

### Department of Energy Washington, DC 20585

OCT 1 1 1996

R. W. Clark, DOE/HQ (RW-3.1), FORS L. W. Wagner, HQQA/QATSS, Washington, DC J. G. Spraul, NRC, Washington, DG S. W. Zimmerman, NWPO, Carson City, NV H. T. Greene, YMQA/QATSS, Las Vegas, NV D. G. Sult, YMQA/QATSS, Las Vegas, NV M. R. Diaz, DOE/YMQA, Las Vegas, NV

CORRECTIVE ACTION DOCUMENT STATUS REPORT I OR THE MONTH OF SEPTEMBER 1996

The monthly status report of the Office of Civilian Radioactive Waste Management Corrective Action Requests, Deficiency Reports, Performance Reports, and Nonconformance Reports (NCR) includes the following:

Enclosure I - A summary table, constructed by type of deficiency, that indicates the total number of open deficiencies pertaining to <u>Organizations responsible for</u> <u>correcting the deficiency</u> (i.e., corrective action).

Enclosure 2 - A table, that indicates the current status of open deficiencies (except NCRs) for <u>Organizations responsible for correcting the deficiency</u> (i.e., corrective action).

It is requested that the responsible individual in your organization review the reported information for use in initiating or prioritizing actions to disposition and close the open deficiencies contained in this report. Any discrepancies should be promptly reported to the Office of Quality Assurance.

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Roin: NMSS/PAHL

Multiple Addressees

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If you have any questions, please contact either Mario R. Diaz at (702) 794-1489 or Deborah G. Sult at (702) 794-1466.

For

Donald G. Horton, Director Office of Quality Assurance

OQA:MRD-0027

Enclosures:

- September 1996 Deficiency Document Status Table - By Organization Responsible for Completion of Corrective Action
- 2. Open Corrective Action Document Status Report - by Organization Responsible for Completion of Corrective Action

cc w/encls: Records Processing Center

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#### (c=009rpt)

#### Quality Assurance CARlog Application V6.1.0

SEPTEMBER 1996 DEFICIENCY DOCLMENT	STATUS TABLE
BY ORGANIZATION RESPONSIBLE FOR COMPLETION	

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Org	C		СА Ј	Rs C	0E	08	Di I	_	OE	08		PRs C	DE	CR	1	NCRs C	CE	
EM32		0	0	0	0	0	0	0	0	1	0	σ	1	0	0	0	0	
EIG23		0	0	0	0	1	0	0	1	- 2	0	0	2	0	0	0	0	
PN		Ð	D	0	0	1	0	0	1	0	0	0	0	0	0	0	0	
K/PB		0	1	0	1	22	6	9	19	2	0	1	1	23	3	0	26	
LAHL		0	0	0	o	9	0	5	4	1	0	0	1	0	Q	0	0	
LBML		0	0	0	0	3	0	Z	1	0	0	. 0	Ď	0	0	0	. 0	· ·
LLML		0	0	0	D	5	0	2	3	3	0	-3	0	D	0	0	0	
LVMO		4	. 0	0	4	29	1	7	23	1	2	1	2	34	1	1	34	
OHRA		1	0	0	1	5	0	0	5	D	0	D	· 0	0	0	0	0	
RSN		0	0	0	0	0	0	0	0	0	0	0	0	.1	. 0	0	1	
SML		0	0	0	0	17	0	0	17	1	0	0	1 2	1	0	G	1	
USGS		3	0	0	3	17	2	Z	17	13	1	6	8	16	0	3	13	
ONAV		¢	0	0	0	2	0	0	2	5	0	1	4	0	0	0	0	
THSCO		0	0	Û	0	4	1	0	5	1	1	1	1	1	0	0	1	
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LEGEND:

OB - Open at the Begining of the Month 1 - Issued

C - Closed DE - Open at the End of the Month

### Duality Assurance Deficiency Log Application Open Corrective Action Document Status Report

Version: 4.1.0 (ca015rpt)

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CRCT ACTN RESPONSEL	DOCUMENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AM & QAR	NEXT	NEXT ACTION DATE
EK32 *URKNOLM- -DIRSCTOR-	N9-95-P-001	· · · · · · · · · · · · · · · · · · ·	EN-32 SPPS DO NOT DEGUATELY ADDRESS GARD REGUIREMENTS.	Bob Clark Charles Betts	Corrective Action Completion Due	12-31-96

\* R. Erickson

The Deficiency Document numbering system (XCDCX-FY-X-WNW) is described as follows:

XXXXX Organization Acronym

FY Fiscal Year X Type of Defi

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- t Type of Deficiency depicted by:
  - C Corrective Action Requests
    - D Deficiency Reports
    - P Performance Reports
- NNN Sequential Number

### Ouality Assurance Deficiency Log Application Open Corrective Action Document Status Report

Version: 4.1.0 (ca015rpt)

CRCT ACTH	DOCUMENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AM & DAR	NEXT ACTION	NEXT ACTION DATE
EN323 J. Convey	NQ-96-D-009	07-12-96	SPPS ARE BEING CHANGED VIA DOE HEHORAHOUM AND NOT THROUGH THE SPP REVISION PROCESS	Bob Clark Charles Betts	OAR to Evaluate Response	10-01-96
ЕН323 Ј. Сопнеу	ha-96-P-004	07-12-96	SPP 1.02 IS NOT CURRENT DUE TO A REORGANIZATION WITHIN EM. ALL RESPONSIBILITIES AND REPORTING FUNCTIONS ARE NOT CORRECTLY DEFINED AS REQUIRED BY DOE/RW-0333P, SECTION 1.2	Bob Clark Jim George	OAR to Evaluate Response	10-01-96
EK323 J. Corumy	NQ-96-P-005	07-12-96	SPP 4.15, REV. 5 SECTION 4.F.(1) (A) THROUGH (G), DOES NOT IDENTIFY THE TRG REVIEW RECORDS AS "L" OR "M" RECORDS.	Bob Clark Jim George	QAR to Evaluate Response	10-01-96

The Deficiency Document numbering system (XXXXX) Organization Acronym FY Fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports NNN Sequential Number Page 2

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## Ouality Assurance Deficiency Log Application Open Corrective Action Document Status Report

Version: 4.1.0 (ca015rpt)

CRCT ACTH	DOCUMENT	ISSUE	ASVERSE	RESPONSEL AN	NEXT	NEXT ACTION
RESPONSEL	NUMBER	DATE	CONDITION	& DAR	ACTION	DATE
NG Robert Clark	HQ-96-D-010		EFS NOT ISSUED FOR COAS ID SOME PRS.	Bob Clark Jim George	Response Due	10-01-96

The Deficiency Document numbering system (XXXXX-FY-X-WWW) is described as follows: XXXXX Drganization Acronym FY Fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports

- NNN Sequential Number

### Quality Assurance Deficiency Log Application Open Corrective Action Document Status Report

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CRET ACTH RESPONSEL	DOCUMENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & OAR	NEXT ACTION	HEXT ACTION DATE
K/PB W. D. WIGHTMAN	K/P8-96-D-030	03-15-96	CONTRARY TO GARD, REV. 5, SEC. 5.2.2 B., REV 1 AND SPEC. SEC01400, REV 02, PARA 1.04 A.1 SOME GA CONTROLS ISSUED OR CHANGED BY DESIGN DOCIMENTS HAVE NOT BEEN INCORPORATED INTO K/PB IMPLEMENTING DOCUMENTS	Barish, Victor	Corrective Action Completion Due	10-31-96
K/PB W. D. WIGHTMAN	K/PB-96-D-031	03-18-96	CONTRARY TO TCP-2.6, REV. 4, PARA 3.1.7 HUMEROUS TIENS IN THE EXTERIOR STORAGE AREAS WERE IMPROPERLY STORED, E.G., STEEL SET PIECES, ROCKBOLTS AND ACCESSORIES, SPOOLS OF ELECTRICAL CABLE, PVC AND CONOULT, BURKE FLOOR MARDENER, AND MSA CARTRIDGE FILTERS	Barish, Victor	DAR to Perform Verification	08-09-96
K/PB W. D. WIGHTMAN	к/рв-96-d-047	06-26-96	CONTRARY TO MCP-5.0, REV 12 AND MCP-17.0 REV 10, TCP-2.42, REV 1 DID NOT INCLUDE APPLICABLE REQUIREMENTS FROM SPEC SECTION 02730, INCORRECTLY SPECIFIED PROJECT RECORDS AND DID NOT ASSIGN RESPONSIBILITY FOR SUBMISSION OF RECORDS	Sarish, Victor	Amended Response Due	10-11-96

The Deficiency Document numbering system (XXXXX-FY-X-MMR) is described as follows: XXXXX Drganization Acronym

- FY X
- Drganization Actory Fiscal Year Type of Deficiency depicted by: C ~ Corrective Action Requests D ~ Deficiency Reports P ~ Performence Reports
- Sequential Humber NNN

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Version: 4.1.0 (ca015rpt)

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CRCT ACTH RESPONSEL	DOCUMENT MIMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & DAR	NEXY ACTION	NEXT ACTION DATE
K/PB W. D. VIGHTMAN	K/P3-96-D-D53	07-12-96	CONTRARY TO NCP-2.0, REY. 17, PARA 3.1.3 SOME WORK PACKAGES DO NOT MAVE THE CORRECT GOVERNING OCP/TCP REFERENCED ON THEIR TRAVELERS, EXAMPLE: WORK PACKAGE 2.25.4 MICH LISTS OCP-006 AND OCP-010 MICH MAVE BEEN SUPERCEDED BY OCP-018.	Barish, Victor	Verification Scheduled For	09-24-96
K/PB W. D. WIGHTHAN	K/78-96-D-052	07-12- <del>96</del>	CONTRARY TO TCP-2.20, REV. 7, PARA 3.13.1 THE SHIFT ENGINEER ON SOME OCCASIONS MAS NOT DOCUMENTED AND ACCEPTED INSTALLATION FOR ITEMS INSTALLED DURING HIS SHIFT.	Barish, Victor	DAR to Evaluate Response	09-23-96
K/ <b>75</b> W. D. Vighthan	K/78-96-D-057	D8-20-96	CONTRARY TO SPEC. SEC. 02347, REV. 3, SECTION 1.04J ALL STEEL SET INSPECTION FORMS IN WORK PACKAGE MP-1.14 MERE SIGNED OFF AS BEING INSPECTED TO A 10 X FITUP INSPECTION. THIS APPLIED ONLY TO 100 STEEL SETS FABRICATED ON SITE BY REECO.	Barish, Victor	OAR to Evaluate Response	09-20-96

The Deficiency Document numbering system (XXXXX-FY-X-NNR) is described as follows: XXXXX Organization Acronym FY Fiscal Year

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- type of Deficiency depicted by: C Corrective Action Requests D Deficiency Reports X

  - P Performance Reports
- Sequential Number 1000

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# Ouality Assurance Deficiency Log Application Open Corrective Action Document Status Report

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Version: 4.1.0 (ca015rpt)

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CRCT ACTH RESPONSEL	DOCUMENT MMBER	I SSUE DATE	ADVERSE CONDITION	RESPONSEL AN L OAR	NEXT	NEXT ACTION DATE	• •
K/PB W. D. WIGHTMAN	K/P8-96-D-058	08-20-96	CONTRARY TO SPP-007, REV. 1, PARA 3.5.3 THERE ARE HO CONTROLS IN PLACETO ASSURE THAT WELD RODS RETURNED TO THE OVEN REMAIN FOR AT LEAST 4 HOURS; CONTRARY TO MCP-5.0, REV. 12, PARA. 3.3.3, SPP-007, REV. 1 FOR FILLER METAL CONTROL FAILS TO IDENTIFY MON OR BY WHOM REQUIREMENTS ARE TO BE CARRIED OUT	Barish, Victor	DAR to Evaluate Response	09-20-96	•
K/PB W. D. WIGHTMAN	K/PB-96-D-059	08-20-96	CONTRARY TO DRAWING BABEAB000-01717-6300-0234 1-CD-08-2, SKETCH 083, REV. 1, 1/4" FILLET WELDS 3" IN LENGTN NAVE BEEN INSTALLED; CONTRARY TO SPEC02341, REV 3, SEC. 4.01 C2, 3/4" TO 1" LONG WELDS NAVE BEEN UTILIZED ON THE TOP CONNECTION OF THE BUTT PLATE TO WIDE FLANGE THAT ARE NOT ON A/E DRAWINGS	Barish, Victor	QAR to Evaluate Response	<b>09-20-96</b>	
K/PB W. D. WIGHTMAN	ficiency Docume XXXXX Orga FY Fisc	nt number nization / al Year of Defic C - C D - D	CONTRARY TO MCP 5.0, REV. 12, PARA. 3.5.3 GCP-013 EXHIBIT 6.1 WAS EDITORIALLY CORRECTED TO CHANGE THE WORDS ON THE FORN FROM RANGON 10 PERCENT INSPECTION TO 100 PERCENT INSPECTION WHICH DOES NOT FIT THE CRITERIA FOR AN EDITORIAL CHANGE. THE FORM BEING USED IN Ing system (XCOOX-FT-X-NHN) ACTORYM (ency depicted by: prrective Action Requests efficiency Reports efformance Reports	Barish, Victor ) is described as follows:	QAR to Evaluate Response	09-23-96	

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## Duality Assurance Deficiency Log Application Open Corrective Action Document Status Report

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CRCT ACTH RESPONSEL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AM & GAR	NEXT ACTION	NEXT ACTION DATE	
<u>.</u>			THE FIELD INDICATES REV.				·
K/PB W. D. WIGHTMAN	K/P8-96-D-062	07-04-96	CONTRARY TO OCP-014 R/0, EXHIBIT 5.1, TCP-2.36 R/0, EXHIBIT 7.1 AMO MCP-17.0 R/10 RECORDS GENERATED FROM QCP-014 AND TCP-2.36 CONTAIN CONFLICTING INFORMATION CONCERNING TIME RECORDED FOR COMPLETION OF BATCHING AND THE TIME TESTED (WORK PACKAGES 1.24.1 K, M THRU Y, X, CC AND DO)	Barish, Victor	GAR to Evaluate Response	10-04-96	
К/РВ W. D. Wighthan	K/PB-96-D-063	09-04-96	CONTRARY TO MCP-2.4, PARA 3.3.2B AND 3.3.2C GUY ARMINGER, WHO WAS MIRED OW 5-13/96, MAS MOT COMPLETED GET. ALSO SPILL RESPONSE TRAINING SCHEDULED 6-4/96 WAS WOT ATTENDED AS REGUIRED AND NO JUSTIFICATION PROVIDED TO TRAINING; MIKE SHOUGH, REMIRED AS A WALKER 8-1-96 NOT COMPLETED TRAINING PACKET ON T	Barish, Victor	Response Evaluation Date	10-01-96	

The Deficiency Document numbering system (XXXXX-FY-X-NNN) is described as follows: XXXXX Drganization Acronym FY Fiscal Year

FY X

Type of Deficiency depicted by: C - Corrective Action Requests

- D Deficiency Reports
- P Performance Reports

Sequential Number HNH

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## Duality Assurance Deficiency Log Application Open Corrective Action Document Status Report

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CRCT ACTN RESPONSEL	DOCLHENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AM	AEXT	BEXT ACTION DATE
K/PB W. D. Wightham	K/P8-96-D-064	09-27-96	CONTRARY TO TCP-2.39, REV. 6, PARA 3.3.2 CONSTRUCTION DID NOT	Barish, Victor	Response Due	10-23-96
			TRANSHIT COPIES OF COMPLETED STEEL SET INSTALLATION FORMS WITHIN THE 5 DAYS DELAY - SPECIFIED WHICH PREVENTED QC FROM PERFORMING A REQUIRED WEEKLY MONITORING OF RECORDS			
K/PB N. D. WIGHTMAN	K/P8-96-D-065	09-27-9 <b>6</b>	CONTRARY TO MCP-2.0, R/18, PARA 3.1 WORK MAS COPPENCED FOR CLEARING AND GRUBBING OF THE S. PORTAL AREA INCLUDING ACCESS ROAD AND	Berish, Victor	Response Due	10-23-96
			CONSTRUCTION HAS STARTED ON THE EQUIPMENT WASH FACILITY AT THE NORTH PAD WITHOUT AAPPROVED WORK PACKAGES.	•		
K/PB W. D. VIGHTHAN	LVN0-96-C-001	09-17-96	VIDLATION: MCP-2.4:SEVERAL DRS CONCERNED WITH VERIFICATION OF ELE;INDOC AND TRAINING	HACKBERT, DAVE	Response Due	10-14-96

The Deficiency Document numbering system (XXXXX-FY-X-WHN) is described as follows: XXXXX Organization Acronyma FY Fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports

Sequential Number NNN

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CRCT ACTH RESPONSEL	DOCUMENT MUMBER	TSSUE DATE	ADVERSE CONDITION	RESPONSEL AN	NEXT	NEXT ACTION DATE	
K/PB W. D. WIGHTMAN	LVNO-96-D-037		VIOLATION:TCP-2.22 & SPI D1501, REV.D4:GROUND SUPPORT MAINTENANCE INSPECTION REPORTS WERE NOT GENERATED BECAUSE INSPECTIONS WERE NOT PERFORMED; GROUND SUPPO MAINTENANCE AND REFAIR REPORT WAS REQUIRED FOR INSPECTIONS AND WERE NO PERFORMED NOR INCLUDED THE WORK PACKAGE.	RT T	Verification was Satisfactory	10-01-98	
K/PB W. D. WIGHTMAN	LVNO-96-D-039		VIOLATION: SPEC 1501& TCP-2.21: K/PB IS PROCURING THEIR OUM DIESEL FUEL AND SAMPLIN IS NOT BEING CONDUCTED THROUGH THE NTS PROCUREMENT SYSTEM.	MACKBERT, DAVE	QAR to Evaluate Ext Request	msion 10-18-96	
K/PB W. D. WIGHTMAN	LVNO-96-D-04(	5 06-21-96	VIOLATION: GARD SECTION 10; INSPECTIONS AND MONITORING OF GROUND SUPPORT INSTALLATIONS ARE NOT PERFORMED IN ACCORDANCE WITH K/PB GUALITY CONTROL PLAN A INSPECTION, MONITORING AND SURVEILLANCE PLANS	ND	QAR to Perform Verification	10-17-96	
The I	FY FI X Ty	pe of Defi C -	ciency depicted by: Corrective Action Reque Deficiency Reports Performance Reports	NNN) is described as fo ats Page 9	ol lows :		

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#### Ouality Assurance Deficiency Log Application Open Corrective Action Document Status Report

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Version: 4.1.0 (ca015rpt)

RESPONSEL AN NEXT NEXT ACTION DOCUMENT ISSUE ADVERSE CRCT ACTH RESPONSEL MUNBER ACTION DATE 😒 DATE CONDITION & GAR 10-17-96 K/PB LVHO-96-D-047 06-21-96 VIOLATION: DARD SECTION QAR to Perform W. D. Verification 14:DOCUMENTATION OF Pugnire, Ves WIGHTMAN GROUND SUPPORT INSPECTION AND MONITORING DOES NOT PROVIDE RELIABLE INSPECTION STATUS IN ALL CASES. 10-14-96 K/78 LYNO-96-D-060 09-17-96 VIOLATION: GARD SECTION **Response** Due W. D. 2: HCP-2.4 DOES NOT HEET MACKBERT, DAVE WIGHTMAN GARD REQUIREMENTS 10-18-96 K/PB LVM0-96-D-061 09-19-96 VIOLATION: HCP-10.1:NO Response Due W. D. DEJECTIVE EVIDENCE IN HACKBERT DAVE **WIGHTMAN** TRAINING FILES OF QUALIFICATION AS LIBR TESTERS. \*\*\*\*\*\*\* **Corrective** Action 09-30-96 10-19-95 QUALITY CONTROL PROCEDURE **Richard Crawn** K/78 YN-96-P-002 NOT UPDATED TO CURRENT Completion Due V. D. John R. Doyle SPECIFICATION VIGHTMAN REQUIREMENTS 17 1. 2.1

The Deficiency Document numbering system (XXXX-FY-X-NNN) is described as follows:

XXXXX Organization Acronym

X Type of Deficiency depicted by:

- C Corrective Action Requests
  - D Deficiency Reports
- P Performance Reports
- MMM Sequential Hunder

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# Quality Assurance Deficiency Log Application Open Corrective Action Document Status Report

Version: 4.1.0 (ca015rpt) %

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CRCT ACTN RESPONSEL	DOCUMENT NUMBER	I SSUE DATE	ADVERSE CONDITION		RESPONSEL A	A	NEXT	HEXT ACTION DATE
LANL Julie A. Canepa	YN-96+D+033	02-08-96	JOB PACKAGE HAS NOT B REVISED REFLECT CONTR CHANGES		S. B. Jones John R. Doyle		Corrective Action Completion Due	12-02-96
LANL Julie A. Canepa	<b>YN-96-D-064</b>	07-12-96	FAILURE TO IMPLEMENT DARD, SUPPLEMENT I REQUIREMENTS		5. B. Jones Dick Maudlin		Acceptance Letter In Process	09-30-96
LAML Julie A. Canepa	¥N-96-D-D66	07-12-96	CONTROLLED MANUALS OU DATE	T OF	5. B. Jones Jim Blaylock	· ·	Corrective Action Completion Due	11+01-96
LAWL Julie A. Canepa	YN-96-D-073	07-25-96	INADEQUATE PROCEDURE FAILURE TO FOLLOW PROCEDURES	CHA	S. B. Jon <del>es</del> Dick Møudlin		Response Due	12-31-96
LAWL Julie A. Canepa	YM-96-P-029	07-03-96	INADEQUATE PROCEDURE FAILURE TO FOLLOW DOCUMENT CONTROL REQUIREMENTS	AND	S. B. Jones John Hartin		QAR to Evaluate Amended Response	10-04-96

The Deficiency Document numbering system (XOOOX-FY-X-NNN) is described as follows: XXOOX Organization Acronym FY Fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports NNN formation Herber

Sequential Number NNN

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Oct 2, 1996 3:21 PM	Quality Assuran Open Corrective	ce Deficiency Log Application Action Document Status Repor	1	Version: 4.1.0 (cs015rpt)	•1
CRCY ACTH DOCLMENT ISSUE RESPONSEL HUMBER DATE		RESPONSEL AN E GAR	NEXT ACTION	HEXT ACTION DATE	
LBNL LBNL-96-D-006 01-30-5 Gudmindur Bodyarsson	>6 *	S. B. Jones Mangold, Don	Corrective Action Completion Due	09-30-96	
* SCIENTIFIC NOTEBOOK AND UPDATE NOT IN C	INITIATION OMPLIANCE WITH				

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The Deficiency Document numbering system (XCCOCK-FY-X-NNN) is described as follows: XCCOX Organization Acronym FY Fiscal Year

FYX

Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports

Sequential Number NNN

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CRCT ACTH RESPONSEL	DOCLIMENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & DAR	HEXT	NEXT ACTION DATE	
LLML Willis L. Clarke	YN-96-D-055	05-08-96 (	DUT OF DATE PROCEDURES/SPECIFICATIONS	Richard Crawn John Therien	GAR to Prepare Unsatisfactory Verification Lette	10-03-96 T	
LLML Villis L. Clarke	YN-96-D-056	05-08-96	USE OF UNCALIBRATED MATE	Richard Crawn Rick Weeks	QAR to Perform Verification	09-30-96	
LLML Willis L. Clarke	YH-96-D-057		PERFORMING OUALITY-AFFECTING ACTIVITIES W/O APPROVED AND CONTROLLED PROCEDURES	Richard Craun Rick Veeks	QAR to Perform Verification	09-30-96	
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The Deficiency Document numbering system (XXXXX-FY-X-HNH) is described as follows: XXXXXX Organization Acronym FY Fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports HNH Sequential Number Page 13

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### Ouality Assurance Deficiency Log Application Open Corrective Action Document Status Report

Version: 4.1.0 (ce015rpt)

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CACT ACTH RESPONSEL	DOCUMENT MUNSER	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN E GAR	MEXT ACTION	NEXT ACTION DATE
LVHO L. Dale foust	LVND-96-D-023	02-26-96	VIOLATION: GAP-17-1. RECORD SEGMENTS KEPT AT DRC THAT CONTAIN COMPLETED GA RECORDS. SEGMENTS MAVE BEEN OPEN LONGER THAN 2 YEAR PERICO.		Corrective Action Completion Due	12-13- <del>9</del> 6
LVMO L. Date Foust	LVNO-96-D-028	03-13-96	VIOLATION: QAP-7-3, UNIVERSITY SYSTEMS ARE CURRENTLY DOING WORK WITHOUT A CONTRACTUAL/PROCUREMENT DOCUMENT.	Kirby,Debbie	Extension Request Letter Expected	10-01-96
LVHO L. Dale Foust	LVNO-96-D-049	07-17-96	VIOLATION: MLP-2-4: LOCATION COORDINATES AND TOP OF CASING ELEVATIONS FOR THE MAJORITY OF THE YMP CONTROLED BOREHOLES HAVE NOT BEEN DETERMINED AND DOCUMENTED.	MALONE.MIKE	QAR to Evaluate Extension Request	10-11-96
LVHO L. Dale Foust	LVN0-96-D-051	06-25-96	VIOLATION: YAP-15.19; INCORRECT APPLICATION OF "USE-AS-IS".	BERLIEN,RON	Corrective Action Completion Due	10-30-96
LVMO L. Dele Foust	LW0-96-D-054	08-14-96	VIOLATION: QAP-7-6; AP-7.4Q ;FTI AND FCF QA PROGRAMS ACCEPTED V/CUT RESTRICTION; ACCEPTANCE RPT FOR W. P. CLOSURE WELD DEV. PROGRAM DID NOT COMMENT RE: FTI CERT. REV. 010F THE PROCUREMENT DOC.RATHER THAN NOST CURRENT REV. 02.	Berlien, Rom	QAR to Evaluate Response	10-18-96
The De	ficiency Docume XXXXX Orga			) is described as follows:		
	FY Fisc	al Year of Defic C - C D - D	iency depicted by: prrective Action Requests eficiency Reports erformance Reports			
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### Duality Assurance Deficiency Log Application Open Corrective Action Document Status Report

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CRCT ACTN RESPONSEL	DOCUMENT MAMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & GAR	NEXY ACTION	DATE
LVHO L. Dele Frust	FAMO-26-D-022	08-15-96	VIOLATION: DAP-3-12; DAP-17 -1, DESIGN INPUT TRANSMITTAL NOT TRACKED. RECORDS NOT SUBMITTED TO RPC.	KEEMER, GARY	Corrective Action Completion Due	11-15-96
LVNO L. Dale Foust	LVN0-96-D-057	08-14-96	VIOLATION:UNLV STATEMENT OF WORK: UNLV IS PERFORMING OA WORK USING PROCEDURES DEVELOPED BY UNLV.	HALCHE.HIKE	Corrective Action Completion Due	11-13-96
LVHO L. Dele Foust	LVNO-96-D-058	08-29-96	VIOLATION: DARD SECTION 7: DAP-7-D; CALIBRATION CERTIFICATES RECEIVED PRIOR TO PURCHASE OF CALIBRATION SERVICE; PROCUREMENT NOT FOLLOWED IN PROPER SEQUENCE.	ABEND, SAIL	Response Due	10-04-96
LVNO L. Dale Foust	LVN0-96-D-039	08-29-96	VIOLATION: DAP-2-T, REV.05; CUALITY WORK MAS PERFORMED WITHOUT READING MOST CURRENT PROCEDURES.	BARTLEY, CHARLIE	DAR to Evaluate Response	10-30-96

The Deficiency Document numbering system (XOCOX-FY-X-NNN) is described as follows: XOCOX Organization Acronym FY Fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports D - Deficiency Reports

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LVHO L. Date Foust	LW0-96-P-036	07-18-96	VIOLATION: DAP-2-0; SALV STORAGE NOT INCLUDED IN 2-0 EVALUATION	BERLIEN,RON	Response Due	<b>10-18-56</b>
LVNO L. Dale Foust	100-96-9-037	07-20-96	VIOLATION: DAP-3-5, DAP-1-0; DA NORK APPROVED; INDIVIDUAL DID NOT NAVE SIGNATURE AUTHORITY.	Kirty,demie	Response Due	10-18-96
LVHO L. Dale Foust	¥ <b>X-95-</b> C-050	07-18-95	PERSONNEL DID NOT FOLLOW PROCEDURES THIS CAR IS IN REV. 1	3, 8, Jones Patrick V, Auer	Corrective Action Completion Due	11-27-96
LVNO L. Dale Foust	78-96-2-007	07-26-96	FAILURE TO IMPLEMENT GARD AND PROJECT REGULTEMENTS IN IMPLEMENTING DOCUMENTS	S. B. Jones Pat Cotter	Response Que	<b>07-30-96</b>
LVHO L. Dele Foutt	¥H-96-C-D08	07-31-96	TRAINING RECORDS FOR CRUMS MED INDIVIDUALS DO NOT INCLUDE EVIDENCE OF COMPLETION OF REQUIRED SELF-STUDY ASSIGNENT FORMS FOR SPECIFIED DOCUMENTS	Jerri J. Adama Franklin B. Salta	Corrective Action Completion Due	12-31-96

The Deficiency Document numbering system (XXXXX-FY-X-HHRt) is described as follows:

XXXXXX Organization Acronym

- FY Fiscal Year
- X Type of Deficiency depicted by:
  - C Corrective Action Requests
    - D Deficiency Reports
  - P Performance Reports
- IIII Sequential Number

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CRCT ACTH RESPONSEL	DOCUMENT	I SUE DATE	ADVERSE CONDITION	RESPONSEL AN B GAR	ACTION	DATE
LVNO L. Dale Foust	YH-96-C-009	08-21-96	INADEQUATE GA PROGRAM AND IMPLEMENTATION THEREOF	Richard Craun Daniel Klimes	Response Due	10-03-96
LVMO L. Dale Foust	YH-96-D-036	02+06-96	NEO DOCUMENT USED FOR Q . APPLICATIONS WAS DEVELOPED NON-Q	S. S. Jones Dennis Threatt	Corrective Action Completion Due	07-30-96
LVMO L. Dale Foust	YN-96-D-037	02-14-96	CONTROL OF TRACERS, FLUIDS AND MATERIALS (TFMS) ARE NOT PRESCRIBED IN DOCUMENTS	S. J. Brocoum Pat Cotter	GAR to Perform Verification	11-08-96
LVMO L. Dale Foust	YH-96-D-042	02-26-96	RECORDS NOT SUBMITTED TO RPC WITHIN 20 WORKING DAYS OF COMPLETION	Jerri J. Adama James T. Schwit	Que to Evaluate Amended Response	10-07-96
LVMO L. Dale Foust	¥ <del>7-96-</del> D-060	05-16-96	FAILURE TO FOLLOW	Richard Crewn Pat Cotter	GUE to Evaluata Verification Info.	10-07-96
LVMO L. Dale Foust	¥#-96-D-061	05-30-96	FAILURE TO FULLY IMPLEMENT GARD	Richard Craun Pat Cotter	QAR to Evaluate Verification Info.	10-07-96

The Deficiency Document rambering system (XCCCX-FY-X-NUN) is described as follows: XCCCX Organization Acronym FY Fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports NUM Segmential Manhaer

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CACT ACTH	DOCLMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & DAR	HEXT • ACTION	NEXT ACTION DATE
LVNO L. Dale Foust	¥ <del>7-</del> 96-D-D7D	07-12-96	FAILURE TO PROCEDURALLY CONTROL QUALITY AFFECTING ACTIVITIES - NOTE: THIS DR SUPERSEDES PRS TH-96-P-004, D05, AND 006	Richard Craun Dick Haudlin	OAR to Perform Verification	10-30-96
LVNO L. Dale Foust	YN-96-D-071	07-22-96	INADEQUATE INPLEMENTATION OF AUDIT PROGRAM - THIS DOCUMENT SUPERSEDES PR YH-96-P-027	Richard Craun Dick Maudlin	GAR to Perform Verification	07-30-96
LVMC L. Dale Foust	YH-96-D-072	07-24-96	DRILL-AND-BLAST ACTIVITIES *	S. B. Jones Pat Cotter	Acceptance Letter In Process	09-30-96
LVMO L. Dale Foust	YN-96-D-075	07-24-96	INADEQUATE INPLEMENTING PROCEDURES	Richerd Craun Pat Cotter	Response Due	09-30-96
LVMO L. Dale Foust	YH-96-D-077	08-06-96	PO ISSUED W/CUT EVIDENCE OF A DA REVIEW; PURCHASE FROM SUPPLIERS NOT ON THE OSL; RECORDS NOT MAINTAINED IN A 1/MR FIRE-RATED FACILITY	Richard Craun Dick Naudlin	Corrective Action Completion Due	07-30-96
CL	LURE TO CO ASSIFY QUAL TIVITIES AS LATED	ITY AFF				

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CRCT ACTH RESPONSEL	BOCLINEN'S NUMBER	DATE	ADVERSE CONDITION	RESPONSEL AN & DAR	ACTION	NEXT ACTION DATE
LVNO L. Dale Foust	YN-96-D-078	02-06-96	MATERIAL TRACEABILITY OF BASE AND VELD FILLER MATERIALS USED FOR MASTE PACKAGE CLOSURE DEVELOPMENT; WELD TEST COULD NOT BE VERIFIED	Richard Craun Patrick V. Aver	Corrective Action Completion Due	07-30-96
LVMO L. Dale Foust	<del>7N-96-D-079</del>	08-06-96	UNCUALIFIED SUPPLIER USED; CALIBRATION RECORDS DO NOT NEET THE PROCUMEMENT DOCUMENTS; CALIBRATION RECORDS NOT MAINTAINED IN A 1 MR. FIRE RATED FACILITY	Richerd Craun Dick Haudiin	Corrective Action Completion Due	09-30-96
LVNO L. Date Foust	TN-96-D-092	08-15-96	CONFLICTING DATA BETWEEN DIE AND REFERENCING JOB PACKAGE	Richard Craus	Rejection Letter in Process	09-30-96
LVMO L. Dele Foust	<del>77-96-</del> 9-101	07-17-96	INADEGUATE PROCEDURES, GAP-3-8, GAP-3-9 AND GAP-3-10	Richard Craun Patrick V. Auer	Response Due	10-15-96

The Deficiency Document numbering system (XXXXX-FY-X-NAN) is described as follows;

XXXXX Organization Acronym

- 77 Fincal Year
- Type of Deficiency depicted by: X
  - C Corrective Action Requests D Deficiency Reports

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CRCT ACTN RESPONSEL	DOCLHENT MUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & DAR		NEXT ACTION	NEXT ACTION DATE
OHRA Janes C. Bresee	MJ-96-C-001	06-10-96	PERSONNEL ORPS DID NOT CONTAIN CERTAIN DOCUMENTS	-Bob-Glerk- Jim Cherles Betts	Bresec	Corrective Action Completion Due	12-31-96
CHRA James C. Bresee	<b>MQ-96-D-003</b>	. 06-10-96	PERSCHNEL ORPS FOR WESTON PERSONNEL DO NOT CONTAIN REQUIRED INFO.	-Bob-Clark- Jim Charles Betts	Bresee	OAR to Perform Verification	10-31-96
OHRA James C. Bresee	NG-96-D-004	06-10-96	ORP S28-1161.00 FOR EIA PERSONNEL DOES NOT CONTAIN EDUCATION REQUIREMENTS	- <del>Bob-Ciark-</del> Jim Charles Betts	Bresee	GAR to Perform Verification	10-31-96
OHRA James C. Bresee	NQ-96-D-005	06-10-96	ORP PACKAGE FOR 2, INC. PERSONNEL DOES NOT MAYE EDUCATION AND EXPERIENCE REQUIREMENTS DOCUMENTEDHOR MAS JUSTIFIATION STATEMENT BEEN PREPARED	-Bob-Clerk- Jim Charles Betts	Вгевее	QAR to Perform Verification	10-31-96
OHRA James C. Bresce	NQ-96-D-D06	06-10-96	ORP PACKAGE FOR ASTA PERSONNEL DOES NOT MAVE EXPERIENCE REQUIREMENTS DOCUMENT NOR MAS JUSTIFICATION STATEMENT BEEN PREPARED	-Bob-Clork- Jim Charles Betts	Bresee	Corrective Action Completion Due	10-01-96

The Deficiency Document numbering system (XCCCC-FY-X-NDN) is described as follows: XCCCCC Organization Acronym FY Fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports

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ORRA James C. Bresce	HQ-96-D-007	D A R J	RPS FOR GATSS PERSONNEL O NOT CONTAIN EDUCATION NO EXPERIENCE EQUIREMENTS NOR HAVE USTIFICATION STATEMENTS EEN PREPARED	- <del>Bob-Clark-</del> Jim Bresee Charles Betts	QAR to Perform Verification	10-31-96

The Deficiency Document numbering system (XXXX-FY-X-NNN) is described as follows: XXXXX Organization Acronym FY fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports NNN Sequential Number Page 21

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CACT ACTH RESPONSEL	DOCUMENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & DAR	ACTION	MEXT ACTION DATE
SWL Laurence S. Costin	<b>531L-96-D-006</b>	<b>06-11-9</b> 6	REPORTS SHOULD HAVE HAD ACCEPTAELITY STATEMENT, FOR 95-08 & 95-23, MARAGER OF ORG SURVEILLED WAS NOT INCLUDED IN THE DISTRIBUTION.	Claudette Jaramiilo	Issue Date	06-11-96
SHL Laurence S. Costin	YN-96-D-D03	11-07-95	PROCUREMENT RECORDS ARE NOT BEING FORWARDED TO NEO RECORDS (CRF) ISSUED IN REPLACEMENT OF CAR YN-94-D93	Jerri J. Adama Mary G. McDaniel	Corrective Action Completion Due	05-01-99
SML Lawrence S. Costin	711-96-0-004	02-01-96	SHL PROCEDURES ARE IMADEQUATE IN DETAIL ISSUED IN REPLACEMENT OF CAR 11-94-096	Dick Spence Jim Blaylock	Response to Unsatisfectory Verification Due	10-04-96
SML Laurence S. Costin	171-96-0-043	02-23-96	INPLEMENTING PROCEDURES ARE ANBIGUOUS OR NONEXISTENT	Dick Spence Dick Neudlin	Corrective Action Completion Due	03-31-97
SML Laurence S. Costin	YH-96-D-044	03-12-96	ACCEPTANCE CRITERIA FOR MCDEL VALIDATION NOT PROVIDED IN INPLEMENTING DOCUMENTS	S. B. Jones Steve Harris	GAR to Evaluate Response to Unsatisfactory Verification,	10-02-96

The Deficiency Document numbering system (XXXXX-FY-X-XMM) is described as follows: XXXXX Organization Acronym

- FY Fiscal Year
- Type of Deficiency depicted by: X
  - C Corrective Action Requests
    - D Deficiency Reports
    - P Performance Reports
- Sequential Humber **FIRE**

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CRCT ACTH RESPONSEL	DOCLIMENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AM & GAR	NEXY ACTION	HEXT ACTION DATE
SWL Laurence S. Costin	YN-96-D-074	07-24-96	INADEQUATE IMPLEMENTING PROCEDURE; USE OF UNQUALIFIED SUPPLIER	S. B. Jones Pat Cotter	DAR to Perform Verification	09-30-96
SWL Laurence S. Costin	YN-96-D-080	08-07-96	GAIP 20-1, REV. 3, DOES HOI NEET HINIMUM GARD RECUIREMENTS FOR EXPEDITED CHANGES	Jerri J. Adams Don Marris	Amended Response Due	10-08-96
SWL Laurence S. Costin	YN-96-D-081	08-07-96	CAIP 5-1 IS NOT CLEAR ABOUT THE SEQUENTIAL ACTIVITIES FOLLOWED TO INITIATE A NEW PROCEDURE AND TO CHANGE A PROCEDURE	Jerri J. Adams Steve Harris	Corrective Action Completion Due	10-21-96
SML Laurence S. Costin	YN-96-D-082	08-05-96	CHANGE REQUEST FORMS FOR REGCNZ HAD NOT INDICATION OF AFFECTED BASELINE ELEMENTS	Jerri J. Adama Steve Harris	QAR to Perform Verification	10-31-98
SHL Laurence S. Costin	TH-96-D-083	08-07-96	PPC ATTACHED TO PR AS 0296 TO GEOKON, INC. DID NOT DESCRIBE THE GA REQUIREMENTS	Jerri J. Adams Don Marris	Amended Response Due	10-08-96
SWL Laurence S. Costin	Y71-96-D-084	08-07-96	SHL PROCEDURE FOR GA RECORDS DOES NOT HEET THE REQUIREMENTS OF THE GARD FOR THE CORRECTION OF GA RECORDS	Jerri J. Adama Merio Diaz	Amended Response Due	10-08-96

The Deficiency Document numbering system (XXXXX-FY-X-HXH) is described as follows: XXXXX Organization Acronym FY Fiscal Year

- Type of Deficiency depicted by: C Corrective Action Requests D Deficiency Reports X
  - - P Performence Reports
- Sequential Number **NTHIN**

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CRCT ACTH	DOCUMENT	I SSUE DATE	ADVERSE CONDITION	RESPONSEL AM	NEXT ACTION	NEXT ACTION DATE
SML Laurence S. Costin	YN-96-D-085	03-07-96	GA RECORDS NAVE BEEN PROCESSED AND ACCEPTED W/OUT BEING IN COMPLIANCE WITH PROCEDURAL REQUIREMENTS	Jerri J. Adams Mario Diaz	Anended Response Due	10-08-96
SML Laurence S. Costin	YH-96-D-086	08-07-96	DRC FORMS FOR REVIEW OF GAIP 5-1 AND GAIP 1-2 AND MARK-UP PROCEDURES MAVE NOT BEEN SUBMITTED TO THE LRC	Jerri J. Adams Steve Marris	Amended Response Due	10-03-96
SHL Laurence S. Costin	YN-96-D-087	08-07-96	ENTRIES MADE IN SCIENTIFIC WOTEBOOK (SN), FRACTURE PROPERTIES EXPERIMENTS WAS 1.2.3.2.7.1.4, WA-DO91, TASK 4 WERE NOT SIGNED AND DATED	Jerri J. Adams Rick Weeks	Asended Response Due	10-03-96
SML Laurence S. Costin	YH-96-D-088	08-07-96	TECHNICAL PROCEDURES DO NOT IDENTIFY RECORDS GENERATED AS LIFETIME OR NONPERMAMENT AS REQUIRED BY THE QARD	Jerri J. Adama Mario Diaz	Amended Response Due	10-08-96
SNL Laurence S. Costin	YX-96-D-089	08-07-96	REVIEW CRITERIA VERE NOT SPECIFIED DM 3 OF 4 REVIEW PACKAGES AND BLANKS ON DEC FORMS WERE NOT COMPLETED	Jerri J. Adama Steve Merrix	Amended Response Due	10-03-96

The Deficiency Document numbering system (XXXXX-FY-X-WWN) is described as follows:

- XXXXX Organization Acronym
- FY X Fiscal Year
- Type of Deficiency depicted by: C Corrective Action Requests D Deficiency Reports P Performance Reports
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CACT ACTN RESPONSEL	DOCLINEN I MUNDER	DATE	ADVERSE CONDITION	RESPONSEL AN & DAR	MEXT ACTION	NEXT ACTION DATE
SHL Laurance S. Costin	YN-96-D-D90	08-07-96	DOCUMENTATION FOR DEFICIENCIES DO NOT COMPLY WITH REQUIREMENTS	Dick Spince Hario Diaz	Amended Response Due	10-08-96
sul Laurance S. Costin	YN-96-9-030	08-07-96	CECCON WAS EVALUATED FOR APPROVAL AS A GUALIFIED SUPPLITE, HOACVER, NO EVIDENCE INAT REQUIRED ACCEPTANCE CORRESPONDENCE WAS GENERATED	Jerri J. Adama Don Herris	Amended Response Due	<b>10-08-96</b>

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The Deficiency Deciment numbering system (XXXXX-PT-X-MMR) is described as follows; XXXXX Organization Acronym

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- Type of Deficiency dupleted by: C Corrective Action Requests X

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CRCT ACTH RESPONSEL	DOCLMENT MUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & GAR	NEXT ACTION	NEXT ACTION
USGS Robert V. Craig	USGS-95-D-002	09-06-95	SPECIAL TRAINING NOT ASSIGNED OR DOCLMENTED	REITER, EMILY S.	Supplemental Information Due	10-31-96
USGS Robert V. Craig	U3GS-95+D+010	05-08-96	FAILURE TO PERFORM SOURCE DATA REVIEW PRIOR TO TECHNICAL REVIEW OF MANUSCRIPT	N.N. MJSTARD	DAR to Perform Verification	10-15-96
USGS Robert V. Craig	U3G5-95-D-011	09-12-95	OPERATOR MANUALS, INSTRUCTIONS RELATED TO TECMNICAL PROCEDURES NOT CONTROLLED/REVIEWED (GDR-95050-2)	E.S. REITER	DAR to Evaluate Response	10-04-96
USGS Robert W. Craig	1202-0-96-0	05-24-96	VENDOR (HUFFMAN) WITHOUT DA PROGRAM AND PO WITHOUT SUPPLEMENTAL DA REQ	E.S. REITER	OAR to Perform Verification	10-04-96
USGS Robert W. Craig	USGS-96-D-003	05-24-96	VENDOR (INSTARR) WITHOUT GA PROGRAM AND PO WITHOUT SUPPLEMENTAL GA REQ	E.S. Reiter	GAR to Perform Verification	10-31-96
USGS Robert W. Craig	USGS-96-D-004	06-17-96	ACCEPTANCE OF SERVICES THAT DID NOT MEET ALL PURCHASE ORDER REQUIREMENTS	E.S. REITER	Response Due	10-03-96

The Deficiency Document numbering system (XOOOX-FY-X-WHM) is described as follows: XOOOX Dryonization Acronym

FY Fiscal Year

- X Type of Deficiency depicted by:
  - C Corrective Action Requests
    - D Deficiency Reports
    - P Performence Reports

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XCT ACTH	DOCUMENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & DAR	NEXT . NEXT ACTION ACTION DATE
ISCS lobert V. Craig	LSES-96-D-005	07-26-96	STG CONCENTRATIONS EXCEDED JOB PACKAGE 94-21 REGUIRMENT.	H.N. NUSTARD	Corrective Action 03-30-97 Completion Due
usgs Robert V. Craig	US65-96-P-001	12-20-95	TEMPERATURE MEASUREMENTS NOT RECORDED FOR ARCHAMEDES METHOD (MP-229)	n.n. Nustard	Verification Schedulad 10-15-96 For
ISGS Robert W. Craig	USCS-96-P-006	12-20-95	DEFICIENCIES WITH METEOROLOGY DATA	N.N. HUSTARD	Deficiency document to be 10-15-96 reissued as Rev. 1
usGS Robert W. Craig	¥363-96-P-D06	12-26-95	TECHNICAL PROCEDURES NOT UPDATED	N.N. HISTARD	GAR to Evaluate 10-15-96 Verification Info.
usgs Robert W. Craig	USC3-96-P-010	03-21-96	LATE RECORD SUBMITTALS FOR REPORTS (QDR-95009)	E.S. REITER	Supplemental Information 10-18-96 Due
uscs Robert V. Craig	USES-96-P-014	05-02-96	SAMPLE COLLCTION REPORTS NOT PREPARED FOR GAS SAMPLES	E.S. REITER	Corrective Action 10-25-96 Completion Due

The Deficiency Document numbering system (XOCOC(-FY-X-MRN) is described as follows: XOCOC Dryanization Acronym FY Fiscal Year X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports P - Performance Reports

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CRET ACTH RESPONSEL	DOCLHENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & OAR	NEXT ACTION	MEXT ACTION DATE
USGS Robert W. Craig	YN-95-D-009		SUPPLIER HAS NO PROGRAM FOR THE QUALIFICATION AND PASS-DOIN OF QA REQUIREMENTS TO SUR-TIER SUPPLIERS. NO DOCIMENTED EVIDENCE OF ACCEPTANCE,	S. B. Jones Dick Maudlin	QAR to Perform Verification	02-26-97
USGS Robert W. Craig	YH-95-P-010	08-29-95	NO DETAILED PROCEDURES FOR CALIBRATION OF LIQUID SCINTILLATION COUNTERS	S. B. Jones Dick Haudlin	QAR to Perform Verification	02-28-97
USGS Robert W. Craig	YH-96-E-D04	04-10-96	FAILURE TO FOLLOU GARD AND PROCEDURAL REQUIREMENTS FOR PROCUREMENT ACTIVITIES	S. B. Jones John Martin	Corrective Action Completion Due	<del>09-30-96</del>
USGS Robert V. Craig	YN-96-C-005	04-12-96	CORRECTIVE ACTION PROGRAM NOT BEING IMPLEMENTED OR MAINTAINED	S. B. Jones Ken McFall	Corrective Action Completion Due	<b>10-15-96</b>
USGS Robert V. Craig	TH-96-C-006	08-12-96	FAILURE TO IMPLEMENT DOCUMENTED QA PROGRAM REQUIREMENTS	S. B. Jones Steve Harris	Response Due	10-23-96

The Deficiency Document numbering system (XXXXX-FY-X-NNN) is described as follows: XXXXX Organization Acronym

- FY Fiscal Year
- Type of Deficiency depicted by: C Corrective Action Requests X

  - D Deficiency Reports
  - P Performance Reports
- Sequential Number **HUNDE**

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CRCT ACTH	DOCLINENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & DAR	NEXT ACTION	NEXT ACTION DATE
USGS Robert W. Craig	YN-96-D-035	02-06-96	INCOMPLETE IMPLEMENTING DOCUMENTS	Dick Spence Dick Maudlin	OAR to Perform Verification	05-30-97
USGS Robert W. Craig	YN-96-D-046	03-19-96	LACK OF MATE CALIBRATION DOCUMENTATION AND PROCEDURES. CALIBRATION RECORDS NOT PROPERLY MAINTAINED AND MRTF SOFTWARE NOT VERI ED.	S. B. Jones Dick Maudlin	Corrective Action Completion Due	12-15-96
USGS Robert W. Craig	YN-96-D-048	04-12-96	FAILURE TO DOCLMENT AND DISPOSITION DUT OF CALIBRATION CONDITIONS	S. B. Jones Mary G. HcDaniel	Corrective Action Completion Overdue	09-10-96
USGS Robert W. Craig	YN-96-D-050	04-12-96	FAILURE TO FULLY IMPLEMENT YAP-17.10, REV. 0, ICH #4	S. B. Jones Mary G. HcDaniel	OAR needs to prepare closure letter	10-07-96
USGS Robert W. Craig	YN-96-D-051	04-12-96	RECORDS NOT ADEQUATELY MAINTAINED RESULTING IN LOST OR MISPLACED RECORDS	S. B. Jones John Mertin	Corrective Action Completion Due	10-15-96
USGS Robert W. Craig	YN-96-D-058	05-21-96	FAILURE TO IMPLEMENT GA PROGRAM REQUIREMENTS	S. B. Jones Dick Meudlin	CAR to Evaluate Amended Response	10-07-96

The Deficiency Document numbering system (XCCCCI-FY-X-NNN) is described as follows: XCCCCI Organization Acronym

- FY Fiscal Year X
- Type of Deficiency depicted by: C Corrective Action Requests D Deficiency Reports P Performance Reports
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CRCT ACTH RESPONSEL	DOCUMENT	I SSUE DATE	ADVERSE CONDITION	RESPONSEL AM & GAR	NEXT ACTION	NEXT ACTION DATE
USGS Robert V. Craig	YN-96-D-076	07-25-96	FAILURE TO FOLLOW PROCEDURE	S. B. Jones Dick Maudlin	Amended Response Due	10-11-96
USGS Robert W. Craig	TH-96-D-093	09-04-96	FAILURE TO IMPLEMENT ESSENTIAL ELEMENTS OF GA PROGRAM	S. B. Jones Steve Harris	Response Due	10-02-96
USGS Robert W. Craig	YH-96-D-094	09-16-96	FAILURE TO DOCUMENT SUPPLIER SELECTION AND EVALUATION	S. H. Jones Daniel Klimos	Response Due	10-14-96
USGS Robert W. Craig	TH-96-P-024	04-12-75	FAILURE TO IMPLEMENT QARD, SECTION 17.2.4A	S. B. Jones Mary G. HcDaniel	CAR needs to prepare closure letter	10-07-96
USGS Robert W. Craig	YH-96-P-032	09-16-96	INADEQUATE CALIBRATION CERTIFICATE	S. B. Jones Daniel Klimes	Response Due	10-14-96

The Deficiency Document numbering system (XXXXX-FY-X-NNN) is described as follows:

- XXXXX Organization Acronym
- Fiscal Year
- FYX Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports
- Sequential Number NNN.

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Quality Assurance Deficiency Log Application Open Corrective Action Document Status Report

Version: 4.1.0 (ce015rpt)

CRCT ACTH RESPONSEL	DOCLIMENT	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AN & DAR	NEXT ACTION	NEXT ACTION DATE
VAMO R. L. Strickl <del>er</del>	NQ-96-P-002	02-27-96	LIFE CYCLE PLANS FOR SEVERAL SOFTWARE PRODUCTS DID NOT IDENTIFY CONTROL POINTS IN BOLDFACE IAU QAP-SI-1.	Bob Clark Jim George	Amended Response Due	09-30-96
VAMO R. L. Strickler	VANO-96-D-001	07-24-96	NO EVIDENCE THAT REVI TO MPC CONTRACT CN1 HAS REVIEWED IAW GAP-7-3, REV O	White, Tricia	Verification Scheduled For	10-01-96
VAMO R. L. Strickler	VANO-96-D-002		SOME RECIPIENTS CONTINUE TO HOLD DISOLETE OR SUPERSEDED DOCUMENTS AS CURRENT DOCUMENTS. NO OBJECTIVE EVIDENCE THAT THE INDIVIDUALS WERE MOTIFIED OF DECONTROL OR REVISION BECAUSE THEY WERE REMOVED FROM THE DIST LIST	White, Tricia	Response Due	10-25-96
VANO R. L. Strickle <del>r</del>	VXHO-96-P-003	07-23-96	DESIGN REQUIREMENTS DOCUMENTS DRD (MPC REV 2, MPC TRANS CASK REV 2, OST/OSS REV 2) DISTRIBUTED TO CONTROLLED DISTRIBUTION PRIOR TO THE COMPLETION OF BASELINING	White, Tricia	Verification Information Requested	09-24-95

The Deficiency Document numbering system (XOOCX-FY-X-NNN) is described as follows: XOOOX Organization Acronym FY Fiscal Year Your described has a follower that the fiscal Year

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- Type of Deficiency depicted by: C Corrective Action Requests D Deficiency Reports P Performance Reports

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CRCT ACTN DOCLMENT ISSUE AUVERSE CONDITION RESPONSEL AN MEXT NEXT ACTION   VANO VANO-96-P-006 08-13-96 STEPS NOT BEING TAKEN BY RMS/RECIPIENTS TO PROVIDE DOC WITH UPDATED HOLDER INFORMATION RESULTING FROM RECORDANIZATION, REASSIGNMENT OR TERMINATION OF PERSONNEL White, Tricia Response Due 10-25-96   VANO VANO-96-P-007 08-13-96 SOME RECIPIENTS UNABLE TO LOCATE CONTROLLED COPIES No FOCOMENTS NO LONCER BEING USED. PR ISSUED TO DECONTROL THESE OBSOLETE DOCUMENTS NO LONCER BEING USED. PR ISSUED TO DECONTROL THESE OBSOLETE DOCUMENTS Mite, Tricia Response Due 10-25-96	Dct 2, 1996	3:21 PM	· ·	Come Corrective Act	Deficiency Log Application tion Document Status Report	· · · · · · · · · · · · · · · · · · ·	Yersion: 4.1.0 (ca015rpt)	
VANO VANO-96-P-006 08-13-96 STEPS NOT BEING TAKEN BY HNS/RECIPIENTS TO PROVIDE DCC WITH UPDATED HOLDER INFORMATION RESULTING FROM RECOGNIZATION, REASSIGNMENT OR TERMINATION OF PERSONNEL White, Tricia Response Dus   VANO VANO-96-P-007 08-13-96 SOME RECIPIENTS UMABLE TO LOCATE CONTROLLED COPIES Strickler White, Tricia   VANO VANO-96-P-007 08-13-96 SOME RECIPIENTS UMABLE TO LOCATE CONTROLLED COPIES Strickler White, Tricia	CRCT ACTH RESPONSEL				RESPONSEL AN L QAR		NEXT ACTION DATE	
VANO VANO-96-P-007 08-13-96 SOME RECIPIENTS UNABLE TO R. L. OF OLDER DOCUMENTS. Strickler OF OLDER DOCUMENTS BEING USED. PR ISSUED TO DECONTROL THESE OBSOLETE	R. L.	VARO-96-P-006	08-13-96	RHS/RECIPIENTS TO PROVIDE DCC WITH UPDATED HOLDER INFORMATION RESULTING FROM REORGANIZATION, FRASSIGNMENT OR	White, Tricia	Response Due	<b>10-23-96</b>	
	R. L.	VANO-96-P-D07	08-13-96	LOCATE CONTROLLED COPIES OF OLDER DOCUMENTS. DOCUMENTS NO LONGER BEING USED. PR ISSUED TO DECONTROL THESE OBSOLETE	White, Tricia	Response Due	10-25-96	

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The Deficiency Document numbering system (XOCOC-FY-X-WHR) is described as follows: XOCOC Organization Acronym FY Fiscal Year

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- Type of Deficiency depicted by: C Corrective Action Requests D Deficiency Reports P Performance Reports Sequential Number X
- NNN

Duality Assurance Deficiency Log Application Dpan Corrective Action Document Status Report

#### Version: 4.1.0 (ca015rpt)

CRCT ACTN RESPONSEL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSEL AR		ACTION	NEXT ACTION DATE
YNSCO Wesley E. Barnes	M-96-D-030	01-16-96	UNQUALIFIED DATA IDENTIFIED AS QUALIFIED IN TECHNICAL DATA BASE	S. J. Brocoum Mary G. HcDaniel		Corrective Action Completion Due	09-30-96
YNSCO Wesley E. Barnes	YH-96-D-031	01-22-96	RECORDS INDEXING NOT IN ACCORDANCE WITH APPROVED PROCEDURES - THIS DR WAS CONVERTED FROM CAR YM-95-34, REV. 1	Jerri J. Adams Jim Clark		Corrective Action Completion Due	11-27-96
YNSCO Wesley E. Barnes	TH-96-D-059	05-17-96	FAILURE TO FOLLOW PROCEDURE	Jerri J. Adama Mary G. McDaniel	• • • • •	DAR to Perform Verification	10-01-96
YHSCO Vesley E. Barnes	YH-96-D-065	09-05-96	INADEQUATE CONTROL OF QUALITY AFFECTING ACTIVITIES	S. B. Jones John Martin		OAR to Evaluate Extension Request	10-07-96
YNSCO Vesley E. Barnes	YM-96-D-091	08-27-96	JOB PACKAGES REFERENCE USE OF CANCELLED QA GRADING REPORTS; THIS DOCUMENT IS IN REVISION 1	S. B. Jones Turney,Dan		OAR to Evaluate Response	09-30-96
YNSCO Vesley E. Barnes	YH-96-P-031	07-18-96	PERFORMANCE OF QUALITY AFFECTING WORK WITHOUT PROPER TRAINING	S. B. Jones Turney,Dan		Response Due	10-16-96

The Deficiency Document numbering system (XXXXX-FY-X-NNN) is described as follows: XXXXX Organization Acronym FY Fiscal Yeer X Type of Deficiency depicted by: C - Corrective Action Requests D - Deficiency Reports P - Performance Reports NNN Securitian Mumber

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- Sequential Number **NNN**