	7,891	0.35	3,821.5	0.7
Grand Totals and Averages	185		56,550	193,851	0.29	113,786.0	0.5
Averages Per Reactor-Year			306	1,048		615.1	

* Sites where not all reactors had completed 5 full years of commercial operation as of 12/31/96 are not included.

TABLE 4.7b
5-YEAR TOTALS AND AVERAGES LISTED IN ASCENDING
ORDER OF COLLECTIVE DOSE PER PWR
1992 - 1996

Site Name*	Number of Reactor Years	Annual Collective Dose per Reactor	Total Coll. Dose per Site (cSv)	Workers with Meas. Doses	Avg. Meas. Dose (cSv)	Total MW-yrs	Average Collective Dose per MW-yr
PRAIRIE ISLAND 1,2	10	65	645	2,912	0.22	4,799.4	0.1
SEABROOK	5	76	378	2,774	0.14	4,901.2	0.1
INDIAN POINT 3	5	84	419	2,937	0.14	1,570.4	0.3
SOUTH TEXAS 1,2	10	87	873	5,352	0.16	8,712.6	0.1
THREE MILE ISLAND 1	5	102	509	4,314	0.12	3,985.5	0.1
KEWAUNEE	5	107	535	2,139	0.25	2,239.1	0.2
POINT BEACH 1,2	10	108	1,078	3,301	0.33	4,379.9	0.2
WATERFORD 3	5	122	612	4,009	0.15	4,936.3	0.1
HARRIS	5	131	657	3,858	0.17	3,905.0	0.2
OCONEE 1,2,3	15	132	1,984	8,441	0.24	10,863.1	0.2
WOLF CREEK 1	5	136	681	3,731	0.18	5,144.4	0.1
BRAIDWOOD 1,2	10	137	1,369	5,829	0.23	9,215.8	0.1
DAVIS-BESSE	5	137	685	3,597	0.19	4,107.2	0.2
COOK 1,2	10	143	1,432	6,713	0.21	7,383.4	0.2
TURKEY POINT 3,4	10	148	1,478	6,433	0.23	6,011.2	0.2
SALEM 1,2	10	155	1,545	11,751	0.13	4,492.7	0.3
SAN ONOFRE 1,2,3*	11	155	1,707	7,558	0.23	9,991.1	0.2
PALO VERDE 1,2,3	15	159	2,379	9,745	0.24	15,345.4	0.2
SUMMER 1	5	162	808	3,877	0.21	3,905.5	0.2
MCGUIRE 1,2	10	162	1,622	7,822	0.21	9,024.2	0.2
FORT CALHOUN	5	163	817	3,093	0.26	1,942.6	0.4
VOGTLE 1,2	10	166	1,661	5,996	0.28	10,615.0	0.2
CALVERT CLIFFS 1,2	10	166	1,663	7,293	0.23	7,052.8	0.2
BYRON 1,2	10	167	1,672	6,070	0.28	9,281.5	0.2
SEQUOYAH 1,2	10	175	1,752	8,022	0.22	7,541.5	0.2
CATAWBA 1,2	10	176	1,761	7,827	0.22	9,850.0	0.2
DIABLO CANYON 1,2	10	179	1,792	8,752	0.20	9,785.3	0.2
GINNA	5	179	896	4,081	0.22	2,026.5	0.4
BEAVER VALLEY 1,2	10	186	1,856	7,212	0.26	6,769.2	0.3
ARKANSAS 1,2	10	191	1,905	10,156	0.19	7,609.5	0.3
SURRY 1,2	10	192	1,915	7,458	0.26	6,891.9	0.3
CALLAWAY 1	5	202	1,010	4,492	0.22	5,219.5	0.2
ST. LUCIE 1,2	10	206	2,059	7,540	0.27	6,940.3	0.3
FARLEY 1,2	10	208	2,083	7,068	0.29	7,230.6	0.3
CRYSTAL RIVER 3	5	215	1,073	4,566	0.23	3,222.8	0.3
ROBINSON 2	5	227	1,134	4,997	0.23	2,821.9	0.4
NORTH ANNA 1,2	10	234	2,335	8,717	0.27	7,780.4	0.3
MILLSTONE POINT 2,3	10	257	2,567	9,178	0.28	5,892.0	0.4
HADDAM NECK	5	272	1,362	3,943	0.35	2,139.7	0.6
INDIAN POINT 2	5	284	1,422	4,462	0.32	4,044.4	0.4
PALISADES	5	285	1,424	4,911	0.29	2,768.0	0.5
ZION 1,2	10	323	3,226	8,054	0.40	6,817.7	0.5
MAINE YANKEE	5	326	1,631	4,077	0.40	2,714.2	0.6
Grand Totals and Averages	351		60,412	255,058	0.24	261,870.7	0.2
Averages Per Reactor-Year			172	727		746.1	

* Sites where not all reactors had completed 5 full years of commercial operation as of 12/31/96 are not included. San Onofre is included in the compilation even though Unit 1 is no longer in operation.

TABLE 4.8a
ACTIVITIES CONTRIBUTING TO HIGH COLLECTIVE
DOSES AT SELECTED PLANTS IN 1996
BWRs with High Collective Doses

Oyster Creek (449 rem)

Outage dose/duration: 372 rem/48 days
 Average daily outage dose: 5.4 rem/day
 Average daily operating dose: 0.249 rem/day

- Drywell inspections (in-service inspection, non-destructive evaluation, general electric remote inspection system, intergranular stress corrosion cracking) (82 rem)
- Drywell scaffolding (67 rem)
- Drywell shielding (27 rem)
- Drywell insulation (21 rem)
- Refueling activities (20 rem)
- Drywell safety relief valve/electro magnetic relief valve exchange (11 rem)
- Condenser bay scaffolding (9 rem)
- Reactor water cleanup system - valve maintenance (5 rem)

Millstone Point 1 (431 rem)

Outage dose/duration: 431 rem/365 days
 Average daily outage dose: 1.18 rem/day
 Average daily operating dose: N/A

- Weld overlays (76.3 rem)
- Lower radwaste remediation (74.2 rem)
- Mechanical stress improvement process (19.8 rem)
- Painting (16.4 rem)
- Intergranular stress corrosion cracking mitigation (11.4 rem)
- Reactor coolant pump inspection/shaft replacement (11.4 rem)
- Staging (11 rem)
- Valve PMs and CMs (10.5 rem)
- Beltline inspection (7.5 rem)
- Drywell insulation replacement (5.8 rem)
- 50.54 design engineering inspections (3.8 rem)
- Drywell ladder modifications (3.6 rem)
- General access (3 rem)
- Local leak rate testing (2.9 rem)
- Motor operated valve analysis and testing system & Valve operation test & evaluation system testing (1.8 rem)
- Hanger repairs and mods (1.4 rem)

Quad Cities (1025 rem)

Outage dose/duration (Unit 1): 730.3 rem/209 days
 Outage dose/duration (Unit 2): 89.5 rem/101 days
 Average daily outage dose: 3.85 rem/day
 Average daily operating dose: 0.598 rem/day

- Valve work (114 rem)
- Reactor water cleanup system replacement (92 rem)
- In-service inspection (78.5 rem)
- Residual heat removal rooms structural steel modification (Unit 1 - 51.7 rem, Unit 2 - 53.4 rem)
- Weld overlays (5) (41 rem)
- Turbine overhaul (1 high pressure, 1 low pressure valves) (34.2 rem)

River Bend (473 rem)

Outage dose/duration (Refueling): 384.4 rem/40 days
 Outage dose/duration (Forced Outage): 14 rem/18 days
 Average daily outage dose (Refueling): 9.657 rem/day
 Average daily outage dose (Forced Outage): 0.768 rem/day
 Average daily operating dose: 0.243 rem/day

- In-service inspection (59.4 rem)
- Refueling (22.5 rem)
- Emergency core cooling system modification (18.3 rem)
- Shielding (11.6 rem)
- Leak, off line removal (9.9 rem)
- Hydrogen igniters vibration modification (9.8 rem)
- Motor-operated valve (9.5 rem)
- Control rod drive (6.7 rem)
- Local power range monitor (6.5 rem)
- Safety relief valve replacement (6.1 rem)
- Local leak rate testing (5.4 rem)
- Suppression pool cleanup (5.2 rem)
- Emergent work (51.7 rem) includes:
 - Valve modifications (18.3 rem)
 - Miscellaneous (15 rem)
 - Valve repairs (4.9 rem)
 - Recirc pump seals (3.8 rem)
 - Safety relief valve air check valves (3.5 rem)
 - Reactor water cleanup system - Heat exchanger repair (2.2 rem)

LaSalle (819 rem)

Outage dose/duration: 645.9 rem/264 days
 Average daily outage dose: 2.4 rem/day
 Average daily operating dose: 0.455 rem/day

Unit 1 (332.2 rem):

- In-service inspection (34.9 rem)
- Vessel disassembly/reassembly (24.7 rem)
- Control rod drive pull/put (20 rem)
- Under vessel work (13.3 rem)
- Chemical decontamination (12.8 rem)
- Radiation protection support (10 rem)
- Safety relief valve work (9.2 rem)
- Drywell housekeeping (9.1 rem)
- Motor operated valve work (8.9 rem)

Unit 2 (295.6 rem):

- In-service inspection (39.5 rem)
- Control rod drive pull/put (29 rem)
- Safety relief valve work (9.8 rem)
- Radiation protection support (7.4 rem)
- Motor operated valve work (7 rem)
- Drywell housekeeping (6.7 rem)
- Under vessel work (5.4 rem)
- Vessel disassembly (3.6 rem)

*All doses refer to the collective dose in person-rem (person-cSv).

TABLE 4.8b
ACTIVITIES CONTRIBUTING TO HIGH COLLECTIVE
DOSES AT SELECTED PLANTS IN 1996
PWRs with High Collective Doses

Byron Station (455 rem)

Outage dose/duration (Unit 1-Refuel): 235 rem/98 days
 Outage dose/duration (Unit 2-Refuel): 159.7 rem/52 days
 Outage dose/duration (Unit 1-Forced Outage): 11.2 rem
 Outage dose/duration (Unit 2-Forced Outage): 23.1 rem
 Average daily outage dose (Unit 1-Refuel): 2.40 rem/day
 Average daily outage dose (Unit 2-Refuel): 3.07 rem/day
 Average daily operating dose: N/A

Unit 1:

- Steam generator work (73.3 rem)
- Resistance temperature detector modifications (62.9 rem)
- In-service inspection (10.6 rem)
- Scaffold work (8.8 rem)
- Reactor Dis/assembly (8.6 rem)
- Snubber work (1.3 rem)

Unit 2:

- Resistance temperature detector modifications (74.1 rem)
- Steam generator work (22.8 rem)
- Scaffold (14.3 rem)
- Seal weld cap work (9.2 rem)
- Reactor Dis/assembly (8.9 rem)
- In-service inspection (3.9 rem)
- Repair reactor head guide funnels (3.4 rem)
- Snubbers (2.1 rem)

Callaway Plant (248 rem)

Outage dose/duration: 232 rem/31 days
 Average daily outage dose: 7.484 rem/day
 Average daily operating dose: 0.048 rem/day

- Steam generator maintenance (64 rem)
 - Primary side work (53.8 rem)
 - Secondary side work (10.2 rem)
- Reactor head activities (20.9 rem)
 - Inspection/repair of reactor head funnel welds (7 rem)
- Reactor coolant pump maintenance (19.7 rem)
 - Includes first of four reactor coolant pump internals replacement (5.6 rem)
 - Repair of seal housing to pump case leakage (9.3 rem)
 - One reactor coolant pump motor replacement (2.4 rem)
- Modification work (14.3 rem)
 - Steam generator platform enlargement (5 rem)
 - Reactor cavity seal hatch repairs (2.6 rem)
- Miscellaneous maintenance (16.1 rem)
 - In-service inspection (2.9 rem)
 - Bolting exams (6.5 rem)
 - Excess letdown weld overlay piping replacement (2.8 rem)

Vogtle (452 rem)

Outage dose/duration (Unit 1): 219 rem/50 days
 Outage dose/duration (Unit 2): 202 rem/35 days
 Average daily outage dose (Unit 1): 4.4 rem/day
 Average daily outage dose (Unit 2): 5.8 rem/day
 Average daily operating dose: 0.11 rem/day

Unit 1:

- Steam generator maintenance (37.9 rem)
- Refueling operation (22.1 rem)
- Emergent work/forced outages (48.5 rem)

Unit 2:

- Steam generator maintenance (40.9 rem)
- Refueling operation (21.7 rem)
- Emergent work/forced outages (48.5 rem)

Crystal River 3 (353 rem)

Outage dose/duration: 315 rem/91 days
 Average daily outage dose: 3.46 rem/day
 Average daily operating dose: 0.14 rem/day

- Reactor head work (57.0 rem)
- Steam generator work (48.6 rem)
- Health physics (34.7 rem)
- Scaffolding (34.7 rem)
- System maintenance (30.6 rem)
- Facility maintenance/rad-waste (29.9 rem)
- Mechanical controls (17.8 rem)
- Electrical (13.2 rem)
- Operations (8.9 rem)
- Insulation work (8.9 rem)
- Laborers (8.6 rem)
- Pipefitters (7.9 rem)
- Instruments and controls (7.0 rem)
- Sheet metal workers (5.9 rem)
- Nuclear waste (5.5 rem)
- Quality control (5.2 rem)

Palisades (318 rem)

Outage dose/duration: 268 rem/49 days
 Average daily outage dose: 5.0 rem/day
 Average daily operating dose: 0.209 rem/day

- Refueling services (101 rem) (contractor)
- Steam generator maintenance (61.8 rem)
- Fuel carrier repairs (25 rem)
- Health physics support (20 rem)
- Emergent work (18 rem)
- Scaffolding (13.2 rem)
- Valve repairs (11 rem)
- Insulation (10.2 rem)
- Small bore piping (hangers) (6.3 rem)
- In-service inspection (5.3 rem)

*All doses refer to the collective dose in person-rem (person-cSv).

Even with the use of better techniques and robotics, these tasks continue to be responsible for a major percentage of the collective dose. It should be noted that the differences in nuclear plant designs and the ages of the plants, even between plants of a given type, affect the nature of these parameters [Ref. 15]. Therefore, care should be exercised when attempting to draw conclusions from these data.

From the above analysis, one can see that the largest contributor to the collective dose is usually associated with outages at a site. In analyzing collective dose trends, it is useful to examine the outage data for reactors to look for a relationship between the collective dose and the outage information for the reactors. Figure 4.5 displays the total number of outage days for BWRs and PWRs respectively. The collective dose and average measurable dose are also plotted to allow for the comparison of outage duration to collective dose.

4.6 Collective Dose by Work Function and Employee Type

Each plant is required by its Technical Specifications to submit an annual report in accordance with Regulatory Guide 1.16 that provides the collective dose of workers monitored at each plant site by employee type (plant, utility, or contractor) and by work and job functions. A copy of the report submitted for each reactor site is provided in Appendix D, and much of the data are graphically represented for each site in Appendix E. Tables 4.9 through 4.14 summarize the 1996 data for BWRs, PWRs, and LWRs. Table 4.9 shows that, at both BWRs and PWRs, about 65% of the collective dose is incurred during routine and special maintenance activities. Also, the portion of the collective dose incurred during most of the other activities is similar at the two types of plants.

One should note that the collective doses obtained from these reports are not used in any other tables in this document. This is because the Technical Specifications of each plant require only 80% of the plant's collective dose be accounted for, and some utilities may use the results of self-reading pocket dosimeters instead of the results of the dosimeter of record (usually thermoluminescent dosimeters) in compiling the data. Also, when examining the number of personnel shown on these reports, it should be remembered that individuals who perform tasks in more than one category may be counted more than once.

Table 4.10 shows that for the past 10 years, the percentage of collective dose attributed to routine maintenance has been greater than that of special maintenance. This may be indicative of a trend showing a reduction in TMI-related activities and a greater emphasis on steady-state routine maintenance. Overall, values have been fairly stable over the years with these two categories, special maintenance and routine maintenance, always accounting for the majority of the collective dose. Some of the fluctuations shown in the percentage of the dose incurred during refueling activities (particularly in 1992 through 1995, when it increased to

Figure 4.5
Outage Days, Average Dose, and Collective Dose

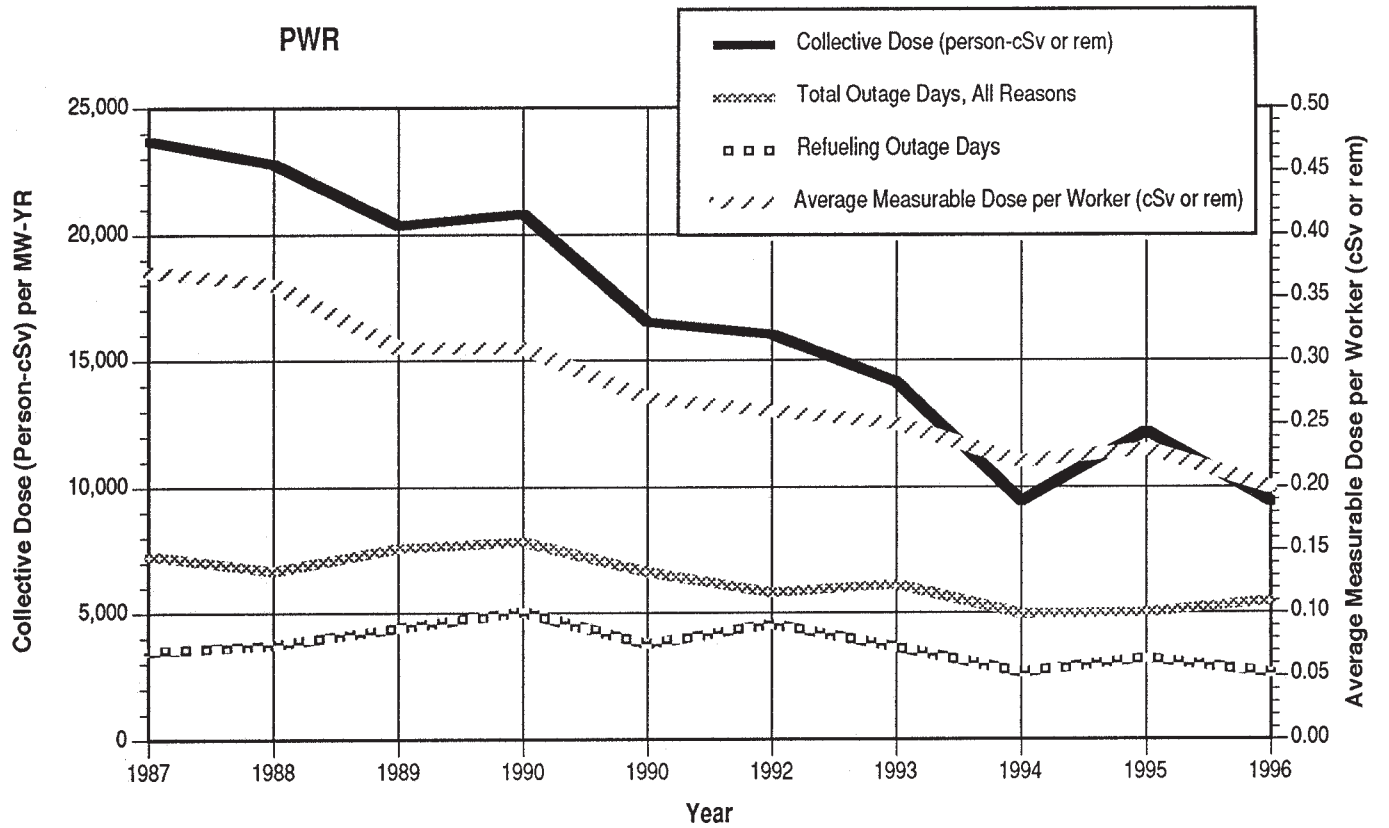
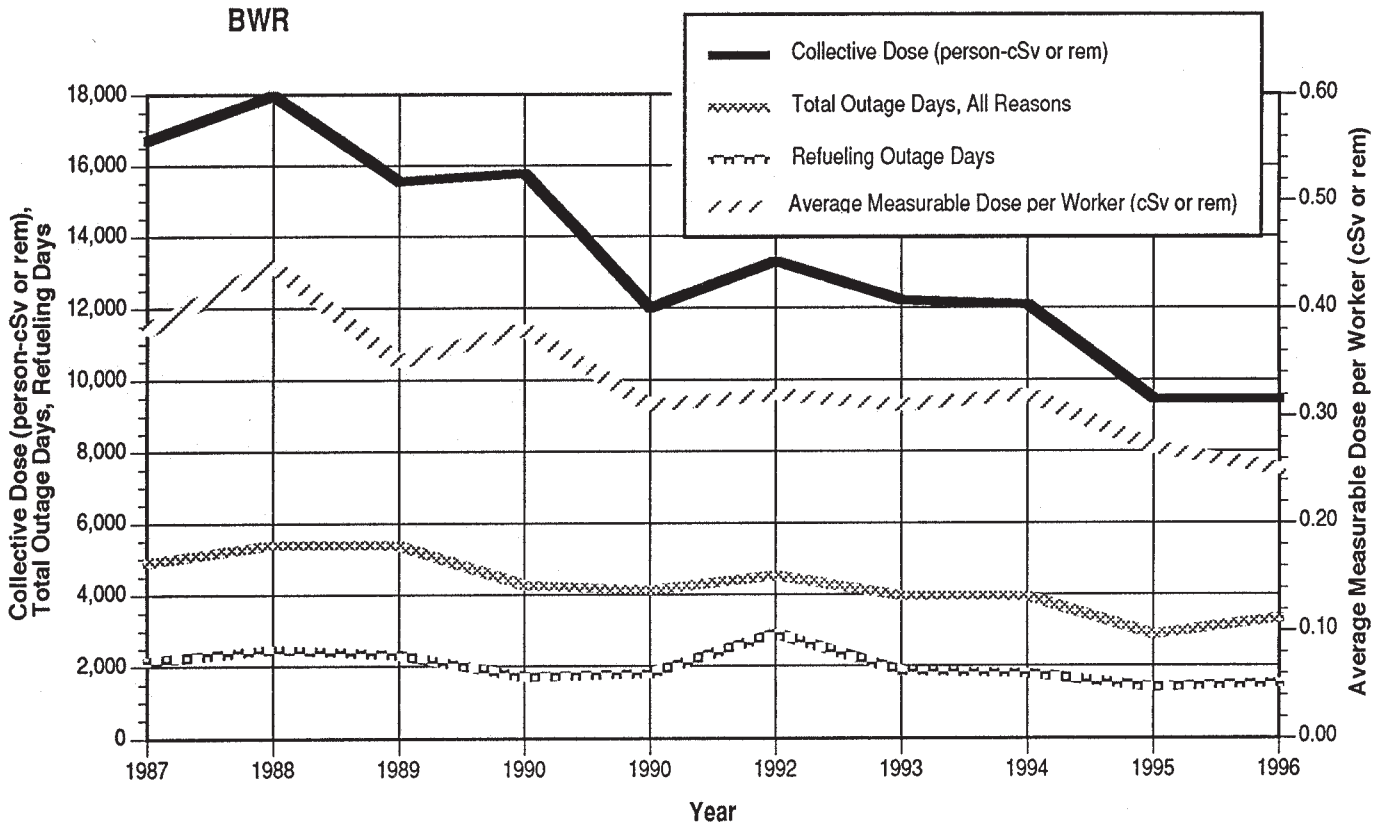


TABLE 4.9
ANNUAL COLLECTIVE DOSE
BY WORK FUNCTION AND PERSONNEL TYPE
1996

WORK AND JOB FUNCTION	STATION EMPLOYEES		UTILITY EMPLOYEES		CONTRACT WORKERS		TOTAL PER WORK FUNCTION	
	PERSON-cSv	% OF TOTAL	PERSON-cSv	% OF TOTAL	PERSON-cSv	% OF TOTAL	PERSON-cSv	% OF TOTAL
<u>BOILING WATER REACTORS</u>								
REACTOR OPS & SURV	962	10.2%	70	0.7%	550	5.8%	1,583	16.8%
ROUTINE MAINTENANCE	1,468	15.6%	139	1.5%	2,639	28.0%	4,246	45.1%
IN-SERVICE INSPECTION	96	1.0%	31	0.3%	592	6.3%	720	7.6%
SPECIAL MAINTENANCE	335	3.6%	70	0.7%	1,604	17.0%	2,009	21.3%
WASTE PROCESSING	96	1.0%	5	0.1%	91	1.0%	192	2.0%
REFUELING	211	2.2%	77	0.8%	385	4.1%	672	7.1%
TOTAL	3,168	33.6%	392	4.2%	5,862	62.2%	9,422	100.0%
<u>PRESSURIZED WATER REACTORS</u>								
REACTOR OPS & SURV	542	6.1%	20	0.2%	244	2.7%	805	9.1%
ROUTINE MAINTENANCE	1,371	15.4%	309	3.5%	2,250	25.3%	3,929	44.2%
IN-SERVICE INSPECTION	112	1.3%	55	0.6%	1,096	12.3%	1,263	14.2%
SPECIAL MAINTENANCE	400	4.5%	238	2.7%	1,079	12.1%	1,718	19.3%
WASTE PROCESSING	126	1.4%	3	0.0%	127	1.4%	255	2.9%
REFUELING	298	3.3%	57	0.6%	569	6.4%	923	10.4%
TOTAL	2,847	32.0%	682	7.7%	5,364	60.3%	8,893	100.0%
<u>ALL LIGHT WATER REACTORS</u>								
REACTOR OPS & SURV	1,504	8.2%	90	0.5%	794	4.3%	2,388	13.0%
ROUTINE MAINTENANCE	2,839	15.5%	448	2.4%	4,889	26.7%	8,175	44.6%
IN-SERVICE INSPECTION	208	1.1%	86	0.5%	1,688	9.2%	1,983	10.8%
SPECIAL MAINTENANCE	735	4.0%	308	1.7%	2,683	14.7%	3,727	20.3%
WASTE PROCESSING	221	1.2%	8	0.0%	218	1.2%	447	2.4%
REFUELING	508	2.8%	134	0.7%	953	5.2%	1,596	8.7%
TOTAL	6,015	32.8%	1,075	5.9%	11,225	61.3%	18,315	100.0%

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TABLE 4.10
 PERCENTAGES OF ANNUAL COLLECTIVE
 DOSE AT LWRs BY WORK FUNCTION
 1985 - 1996

WORK FUNCTION	PERCENTAGE OF COLLECTIVE DOSE EACH YEAR											
	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996
REACTOR OPERATIONS AND SURVEILLANCE	12.8%	12.8%	11.9%	11.0%	12.2%	12.3%	14.0%	11.6%	11.2%	12.8%	13.5%	13.0%
ROUTINE MAINTENANCE	34.6%	33.2%	35.0%	37.7%	36.2%	36.5%	36.1%	38.7%	42.0%	42.7%	43.5%	44.6%
IN-SERVICE INSPECTION	8.6%	8.3%	8.0%	8.7%	9.5%	8.8%	8.9%	9.2%	10.8%	8.5%	10.4%	10.8%
SPECIAL MAINTENANCE	32.5%	35.5%	33.2%	30.1%	31.3%	31.6%	28.2%	25.8%	22.0%	19.9%	18.5%	20.3%
WASTE PROCESSING	5.1%	4.0%	3.9%	3.6%	3.4%	3.0%	3.1%	3.1%	2.5%	2.7%	2.4%	2.4%
REFUELING	6.5%	6.2%	8.1%	8.8%	7.3%	7.7%	9.7%	11.5%	11.4%	13.3%	11.7%	8.7%

over 11%) is due to the fact that some sites include doses other than those directly associated with fuel movement in this category.

Figure 4.6 graphically shows the trends in the collective dose by work function and type of personnel for the years 1990 through 1996 for BWRs and PWRs separately. The general decrease in collective dose is also apparent among most of these activities.

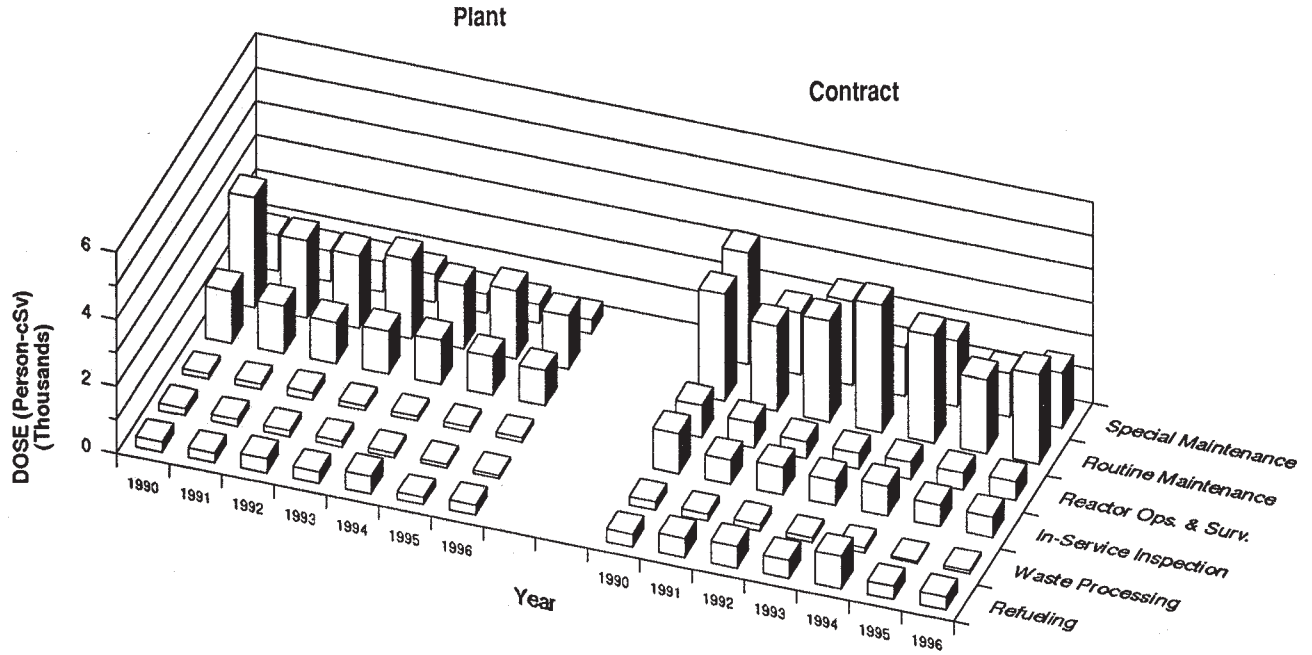
Table 4.11 presents the distribution of the collective dose for 1996 at all LWRs among five occupational categories. As in past years, maintenance personnel incurred the majority (65%) of the collective dose with contractor maintenance personnel receiving about twice as much as the station maintenance employees combined. None of the values listed changed significantly from those found for 1987 through 1995. The collective doses shown in Tables 4.9 and 4.11 do not equal those shown in other tables in the report because they are the sum of the doses taken from the type of annual reports shown in Appendix D rather than the collective dose that was obtained or calculated from the annual reports submitted pursuant to 10 CFR 20.2206.

Another use made of the reports submitted under Regulatory Guide 1.16 shown in Appendix D is in proportioning the collective dose obtained from the § 20.2206 annual reports into the work functions and personnel types shown in Appendix C. This was done in the following way:

- (1) The collective dose incurred by workers in the work function "Reactor Operations and Surveillance" on each plant's annual report submitted pursuant to their technical specifications (the first number in the last column in Appendix D) was determined.
- (2) The ratio of this dose to the total collective dose (the last number in the last column in Appendix D) was calculated and multiplied by the total collective dose that had been obtained from the § 20.2206 annual reports. This product is the collective dose shown in the column headed "Operations" in Appendix C.
- (3) The collective dose shown in the column headed "Maintenance and Others" in Appendix C was determined by first summing the collective doses incurred by workers in the five remaining functions given in Appendix D and then calculating the fraction that this dose is of the total collective dose. This fraction was multiplied by the total collective dose calculated from the § 20.2206 annual reports to yield the collective dose shown in this column of Appendix C.

Figure 4.6
Collective Dose by Work Function and Personnel Type 1990 – 1996

Boiling Water Reactors



Pressurized Water Reactors

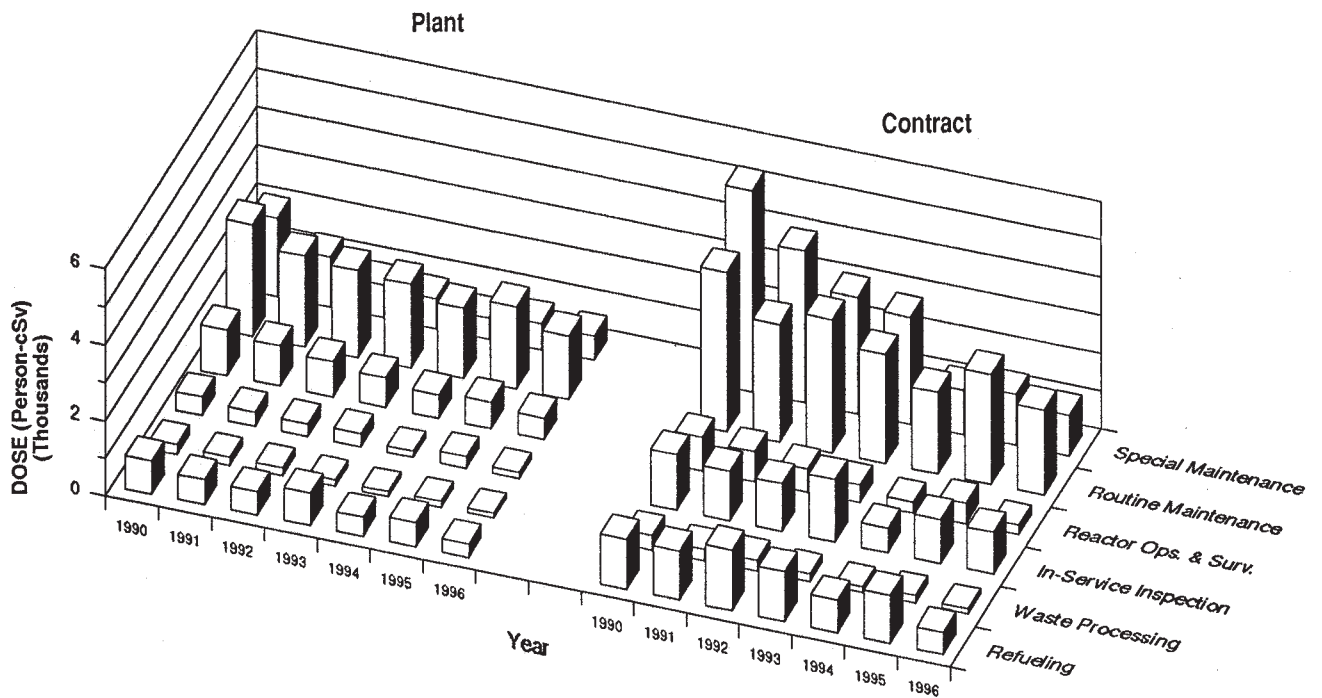


TABLE 4.11
ANNUAL COLLECTIVE DOSE
BY OCCUPATION AND PERSONNEL TYPE
1996

OCCUPATION	STATION EMPLOYEES		UTILITY EMPLOYEES		CONTRACT WORKERS		TOTAL PER WORK FUNCTION	
	PERSON-cSv	% OF TOTAL	PERSON-cSv	% OF TOTAL	PERSON-cSv	% OF TOTAL	PERSON-cSv	% OF TOTAL
<u>BOILING WATER REACTORS</u>								
MAINTENANCE	1,591	16.9%	278	3.0%	4,384	46.5%	6,252	66.4%
OPERATIONS	679	7.2%	25	0.3%	173	1.8%	877	9.3%
HEALTH PHYSICS	528	5.6%	37	0.4%	398	4.2%	963	10.2%
SUPERVISORY	192	2.0%	16	0.2%	246	2.6%	454	4.8%
ENGINEERING	179	1.9%	37	0.4%	660	7.0%	876	9.3%
TOTAL	3,168	33.6%	392	4.2%	5,862	62.2%	9,422	100.0%
<u>PRESSURIZED WATER REACTORS</u>								
MAINTENANCE	1,411	15.9%	579	6.5%	3,609	40.6%	5,599	63.0%
OPERATIONS	519	5.8%	23	0.3%	346	3.9%	888	10.0%
HEALTH PHYSICS	583	6.6%	13	0.1%	673	7.6%	1,268	14.3%
SUPERVISORY	174	2.0%	30	0.3%	266	3.0%	470	5.3%
ENGINEERING	161	1.8%	37	0.4%	471	5.3%	668	7.5%
TOTAL	2,847	32.0%	682	7.7%	5,364	60.3%	8,893	100.0%
<u>ALL LIGHT WATER REACTORS</u>								
MAINTENANCE	3,002	16.4%	858	4.7%	7,992	43.6%	11,852	64.7%
OPERATIONS	1,197	6.5%	48	0.3%	519	2.8%	1,765	9.6%
HEALTH PHYSICS	1,111	6.1%	49	0.3%	1,071	5.8%	2,231	12.2%
SUPERVISORY	366	2.0%	46	0.3%	512	2.8%	925	5.0%
ENGINEERING	339	1.9%	73	0.4%	1,131	6.2%	1,543	8.4%
TOTAL	6,015	32.8%	1,075	5.9%	11,225	61.3%	18,315	100.0%

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- (4) A similar procedure was followed in determining the collective dose for the columns headed "Contractor" and "Station & Utility" in Appendix C.

4.7 Number of Personnel by Work Function and Employee Type

Half of the information presented in the statistical annual reports shown in Appendix D concerns the number of various types of personnel that performed certain work functions. Tables 4.12 and 4.13 sum this information to show the percentage of personnel by work function and occupation. The major problem in interpreting the numbers shown in these tables is that the same person may perform several work functions during the year so that the total number of personnel obtained by summing those shown in the various work functions would be inflated. However, Table 4.12 is still useful in showing the percentage of personnel associated with each of the six work functions shown. About 59% of the personnel performed routine or special maintenance functions, 21% were involved with reactor operations and surveillance, and the remaining 20% were divided among the other three work functions.

Table 4.13 shows the percentage of personnel in each of five occupational categories at BWRs, PWRs, and LWRs. The workers were similarly distributed at BWRs and PWRs. The largest difference occurred in the maintenance percentages for 1996. Overall, 53% of the personnel were contractors, 40% were station employees, and 8% were utility employees in 1996.

Table 4.14 presents the average annual dose incurred by workers in the five occupational categories in 1996. These averages were calculated by dividing the collective dose reported for these groups (see Table 4.11) by the number of individuals shown in Table 4.13. It shows that, in most instances, the maintenance personnel incur the highest average doses. Examination of the values of the averages given in Table 4.14 is subject to several sources of error: (1) the number of individuals may be inflated because the same plant contractor employee may work at several plants so that the employee would be counted more than once in a summary such as Table 4.14; (2) the occupations are not clearly defined so that workers performing certain tasks in one plant may be classified as being in one occupation and be included in a different one at another plant; and (3) some plants count only those workers whose doses exceed 0.10 cSv (rem) while other plants count all workers regardless of the dose received. Because of these factors, the usefulness of the numbers of individuals obtained from the reports provided in Appendix D is limited; therefore, they are not used to develop any other statistics in this document.

TABLE 4.12
NUMBER OF PERSONNEL*
BY WORK FUNCTION AND PERSONNEL TYPE
1996

WORK AND JOB FUNCTION	STATION EMPLOYEES		UTILITY EMPLOYEES		CONTRACT WORKERS		TOTAL PER WORK FUNCTION	
	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL
<u>BOILING WATER REACTORS</u>								
REACTOR OPS & SURV	6,626	11.2%	1,499	2.5%	7,325	12.4%	15,450	26.1%
ROUTINE MAINTENANCE	7,628	12.9%	1,522	2.6%	12,265	20.7%	21,415	36.2%
IN-SERVICE INSPECTION	945	1.6%	296	0.5%	2,972	5.0%	4,213	7.1%
SPECIAL MAINTENANCE	2,262	3.8%	428	0.7%	6,351	10.7%	9,041	15.3%
WASTE PROCESSING	1,202	2.0%	196	0.3%	1,254	2.1%	2,652	4.5%
REFUELING	2,220	3.8%	829	1.4%	3,380	5.7%	6,429	10.9%
TOTAL	20,883	35.3%	4,770	8.1%	33,547	56.7%	59,200	100.0%
<u>PRESSURIZED WATER REACTORS</u>								
REACTOR OPS & SURV	6,809	9.8%	1,415	2.0%	3,652	5.2%	11,876	17.1%
ROUTINE MAINTENANCE	15,548	22.3%	2,698	3.9%	14,277	20.5%	32,523	46.7%
IN-SERVICE INSPECTION	738	1.1%	535	0.8%	3,288	4.7%	4,561	6.6%
SPECIAL MAINTENANCE	3,692	5.3%	1,213	1.7%	7,878	11.3%	12,783	18.4%
WASTE PROCESSING	1,662	2.4%	136	0.2%	1,405	2.0%	3,203	4.6%
REFUELING	1,725	2.5%	515	0.7%	2,421	3.5%	4,661	6.7%
TOTAL	30,174	43.3%	6,512	9.4%	32,921	47.3%	69,607	100.0%
<u>ALL LIGHT WATER REACTORS</u>								
REACTOR OPS & SURV	13,435	10.4%	2,914	2.3%	10,977	8.5%	27,326	21.2%
ROUTINE MAINTENANCE	23,176	18.0%	4,220	3.3%	26,542	20.6%	53,938	41.9%
IN-SERVICE INSPECTION	1,683	1.3%	831	0.6%	6,260	4.9%	8,774	6.8%
SPECIAL MAINTENANCE	5,954	4.6%	1,641	1.3%	14,229	11.0%	21,824	16.9%
WASTE PROCESSING	2,864	2.2%	332	0.3%	2,659	2.1%	5,855	4.5%
REFUELING	3,945	3.1%	1,344	1.0%	5,801	4.5%	11,090	8.6%
TOTAL	51,057	39.6%	11,282	8.8%	66,468	51.6%	128,807	100.0%

* Workers may be counted in more than one category. The number of personnel in Table 4.12 should be considered to be more accurate than the number of personnel in Table 4.11, because the actual total number of individuals in each profession was provided by some plants in an attempt to correct for the multiple counting of individuals.

TABLE 4.13
NUMBER OF PERSONNEL*
BY OCCUPATION AND PERSONNEL TYPE
1996

OCCUPATION	STATION EMPLOYEES		UTILITY EMPLOYEES		CONTRACT WORKERS		TOTAL PER WORK FUNCTION	
	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL
<u>BOILING WATER REACTORS</u>								
MAINTENANCE	7,379	13.4%	2,293	4.2%	20,994	38.2%	30,666	55.9%
OPERATIONS	4,402	8.0%	468	0.9%	1,839	3.4%	6,709	12.2%
HEALTH PHYSICS	2,483	4.5%	786	1.4%	1,998	3.6%	5,267	9.6%
SUPERVISORY	2,423	4.4%	156	0.3%	3,155	5.7%	5,734	10.4%
ENGINEERING	2,285	4.2%	885	1.6%	3,347	6.1%	6,517	11.9%
TOTAL	18,972	34.6%	4,588	8.4%	31,333	57.1%	54,893	100.0%
<u>PRESSURIZED WATER REACTORS</u>								
MAINTENANCE	14,637	22.9%	2,439	3.8%	18,894	29.6%	35,970	56.3%
OPERATIONS	5,331	8.4%	364	0.6%	2,151	3.4%	7,846	12.3%
HEALTH PHYSICS	4,618	7.2%	305	0.5%	6,401	10.0%	11,324	17.7%
SUPERVISORY	1,660	2.6%	221	0.3%	1,239	1.9%	3,120	4.9%
ENGINEERING	1,761	2.8%	1,466	2.3%	2,356	3.7%	5,583	8.7%
TOTAL	28,007	43.9%	4,795	7.5%	31,041	48.6%	63,843	100.0%
<u>ALL LIGHT WATER REACTORS</u>								
MAINTENANCE	22,016	18.5%	4,732	4.0%	39,888	33.6%	66,636	56.1%
OPERATIONS	9,733	8.2%	832	0.7%	3,990	3.4%	14,555	12.3%
HEALTH PHYSICS	7,101	6.0%	1,091	0.9%	8,399	7.1%	16,591	14.0%
SUPERVISORY	4,083	3.4%	377	0.3%	4,394	3.7%	8,854	7.5%
ENGINEERING	4,046	3.4%	2,351	2.0%	5,703	4.8%	12,100	10.2%
TOTAL	46,979	39.6%	9,383	7.9%	62,374	52.5%	118,736	100.0%

* Workers may be counted in more than one category. The number of personnel in this table is considered to be more accurate than the number of personnel in Table 4.11 because the actual total number of individuals in each category was provided by some plants in an attempt to correct for the multiple counting of individuals.

TABLE 4.14
 AVERAGE DOSES BY OCCUPATION
 AND PERSONNEL TYPE*
 1996

OCCUPATION	STATION			UTILITY			CONTRACT			TOTAL		
	COLL. DOSE	NUMBER OF EMPLOYEES	AVG. DOSE	COLL. DOSE	NUMBER OF EMPLOYEES	AVG. DOSE	COLL. DOSE	NUMBER OF EMPLOYEES	AVG. DOSE	COLL. DOSE	NUMBER OF EMPLOYEES	AVG. DOSE
<u>BOILING WATER REACTORS</u>												
MAINTENANCE	1,591	7,379	0.22	278	2,293	0.12	4,384	20,994	0.21	6,252	30,666	0.20
OPERATIONS	679	4,402	0.15	25	468	0.05	173	1,839	0.09	877	6,709	0.13
HEALTH PHYSICS	528	2,483	0.21	37	786	0.05	398	1,998	0.20	963	5,267	0.18
SUPERVISORY	192	2,423	0.08	16	156	0.10	246	3,155	0.08	454	5,734	0.08
ENGINEERING	179	2,285	0.08	37	885	0.04	660	3,347	0.20	876	6,517	0.13
TOTAL	3,168	18,972	0.17	392	4,588	0.09	5,862	31,333	0.19	9,422	54,893	0.17
<u>PRESSURIZED WATER REACTORS</u>												
MAINTENANCE	1,411	14,637	0.10	579	2,439	0.24	3,609	18,894	0.19	5,599	35,970	0.16
OPERATIONS	519	5,331	0.10	23	364	0.06	346	2,151	0.16	888	7,846	0.11
HEALTH PHYSICS	583	4,618	0.13	13	305	0.04	673	6,401	0.11	1,268	11,324	0.11
SUPERVISORY	174	1,660	0.11	30	221	0.14	266	1,239	0.21	470	3,120	0.15
ENGINEERING	161	1,761	0.09	37	1,466	0.03	471	2,356	0.20	668	5,583	0.12
TOTAL	2,847	28,007	0.10	682	4,795	0.14	5,364	31,041	0.17	8,893	63,843	0.14
<u>ALL LIGHT WATER REACTORS</u>												
MAINTENANCE	3,002	22,016	0.14	858	4,732	0.18	7,992	39,888	0.20	11,852	66,636	0.18
OPERATIONS	1,197	9,733	0.12	48	832	0.06	519	3,990	0.13	1,765	14,555	0.12
HEALTH PHYSICS	1,111	7,101	0.16	49	1,091	0.05	1,071	8,399	0.13	2,231	16,591	0.13
SUPERVISORY	366	4,083	0.09	46	377	0.12	512	4,394	0.12	925	8,854	0.10
ENGINEERING	339	4,046	0.08	73	2,351	0.03	1,131	5,703	0.20	1,543	12,100	0.13
TOTAL	6,015	46,979	0.13	1,075	9,383	0.11	11,225	62,374	0.18	18,315	118,736	0.15

* Workers may be counted in more than one category, but the actual total number of individuals in each category was used when it was provided by the plant.

4.8 Graphical Representation of Dose Trends in Appendix E

Each page of Appendix E presents two types of graphs for one site. One graph plots selected dose-performance indicators from 1973 through 1996, and the other indicates the collective dose by job function for 1978 through 1996. The dose and performance indicators shown in the top graph illustrate the history of the collective dose for the site, the rolling 3-year average collective dose per reactor, and the gross electricity generated at the site. These data are plotted, beginning with the plant's first full year of commercial operation, and continuing through 1996. However, any data reported prior to 1973 are not included. The 3-year average collective dose per reactor data is included because it provides a better overall indication of the plant's general trend in collective dose. This average is determined by summing the collective dose for the current year and the previous 2 years and then dividing this sum by the number of reactors reporting during those years. Data for years when the plant was not in commercial operation have been included when available. Depicting dose trends using a 3-year average reduces the sporadic effects on annual doses of refueling operations (usually a 2- to 3-year cycle) and occasional high-dose maintenance activities, and gives a better idea of collective dose trends over the life of the plant. For sites with more than one reactor, the plot of the 3-year rolling average will lie below that of the plot of the annual collective dose for the site because it is calculated on a per-reactor basis.

The second type of graph at the bottom of each page in Appendix E displays the breakdown of collective dose by job function and employee type for the years 1978 through 1996. The horizontal axis lists the six job functions of reactor operations, routine maintenance, in-service inspection, special maintenance, waste management, and refueling operations, and the vertical axis indicates collective dose at each site. This representation shows the job functions where most of the dose was accumulated as well as the division of the collective dose between plant and contract workers. The data are taken from the submittals presented in Appendix D and therefore represent at least 80% of the collective dose at each site. Only those reactors that have completed at least 1 full year of commercial operation are presented in Appendix E.

4.9 Health Implications of Average Annual Doses

Studies of populations chronically exposed to low levels of radiation delivered over protracted periods have not shown consistent or conclusive evidence of an associated increase in the risk of cancer. Thus, there is no evidence that the doses to workers recorded here cause harm.

The risk estimates presented below are based on extensive studies of Japanese Atomic bomb survivors and other populations exposed to large doses of radiation delivered in short periods of time. This information is supplemented by animal and *in vitro* studies, such as irradiation of cell cultures. These studies have confirmed that human cells have mechanisms that repair

damaged chromosomes. The existence of this repair helps to explain the finding that lower doses of radiation delivered at lower dose rates produce less of an effect on a cell per unit dose than high-dose, high-dose-rate irradiations. Thus the estimates of risks to radiation workers are likely to be conservative.

Health effects due to radiation exposure fall into three groups: carcinogenic effects, genetic effects, and mental retardation. Mental retardation has been observed only in Japanese A-bomb survivors exposed at 8-15 weeks gestational age, and is consequently not applicable to the workplace except in the case of a pregnant female worker. Genetic effects have never been observed in man, though they have been observed in mice.

Risk of cancer induction is known to increase with increasing dose, but is hard to quantify as the risk varies with the site of the cancer, the age and sex of the exposed individual, the energy and nature of the radiation, the magnitude and duration of the dose, and exposure to other carcinogens. Since nearly 20% of all deaths in the United States occur from cancer, the estimated number of cancers attributable to occupational radiation exposure is a small fraction of the total number that occur. (Those who do not succumb to cancer will, perforce, succumb to some other cause and in essentially the same time frame.)

The Committee on the Biological Effects of Ionizing Radiations (BEIR) of the National Academy of Sciences (NAS) National Research Council has been conducting an ongoing study of the health effects of ionizing radiation. Based on the BEIR V report, published in 1990, the 75,139 workers receiving the average dose of 0.29 cSv (rem) continuously during an entire working career (working from age 18 until age 65) or the maximum accidental dose of 8.3 cSv (rem) to the whole body during 1996 (see Section 6) might expect an increased cancer death risk of about 8 chances in 1000 for the average dose and 6 chances per 1000 for the maximum dose.¹⁰ Should a worker receive 0.29 cSv (rem) continuously during an entire working career (working from age 18 until age 65), his/her lifetime risk of dying from cancer is estimated to increase by approximately 4%. Since the American Cancer Society estimates that an individual's risk of dying of cancer is about 20% (one in five), the risk to an individual receiving 0.29 cSv (rem) would be approximately 21%.

The potential genetic effects from a worker population receiving 21,755 person-cSv (person-rem) (Table 3.1) are small compared to genetic damages that normally occur spontaneously in a population of this size. Approximately 100,000 serious genetic defects occur normally in one million live births, i.e., an average of about one serious defect in every ten live births. Theoretically, the total genetic damage in the first generation children of the 75,016 exposed workers would, according to NUREG/CR-4214 [Ref. 17], be an increase of about 6

¹⁰ These estimates were calculated from Table 4-2 of Ref. 16. The average dose risk estimate assumes continuous lifetime exposure (ages 18-65), while the acute dose risk estimate assumes a one-time, instantaneous exposure. Note that these estimates are based on observations of individuals exposed to high doses of radiation over short periods of time. The BEIR committee, in its report, cautions that dose rate reduction factors (DREFs) will need to be applied to low-dose and low-dose-rate exposures. (see Ref. 16, pp. 171 and 174)

cases (approximately 0.01%) compared to the expected 8,000 cases that occur normally.¹¹ No significant increase in the number of genetic defects has been observed in the children of individuals exposed to much higher levels of ionizing radiation at Hiroshima and Nagasaki, Japan.

¹¹ Assuming that, on the average, each exposed person will have one live-born child in the future, i.e., 75,139 children born to this worker population. The estimates were calculated from Table 4.1 of reference 17.

5 TRANSIENT WORKERS AT NRC LICENSED FACILITIES

5.1 Termination Reports

Under the revised 10 CFR 20, licensees are required to submit NRC Form 5s to the Commission for each individual who is required to be monitored at the end of the monitoring year or upon the individual's termination of employment at the facility. The "termination reports" submitted in accordance with the old § 20.408, listing the individual's complete dose history during employment at the facility, are no longer required.

However, the Form 5s submitted to the NRC upon an individual's termination of employment serve the same function as the previous requirements with regard to the analysis of transient workers at NRC-licensed facilities. The following analysis examines the workers who had more than one Form 5 dose record at more than one NRC-licensed facility during the monitoring year. These workers are defined to be transient in that they worked at more than one facility during the monitoring year.

The term "monitoring year" is used here in accordance with the definition of a year given in § 20.1003, which defines a year as "the period of time beginning in January used to determine compliance with the provisions of this part. The licensee may change the start date of the monitoring year used to determine compliance provided that the change is made at the beginning of the monitoring/calendar year and that no day is omitted or duplicated in consecutive years".

5.2 Transient Workers at NRC Facilities

Examination of the data reported for workers who began and terminated two or more periods of employment with two or more different facilities within one monitoring year is useful in many ways. For example, the number and average dose for these "annual transients" can be determined from examining these data.

Additionally, the distribution of the doses received by transient workers can be useful in determining the impact that the inclusion of these individuals in each of two or more licensees' annual reports has on the annual summary (as reported in Appendices B and F) for all nuclear power facilities, and all NRC licensees combined (one of the problems mentioned in Section 2). Table 5.1 shows the "actual distribution" of transient worker doses as determined from the above-mentioned Form 5 termination reports and compares it with the "reported distribution" of the doses of these workers as they would have appeared in a summation of the annual reports submitted by each of the licensees.

TABLE 5.1
EFFECTS OF TRANSIENT WORKERS ON ANNUAL STATISTICAL COMPILATIONS
1996

License Category	Number of Individuals with TEDE in the Ranges (cSv or rem)												Total Number Monitored	Number with Measurable Exposure	Collective TEDE (person-cSv or rem)	Average TEDE (cSv or rem)	Average Meas. TEDE (cSv or rem)	
	No Meas'ble Exposure	Meas'ble <0.10	0.10-0.25	0.25-0.5	0.50-0.75	0.75-1.0	1.0-2.0	2.0-3.0	3.0-4.0	4.0-5.0	5.0-6.0	>6						
POWER REACTORS																		
FORM 5 SUMMATION ①	78,197	39,426	19,955	14,201	5,809	2,648	2,342	68					162,646	84,449	18,874	0.12	0.22	
TRANSIENTS - AS REPORTED ②	24,317	13,500	8,541	6,133	2,476	1,127	1,105	43					57,242	32,925	8,032	0.14	0.24	
TRANSIENTS- ACTUAL ③	5,358	4,506	3,212	3,180	2,056	1,302	1,949	384	69				22,016	16,658	8,032	0.36	0.48	
CORRECTED DISTRIBUTION (1-(2-3))	59,238	30,432	14,626	11,248	5,389	2,823	3,186	409	69				127,420	68,182	18,874	0.15	0.28	
ALL LICENSEES																		
FORM 5 SUMMATION ①	82,130	42,518	21,035	15,151	6,349	3,002	3,043	237	59	11	0	1	173,536	91,406	21,755	0.13	0.24	
TRANSIENTS - AS REPORTED ②	24,562	13,661	8,644	6,204	2,509	1,148	1,136	49	3				57,916	33,354	8,223	0.14	0.25	
TRANSIENTS- ACTUAL ③	5,402	4,559	3,268	3,237	2,090	1,324	1,994	400	73	1			22,348	16,946	8,223	0.37	0.49	
CORRECTED DISTRIBUTION (1-(2-3))	62,970	33,416	15,659	12,184	5,930	3,178	3,901	588	129	12	0	1	137,968	74,998	21,755	0.16	0.29	

Because >95% of these transients are reported by nuclear power facilities, these data were considered separately. Table 5.1 shows that the power reactor transient data constitute the vast majority of the transient worker exposure. The nonreactor licensees contribute only an additional 0.5% of the transient workforce and an additional 0.4% to the collective dose.

The following definitions apply to Table 5.1:

Form 5 Summation	The summation of the TEDE from each of the Form 5s submitted for the monitoring year. This is the summation of each dose record grouped by licensee and individual. This distribution takes into account multiple Form 5s for an individual at one NRC-licensed facility but <u>not</u> multiple exposures at multiple licensees.
Transients - As Reported	This distribution represents the population of transient workers as they were reported by each licensee. This distribution is the subset of all Form 5s where individuals were monitored at more than one licensee during the monitoring year. This is the summation of dose records grouped by <u>individual and by licensee</u> , so the distribution representshow the transient worker population would appear within the total distribution of all workers. This distribution takes into account multiple Form 5s for an individual at one NRC-licensed facility but <u>not</u> multiple exposures at multiple licensees.
Transients - Actual	This is the actual distribution for transient workers summed per individual. This represents the true number of individuals and places each individual in the correct dose range. This distribution accounts for multiple records per individual and multiple licensees.
Corrected Distribution	This distribution represents the correction of the reported distribution by subtracting the difference in the reported and actual distribution for transient workers. This represents the most accurate dose distribution for the licensee category and accounts for the multiple reporting of individuals.

Table 5.1 illustrates the impact that the multiple reporting of these transient individuals had on the summation of the exposure reports for 1996. Because each licensee reports the doses received by workers while monitored by the particular licensee during the year, one would expect that a summation of these reports would result in individuals being counted several times in dose ranges lower than the range in which their total accumulated dose (the sum of the personnel monitoring results incurred at each facility during the year) would actually place them. Thus, while the total collective dose would remain the same, the number of workers, their dose distribution, and average dose would be affected by this multiple reporting. This was found to be

true because too few workers were reported in the higher dose ranges. For example, in 1996, Table 5.1 shows that the summation of annual reports for reactor licensees indicated that 68 individuals received doses greater than 2 cSv (rem). After accounting for those individuals who were reported more than once, the corrected distribution indicated that there were really 478 workers who received doses greater than 2 cSv (rem). Correcting for the multiple counting of individuals also has a significant effect on the average measurable dose for these workers. The corrected average measurable dose for transient workers is twice as high as the value calculated by the summation of licensee records. The transient workers represent 23% of the workforce that receives measurable dose and increases the average measurable dose for all licensees by 17% from 0.24 cSv (rem) to 0.29 cSv (rem).

One purpose of the REIRS database, which tracks occupational radiation exposures at NRC-licensed facilities, is to identify individuals who may have exceeded the occupational radiation exposure limits because of multiple exposures at different facilities throughout the year. The REIRS database stores the radiation exposure information for an individual by their unique identification number and identification type [Ref. 18, Section 1.5] and sums the exposure for all facilities during the monitoring year. An individual exceeding the TEDE 5 cSv (rem) per year regulatory limit would be identified in Table 5.1 in one of the dose ranges >5 rem. In 1996, one individual exceeded this dose limit as reported by the licensee, but no individual was discovered to have exceeded the limit as a result of the correction for transient workers. **Since 1985, there have been no additional transient workers identified as having received a dose of >5 cSv (rem) that have not appeared in the annual reports received by the Commission.** This reflects the industry's continuing concerted efforts to keep the total annual doses of all workers under 5 cSv (rem) and shows that such reductions can be accomplished without increasing the collective dose because the collective dose has decreased during this same time period.

6 EXPOSURES TO PERSONNEL IN EXCESS OF REGULATORY LIMITS

6.1 Control Levels

Exposures in excess of regulatory limits are sometimes referred to as “overexposures.” The phrase “exposures in excess of regulatory limits” is preferred to “overexposures” because the latter suggests that a worker has been subjected to an unacceptable biological risk, which may, or may not, be the case.

The implementation date for the revised 10 CFR 20 was January 1, 1994. The separate limits on internal and external exposure in the old 10 CFR 20 are no longer applicable. The revised 10 CFR 20 now includes requirements for summing internal and external dose equivalents to yield TEDE and to implement a similar limitation system for organs and tissues (such as the gonads, red bone marrow, bone surfaces, lung, thyroid, and breast). The dose equivalent limits for the skin of the whole body and for the extremities have been revised, and a new limit for dose equivalent to the lens of the eye has been added. The revised 10 CFR 20.1201 limits the TEDE of workers to ionizing radiation from licensed material and other sources of radiation within the licensee’s control. The revised 10 CFR 20 no longer contains quarterly exposure limits but has reporting requirements for planned special exposures (PSEs)*. The annual TEDE limit for adult workers is 5 cSv (rem).

The revised 10 CFR 20.2202 and 10 CFR 20.2203 require that all persons licensed by the NRC submit reports of all occurrences involving personnel radiation exposures that exceed certain control levels, thus providing for investigations and corrective actions as necessary. Based on the magnitude of the exposure, the occurrence may be placed into one of three categories:

(1) Category A

10 CFR 20.2202(a)(1) - a TEDE to any individual to 25 cSv (rem) or more; an eye dose equivalent of 0.75 Sv (75 rem) or more; or a shallow-dose equivalent to the skin or extremities of 2.5 Gy (250 rad) or more. The Commission must be notified immediately of these events.

(2) Category B

10 CFR 20.2202(b)(1) - a TEDE to any individual to 5 cSv (rem) or more; an eye dose equivalent of 0.15 Sv (15 rem) or more; or a shallow-dose equivalent to the skin or extremities of 0.5 Sv (50 rem) or more in a 24-hour period. The Commission must be notified within 24 hours of these events.

* See 10 CFR 20.1206, 20.2204 and Regulatory Guide 8.35 for more information on PSEs and their reporting requirements.

(3) Category C

10 CFR 20.2203 - In addition to the notification required by 20.2202 (category A and B occurrences), each licensee must submit a written report within 30 days after learning of any of the following occurrences: (1) Any incident for which notification is required by 20.2202; or (2) Doses that exceed the limits in 20.1201, 20.1207, 20.1208, 20.1301 (for adults, minors, the embryo/fetus of a declared pregnant worker, and the public, respectively), or any applicable limit in the license; or (3) Levels of radiation or concentrations of radioactive material that exceed any applicable license limit for restricted areas or that, for unrestricted areas, are in excess of 10 times any applicable limit set forth in this part or in the license (whether or not involving exposure of any individual in excess of the limits in 20.1301); or (4) For licensees subject to the provisions of the Environmental Protection Agency's generally applicable environmental radiation standards in 40 CFR 190, levels of radiation or releases of radioactive material in excess of those standards, or of license conditions related to those standards.

6.2 Limitations of the Data

It is important to note that this summary of events includes **only**:

- Occupational radiation exposures in excess of regulatory limits
- Events at NRC-licensed facilities
- Final dose of record assigned to an individual

It **does not** include:

- Medical misadministrations to medical patients
- Exposures in excess of regulatory limits to the general public
- Agreement State-licensed activities
- Other radiation-related violations, such as high dose rate areas or effluent limits
- Exposures to dosimeters that, upon evaluation, have been determined to be high dosimeter readings only and are not assigned to an individual as the dose of record by the NRC

Care should be taken when comparing the summary information presented here with other reports and analyses published by the NRC or other agencies. Various reports may include other types of "overexposure" events; therefore, the distinctions should be noted.

The analysis and summary of incidents presented here involving exposures in excess of regulatory limits represent the status of events as of the publication of this report. Exposure events of this type typically undergo a long review and evaluation process by the licensee, the NRC inspector for the regional office, and NRC headquarters. Preliminary dose estimates submitted by licensees are often conservatively high and do not represent the final (record) dose assigned for the event. It is therefore not uncommon for an “overexposure” event to be reassessed and the final assigned dose to be categorized as not having been in excess of the regulatory limits. In other cases, the exposure may not be identified until a later date, such as during the next scheduled audit or inspection of the licensee’s exposure records.

For these reasons, an attempt is made to keep current the exposure events summary presented here. An event that has been reassessed and determined not to be an exposure in excess of the limits is not included in this report. In addition, events that occurred in prior years are added to the summary in the appropriate year of occurrence. The reader should note that the summary presented here represents a “snapshot” of the status of events as of the publication date of this report. Previous or future reports may not correlate in the exact number of events because of the review cycle and reassessment of the events.

6.3 Summary of Exposures in Excess of Regulatory Limits

Table 6.1 summarizes the occupational exposures in excess of regulatory limits as reported by Commission licensees pursuant to 10 CFR 20.2202 and 10 CFR 20.2203 from 1994 to 1996. Table 6.2 shows the data reported under 10 CFR 20.403 and 10 CFR 20.405 for the period 1985-1993. Note that the categorization criteria changed effective with the revised 10 CFR 20. The dose reporting thresholds have been revised — the skin of the whole body and the extremities now have the same dose limits, and a new set of dose limits has been added for the lens of the eye.

For the period 1990-1993, Table 6.2 shows the number of individuals who exceeded various limits while employed by one of several types of licensees. For the period 1985-1989, only the exposures in excess of regulatory limits reported by licensed industrial radiography firms are shown separately. Most of the occurrences included in the “Others” category come from research facilities, universities, and measuring and well-logging activities.

In 1996, one worker received a dose that exceeded the regulatory limit. There were no occurrences in which individuals received an exposure of the magnitude described previously as “Category A.” Two “Category B” occurrences were reported.

The incident involved an individual working at a multi-location radiography licensee who received a TEDE dose of 8.3 cSv (rem) during 1996. A radiographer performed several radiographic exposures on a pressure vessel. The radiographer concluded his last exposure for the day and prepared to leave the facility. He retracted the source but failed to perform a lockout survey of the device. The second radiographer arrived to fulfill additional exposures on

the pressure vessel. This second radiographer proceeded to retrieve film and other equipment needed to complete his work and positioned the source guide tube for the next exposure. Before completing the exposure he noticed that his pocket dosimeter was off scale. The film badge worn during the month for this second radiographer read 6.465 cSv (rem), bringing his annual dose to 8.3 cSv (rem). The first radiographer did not exceed the annual dose limit.

6.4 Maximum Exposures Below the NRC Limits

Because few exposures exceed the NRC occupational exposure limits, certain researchers have expressed an interest in a listing of the maximum exposures received at NRC licensees that do not exceed the limits. This would allow an examination of exposures that approach, but do not exceed the limits. Table 6.3 shows the maximum exposures for each dose category required to be reported to the NRC. In addition, the number of exposures in certain dose ranges is shown to reflect the number of exposures that approach the NRC limits.

TABLE 6.1
OCCUPATIONAL EXPOSURES IN EXCESS OF REGULATORY LIMITS
1994 - 1996

YEAR	LICENSE CATEGORY	PERSONS AND DOSES (REM)	TYPES OF EXPOSURES AND DOSES								
			TEDE (cSv or rem)			Lens of the Eye (cSv or rem)			Skin/Extremity (cSv or rem)		
			<5	5-25	>25	<15	15-75	>75	<50	50-250	>250 rad
1996	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	1 8.3								
	POWER REACTORS	NO. OF PERSONS SUM OF DOSES							1 ^a 70.6		
	MEDICAL FACILITIES	NO. OF PERSONS SUM OF DOSES									
	MARKETING & MANUFACT.	NO. OF PERSONS SUM OF DOSES									
	OTHER	NO. OF PERSONS SUM OF DOSES									
1995	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	1 5.1								
	POWER REACTORS	NO. OF PERSONS SUM OF DOSES									
	MEDICAL FACILITIES	NO. OF PERSONS SUM OF DOSES									
	MARKETING & MANUFACT.	NO. OF PERSONS SUM OF DOSES							2 ^b 572		
	OTHER	NO. OF PERSONS SUM OF DOSES									
1994	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	2 12.2								
	POWER REACTORS	NO. OF PERSONS SUM OF DOSES							1 34		
	MEDICAL FACILITIES	NO. OF PERSONS SUM OF DOSES									
	MARKETING & MANUFACT.	NO. OF PERSONS SUM OF DOSES							1 ^c 180		
	OTHER	NO. OF PERSONS SUM OF DOSES									

^a This exposure was from a hot particle to a localized area of the skin.

^b These two exposures (230 cSv and 342 cSv) were the result of hot particles.

^c This exposure was from a hot particle to a localized area of the skin.

TABLE 6.2
OCCUPATIONAL EXPOSURES IN EXCESS OF REGULATORY LIMITS
1985 - 1993

YEAR	LICENSE CATEGORY	PERSONS AND DOSES (REM)	TYPES OF EXPOSURES AND DOSES							
			WHOLE BODY (REM)			SKIN (REMS)			EXTREMITY (REMS)	
			(<5)	(5-25)	(>25)	(>7.5<30)	(30-50)	(>150)	(>18.75<75)	(75-375)
1993	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	1 6							
	POWER REACTORS	NO. OF PERSONS SUM OF DOSES								
	MEDICAL FACILITIES	NO. OF PERSONS SUM OF DOSES	1 1.3						3 ^f 187.3	
	MARKETING & MANUFACT.	NO. OF PERSONS SUM OF DOSES	5 10.6							
	OTHER	NO. OF PERSONS SUM OF DOSES	2 ^a 4.0	1 ^a 5.4						1 275
1992	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES							1 300-1000	
	POWER REACTORS	NO. OF PERSONS SUM OF DOSES	1 1.9			4 57.7				
	MEDICAL FACILITIES	NO. OF PERSONS SUM OF DOSES							4 143.6	1 272
	MARKETING & MANUFACT.	NO. OF PERSONS SUM OF DOSES								
	OTHER	NO. OF PERSONS SUM OF DOSES	1 ^b 1.9			1 24.1				1 40.5
1991	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	2 5.6							
	POWER REACTORS	NO. OF PERSONS SUM OF DOSES								
	MEDICAL FACILITIES	NO. OF PERSONS SUM OF DOSES	2 3.8							
	MARKETING & MANUFACT.	NO. OF PERSONS SUM OF DOSES							1 22.3	
	OTHER	NO. OF PERSONS SUM OF DOSES	1 2.4							
1990	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	3 7.2	3 ^{c,d} 49.9			1 ^c 6000		1 111	2 ^d 3962
	POWER REACTORS	NO. OF PERSONS SUM OF DOSES							1 48.8	
	MEDICAL FACILITIES	NO. OF PERSONS SUM OF DOSES	3 ^e 8.9							
	MARKETING & MANUFACT.	NO. OF PERSONS SUM OF DOSES								
	OTHER	NO. OF PERSONS SUM OF DOSES	1 2.3							
1989	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	3 8.1	1 93					1 72	
	ALL OTHER	NO. OF PERSONS SUM OF DOSES	4 6.6			1 9.2			2 105	1 178
1988	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	3 8.1	1 6.1						1 118
	ALL OTHER	NO. OF PERSONS SUM OF DOSES	7 19.34			4 66.8	1 61	1 278	1 58	1 127
1987	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	1 3.1						1 180	
	ALL OTHER	NO. OF PERSONS SUM OF DOSES	2 2.8	1 7.5			5 128.4			3 72.0
1986	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	2 4.4							
	ALL OTHER	NO. OF PERSONS SUM OF DOSES	3 9.6						1 41.2	1 115
1985	INDUSTRIAL RADIOGRAPHY	NO. OF PERSONS SUM OF DOSES	6 16.7	3 32.6	1 27.0				1 288	
	ALL OTHER	NO. OF PERSONS SUM OF DOSES	7 11.8						3 60.2	1 93

^a Same individual exceeded 1.25 rem/qr limit twice during 1993.

^b This 1992 exposure was reported in 1994.

^c This individual received a whole-body dose of 24 rem in addition to a 6000 rem skin dose.

^d One of these individuals received a 9 rem whole-body dose in addition to a 1070 rem extremity dose.

^e One of these individuals exceeded the quarterly whole-body dose limits three times in one calendar year.

^f An additional 1993 exposure was reported in 1994.

TABLE 6.3
MAXIMUM OCCUPATIONAL EXPOSURES FOR EACH EXPOSURE CATEGORY
1996

Exposure Category	Annual Dose Limit 10CFR20	Maximum Exposure Reported cSv (rem)	Max Dose Percent of the Limit	Number of Individuals with Measurable Dose	Number of Individuals >25% of the Limit	Number of Individuals >50% of the Limit	Number of Individuals >75% of the Limit	Number of Individuals >95% of the Limit
SDE-ME	50 rem	41.960	84%	57,840	101	24	1	0
SDE-WB	50 rem	22.710	45%	71,923	4	3	1	1 (>limit) ^a
LDE	15 rem	13.800	92%	71,508	20	2	1	0
CEDE		3.179 ^b		2,893				
CDE		27.604 ^b		2,651				
DDE		8.3 ^c		73,076				
TEDE	5 rem	8.3 ^c	> limit	74,616	2,764	331	24	1 (>limit)
TODE	50 rem	27.830 ^b	56%	60,405	77	3	0	0

^a This dose was from a hot particle to a localized area of the skin

^b These doses were received by the same individual

^c These internal doses were received by the same individual

Shaded boxes represent dose categories that do not have specific dose limits defined in 10 CFR 20.

As can be seen from Table 6.3, few exposures exceed half of the NRC occupational annual limits. The only dose to come within 5% of the limit was the one exposure that exceeded the limit.

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