



Department of Energy

Washington, DC 20585

OCT 11 1996

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H. T. Greene, YMQA/QATSS, Las Vegas, NV  
D. G. Sult, YMQA/QATSS, Las Vegas, NV  
M. R. Diaz, DOE/YMQA, Las Vegas, NV

CORRECTIVE ACTION DOCUMENT STATUS REPORT FOR THE MONTH OF  
SEPTEMBER 1996

The monthly status report of the Office of Civilian Radioactive Waste Management Corrective Action Requests, Deficiency Reports, Performance Reports, and Nonconformance Reports (NCR) includes the following:

*Enclosure 1* - A summary table, constructed by type of deficiency, that indicates the total number of open deficiencies pertaining to Organizations responsible for correcting the deficiency (i.e., corrective action).

*Enclosure 2* - A table, that indicates the current status of open deficiencies (except NCRs) for Organizations responsible for correcting the deficiency (i.e., corrective action).

It is requested that the responsible individual in your organization review the reported information for use in initiating or prioritizing actions to disposition and close the open deficiencies contained in this report. Any discrepancies should be promptly reported to the Office of Quality Assurance.

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9610180052 961011  
PDR WASTE  
WM-11 PDR

WM-11  
102.1

NH03  
1/1

Re: NMSS/PAHL

OCT 11 1996

Multiple Addressees

-2-

If you have any questions, please contact either Mario R. Diaz at (702) 794-1489 or Deborah G. Sult at (702) 794-1466.

*D. E. Spence For*

Donald G. Horton, Director  
Office of Quality Assurance

OQA:MRD-0027

Enclosures:

1. September 1996 Deficiency Document  
Status Table - By Organization  
Responsible for Completion of  
Corrective Action
2. Open Corrective Action Document  
Status Report - by Organization  
Responsible for Completion of  
Corrective Action

cc w/encls:

Records Processing Center

Oct 2, 1996

Quality Assurance CARlog Application V4.1.0

(ca009rpt)

SEPTEMBER 1996 DEFICIENCY DOCUMENT STATUS TABLE  
BY ORGANIZATION RESPONSIBLE FOR COMPLETION OF CORRECTIVE ACTION

Org	CARs				DRs				PRs				NCRs			
	OB	I	C	OE	OB	I	C	OE	OB	I	C	OE	OB	I	C	OE
ENS2	0	0	0	0	0	0	0	0	1	0	0	1	0	0	0	0
ENS23	0	0	0	0	1	0	0	1	2	0	0	2	0	0	0	0
HQ	0	0	0	0	1	0	0	1	0	0	0	0	0	0	0	0
K/PR	0	1	0	1	22	6	9	19	2	0	1	1	23	3	0	26
LAWL	0	0	0	0	9	0	5	4	1	0	0	1	0	0	0	0
LBWL	0	0	0	0	3	0	2	1	0	0	0	0	0	0	0	0
LLNL	0	0	0	0	5	0	2	3	3	0	3	0	0	0	0	0
LYMO	4	0	0	4	29	1	7	23	1	2	1	2	34	1	1	34
OHRA	1	0	0	1	5	0	0	5	0	0	0	0	0	0	0	0
RSN	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	1
SNL	0	0	0	0	17	0	0	17	1	0	0	1	1	0	0	1
USGS	3	0	0	3	17	2	2	17	13	1	6	0	16	0	3	13
VAPO	0	0	0	0	2	0	0	2	5	0	1	4	0	0	0	0
YMSCO	0	0	0	0	4	1	0	5	1	1	1	1	1	0	0	1

## LEGEND:

OB - Open at the Beginning of the Month

I - Issued

C - Closed

OE - Open at the End of the Month

ENCLOSURE 1

Oct 2, 1996 3:21 PM

Quality Assurance Deficiency Log Application  
Open Corrective Action Document Status Report  
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Version: 4.1.0  
(ca015rpt)

CRCY ACYN RESPONSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSBL AM & GAR	NEXT ACTION	NEXT ACTION DATE
EN32 *UNKNOWN DIRECTOR-	HQ-95-P-001	09-27-95	EN-32 SPPS DO NOT ADEQUATELY ADDRESS GARD REQUIREMENTS.	Bob Clark Charles Betts	Corrective Action Completion Due	12-31-96
* R. Erickson						

The Deficiency Document numbering system (XXXXX-FY-X-WNN) is described as follows:

XXXXX Organization Acronym  
FY Fiscal Year  
X Type of Deficiency depicted by:  
C - Corrective Action Requests  
D - Deficiency Reports  
P - Performance Reports  
WNN Sequential Number

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Quality Assurance Deficiency Log Application  
Open Corrective Action Document Status Report  
\*\*\*\*\*Version: 4.1.0  
(ca015rpt)

CRT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & DAR	NEXT ACTION	NEXT ACTION DATE
EM323 J. Conway	HQ-96-D-009	07-12-96	SPPS ARE BEING CHANGED VIA DOE MEMORANDUM AND NOT THROUGH THE SPP REVISION PROCESS	Bob Clark Charles Betts	DAR to Evaluate Response	10-01-96
EM323 J. Conway	HQ-96-P-004	07-12-96	SPP 1.02 IS NOT CURRENT DUE TO A REORGANIZATION WITHIN EM. ALL RESPONSIBILITIES AND REPORTING FUNCTIONS ARE NOT CORRECTLY DEFINED AS REQUIRED BY DOE/RW-0333P, SECTION 1.2	Bob Clark Jim George	DAR to Evaluate Response	10-01-96
EM323 J. Conway	HQ-96-P-005	07-12-96	SPP 4.15, REV. 5 SECTION 4.F.(1) (A) THROUGH (G), DOES NOT IDENTIFY THE TRG REVIEW RECORDS AS "L" OR "N" RECORDS.	Bob Clark Jim George	DAR to Evaluate Response	10-01-96

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Version: 4.1.0  
(ca015rpt)

CRCY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & DAR	NEXT ACTION	NEXT ACTION DATE
HQ Robert Clark	HQ-96-D-010	07-29-96	DOEFS NOT ISSUED FOR CDAS AND SOME PRS.	Bob Clark Jim George	Response Due	10-01-96

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CRCY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & QAR	NEXT ACTION	NEXT ACTION DATE
K/PB W. D. WIGHTMAN	K/PB-96-D-030	03-15-96	CONTRARY TO QARD, REV. 5, SEC. 5.2.2 B., REV 1 AND SPEC. SEC. -01400, REV 02, PARA 1.04 A.1 SOME QA CONTROLS ISSUED OR CHANGED BY DESIGN DOCUMENTS HAVE NOT BEEN INCORPORATED INTO K/PB IMPLEMENTING DOCUMENTS	Barish, Victor	Corrective Action Completion Due	10-31-96
K/PB W. D. WIGHTMAN	K/PB-96-D-031	03-18-96	CONTRARY TO TCP-2.6, REV. 4, PARA 3.1.7 NUMEROUS ITEMS IN THE EXTERIOR STORAGE AREAS WERE IMPROPERLY STORED, E.G., STEEL SET PIECES, ROCKBOLTS AND ACCESSORIES, SPOOLS OF ELECTRICAL CABLE, PVC AND CONDUIT, BURKE FLOOR HARDENER, AND MSA CARTRIDGE FILTERS	Barish, Victor	QAR to Perform Verification	08-09-96
K/PB W. D. WIGHTMAN	K/PB-96-D-047	06-26-96	CONTRARY TO MCP-5.0, REV 12 AND MCP-17.0 REV 10, TCP-2.42, REV 1 DID NOT INCLUDE APPLICABLE REQUIREMENTS FROM SPEC SECTION 02730, INCORRECTLY SPECIFIED PROJECT RECORDS AS QA LIFETIME RECORDS AND DID NOT ASSIGN RESPONSIBILITY FOR SUBMISSION OF RECORDS	Barish, Victor	Amended Response Due	10-11-96

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CRT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AN & GAR	NEXT ACTION	NEXT ACTION DATE
K/PB W. D. WIGHTMAN	K/PB-96-D-051	07-12-96	CONTRARY TO MCP-2.0, REV. 17, PARA 3.1.3 SOME WORK PACKAGES DO NOT HAVE THE CORRECT GOVERNING OCP/TCP REFERENCED ON THEIR TRAVELERS. EXAMPLE: WORK PACKAGE 2.25.4 WHICH LISTS OCP-006 AND OCP-010 WHICH HAVE BEEN SUPERCEDED BY OCP-018.	Barish, Victor	Verification Scheduled For	09-24-96
K/PB W. D. WIGHTMAN	K/PB-96-D-052	07-12-96	CONTRARY TO TCP-2.20, REV. 7, PARA 3.13.1 THE SHIFT ENGINEER ON SOME OCCASIONS HAS NOT DOCUMENTED AND ACCEPTED INSTALLATION FOR ITEMS INSTALLED DURING HIS SHIFT.	Barish, Victor	GAR to Evaluate Response	09-23-96
K/PB W. D. WIGHTMAN	K/PB-96-D-057	08-20-96	CONTRARY TO SPEC. SEC. 02347, REV. 3, SECTION 1.04J ALL STEEL SET INSPECTION FORMS IN WORK PACKAGE WP-1.14 WERE SIGNED OFF AS BEING INSPECTED TO A 10 X FITUP INSPECTION. THIS APPLIED ONLY TO 100 STEEL SETS FABRICATED ON SITE BY RECO.	Barish, Victor	GAR to Evaluate Response	09-20-96

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CRCT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & QAR	NEXT ACTION	NEXT ACTION DATE
K/PB W. D. WIGHTMAN	K/PB-96-D-058	08-20-96	CONTRARY TO SPP-007, REV. 1, PARA 3.5.3 THERE ARE NO CONTROLS IN PLACETO ASSURE THAT WELD ROOS RETURNED TO THE OVEN REMAIN FOR AT LEAST 4 HOURS; CONTRARY TO MCP-5.0, REV. 12, PARA. 3.3.3, SPP-007, REV. 1 FOR FILLER METAL CONTROL FAILS TO IDENTIFY HOW OR BY WHOM REQUIREMENTS ARE TO BE CARRIED OUT	Barish, Victor	QAR to Evaluate Response	09-20-96
K/PB W. D. WIGHTMAN	K/PB-96-D-059	08-20-96	CONTRARY TO DRAWING BABEAB000-01717-6300-0234 1-CD-08-2, SKETCH 083, REV. 1, 1/4" FILLET WELDS 3" IN LENGTH HAVE BEEN INSTALLED; CONTRARY TO SPEC. -02341, REV 3, SEC. 4.01 C2, 3/4" TO 1" LONG WELDS HAVE BEEN UTILIZED ON THE TOP CONNECTION OF THE BUTT PLATE TO WIDE FLANGE THAT ARE NOT ON A/E DRAWINGS	Barish, Victor	QAR to Evaluate Response	09-20-96
K/PB W. D. WIGHTMAN	K/PB-96-D-060	08-20-96	CONTRARY TO MCP 5.0, REV. 12, PARA. 3.5.3 MCP-013 EXHIBIT 6.1 WAS EDITORIALY CORRECTED TO CHANGE THE WORDS ON THE FORM FROM RANDOM 10 PERCENT INSPECTION TO 100 PERCENT INSPECTION WHICH DOES NOT FIT THE CRITERIA FOR AN EDITORIAL CHANGE. THE FORM BEING USED IN	Barish, Victor	QAR to Evaluate Response	09-23-96

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CRCY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & GAR	NEXT ACTION	NEXT ACTION DATE
THE FIELD INDICATES REV. 3.						
K/PB W. D. WIGHTMAN	K/PB-96-D-062	09-04-96	CONTRARY TO OCP-014 R/O, EXHIBIT 5.1, TCP-2.36 R/O, EXHIBIT 7.1 AND MCP-17.0 R/10 RECORDS GENERATED FROM OCP-014 AND TCP-2.36 CONTAIN CONFLICTING INFORMATION CONCERNING TIME RECORDED FOR COMPLETION OF BATCHING AND THE TIME TESTED (WORK PACKAGES 1.24.1 K, M THRU V, X, CC AND DD)	Barish, Victor	GAR to Evaluate Response	10-04-96
K/PB W. D. WIGHTMAN	K/PB-96-D-063	09-04-96	CONTRARY TO MCP-2.4, PARA 3.3.2B AND 3.3.2C GUY ARMINGER, WHO WAS HIRED ON 5-13/96, HAS NOT COMPLETED GET. ALSO SPILL RESPONSE TRAINING SCHEDULED 6-4/96 WAS NOT ATTENDED AS REQUIRED AND NO JUSTIFICATION PROVIDED TO TRAINING; MIKE SMOUGH, REHIRED AS A WALKER 8-1-96 NOT COMPLETED TRAINING PACKET ON T	Barish, Victor	Response Evaluation Date	10-01-96

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CRT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & GAR	NEXT ACTION	NEXT ACTION DATE
K/PB W. D. WIGHTMAN	K/PB-96-D-064	09-27-96	CONTRARY TO TCP-2.39, REV. 6, PARA 3.3.2 CONSTRUCTION DID NOT TRANSMIT COPIES OF COMPLETED STEEL SET INSTALLATION FORMS WITHIN THE 5 DAYS DELAY SPECIFIED WHICH PREVENTED OC FROM PERFORMING A REQUIRED WEEKLY MONITORING OF RECORDS	Barish, Victor	Response Due	10-23-96
K/PB W. D. WIGHTMAN	K/PB-96-D-065	09-27-96	CONTRARY TO MCP-2.0, R/10, PARA 3.1 WORK HAS COMMENCED FOR CLEARING AND GRUBBING OF THE S. PORTAL AREA INCLUDING ACCESS ROAD AND CONSTRUCTION HAS STARTED ON THE EQUIPMENT WASH FACILITY AT THE NORTH PAD WITHOUT APPROVED WORK PACKAGES.	Barish, Victor	Response Due	10-23-96
K/PB W. D. WIGHTMAN	LVNO-96-C-001	09-17-96	VIOLATION: MCP-2.4:SEVERAL DRS CONCERNED WITH VERIFICATION OF E2E;INDOC AND TRAINING	HACKBERT,DAVE	Response Due	10-14-96

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CRCT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & QAR	NEXT ACTION	NEXT ACTION DATE
K/PB W. D. WIGHTMAN	LVMO-96-D-037	04-15-96	VIOLATION: TCP-2.22 & SPEC 01501, REV.04: GROUND SUPPORT MAINTENANCE INSPECTION REPORTS WERE NOT GENERATED BECAUSE INSPECTIONS WERE NOT PERFORMED; GROUND SUPPORT MAINTENANCE AND REPAIR REPORT WAS REQUIRED FOR INSPECTIONS AND WERE NOT PERFORMED NOR INCLUDED IN THE WORK PACKAGE.	HACKBERT, DAVE	Verification was Satisfactory	10-01-96
K/PB W. D. WIGHTMAN	LVMO-96-D-039	05-24-96	VIOLATION: SPEC 15018 TCP-2.21: K/PB IS PROCURING THEIR OWN DIESEL FUEL AND SAMPLING IS NOT BEING CONDUCTED THROUGH THE MTS PROCUREMENT SYSTEM.	HACKBERT, DAVE	QAR to Evaluate Extension Request	10-18-96
K/PB W. D. WIGHTMAN	LVMO-96-D-046	06-21-96	VIOLATION: QARD SECTION 10: INSPECTIONS AND MONITORING OF GROUND SUPPORT INSTALLATIONS ARE NOT PERFORMED IN ACCORDANCE WITH K/PB QUALITY CONTROL PLAN AND INSPECTION, MONITORING AND SURVEILLANCE PLANS.	Pugnaire, Wes	QAR to Perform Verification	10-17-96

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K/PB W. D. WIGHTMAN	LYMO-96-D-047	06-21-96	VIOLATION: QARD SECTION 14; DOCUMENTATION OF GROUND SUPPORT INSPECTION AND MONITORING DOES NOT PROVIDE RELIABLE INSPECTION STATUS IN ALL CASES.	Pugmire, Wes	GAR to Perform Verification	10-17-96
K/PB W. D. WIGHTMAN	LYMO-96-D-060	09-17-96	VIOLATION: QARD SECTION 2: MCP-2.4 DOES NOT MEET QARD REQUIREMENTS	HACKBERT, DAVE	Response Due	10-14-96
K/PB W. D. WIGHTMAN	LYMO-96-D-061	09-19-96	VIOLATION: MCP-10.1; NO OBJECTIVE EVIDENCE IN TRAINING FILES OF QUALIFICATION AS LIBR TESTERS.	HACKBERT, DAVE	Response Due	10-18-96
K/PB W. D. WIGHTMAN	YN-96-P-002	10-19-95	QUALITY CONTROL PROCEDURE NOT UPDATED TO CURRENT SPECIFICATION REQUIREMENTS	Richard Craun John R. Doyle	Corrective Action Completion Due	09-30-96

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Quality Assurance Deficiency Log Application  
Open Corrective Action Document Status Report  
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Version: 4.1.0  
(ca015rpt)

CRT ACTN RESPONSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSBL AM & OAR	NEXT ACTION	NEXT ACTION DATE
LANL Julie A. Canepa	YN-96-D-033	02-08-96	JOB PACKAGE HAS NOT BEEN REVISED REFLECT CONTRACT CHANGES	S. B. Jones John R. Doyle	Corrective Action Completion Due	12-02-96
LANL Julie A. Canepa	YN-96-D-064	07-12-96	FAILURE TO IMPLEMENT DARD, SUPPLEMENT I REQUIREMENTS	S. B. Jones Dick Maudlin	Acceptance Letter in Process	09-30-96
LANL Julie A. Canepa	YN-96-D-066	07-12-96	CONTROLLED MANUALS OUT OF DATE	S. B. Jones Jim Blaylock	Corrective Action Completion Due	11-01-96
LANL Julie A. Canepa	YN-96-D-073	07-25-96	INADEQUATE PROCEDURE AND FAILURE TO FOLLOW PROCEDURES	S. B. Jones Dick Maudlin	Response Due	12-31-96
LANL Julie A. Canepa	YN-96-P-029	07-03-96	INADEQUATE PROCEDURE AND FAILURE TO FOLLOW DOCUMENT CONTROL REQUIREMENTS	S. B. Jones John Martin	OAR to Evaluate Amended Response	10-04-96

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CCTY ACTN RESPONSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSBL AN & QAR	NEXT ACTION	NEXT ACTION DATE
LBNL Gudmundur Bodvarsson	LBNL-96-D-006	01-30-96	*	S. B. Jones Mangold, Don	Corrective Action Completion Due	09-30-96

\* SCIENTIFIC NOTEBOOK INITIATION  
AND UPDATE NOT IN COMPLIANCE WITH  
USGS, LANL AND LBNL QA PROGRAMS

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NWN Sequential Number

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CRTY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & OAR	NEXT ACTION	NEXT ACTION DATE
LLNL Willis L. Clarke	YM-96-D-055	05-08-96	OUT OF DATE PROCEDURES/SPECIFICATIONS	Richard Craun John Therien	OAR to Prepare Unsatisfactory Verification Letter	10-03-96
LLNL Willis L. Clarke	YM-96-D-056	05-08-96	USE OF UNCALIBRATED M&TE	Richard Craun Rick Weeks	OAR to Perform Verification	09-30-96
LLNL Willis L. Clarke	YM-96-D-057	05-08-96	PERFORMING QUALITY-AFFECTING ACTIVITIES W/O APPROVED AND CONTROLLED PROCEDURES	Richard Craun Rick Weeks	OAR to Perform Verification	09-30-96

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LVMO L. Dale Foust	LVMO-96-D-023	02-26-96	VIOLATION: OAP-17-1. RECORD SEGMENTS KEPT AT DRC THAT CONTAIN COMPLETED QA RECORDS. SEGMENTS HAVE BEEN OPEN LONGER THAN 2 YEAR PERIOD.	Pugmire, Wes	Corrective Action Completion Due	12-13-96
LVMO L. Dale Foust	LVMO-96-D-028	03-13-96	VIOLATION: OAP-7-3, UNIVERSITY SYSTEMS ARE CURRENTLY DOING WORK WITHOUT A CONTRACTUAL/PROCUREMENT DOCUMENT.	Kirby, Debbie	Extension Request Letter Expected	10-01-96
LVMO L. Dale Foust	LVMO-96-D-049	07-17-96	VIOLATION: MLP-2-4; LOCATION COORDINATES AND TOP OF CASING ELEVATIONS FOR THE MAJORITY OF THE YMP CONTROLLED BOREHOLES HAVE NOT BEEN DETERMINED AND DOCUMENTED.	MALONE, MIKE	QAR to Evaluate Extension Request	10-11-96
LVMO L. Dale Foust	LVMO-96-D-051	06-25-96	VIOLATION: YAP-15.10; INCORRECT APPLICATION OF "USE-AS-IS".	BERLIEN, RON	Corrective Action Completion Due	10-30-96
LVMO L. Dale Foust	LVMO-96-D-054	08-14-96	VIOLATION: OAP-7-6; AP-7.40 ; FTI AND FCF QA PROGRAMS ACCEPTED W/OUT RESTRICTION; ACCEPTANCE RPT FOR W. P. CLOSURE WELD DEV. PROGRAM DID NOT COMMENT RE: FTI CERT. REV. 01 OF THE PROCUREMENT DOC. RATHER THAN MOST CURRENT REV. 02.	BERLIEN, RON	QAR to Evaluate Response	10-18-96

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CRCT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & GAR	NEXT ACTION	NEXT ACTION DATE
LVMO L. Dale Foust	LVMO-96-D-055	08-15-96	VIOLATION: OAP-3-12; OAP-17 -1, DESIGN INPUT TRANSMITTAL NOT TRACKED. RECORDS NOT SUBMITTED TO RPC.	KEENER, GARY	Corrective Action Completion Due	11-15-96
LVMO L. Dale Foust	LVMO-96-D-057	08-14-96	VIOLATION: UNLV STATEMENT OF WORK: UNLV IS PERFORMING QA WORK USING PROCEDURES DEVELOPED BY UNLV.	MALONE, MIKE	Corrective Action Completion Due	11-13-96
LVMO L. Dale Foust	LVMO-96-D-058	08-29-96	VIOLATION: OAD SECTION 7; OAP-7-0: CALIBRATION CERTIFICATES RECEIVED PRIOR TO PURCHASE OF CALIBRATION SERVICE; PROCUREMENT NOT FOLLOWED IN PROPER SEQUENCE.	ABEND, GAIL	Response Due	10-04-96
LVMO L. Dale Foust	LVMO-96-D-059	08-29-96	VIOLATION: OAP-2-1, REV.05; QUALITY WORK WAS PERFORMED WITHOUT READING MOST CURRENT PROCEDURES.	BARTLEY, CHARLIE	GAR to Evaluate Response	10-30-96

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LVMO L. Dale Foust	LVMO-96-P-036	09-18-96	VIOLATION:DAP-2-0;SALV STORAGE NOT INCLUDED IN 2-0 EVALUATION	BERLIEU,RON	Response Due	10-18-96
LVMO L. Dale Foust	LVMO-96-P-037	09-20-96	VIOLATION:DAP-3-5, DAP-1-0; GA WORK APPROVED; INDIVIDUAL DID NOT HAVE SIGNATURE AUTHORITY.	KIRBY,DEBBIE	Response Due	10-18-96
LVMO L. Dale Foust	YN-95-C-050	07-18-95	PERSONNEL DID NOT FOLLOW PROCEDURES -- THIS CAR IS IN REV. 1	S. B. Jones Patrick V. Auer	Corrective Action Completion Due	11-27-96
LVMO L. Dale Foust	YN-96-C-007	07-26-96	FAILURE TO IMPLEMENT OAD AND PROJECT REQUIREMENTS IN IMPLEMENTING DOCUMENTS	S. B. Jones Pat Cotter	Response Due	09-30-96
LVMO L. Dale Foust	YN-96-C-008	07-31-96	TRAINING RECORDS FOR CRIMS MEO INDIVIDUALS DO NOT INCLUDE EVIDENCE OF COMPLETION OF REQUIRED SELF-STUDY ASSIGNMENT FORMS FOR SPECIFIED DOCUMENTS	Jerri J. Adams Franklin B. Smith	Corrective Action Completion Due	12-31-96

The Deficiency Document numbering system (XXXXX-FY-X-MMM) is described as follows:

XXXXX Organization Acronym  
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X Type of Deficiency depicted by:  
C - Corrective Action Requests  
D - Deficiency Reports  
P - Performance Reports  
MMM Sequential Number

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CRCT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & GAR	NEXT ACTION	NEXT ACTION DATE
LVMO L. Dale Foust	YN-96-C-009	08-21-96	INADEQUATE QA PROGRAM AND IMPLEMENTATION THEREOF	Richard Craun Daniel Kilnes	Response Due	10-03-96
LVMO L. Dale Foust	YN-96-D-036	02-06-96	REG DOCUMENT USED FOR Q . APPLICATIONS WAS DEVELOPED NON-Q	S. B. Jones Dennis Threatt	Corrective Action Completion Due	09-30-96
LVMO L. Dale Foust	YN-96-D-037	02-14-96	CONTROL OF TRACERS, FLUIDS AND MATERIALS (TFMS) ARE NOT PRESCRIBED IN DOCUMENTS	S. J. Brocous Pat Cotter	GAR to Perform Verification	11-06-96
LVMO L. Dale Foust	YN-96-D-042	02-26-96	RECORDS NOT SUBMITTED TO RPC WITHIN 20 WORKING DAYS OF COMPLETION	Jerri J. Adams James T. Schmit	GAR to Evaluate Amended Response	10-07-96
LVMO L. Dale Foust	YN-96-D-060	05-16-96	FAILURE TO FOLLOW PROCEDURE	Richard Craun Pat Cotter	GAR to Evaluate Verification Info.	10-07-96
LVMO L. Dale Foust	YN-96-D-061	05-30-96	FAILURE TO FULLY IMPLEMENT QARD	Richard Craun Pat Cotter	GAR to Evaluate Verification Info.	10-07-96

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NNN Sequential Number

CACT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & GAR	NEXT ACTION	NEXT ACTION DATE
LWMO L. Dale Foust	YM-96-D-070	07-12-96	FAILURE TO PROCEDURALLY CONTROL QUALITY AFFECTING ACTIVITIES - NOTE: THIS DR SUPERSEDES PRS YM-96-P-004, 005, AND 006	Richard Craun Dick Maudlin	GAR to Perform Verification	10-30-96
LWMO L. Dale Foust	YM-96-D-071	07-22-96	INADEQUATE IMPLEMENTATION OF AUDIT PROGRAM - THIS DOCUMENT SUPERSEDES PR YM-96-P-027	Richard Craun Dick Maudlin	GAR to Perform Verification	09-30-96
LWMC L. Dale Foust	YM-96-D-072	07-24-96	DRIFT AND-BLAST ACTIVITIES *	S. B. Jones Pat Cotter	Acceptance Letter In Process	09-30-96
LWMO L. Dale Foust	YM-96-D-073	07-24-96	INADEQUATE IMPLEMENTING PROCEDURES	Richard Craun Pat Cotter	Response Due	09-30-96
LWMO L. Dale Foust	YM-96-D-077	08-06-96	PO ISSUED W/OUT EVIDENCE OF A QA REVIEW; PURCHASE FROM SUPPLIERS NOT ON THE QSL; RECORDS NOT MAINTAINED IN A 1/YR FIRE-RATED FACILITY	Richard Craun Dick Maudlin	Corrective Action Completion Due	09-30-96

\*FAILURE TO CORRECTLY  
CLASSIFY QUALITY AFFECTING  
ACTIVITIES AS QUALITY  
RELATED

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CRCY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & BAR	NEXT ACTION	NEXT ACTION DATE
LVMO L. Dale Foust	YN-96-D-078	08-06-96	MATERIAL TRACEABILITY OF BASE AND WELD FILLER MATERIALS USED FOR WASTE PACKAGE CLOSURE DEVELOPMENT; WELD TEST COULD NOT BE VERIFIED	Richard Craun Patrick V. Auer	Corrective Action Completion Due	09-30-96
LVMO L. Dale Foust	YN-96-D-079	08-06-96	UNQUALIFIED SUPPLIER USED; CALIBRATION RECORDS DO NOT MEET THE PROCUREMENT DOCUMENTS; CALIBRATION RECORDS NOT MAINTAINED IN A 1 HR. FIRE RATED FACILITY	Richard Craun Dick Maudlin	Corrective Action Completion Due	09-30-96
LVMO L. Dale Foust	YN-96-D-092	08-15-96	CONFLICTING DATA BETWEEN DIE AND REFERENCING JOB PACKAGE	Richard Craun Turney, Dan	Rejection Letter In Process	09-30-96
LVMO L. Dale Foust	YN-96-D-101	09-17-96	INADEQUATE PROCEDURES, QAP-3-8, QAP-3-9 AND QAP-3-10	Richard Craun Patrick V. Auer	Response Due	10-15-96

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CRTY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & OAR	NEXT ACTION	NEXT ACTION DATE
OHRA James C. Bresee	HQ-96-C-001	06-10-96	PERSONNEL ORPS DID NOT CONTAIN CERTAIN DOCUMENTS	<del>Bob Clark</del> Jim Bresee Charles Betts	Corrective Action Completion Due	12-31-96
OHRA James C. Bresee	HQ-96-D-003	06-10-96	PERSONNEL ORPS FOR WESTON PERSONNEL DO NOT CONTAIN REQUIRED INFO.	<del>Bob Clark</del> Jim Bresee Charles Betts	OAR to Perform Verification	10-31-96
OHRA James C. Bresee	HQ-96-D-004	06-10-96	ORP \$28-1161.00 FOR EIA PERSONNEL DOES NOT CONTAIN EDUCATION REQUIREMENTS	<del>Bob Clark</del> Jim Bresee Charles Betts	OAR to Perform Verification	10-31-96
OHRA James C. Bresee	HQ-96-D-005	06-10-96	ORP PACKAGE FOR Z, INC. PERSONNEL DOES NOT HAVE EDUCATION AND EXPERIENCE REQUIREMENTS DOCUMENTED NOR HAS JUSTIFICATION STATEMENT BEEN PREPARED	<del>Bob Clark</del> Jim Bresee Charles Betts	OAR to Perform Verification	10-31-96
OHRA James C. Bresee	HQ-96-D-006	06-10-96	ORP PACKAGE FOR ASTA PERSONNEL DOES NOT HAVE EXPERIENCE REQUIREMENTS DOCUMENT NOR HAS JUSTIFICATION STATEMENT BEEN PREPARED	<del>Bob Clark</del> Jim Bresee Charles Betts	Corrective Action Completion Due	10-01-96

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WNN Sequential Number

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CRCY ACTN RESPONSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSBL AN & GAR	NEXT ACTION	NEXT ACTION DATE
CHRA James C. Bresee	HQ-96-D-007	06-10-96	ORPS FOR GATSS PERSONNEL DO NOT CONTAIN EDUCATION AND EXPERIENCE REQUIREMENTS NOR HAVE JUSTIFICATION STATEMENTS PEEN PREPARED	<del>Bob Clark</del> Jim Bresee Charles Betts	GAR to Perform Verification	10-31-96

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CRCY ACTN RESPONSL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSL AH & GAR	NEXT ACTION	NEXT ACTION DATE
SNL Laurence S. Costin	SNL-96-D-006	06-11-96	REPORTS SHOULD HAVE HAD ACCEPTABILITY STATEMENT, FOR 95-08 & 95-23, MANAGER OF ORG SURVEILLED WAS NOT INCLUDED IN THE DISTRIBUTION.	Claudette Jaramillo	Issue Date	06-11-96
SNL Laurence S. Costin	YN-96-D-003	11-07-95	PROCUREMENT RECORDS ARE NOT BEING FORWARDED TO HQ RECORDS (CRF) ---- ISSUED IN REPLACEMENT OF CAR YN-96-D93	Jerri J. Adams Mary G. McDaniel	Corrective Action Completion Due	05-01-99
SNL Laurence S. Costin	YN-96-D-006	02-01-96	SNL PROCEDURES ARE INADEQUATE IN DETAIL ---- ISSUED IN REPLACEMENT OF CAR YN-96-D96	Dick Spence Jim Blaylock	Response to Unsatisfactory Verification Due	10-04-96
SNL Laurence S. Costin	YN-96-D-043	02-28-96	IMPLEMENTING PROCEDURES ARE AMBIGUOUS OR NONEXISTENT	Dick Spence Dick Maudlin	Corrective Action Completion Due	03-31-97
SNL Laurence S. Costin	YN-96-D-044	03-12-96	ACCEPTANCE CRITERIA FOR MODEL VALIDATION NOT PROVIDED IN IMPLEMENTING DOCUMENTS	S. B. Jones Steve Harris	GAR to Evaluate Response to Unsatisfactory Verification.	10-02-96

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CCTY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & QAR	NEXT ACTION	NEXT ACTION DATE
SNL Laurence S. Costin	YM-96-D-074	07-24-96	INADEQUATE IMPLEMENTING PROCEDURE; USE OF UNQUALIFIED SUPPLIER	S. B. Jones Pat Cotter	QAR to Perform Verification	09-30-96
SNL Laurence S. Costin	YM-96-D-080	08-07-96	QAIP 20-1, REV. 3, DOES NOT MEET MINIMUM QARD REQUIREMENTS FOR EXPEDITED CHANGES	Jerri J. Adams Don Harris	Amended Response Due	10-08-96
SNL Laurence S. Costin	YM-96-D-081	08-07-96	QAIP 5-1 IS NOT CLEAR ABOUT THE SEQUENTIAL ACTIVITIES FOLLOWED TO INITIATE A NEW PROCEDURE AND TO CHANGE A PROCEDURE	Jerri J. Adams Steve Harris	Corrective Action Completion Due	10-21-96
SNL Laurence S. Costin	YM-96-D-082	08-05-96	CHANGE REQUEST FORMS FOR REGCM2 HAD NOT INDICATION OF AFFECTED BASELINE ELEMENTS	Jerri J. Adams Steve Harris	QAR to Perform Verification	10-31-96
SNL Laurence S. Costin	YM-96-D-083	08-07-96	PPC ATTACHED TO PR AS 0296 TO GECKON, INC. DID NOT DESCRIBE THE QA REQUIREMENTS	Jerri J. Adams Don Harris	Amended Response Due	10-08-96
SNL Laurence S. Costin	YM-96-D-084	08-07-96	SNL PROCEDURE FOR QA RECORDS DOES NOT MEET THE REQUIREMENTS OF THE QARD FOR THE CORRECTION OF QA RECORDS	Jerri J. Adams Mario Diaz	Amended Response Due	10-08-96

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CRT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & OAR	NEXT ACTION	NEXT ACTION DATE
SNL Laurence S. Costin	YM-96-D-085	08-07-96	QA RECORDS HAVE BEEN PROCESSED AND ACCEPTED W/OUT BEING IN COMPLIANCE WITH PROCEDURAL REQUIREMENTS	Jerri J. Adams Mario Diaz	Amended Response Due	10-08-96
SNL Laurence S. Costin	YM-96-D-086	08-07-96	DRC FORMS FOR REVIEW OF QAIP 5-1 AND QAIP 1-2 AND MARK-UP PROCEDURES HAVE NOT BEEN SUBMITTED TO THE LRC	Jerri J. Adams Steve Harris	Amended Response Due	10-03-96
SNL Laurence S. Costin	YM-96-D-087	08-07-96	ENTRIES MADE IN SCIENTIFIC NOTEBOOK (SN), FRACTURE PROPERTIES EXPERIMENTS VBS 1.2.3.2.7.1.4, WA-0091, TASK 4 WERE NOT SIGNED AND DATED	Jerri J. Adams Rick Weeks	Amended Response Due	10-03-96
SNL Laurence S. Costin	YM-96-D-088	08-07-96	TECHNICAL PROCEDURES DO NOT IDENTIFY RECORDS GENERATED AS LIFETIME OR NONPERMANENT AS REQUIRED BY THE QARD	Jerri J. Adams Mario Diaz	Amended Response Due	10-08-96
SNL Laurence S. Costin	YM-96-D-089	08-07-96	REVIEW CRITERIA WERE NOT SPECIFIED ON 3 OF 4 REVIEW PACKAGES AND BLANKS ON DEC FORMS WERE NOT COMPLETED	Jerri J. Adams Steve Harris	Amended Response Due	10-03-96

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CCT ACTN RESPONSE	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSIBLE AM & DAR	NEXT ACTION	NEXT ACTION DATE
SRL Laurence S. Costin	YN-96-D-090	08-07-96	DOCUMENTATION FOR DEFICIENCIES DO NOT COMPLY WITH REQUIREMENTS	Dick Spence Mario Diaz	Amended Response Due	10-08-96
SRL Laurence S. Costin	YN-96-P-030	08-07-96	CECOW WAS EVALUATED FOR APPROVAL AS A QUALIFIED SUPPLIER, HOWEVER, NO EVIDENCE THAT REQUIRED ACCEPTANCE CORRESPONDENCE WAS GENERATED	Jerro J. Adams Don Harris	Amended Response Due	10-08-96

The Deficiency Document numbering system (XXXXX-YY-Z-NNN) is described as follows:

XXXXX Organization Acronym  
YY Fiscal Year  
Z Type of Deficiency depicted by:  
C - Corrective Action Requests  
D - Deficiency Reports  
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NNN Sequential Number

CRTY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & QAR	NEXT ACTION	NEXT ACTION DATE
USGS Robert W. Craig	USGS-95-D-002	09-06-95	SPECIAL TRAINING NOT ASSIGNED OR DOCUMENTED	REITER, EMILY S.	Supplemental Information Due	10-31-96
USGS Robert W. Craig	USGS-95-D-010	05-08-96	FAILURE TO PERFORM SOURCE DATA REVIEW PRIOR TO TECHNICAL REVIEW OF MANUSCRIPT	M.W. MUSTARD	QAR to Perform Verification	10-15-96
USGS Robert W. Craig	USGS-95-D-011	09-12-95	OPERATOR MANUALS, INSTRUCTIONS RELATED TO TECHNICAL PROCEDURES NOT CONTROLLED/REVIEWED (CDR-95050-2)	E.S. REITER	QAR to Evaluate Response	10-04-96
USGS Robert W. Craig	USGS-96-D-002	05-24-96	VENDOR (MUFFMAN) WITHOUT QA PROGRAM AND PO WITHOUT SUPPLEMENTAL QA REQ	E.S. REITER	QAR to Perform Verification	10-04-96
USGS Robert W. Craig	USGS-96-D-003	05-24-96	VENDOR (INSTARR) WITHOUT QA PROGRAM AND PO WITHOUT SUPPLEMENTAL QA REQ	E.S. Reiter	QAR to Perform Verification	10-31-96
USGS Robert W. Craig	USGS-96-D-004	06-17-96	ACCEPTANCE OF SERVICES THAT DID NOT MEET ALL PURCHASE ORDER REQUIREMENTS	E.S. REITER	Response Due	10-03-96

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CRCY ACTN RESPONSL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSL AN & GAR	NEXT ACTION	NEXT ACTION DATE
USGS Robert W. Craig	USGS-96-D-005	07-26-96	SF6 CONCENTRATIONS EXCEEDED JOB PACKAGE 96-21 REQUIREMENT.	M.N. MUSTARD	Corrective Action Completion Due	03-30-97
USGS Robert W. Craig	USGS-96-P-001	12-20-95	TEMPERATURE MEASUREMENTS NOT RECORDED FOR ARCHIMEDES METHOD (WP-229)	M.N. MUSTARD	Verification Scheduled for	10-15-96
USGS Robert W. Craig	USGS-96-P-004	12-20-95	DEFICIENCIES WITH METEOROLOGY DATA	M.N. MUSTARD	Deficiency document to be reissued as Rev. 1	10-15-96
USGS Robert W. Craig	USGS-96-P-006	12-26-95	TECHNICAL PROCEDURES NOT UPDATED	M.N. MUSTARD	GAR to Evaluate Verification Info.	10-15-96
USGS Robert W. Craig	USGS-96-P-010	03-21-96	LATE RECORD SUBMITTALS FOR REPORTS (QOR-95009)	E.S. REITER	Supplemental Information Due	10-18-96
USGS Robert W. Craig	USGS-96-P-014	05-02-96	SAMPLE COLLECTION REPORTS NOT PREPARED FOR GAS SAMPLES	E.S. REITER	Corrective Action Completion Due	10-25-96

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CRTY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & OAR	NEXT ACTION	NEXT ACTION DATE
USGS Robert W. Craig	YM-95-D-009	08-30-95	SUPPLIER HAS NO PROGRAM FOR THE QUALIFICATION AND PASS-DOWN OF QA REQUIREMENTS TO SUB-TIER SUPPLIERS. NO DOCUMENTED EVIDENCE OF ACCEPTANCE,	S. B. Jones Dick Maudlin	OAR to Perform Verification	02-26-97
USGS Robert W. Craig	YM-95-P-010	08-29-95	NO DETAILED PROCEDURES FOR CALIBRATION OF LIQUID SCINTILLATION COUNTERS	S. B. Jones Dick Maudlin	OAR to Perform Verification	02-28-97
USGS Robert W. Craig	YM-96-C-004	04-10-96	FAILURE TO FOLLOW OAR AND PROCEDURAL REQUIREMENTS FOR PROCUREMENT ACTIVITIES	S. B. Jones John Martin	Corrective Action Completion Due	09-30-96
USGS Robert W. Craig	YM-96-C-005	04-12-96	CORRECTIVE ACTION PROGRAM NOT BEING IMPLEMENTED OR MAINTAINED	S. B. Jones Ken McFall	Corrective Action Completion Due	10-15-96
USGS Robert W. Craig	YM-96-C-006	08-12-96	FAILURE TO IMPLEMENT DOCUMENTED QA PROGRAM REQUIREMENTS	S. B. Jones Steve Harris	Response Due	10-25-96

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CRCY ACTN RESPONSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSBL AM & OAR	NEXT ACTION	NEXT ACTION DATE
USGS Robert W. Craig	YM-96-D-035	02-06-96	INCOMPLETE IMPLEMENTING DOCUMENTS	Dick Spence Dick Maudlin	OAR to Perform Verification	05-30-97
USGS Robert W. Craig	YM-96-D-046	03-19-96	LACK OF MATE CALIBRATION DOCUMENTATION AND PROCEDURES. CALIBRATION RECORDS NOT PROPERLY MAINTAINED AND MATE SOFTWARE NOT VERIFIED.	S. B. Jones Dick Maudlin	Corrective Action Completion Due	12-15-96
USGS Robert W. Craig	YM-96-D-048	04-12-96	FAILURE TO DOCUMENT AND DISPOSITION OUT OF CALIBRATION CONDITIONS	S. B. Jones Mary G. McDaniel	Corrective Action Completion Overdue	09-10-96
USGS Robert W. Craig	YM-96-D-050	04-12-96	FAILURE TO FULLY IMPLEMENT YAP-17.10, REV. 0, ICM #4	S. B. Jones Mary G. McDaniel	OAR needs to prepare closure letter	10-07-96
USGS Robert W. Craig	YM-96-D-051	04-12-96	RECORDS NOT ADEQUATELY MAINTAINED RESULTING IN LOST OR MISPLACED RECORDS	S. B. Jones John Martin	Corrective Action Completion Due	10-15-96
USGS Robert W. Craig	YM-96-D-058	05-21-96	FAILURE TO IMPLEMENT QA PROGRAM REQUIREMENTS	S. B. Jones Dick Maudlin	OAR to Evaluate Amended Response	10-07-96

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WNN Sequential Number



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CRCY ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & GAR	NEXT ACTION	NEXT ACTION DATE
USGS Robert W. Craig	YN-96-D-076	07-25-96	FAILURE TO FOLLOW PROCEDURE	S. B. Jones Dick Maudlin	Amended Response Due	10-11-96
USGS Robert W. Craig	YN-96-D-093	09-04-96	FAILURE TO IMPLEMENT ESSENTIAL ELEMENTS OF QA PROGRAM	S. B. Jones Steve Harris	Response Due	10-02-96
USGS Robert W. Craig	YN-96-D-096	09-16-96	FAILURE TO DOCUMENT SUPPLIER SELECTION AND EVALUATION	S. B. Jones Daniel Klimas	Response Due	10-14-96
USGS Robert W. Craig	YN-96-P-024	04-12-96	FAILURE TO IMPLEMENT QARD, SECTION 17.2.4A	S. B. Jones Mary G. McDaniel	QAR needs to prepare closure letter	10-07-96
USGS Robert W. Craig	YN-96-P-032	09-16-96	INADEQUATE CALIBRATION CERTIFICATE	S. B. Jones Daniel Klimas	Response Due	10-14-96

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CCT ACTN RESPONBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONBL AM & GAR	NEXT ACTION	NEXT ACTION DATE
VAMO R. L. Strickler	NQ-96-P-002	02-27-96	LIFE CYCLE PLANS FOR SEVERAL SOFTWARE PRODUCTS DID NOT IDENTIFY CONTROL POINTS IN BOLDFACE IAW QAP-SI-1.	Bob Clark Jim George	Amended Response Due	09-30-96
VAMO R. L. Strickler	VAMO-96-D-001	07-24-96	NO EVIDENCE THAT REV1 TO MPC CONTRACT CN1 WAS REVIEWED IAW QAP-7-3, REV 0	White, Tricia	Verification Scheduled For	10-01-96
VAMO R. L. Strickler	VAMO-96-D-002	08-13-96	SOME RECIPIENTS CONTINUE TO HOLD OBSOLETE OR SUPERSEDED DOCUMENTS AS CURRENT DOCUMENTS. NO OBJECTIVE EVIDENCE THAT THE INDIVIDUALS WERE NOTIFIED OF DECONTROL OR REVISION BECAUSE THEY WERE REMOVED FROM THE DIST LIST	White, Tricia	Response Due	10-25-96
VAMO R. L. Strickler	VAMO-96-P-005	07-23-96	DESIGN REQUIREMENTS DOCUMENTS DRD (MPC REV 2, MPC TRANS CASE REV 2, OST/OSS REV 2) DISTRIBUTED TO CONTROLLED DISTRIBUTION PRIOR TO THE COMPLETION OF BASELINING	White, Tricia	Verification Information Requested	09-24-96

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CRT ACTN RESPNSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPNSBL AM & QAR	NEXT ACTION	NEXT ACTION DATE
VAMO R. L. Strickler	VAMO-96-P-006	08-13-96	STEPS NOT BEING TAKEN BY RMS/RECIPIENTS TO PROVIDE DCC WITH UPDATED HOLDER INFORMATION RESULTING FROM REORGANIZATION, REASSIGNMENT OR TERMINATION OF PERSONNEL	White, Tricia	Response Due	10-25-96
VAMO R. L. Strickler	VAMO-96-P-007	08-13-96	SOME RECIPIENTS UNABLE TO LOCATE CONTROLLED COPIES OF OLDER DOCUMENTS. DOCUMENTS NO LONGER BEING USED. PR ISSUED TO DECONTROL THESE OBSOLETE DOCUMENTS	White, Tricia	Response Due	10-25-96

The Deficiency Document numbering system (XXXXX-FY-X-NNN) is described as follows:

XXXXX Organization Acronym  
FY Fiscal Year  
X Type of Deficiency depicted by:  
C - Corrective Action Requests  
D - Deficiency Reports  
P - Performance Reports  
NNN Sequential Number

CRCY ACTN RESPONSBL	DOCUMENT NUMBER	ISSUE DATE	ADVERSE CONDITION	RESPONSBL AM & OAR	NEXT ACTION	NEXT ACTION DATE
YNSCO Wesley E. Barnes	YM-96-D-030	01-16-96	UNQUALIFIED DATA IDENTIFIED AS QUALIFIED IN TECHNICAL DATA BASE	S. J. Broccum Mary G. McDaniel	Corrective Action Completion Due	09-30-96
YNSCO Wesley E. Barnes	YM-96-D-031	01-22-96	RECORDS INDEXING NOT IN ACCORDANCE WITH APPROVED PROCEDURES - THIS DR WAS CONVERTED FROM CAR YM-95-34, REV. 1	Jerri J. Adams Jim Clark	Corrective Action Completion Due	11-27-96
YNSCO Wesley E. Barnes	YM-96-D-059	05-17-96	FAILURE TO FOLLOW PROCEDURE	Jerri J. Adams Mary G. McDaniel	OAR to Perform Verification	10-01-96
YNSCO Wesley E. Barnes	YM-96-D-065	09-05-96	INADEQUATE CONTROL OF QUALITY AFFECTING ACTIVITIES	S. B. Jones John Martin	OAR to Evaluate Extension Request	10-07-96
YNSCO Wesley E. Barnes	YM-96-D-091	08-27-96	JOB PACKAGES REFERENCE USE OF CANCELLED QA GRADING REPORTS; THIS DOCUMENT IS IN REVISION 1	S. B. Jones Turney, Dan	OAR to Evaluate Response	09-30-96
YNSCO Wesley E. Barnes	YM-96-P-031	09-18-96	PERFORMANCE OF QUALITY AFFECTING WORK WITHOUT PROPER TRAINING	S. B. Jones Turney, Dan	Response Due	10-16-96

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